

December 2017

**Supporting Statement**  
**OMB NO. 0579-0040**  
**Importation of Animals and Poultry, Animal and Poultry Products,**  
**Certain Animal Embryos, Semen, and Zoological Animals**

**Note:** APHIS is merging 0579-0165 (Importation of Horses, Ruminants, Swine, and Dogs; Inspection and Treatment for Screwworm), and 0579-0425 (Cattle Fever Tick; Importation Requirements for Ruminants from Mexico) with this renewal submission. It is also integrating previously discontinued 0579-0224 (Tuberculosis Testing of Imported Cattle from Mexico), and 0579-0301 (Spring Viremia of Carp; Import Restrictions on Certain Live Fish, Fertilized Eggs, and Gametes) with this information collection renewal.

**A. Justification**

**1. Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection. Attach a copy of the appropriate section of each statute and regulation mandating or authorizing the collection of information.**

The Animal Health Protection Act of 2002 (7 U.S.C. 8301–8317) is the primary Federal law governing the protection of animal health. The law gives the Secretary of Agriculture broad authority to detect, control, or eradicate pests or diseases of livestock or poultry. Disease prevention is the most effective method for maintaining healthy animal populations in the United States and for enhancing the ability to compete in the world market of animal and animal product trade.

APHIS' Veterinary Services (VS) unit is responsible for, among other things, preventing the introduction of foreign or certain other communicable animal diseases into the United States; and for rapidly identifying, containing, eradicating, or otherwise mitigating such diseases when feasible. In connection with this mission, APHIS collects information from individuals who import animals or poultry, animal or poultry products, or animal germplasm (semen, oocysts, and embryos, including eggs for hatching) into the United States.

This information includes, but is not limited to, data such as the names of the exporter and importer of the animal commodities; the origins of the animals or animal products to be imported; the health status of the animals or the processing methods used to produce animal products to be imported; the destination of delivery in the United States; and whether the animals or animal products were temporarily offloaded in another country during transit to the United States. APHIS needs this information to help ensure that these imports do not introduce foreign animal diseases into the United States.

In addition, APHIS is responsible for developing and administering regulations intended to protect the health of the United States' farmed fish populations. APHIS has import restrictions at title 9, *Code of Federal Regulations* (9 CFR) 93.900 for certain species of finfish susceptible to spring viremia of carp disease (SVC). SVC is considered a foreign animal disease reportable to USDA and is also a World Organization for Animal Health (OIE)-reportable disease. Fish species currently considered susceptible to SVC include: Common carp (*Cyprinus carpio*), grass carp (*Ctenopharyngodon idellus*), silver carp (*Hypophthalmichthys molitrix*), bighead carp (*Aristichthys nobilis*), crucian carp (*Carassius carassius*), goldfish (*Carassius auratus*), tench (*Tinca tinca*), and sheatfish (*Silurus glanis*). These susceptible species include koi carp and goldfish, both of which are of economic importance to the U.S. aquaculture industry and to individual fish hobbyists. Experimental infection has also been demonstrated in a number of other fish species, some of which represent important public resources or recreational assets to the United States.

Three SVC outbreaks have been reported in privately held fish facilities in the United States since 2002, necessitating eradication of affected populations at these locations. APHIS determined there was a substantial and causal link between these outbreaks and the unregulated importation of SVC-susceptible fish species to the United States from countries where SVC is known to exist. As a result of this determination, APHIS developed the import requirements for SVC-susceptible fish species.

The effective implementation of SVC regulations necessitates the use of several information collection activities, including the completion of VS Form 17-129 (Application for Import or in Transit Permit); presentation of a health certificate; completion of VS Form 16-3 (Diagnostic Specimen Import Permit Application), VS Form 17-136 (Refusal of Entry and Order to Dispose of Fish), and VS Form 17-29 (Application for Import or In Transit Permit (Animals, Animal Semen, Animal Embryos, Birds, Poultry, or Hatching Eggs)); presentation of a cleaning and disinfection certificate, and 72-hour notification of arrival (to ensure that an APHIS veterinary medical officer is available to visually inspect the shipment on arrival), as well as recordkeeping requirements.

For other animals, APHIS uses a variety of other information collection procedures and forms including health certificates, import permits, specimen submission forms, inspection reports, cooperative and trust fund agreements, and certification statements.

APHIS is asking OMB to approve, for an additional 3 years, the information collection activities associated with its efforts to safeguard the health of the U.S. livestock and poultry populations.

**2. Indicate how, by whom, how frequently, and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.**

APHIS uses the following information collections to support the importation of animals and

poultry, animal and poultry products, certain animal embryos, semen, and zoological animals:

**90-day Written Bird Possession Statement – Canada (Individual) (9 CFR 93.101(c)(1))**

The owner of a pet bird, before bringing the bird into the United States from Canada, must state in writing that the bird has been in his or her possession for a minimum of 90 days before the date of importation, and that during this time, the bird did not come into contact with any poultry or other birds. This helps ensure that pet birds brought in from Canada are healthy and do not pose a disease risk to the poultry population of the United States.

**Recordkeeping – Identification Marks on Imported Animals (Individual/Business/Farms) (9 CFR 93.101 et seq.)**

The foreign producer (owner or operator of farms as well as individuals and households) is responsible for providing and keeping any required identification records. Officials in exporting countries who certify these records (when required under APHIS' regulations to do so on an export certificate) may also be responsible for verifying or for otherwise keeping records of animal identifications provided to APHIS. The foreign government determines the length of time to maintain such records.

APHIS uses the types of identification it collects to identify country of origin, correlate testing and certification statements required for import, and to trace animals.

**Agreement of Confinement in Personal Possession Declaration and Affirmation Under Oath (VS 17-8) (Individuals) (9 CFR 93.101, 9 CFR 93.201)**

When bird owners return to the United States from specified countries with a U.S.-origin bird with the original health certificate, APHIS requires them to agree, in writing, that they will keep the bird in their homes for 30 days (a home quarantine, which is supervised by APHIS personnel). The bird must be maintained separate and apart from all poultry and other birds at the address where they are to be held and made available for health inspection and testing by APHIS inspectors upon request until released at the end of the quarantine by an APHIS inspector. This helps ensure that the bird, if it is carrying a disease acquired in a foreign country, will not pose a disease threat to the U.S. poultry population.

**Declaration of Importation of Animals, Animal Semen, Embryos, Birds, Poultry, and Eggs for Hatching (VS 17-29) (Business/Farms/Individuals) (9 CFR 93.301, 9 CFR 93.405, 9 CFR 93.407, 9 CFR 93.424, 9 CFR 93.505, 9 CFR 93.914)**

By filling out this form, which is collected by (or provided by APHIS to) U.S. Customs officials, importers declare what they are importing into the United States; namely, animals or animal germplasm (including eggs for hatching). The information requested on the form includes:

- The port of arrival;
- The date of arrival;
- Any import permit numbers;
- A listing of the country of origin health certificate;

- The port of embarkation;
- Carrier and vessel or flight number;
- The name and address of the importer;
- The name and address of the export broker;
- A description of the imported animal or item, including number, common name, sex, and the purpose for importation; and
- The name and address of the destination after release.

The use of this form alerts APHIS that certain animals or germplasm will be entering the United States and helps APHIS prevent or mitigate the entry of foreign animal diseases.

In addition, imported fish must be accompanied by a Customs declaration under APHIS import requirements. Those requirements can be found at 9 CFR 93.914. They include accompaniment by a health certificate from the exporting country's competent authority demonstrating freedom from SVC according to specified testing and a visual inspection 72 hours before export. Animals are also expected to be transported in new containers or containers properly cleaned and disinfected to avoid transfer of SVC. These activities are documented on the VS 17-29, which is completed by importers and is submitted to the Customs and Border Protection (CBP) officer.

APHIS reviews the information included in the documents but does not process these documents. APHIS and CBP use this form to identify the quantity of fish, livestock, semen, or embryos. This form also lists the final destination of the commodity. This information is important for traceback purposes in the event of disease outbreak and for statistical analysis.

**Owner or Manager and Country of Export Quarterly Submission of Registers (9 CFR 93.101(b)(3) (vi)**

APHIS requires operators of a foreign ratite farm (individuals or households) to submit their daily registers to the appropriate government office in that country, which then submits the registers to APHIS (also referenced in the daily registry entry, above). This provides APHIS with information about the inventory of the farm. If these registers were not submitted to APHIS, APHIS would have no way of knowing if ratites or ratite eggs were being smuggled onto the farm.

**Daily Register and Recordkeeping for Owner or Manager for Ratites and Hatching Eggs (Business/Individuals) (9 CFR 93.101(b)(3)(iv), (v), and (vi)**

APHIS requires the operator of a foreign ratite farm to record and maintain records of the inventory of ratite eggs and ratites and their identification on the farm so APHIS knows exactly how many ratites and eggs are on the farm. The owner or manager of the premises submits a copy of the registers to the national veterinary service of the region of export quarterly; the region of export uses this information to maintain a registry of premises that wish to export ratites or hatching eggs of ratites to the United States. The registry must list each ratite according to the microchip number required under 9 CFR 93.101(b)(3)(ii). The foreign government determines the length of time to maintain the records. This information helps ensure that ratites in the foreign country have not been exposed to ratites of a different health status before export to the United States.

**Recordkeeping - National Exporting Country Registers and Maintenance of Current Production Records, Additions to Such Premises, and Ceiling Limitations (Business, Foreign Government) (9 CFR 93.101(b)(3)(vi) and (vii))**

APHIS requires foreign veterinary officials to receive and maintain the registers from the operators of ratite farms. This assures APHIS that each farm is keeping accurate records concerning its inventory and the identification of its ratites (by matching identification numbers). This also gives APHIS information concerning the facility's adherence to export ceiling limitation requirements and whether any additions to the facility are planned. The foreign government determines the length of time to maintain the records. This information helps ensure ratites in the foreign country have not been exposed to ratites of a different health status before export to the United States.

**Request for Hearing for Withdrawal of an Import Permit for Ratites or Ratite Hatching Eggs (Business) (93 CFR 103(a)(2)(D)(vii))**

If APHIS withdraws a permit to import ratites or ratite hatching eggs because of disease concerns or for other reasons, the importer has the right to request a hearing in writing to determine just cause for the permit's withdrawal. This procedure allows the importer to challenge the APHIS decision to withdraw the permit.

**Random Inspections of Ratite Farms per Breeding Season of Premises for Required Identification and Recording on Quarterly Report of Registers (Foreign Government) (93.101(b)(3)(ix); 93.103(a)(2)(iv))**

APHIS requires full-time salaried veterinary officers of the national government to inspect ratite farms quarterly to see if the farms meet APHIS recordkeeping and identification guidelines. These veterinarians record whether all ratites and hatching eggs are properly identified. This process ensures APHIS that farms are keeping accurate inventory records and that only ratites or ratite eggs of known health status reside at the exporting facility.

**Cooperative Agreement and Trust Fund for Privately Owned Bird or Poultry Quarantine Facilities (includes providing a list of current employees to port veterinarian, signed statement from each designated employee, written instructions to monitoring agency, telephone numbers of cooperators, written request for accounting of funds, and written termination) (Business) (9 CFR 93.106(a), (c), (c)(5)(i)-(iii))**

An operator seeking APHIS approval to establish or maintain a privately owned bird or poultry quarantine facility completes the cooperative agreement and sends it to APHIS. APHIS does not have a form for the cooperative agreement but has a suggested template, a copy of which is provided. The agreement sets forth the agreed-on actions of the operator, designated as the cooperator, and APHIS. Operators of currently approved facilities that undergo a change in location or ownership must also submit this information to APHIS. This allows APHIS to review the conditions under which the facility was approved, and to adjust its records or re-inspect the facility if necessary. This documentation helps APHIS monitor these facilities and prevent the introduction of poultry diseases into the United States. The cooperative agreement includes an equal opportunity statement, where the operator agrees to not discriminate, send statements to bargaining units advising of the equal opportunity commitments, and comply with all provisions

of Executive Order No. 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor. The operator must also certify that their facility is not segregated.

**Daily Log for Bird Quarantine Facility and Recordkeeping for Identification Record for Birds or Poultry (VS 17-12) (Business) (9 CFR 93.106(c)(2)(iii))**

APHIS requires the operator of a privately owned bird or poultry quarantine facility keep this daily log (or an alternative record, as approved by the APHIS personnel monitoring the facility) and identification record for 12 months. The log must be for each lot of birds, recording such information as the general condition of the birds each day, source of origin of the birds in the lot, total number of birds in the lot when imported, number of dead birds when the lot arrived, the date the lot was placed into the facility, the number of deaths each day in the lot during the quarantine period, necropsy results and laboratory findings on birds that died during the quarantine, date of prescribed tests and results, Department import permit numbers of each lot, date lot was removed from the facility, and any other observations pertinent to the general health of the birds in the lot. This keeps APHIS informed of which birds are entering the facility. Only birds listed on a specific import permit (or permits) issued by APHIS can enter these facilities during an applicable period of quarantine. The log and identification record helps APHIS protect the U.S. poultry population from foreign disease.

**Additional Requirements for the Quarantine of Birds or Poultry (including some hatching eggs) (Business) (9 CFR 93.106(c)(4))**

APHIS reserves the right to impose additional requirements concerning the quarantining of birds or poultry (including hatching eggs from certain regions of the world) if APHIS determines it is necessary to prevent the escape of poultry disease agents from quarantine facilities. Imposing such requirements (such as additional length of quarantine, testing, cleaning/disinfection or sanitation requirements) may require the facility operator to sign or submit various kinds of documents in connection with the additional requirements.

**Application for Import or In-Transit Permit (for Live Animals, Animal Semen, Animal Embryos, Birds, Poultry, or Hatching Eggs) (VS 17-129) (Business) (9 CFR 93.103(a)(1) et seq.)**

Anyone required by APHIS to have an import or in-transit permit must submit a VS 17-129, "Application for Import or In-Transit Permit (Animals, Animal Semen, Animal Embryos, Birds, Poultry, and Hatching Eggs)," to APHIS. The form is also required for the importation of horses from regions affected with contagious equine metritis and for horses imported from Central America and the West Indies (9 CFR 93.304 and 9 CFR 93.319, respectively). The importer must describe the type, number, and identification of the animals or products to be exported.

He/she must also list the country of origin, intended date and location of arrival, routes of travel, and destination of the animals or products. The permit can only be used for the animals listed on the application. APHIS uses this information to track, identify, and monitor animals and products entering the United States and to safeguard U.S. livestock. The form is not required for the importation of dogs.

APHIS uses the information in the permit application to issue a VS Form 17-135, U.S. Permit

to Import, to the importer, which includes statements of import requirements with which the importer must comply to import the animals specified. APHIS completes VS Form 17-135 to eliminate burden on the public. The issued permit is valid for 14 days for horses, ruminants, and swine.

In addition, import permits are required of all private and commercial importers of live SVC-susceptible finfish species or their gametes. The information contained in these permit applications is provided by U.S. importers, collected by APHIS, and reviewed by APHIS national aquaculture program coordinators. Program coordinators determine that imported consignments of live SVC-susceptible finfish and their gametes meet acceptable criteria for importation. Among other criteria, the fish must originate from SVC-free territories. Supplemental information includes the names of the exporter and importer; names and addresses of the exporting and importing facilities, if different; the species being imported; the port of entry; the shipping and arrival dates; the means of conveyance to the United States; the route of travel, including all carrier stops; and the location where the finfish or their gametes will be kept. Copies of issued permits are also collected and reviewed by VS inspectors at the time of importation.

**Request Space at USDA-Operated Quarantine Facilities (Business) (9 CFR 93.301(a) et. seq.)**

Before APHIS can quarantine animals at USDA-operated quarantine facilities, the importer reserves space at a specified location by calling the VS Animal Import Center. The importer/broker can find the contact information online within our new equine website after choosing a country of origin for the horse. See <https://www.aphis.usda.gov/importexport/equine>.

APHIS may also reserve space as part of an overall permit process (including submission of a VS 17-129 form). Animals requiring quarantine include horses, ruminants, swine, wild ruminants and wild swine intended for exhibition in a zoological park, birds, and poultry. Requests may be submitted in writing via mail, email or fax to the APHIS-approved quarantine facility. Requests include dates, times of arrival, number and species of animals, and form of payment for quarantine services. This allows APHIS to ensure adequate space will be available to accommodate the import quarantine requests at APHIS-approved quarantine facilities.

**Export Health Certificates (Foreign Governments) (9 CFR 93.104(a) et. seq.)**

Foreign veterinary authorities complete any export health certificates required by APHIS as written proof that competent veterinary authorities have examined and tested, if required, the animals, eggs, or germplasm, and that the commodities meet APHIS' import requirements. An affidavit or certificate from the owner or importer stating animals have been in the country for 60 days before shipment may be included as part of the foreign health certificate. APHIS requires export health certificates to ensure that animals and animal products required by APHIS' regulations to be accompanied by a health or other export certificate, as well as any animals or animal products imported under any special conditions (permit or protocol), are of an acceptable health status.

For aquaculture animals, health certificates issued by the exporting country's competent authority are collected and reviewed by APHIS staff at the port of entry to determine that imported consignments of live SVC-susceptible finfish and their gametes meet the minimally acceptable health status criteria contained in the CFR. These requirements are more clearly spelled out in the descriptions of VS Form 17-29 and the Cleaning and Disinfection Certificate.

In the case of cattle exported from Mexico, the Certificado Zoosanitario para la Exportación de Animales is filled out electronically by veterinarians approved by Mexico's National Animal Health Service and used by APHIS to evaluate import requests. The certificate must indicate:

- That the ruminants have been kept in that region during the last 60 days immediately preceding the date of shipment to the United States, and that during this time the region has been entirely free from foot-and-mouth disease, rinderpest, contagious pleuropneumonia, and surra;
- That the ruminants are not in quarantine in the region of origin; and
- That the ruminants have been thoroughly examined and found free of ectoparasites including ticks.

**Letter of Credit, Cashier's Check, Certified Check, or Money Order (Business) (9 CFR 93.103(a)(3)(ii) et. seq.)**

To reserve and use space at USDA-operated quarantine facilities, the importer or agent gives a financial instrument (letter of credit, cashier's check, certified check, or money order) to APHIS in person, through the mail, or by courier.

**Written Notice of Cancellation from Importer (Business) (9 CFR 93.103(a)(3)(iv)(A) et seq.**

If an importer reserves space at a quarantine facility and then decides to cancel the reservation, APHIS needs to know of the change to make the space available for someone else. Receiving this written notice, a copy of which may also be submitted by email, enables APHIS to effectively manage the use of space at the quarantine facilities. The importer sends the written notice to the veterinarian in charge of the quarantine facility no later than 15 days prior to the beginning of the time of importation.

**Daily Record of Horse's Activities (Individual) (9 CFR 301(d))**

The trainer or horse owner in an exporting country APHIS considers affected with contagious equine metritis (CEM) must keep a daily record of the horse's activities and submit it to APHIS. This serves as confirmation that the horse has not been on breeding premises (i.e., used for breeding purposes) and has been involved only in training activities. If the animal is used for breeding purposes in a CEM-affected country before entering the United States, it may contract CEM and present a disease threat to the U.S. equine population. A salaried veterinary officer of the national government of the region of origin must verify this information on the import health certificate.

**Recordkeeping - Horses for Association and Trainer (Business) (9 CFR 93.301(d)(ii)(A-C))**

A USDA-approved recordkeeping association in an exporting country maintains the daily record of a horse's activities. This record provides that the animal was not used for breeding



purposes in other countries. If the animal is used for breeding purposes before entering the United States, it may contract CEM and present a disease threat to the U.S. equine population. A salaried veterinary officer of the national government of the region of origin is required to verify this information on the import health certificate.

**Written Request to Change Horse’s Itinerary or Method of Transport (Individual) (9 CFR 301(f)(6))**

A horse of foreign origin entering the United States must have a definite itinerary and undergo close monitoring if APHIS-required testing for CEM has not been completed. If the horse’s itinerary or methods of transport need to be changed, the owner or manager of the horse submits a written request to change the itinerary. APHIS needs to know the precise itinerary under which a horse will travel before arriving in the United States to evaluate potential disease risk to U.S. horses. Requests for change must be submitted to APHIS no less than 15 days before the proposed date of the change.

**Appeal or Hearing of Import Permit Withdrawal (Individual) (9 CFR 301(a) et seq.)**

If APHIS cancels a permit to import a horse, the importer, owner, or agent can appeal the decision in writing. This appeal process gives such persons a way to challenge the decision to cancel the permit.

**Written Agreement with State for CEM (Monitoring by State) (9 CFR 301(h)(1))**

States perform CEM quarantines under APHIS guidance designed to prevent outbreaks and spread of CEM. State veterinary authorities must enter into a written agreement with APHIS, which serves as the State’s obligation to abide by APHIS guidelines and enforce its own laws and regulations to control CEM when quarantining horses for CEM.

**Opportunity to Present View on Suspension (State) (93.301(h)(5))**

If APHIS opts to suspend a State’s approval to receive horses for CEM quarantine, the State veterinary authorities can appeal the suspension in writing. A copy of the appeal may also be submitted by email. This appeal process gives States a way to challenge the APHIS decision.

**Zoological Park Inspection Report (for ruminants and swine imported from foot-and-mouth disease (FMD) countries or regions) (VS 17-65A or equivalent) (Business) 9 CFR 93.404(c)(2), 9 CFR 93.504(c)(2)**

APHIS inspects individual USDA-approved zoos semiannually to ensure they are maintaining specific standards relative to the housing and care of imported swine and ruminants that may be held at the facility as an entry or post-entry condition from countries or regions with FMD. APHIS requires the zoo operator to use an APHIS-accredited veterinarian to conduct this monitoring. This veterinarian must make periodic inspections of the swine or ruminants to ascertain their health status, and must alert APHIS, in writing (a copy of which may also be submitted by email), of any suspected illness in the animals, or of their death. APHIS personnel are at the zoo once a year; an onsite veterinarian continuously monitors the animals and their health status.

The form requires the following information:

- The name and mailing address of the zoo;
- The name of the zoo director;
- A check of the zoo's administration status (Federal, State, county, municipal, or private);
- The name and address of the zoo veterinarian;
- The name and address of the official responsible for the zoo (if different than the zoo director);
- The status of veterinary service (full time, part time, or on call)
- The city, township, and county where the zoo is located;
- The total zoo acreage;
- The approximate zoo acreage used for restricted ruminants and swine;
- The number of restricted animals currently on zoo property;
- The number of other ruminants or swine currently held with restricted animals;
- The approximate total number of animals on zoo property (ruminants/swine/equine);
- A checklist, listing the following:
  - Whether the zoo is completely enclosed by a fence that is an adequate livestock barrier;
  - Whether restricted animal exhibits are isolated from public contact;
  - Whether restricted animals are isolated from domestic livestock;
  - Whether postmortem examinations are performed on all restricted animals, and whether on zoo grounds or elsewhere;
  - Whether postmortem examinations are performed on all other animals, and whether on zoo grounds or elsewhere;
  - Whether records are kept of all post mortem findings;
  - Whether manure, unused feed, or other waste from restricted animals is disposed of within zoo grounds, and if so, how (composted at least 6 months, incinerated, or buried)
  - Whether restricted animals' carcasses are disposed of within the zoo grounds and if so, incinerated or buried;
  - If there is an adequate area of zoo property where restricted animals and manure could be buried;
  - If there is an adequate area of zoo property where restricted manure could be composted for 6 months;
  - Whether the zoo has an incinerator, and if so, whether it handles restricted animal wastes and carcasses;
  - Whether the zoo operates without any domestic livestock being used or exhibited on zoo property;
  - Whether surface runoff flows or does not flow onto areas outside zoo property that could hold domestic livestock; and
  - If all forms in the 17-65 series are in order and up to date.

The form includes a space for a sketch of the zoo grounds and a table where the inspector can record the restricted animals by tattoo, species, and status (present at zoo, transferred, or died).

**Agreement for the Importation, Quarantine, and Exhibition of Certain Wild Ruminants**

**and Wild Swine (imported from FMD countries or regions) (VS 17-65B) (Business) 9 CFR 93.404(c)(4), 9 CFR 93504(c)(4)**

Zoo authorities importing wild ruminants and swine from FMD countries or regions complete this agreement, obligating them to abide by APHIS guidelines when maintaining these animals in the United States. The authorities agree to hold the animals in isolation for 60 days at a port of embarkation in the country of origin APHIS has approved as having sufficient isolation facilities and veterinary supervision, to see if the animals have any FMD symptoms; to ship the animals directly to the AIC in New York; that all embarking animals be covered by permit; that the animals be quarantined a further 30 days at the New York AIC; and that on release from quarantine they travel directly to the zoo and not be sold, exchanged, or removed from the zoo without APHIS permission. This aids in ensuring these animals do not pose a disease risk to the ruminant and swine populations of the United States. APHIS requires pre-approval and inspection of the proposed destination facility and continuing agreement for quarantine and APHIS inspections of the specified animals. The form requires the following information:

- The name and mailing address of the zoo;
- The name of the zoo director; and
- Identification of the animal(s) to be imported

**Report of Zoo Animals with Suspected Cases of Contagious or Communicable Diseases (VS 17-65C) (Business) 9 CFR 93.404(c)(4), 9 CFR 93.504(c)(4)**

If a zoo animal is suspected of disease or dies, zoo authorities notify APHIS using the VS 17-65C or directly by phone or email so that APHIS can determine whether the animal has or had a condition that could threaten U.S. livestock, equids, or poultry, or human populations. The form requires the following information:

- The permit number;
- The animals' country of origin;
- The port of embarkation;
- Whether any of the animals has a tattoo, and a description if so;
- The animals' species, sex, and estimated year of birth;
- The carrier shipping the animals;
- The importer's name and mailing address;
- The port and date of entry;
- The termination date of quarantine and the date the animals were removed from the quarantine station;
- The name and address of the destination zoo; and
- The date of arrival and location in the park.

APHIS requires the post-entry health status of the imported animal, any specific disease testing occurring after arrival and necropsy records to be reported. If zoo authorities did not alert APHIS, APHIS' ability to protect the United States from disease incursion could be compromised.

**Agreement for Transfer of Certain Wild Animals Imported from FMD Countries or**

**Regions (VS 17-65D) (Business) 9 CFR 93.404(c)(4), 9 CFR 93.504(c)(4)**

The VS 17-65D has zoo authorities provide the following information when transferring an animal imported under any applicable entry or post-entry conditions required by APHIS to another location: Descriptions of the animals to be transferred; the name and location of the transferring zoo; and the name and location of the receiving zoo. A copy of the 17-65C travels with the animals. APHIS needs to monitor such transfers, as some zoo animals may present a possible long-term disease threat to the U.S. animal population. APHIS requires prior approval for the receiving facility to transfer the specific imported wild ruminant or swine, and inspection and approval of the receiving facility.

**Application for Approval of Quarantine or Holding Facility (Letter) (Business, Individuals) (9 CFR 93.301(b)(1)(ii), (b)(2); 9 CFR 412(a), (d)(1)(i)(B))**

Occasionally foreign animals transiting the United States to a third country must be temporarily offloaded (from a plane or truck) into a holding facility before reaching their final destination. Certain animals entering the United States may also be eligible to be quarantined at a privately-owned quarantine facility. In either case, the transporter must request, in writing, that APHIS approve the facility to be used to temporarily house or quarantine these animals. APHIS requires an application for approval to know when, where, and for how long these animals are being housed and to evaluate the disease risk they present to the United States. For avian importers there is no form, but importers must provide the following information to the VS National Director, Animal Import Centers (NDAIC):

- The applicant or facility's full name and mailing address.
- The facility owner's name and address, if different.
- The facility operator's name and address, if different.
- The facility's street address (or other information that identifies the physical location of the facility).
- Blueprints or detailed drawings of the proposed facility.
- A description of the financial resources available for the construction, operation, and maintenance of the facility.
- The average and maximum number of avian animals, or eggs for hatching, to be quarantined.
- Expected frequency of shipments to the quarantine facility.
- Plans for handling, care, and feeding of the avian animals from the port of entry to the quarantine facility. Plans should also include the typical expected transportation routes and types.
- A contingency plan for the necropsy and disposal of avian animals or eggs for hatching held in the facility that may not hatch or die after hatching.

Equine importers enter into a cooperative/compliance service agreement (copy provided; description below).

**Opportunity for Hearing to Present Views on Facility Withdrawal and Written Withdrawal by Facility Operator (Business) (9 CFR 93.103(a)(2)(vii), 9 CFR 93.301(h)(5), 9**

**CFR.304(a)(2)**

If APHIS opts to withdraw approval of a facility (such as a privately owned quarantine facility), the facility operator has the right to appeal the APHIS decision. The appeal must be in writing. This process gives facility operators a way of challenging the APHIS decision. Facility operators must also alert APHIS, in writing, if they intend to cease operations and voluntarily relinquish operating approval. This allows APHIS to keep accurate records.

**Trust Fund or Compliance Agreement for Privately Owned Equine Quarantine Facilities (Business) (9 CFR 93.301(f)(12), 9 CFR 93.304(a)(1)(iii)(J))**

Operators of privately owned equine quarantine facilities must sign this agreement to allow USDA personnel to inspect and monitor horses or other equines imported to the facility, and to pay USDA for these services. APHIS inspects and monitors the horses to ensure they are healthy and do not pose a disease risk to the U.S. equine population. Among other things, APHIS ensures the horses are not being bred during quarantine and possibly transmitting CEM to U.S. horses.

**Daily Log of Privately Owned Quarantine Facility for Ruminants, Swine, and Equids and (Business) (9 CFR 93.412(d)(4)(vi))**

When ruminants, swine, or equines are in any quarantine required by APHIS as an entry or post-entry condition, APHIS needs to ensure that only specified individuals are allowed entry into the quarantine facility. The daily log that visitors must sign allows APHIS to enforce this.

The log must include:

- The entry and exit of all persons entering and leaving the facility. The operator must retain the daily log, along with any logs kept by APHIS and deposited with the operator, for at least 2 years after the date the animals leave quarantine and must make such logs available to APHIS representatives on request.
- An updated list of all personnel who have access to the facility. The list must include the names, current residential addresses, and identification numbers of each person, and must be updated with any changes or additions in advance of such person having access to the quarantine facility.
- Signed statements from all personnel having access to the facility in which the person agrees to comply with all applicable APHIS regulations, all terms of any compliance agreements, and any related instructions from APHIS representatives pertaining to quarantine operations, including contact with animals both inside and outside the facility.
- Specified access to the facility premises, as well as inside the quarantine area, is granted only to APHIS representatives and other persons directly authorized to work at the facility. All other persons are prohibited from the premises unless specifically granted access by an APHIS representative. Any visitors granted access must be accompanied at all times by an APHIS representative while on the premises.
- All visitors, except veterinary practitioners who enter the facility to provide emergency care, must sign an affidavit before entering the quarantine area, if determined necessary by the overseeing APHIS representative, declaring that they will not have contact with any susceptible animals outside the facility for at least 5 days after contact with the ruminants in quarantine, or for a period of time determined by the overseeing APHIS

representative as necessary to prevent the transmission of communicable livestock diseases.

This documentation helps APHIS maintain the integrity of the quarantine. Unauthorized visitors entering and leaving the quarantine facility could pose a disease risk to the U.S. livestock population.

**Recordkeeping – Daily Log of Privately Owned Quarantine Facility for Ruminants, Swine, and Equids (Business) (9 CFR 93.412(d)(4)(vi))**

When ruminants, swine, or equines are in any quarantine required by APHIS as an entry or post-entry condition, APHIS needs to ensure that only specified individuals are allowed into the quarantine facility. The daily log these individuals must sign allows APHIS to enforce this. This requirement helps APHIS maintain the integrity of the quarantine. Unauthorized visitors entering and leaving the quarantine could pose a disease risk to the U.S. livestock population. APHIS requires the facility operator to keep the log for 12 months after the animals leave the quarantine or as long as required by any individual agreement for record keeping, whichever is longer. If imported animals become ill or dies after leaving quarantine, the log would help APHIS investigate the incident.

**Application for Approval of Quarantine Facilities and Request for Transfer of Operations to Another Facility for Birds or Poultry (VS 17-11) (Business, Individual) (9 CFR 93.103(a)(1), 9 CFR 93.210(a))**

If an individual wants to operate a bird or poultry quarantine facility or move an approved bird or poultry quarantine facility to another location, the individual must submit this application to APHIS to have the facility approved or re-approved. These facilities must meet strict biosecurity standards. This application helps APHIS ensure bird or poultry quarantine facilities meet APHIS standards and do not pose a disease risk to the poultry population of the United States.

**Written Request for Inspection, Other Services, and Dipping (VS 17-32, Application for Inspection and Dipping) (Business) (9 CFR 93.210(a) et seq.)**

When importers wish to import ruminants, horses, or other animals into the United States, they must submit this application asking USDA personnel to inspect the animals at the border. APHIS evaluates the animals to ensure they are healthy and do not pose a disease risk to U.S. animals. In addition, certain types of animals from Mexico are also required to undergo a treatment for ectoparasites at border port facilities. This application and procedure lets APHIS know when the animals are expected so personnel will be available to inspect the animals (and treat them for ectoparasites, if applicable). This avoids delays for the importers and helps APHIS conduct inspections or treatments in a timely and efficient manner. This document also gives consent for the dipping of cattle, which removes liability on the USDA if the animals are injured or die during dipping.

**Importer or Agent Certification Free of Fever Tick (Letter) (Foreign Government) (9 CFR 93.427(b))**

Mexican veterinary officials submit letters to APHIS certifying cattle from Mexico (or other animals covered under applicable parts of the APHIS regulations) destined for import into the

United States have been inspected, found free of fever and other ticks, and dipped. This helps APHIS ensure these regulated animals from Mexico are free of the different life stages of ticks and the diseases ticks may carry before they enter the United States.

**72- Hour Prior Arrival Notice (Fish, Hedgehogs, Tenrecs, Elephants, Hippos, Rhinos, and Tapirs) (Business) (9 CFR 93.704(a), 9 CFR 93.802(a), 9 CFR 93.906(a))**

Importers must alert an APHIS inspector at the port of entry stipulated in an APHIS permit for their importation at least 72 hours before hedgehogs, tenrecs, elephants, hippos, rhinos, or tapirs arrive in the United States. The importer can give 72-hour notice in writing, by telephone, or by fax for each shipment of animals intended for import. The 72-hour notice allows APHIS time to plan for the animals' arrival and to arrange for inspection. On arrival in the United States, the shipment is inspected by an APHIS veterinary medical officer to ensure the necessary documentation is presented and the animals are healthy.

**Owner Affidavit for Sheep and Goats from Scrapie Regions (Business) (93.435(d))**

When sheep or goats are imported from countries or regions known to be affected with scrapie, the importer must supply APHIS with an affidavit when an import application is submitted, stating that these animals originated from a flock or herd in the region of origin that participates in a program determined by APHIS to be equivalent to the Voluntary Scrapie Flock Certification Program; and that the flock or herd has been determined by APHIS to be at a level equivalent to "Certified" in the Voluntary Scrapie Flock Certification Program.

**Request for Recognition of the Animal Health Status of a Region (Foreign Government) (9 CFR 92.2(a))**

When the veterinary authorities of a foreign region wish to apply for recognition of the animal health status of their region with regard to a disease or diseases, they must communicate this desire to APHIS via a letter, a copy of which may be emailed. This request letter follows no particular format and may contain as much information as the sender feels necessary.

**Application for Recognition of the Animal Health Status of a Region (Foreign Government) (9 CFR 92.2(b))**

In addition to the request letter, the region's veterinary authorities must submit certain information about the region as outlined in 9 CFR 92.2(b), preferably in the form of a questionnaire available on the APHIS Web site ([https://www.aphis.usda.gov/import\\_export/animals/downloads/info\\_request\\_recognition\\_region.pdf](https://www.aphis.usda.gov/import_export/animals/downloads/info_request_recognition_region.pdf)). If APHIS receives a request letter without this information, the Agency will provide the questionnaire to the requesting entity and indicate that the information is necessary to initiate an evaluation. The region's veterinary authorities must complete and return the questionnaire to APHIS.

The questionnaire is designed to give APHIS specific information necessary to accurately evaluate the animal health status of a region and the associated risk of opening U.S. markets to animal commodities from that region. The questionnaire solicits information regarding the occurrence of and surveillance for the disease or diseases under evaluation, veterinary controls and oversight, disease history and vaccination practices, livestock demographics and traceability,

epidemiological separation from potential sources of infection, diagnostic laboratory capabilities, and emergency preparedness and response capacity.

In many instances, the information requested already exists and must simply be entered into the questionnaire format. However, an additional burden is incurred when the respondents must translate information, such as official acts or regulations, into English.

**Application for Recognition of a Region as Historically Free of a Disease (Foreign Government) (9 CFR 92.2(c))**

The veterinary authorities of a region may elect to apply for historically-free status, if the region meets certain criteria specified in 9 CFR 92.2(c). The veterinary authorities submit a request letter as described above and also information about the region, preferably in the form of a questionnaire available on the APHIS Web site ([www.aphis.usda.gov/import\\_export/animals/downloads/info\\_req\\_recognition\\_historically-free\\_region.pdf](http://www.aphis.usda.gov/import_export/animals/downloads/info_req_recognition_historically-free_region.pdf)). If APHIS receives a request letter without this information, the Agency will provide the questionnaire to the requesting entity and indicate the information is necessary to initiate an evaluation. The region's veterinary authorities must complete and return the questionnaire to APHIS.

The questionnaire is designed to provide APHIS with specific information necessary to accurately evaluate the region for historically-free status and assess the risk of opening U.S. markets to animal commodities from that region. The questionnaire solicits information regarding the occurrence of and surveillance for the disease or diseases under evaluation, veterinary controls and oversight, disease history and vaccination practices, the measures in place for detection and notification of disease occurrence, and barriers to disease introduction.

In many instances, the information requested already exists and must simply be entered into the questionnaire format. However, an additional burden is incurred when the respondents must translate information, such as official acts or regulations, into English.

**Request for Additional Information about a Region (Foreign Government) (9 CFR 92.2(b) and (g))**

In some instances, APHIS may determine that the initial information package is incomplete or that the Agency needs more information than was originally requested. If this is the case, APHIS will ask the region to provide additional information. No official form is involved in this collection process; in many cases, the information already exists and will simply need to be sent to APHIS.

**Appeal Classification of Animal Health Status (Foreign Government)**

If APHIS denies a region's request to be classified as a certain animal health status, the region can appeal that decision via letter and include additional information that might cause the Agency to reevaluate its decision. No official form is involved in this collection process.

**Written Recommendations Have Been Implemented by the Region (Foreign Government)**

In some cases, APHIS gives a region written recommendations to help the region attain APHIS



recognition of the animal health status it desires. Before proceeding with the evaluation, APHIS will need documentation from the region that the recommendations have been implemented. No official form is involved in this collection process.

**Certification for Equids that Spend Less than 60 Days in a Region (Business) (9 CFR 93.314(b))**

If a horse or other equid is presented for import from a region where it has been for less than 60 days, the importer must ensure that the equid is accompanied by a certification from each region in which it has been during the 60 days immediately before its shipment to the United States. This helps ensure that the equid has not been exposed to a communicable disease such as African horse sickness.

**Permanent Electronic Identification Compatible Reader for Horses (Business, Individual, Foreign Government, State, Not-For-Profit) (9 CFR 93.304(a)(1)(iii)(B))**

If a horse has permanent electronic identification, the horse must be accompanied by a compatible reader to confirm the animal's identification. As a practical matter, most of APHIS' Animal Import Centers and land border ports have microchip scanners as most horses arriving by air transport have microchips (although not many arriving by other methods have chips). The ability to scan helps APHIS track these horses throughout their stay in the United States and make sure they do not join the domestic U.S. horse population without first undergoing CEM quarantine and testing for permanent entry. In addition, horses without white markings or other identifying physical features are easier to identify via a unique electronic identification. Where needed, the reader is supplied by businesses, hobby farmers, and non-profits. States and foreign governments use this to verify animal identification.

**Photographs for Identification of Horses (Business, Individual, Foreign Government, State, Not-For-Profit) (9 CFR 93.304(a)(iii)(C))**

APHIS requires that importers and brokers requesting import permits use photographs (head and lateral views) sufficient to identify each horse on an electronic medium approved by APHIS. Horses that do not have permanent electronic identification will need an additional form of identification such as photographs. Photographs are especially helpful because it can still be difficult to identify horses based on only written descriptions and their markings. The photographs are supplied by businesses, hobby farmers and non-profits. States and foreign governments use this to verify animal identification.

**Written Plan for Medical Treatment of Horses (Business) (9 CFR 93.301(f)(5)(xi))**

APHIS requires a written plan, completed by the importer, for managing sick or injured horses that includes:

- The name, address, and phone number of each accredited veterinarian who will provide contingency veterinary services in the United States;
- The name, address, and phone number of medical facilities to be used to diagnose or treat sick or injured horses while in the United States; and
- A plan to return sick or injured horses to performance condition.

This information is needed to adequately monitor the movement of horses imported under 9 CFR 93.301 et seq. and ensure that emergency health care is available to them. APHIS will need to inspect the facilities where horses will be hospitalized to ensure that adequate quarantine is available. Written plans for treatment are supplied by businesses.

**Statement/Certificate for Animals Entering the United States from Countries Affected with Screwworm (Foreign Government) (9 CFR 93.301(j)), 9 CFR 93.404(a), 9 CFR 93.505(a), 9 CFR 93.600(a)(1)**

Horses, ruminants, swine, and dogs entering the United States from regions where screwworm is known to exist must be accompanied by a certificate, issued, completed, and signed by a full-time salaried veterinary official of the exporting country. The certificate states that the animals have been thoroughly examined within 24 hours before shipment to the United States and that horses have been treated with ivermectin 3 to 5 days prior to the date of export to the United States, that any visible wounds have been treated with a solution of coumaphos dust, and that the horses or other animals appear to be free of screwworm. APHIS does not require the importer to maintain records of this form. The information helps APHIS safeguard U.S. livestock against screwworm infestation.

In the case of dogs that will be used for handling livestock, the certificate must also state that the dogs were tested and, if necessary, treated for cestodes (commonly found in dogs) within 5 days preceding shipment to the United States.

**Checklist for the Approval of Permanent, Privately Owned Equine Quarantine Facilities (Business, Individuals, and Not-for-Profits) (9 CFR 93.301(b)(1)(ii), 93.301(c)(1))**

APHIS has developed a checklist to assist APHIS personnel when inspecting permanent, privately owned equine quarantine facilities. This is part of the cooperative services agreement. The checklist outlines standards for construction, operations, and recordkeeping that must be identified for approval. The operator of the facility must provide information verbally about the facility to an APHIS employee for APHIS to complete the checklist and must also provide blueprints and a business plan for the anticipated volume of horses. Businesses, hobby farmers and non-profits submit this form.

**VS Form 10-4, Specimen Submission; VS Form I0-4A, Continuation Sheet for Specimen Submission (Business) (9 CFR 93.204, 93.304, 93.404, 93.504, 93.106)**

VS Forms 10-4 and 10-4A are completed by State veterinarians or other State representatives, accredited veterinarians, private laboratories, and research institutions. Authorized individuals complete the form using information obtained through discussions with the animal owners. This information identifies the individual animal from which specimens were taken, the animal's herd or flock, the type of specimen submitted, and the purpose for submitting the specimen. Without the information contained on this form, APHIS' National Veterinary Service Laboratories staff would not be able to identify or process the specimens sent for analysis. Additionally, if the information is not collected (or collected less frequently), APHIS would not have the critical information necessary to prevent foreign animal diseases from entering the United States.

**Notice of Arrival (Business and Individual)**

APHIS expects importers of regulated animals and products to notify CPB officials prior to or at the time of arrival of the shipment into the United States. To facilitate this, APHIS provides copies of import permits to officials at all border points, ports, and other points of entry. The officials can then check the permits against the information provided by the importer; the information provided should be the same as that on the permit application (see VS 17-129). The importer may provide the information via phone call, letter, or email. The information allows APHIS inspectors and CBP officers to identify and track shipments that are en route to the United States, and also to schedule inspections and treatments at the appropriate port of entry. Obtaining this information in a timely manner helps to avoid unexpected arrivals and significant delays to the clearance process. Inspecting and clearing agricultural cargo was previously performed by APHIS but is now performed by CBP Officers for Customs and Border Protection within the Department of Homeland Security (DHS), with the required APHIS information being transferred to APHIS electronically.

**Emergency Action Notification (EAN) PPO Form 523 (Business and Individual)**

APHIS requires that for certain consignments that fail to meet specific import requirements Customs and Border Protection (CBP) and/or APHIS must communicate a specific action concerning the consignment to the interested parties. The EAN specifies to the broker, shipper, market owner, or other stakeholder the reason or reasons why the consignment is being refused entry and provides basic explanations as to what action is necessary. The broker, shipper, market owner, and other stakeholder select and/or agree to the actions and signs. The paper version of this form, an equivalent CBP form (e.g. CBP Form 7512), or the electronically filed APHIS Core message set provides information that APHIS inspectors and CBP officers need to identify the consignment and the issues it may present.

**Application for Permit To: Import or Transport Controlled Material or Organisms or Vectors (VS 16-3) (Business)**

Importers of diagnostic specimens containing viable SVC virus must apply for a VS 16-3 Import Permit. Information contained in the application will be reviewed by APHIS to ensure that importers requesting such samples are approved to handle them in a Biosafety Level 2 facility.

Supplemental information includes the names of the exporter and importer; the means of conveyance to the United States; the port of entry; description of material, such as type and use of animal; treatment of material before import; and appropriate disposal of material.

Note: This permit is a new item added, previously approved under the 0579-0301 package.

**Refusal of Entry and Order to Dispose of Fish (VS 17-136) (Business) (9 CFR 93.906(b))**

Information for Form VS 17-136 is collected from shipping invoices, manifests, or from the public, if applicable (for example, fish imported as personal baggage). The form allows port veterinarians to notify shippers or intended recipients of SVC-susceptible species of fish that consignments have been refused entry to the United States under a number of possible refusal criteria. These criteria include incomplete, incorrect, or misleading import documentation, such as USDA-issued import permits or health certificates from exporting countries. The form also details and provides documentation for options for disposing of refused consignments, including re-export or destruction at the owner's expense. Copies of issued permits are retained

by port veterinarians as proof of notification and follow-through.

Note: This form is a new item added, previously approved under the 0579-0301 package.

**Recordkeeping (Business) (9 CFR 93.906(b))**

Importers must maintain records of purchases, sales, or transfers, and the identity and disposition of all handled SVC-susceptible finfish or gamete lots for 3 years. Records are kept so that if a disease outbreak occurs in imported fish, APHIS can trace the origin of the fish. The records also help APHIS meet trading partners' audit expectations.

Note: This is a new item added, previously approved under the 0579-0301 package.

**Cleaning and Disinfection Certificate (Foreign Government) (9 CFR 93.904 (c)(3))**

The exporting country's competent authority must document that cleaning and disinfection of shipment containers are sufficient to neutralize any SVC virus to which shipping containers may have been exposed. This documentation can be captured on the health certificate or in a separate cleaning and disinfection certificate. The cleaning and disinfection certificate accompanies the shipment to the U.S. port of entry.

Note: This certificate is a new item added, previously approved under the 0579-0301 package.

**Premises Information (Herd of Origin Certificate and Annex) (Business) (9 CFR 93.404)**

Import inspection applications must certify and include the address of the premises in Mexico of the herd of origin, including the Mexican State or its equivalent. The application must also list the nearest Mexican city or municipality. This form is completed by a national veterinary officer of Mexico and is submitted at the time of shipment. APHIS will also use this information to evaluate import requests.

Note: This permit is a new item added, previously approved under the 0579-0224 package.

**TB History Certification (Foreign Government) (9 CFR 93.406)**

Mexican cattle destined for the United States must be accompanied by a certificate that states that the cattle's herd of origin responded negatively to a whole-herd TB test. This certificate must be issued by a national veterinary officer from the Mexican region of origin. This information gives APHIS more information on the cattle's TB history.

Note: This certification is a new item added, previously approved under the 0579-0224 package.

**Dip Certificate (Constancia de Tratamiento Garrapaticida y Libre de Ectoparásitos) (9 CFR 93.427(b)(2)(iii))**

This form is completed by veterinarians approved by Mexico's National Animal Health Service. The form certifies that animals have been treated and inspected for ticks. It is also used by APHIS to evaluate import requests.

Note: This permit is a new item added, previously approved under the 0579-0224 package.

**Brand (Business) (9 CFR 93.427 (c)(1))**

Feeder cattle (steers and spayed heifers) and breeding cattle (intact cattle – males and females) from Mexico must be identified with a distinct, permanent, and legible "M" mark applied with a freeze brand, hot iron, or other method prior to arrival at a port of entry, unless the steer is imported for slaughter in accordance with 9 CFR 93.429.

### **On-hold Shipment Notification Application (VS 16-79)**

Importers of diagnostic specimens can apply for an on-hold shipment request in which APHIS reviews the application and supporting documentation to determine if a one-time release can be granted for a shipment; or refusal of the shipment at a U.S. port of entry. Information collected on the form includes the Customer and Border Control (CBP) specialist or USDA inspector's contact information, U.S. importer information, and a description of the material being detained at the port of entry. Supplemental documents required when submitting the application include a valid import permit application (VS 16-3), airway bill, invoice/manifest, foreign government certification, and other documents containing details for processing the materials.

### **Report of Entry and Shipment of Restricted Imported Animal Products or Byproducts (VS Form 16-78) (Individuals, Business, and Not for Profit) - 9 CFR 94.6(b)**

This form accompanies all restricted animal products moving from the port of entry to APHIS-approved establishments or APHIS-approved storage facilities. Part A of this form is completed by U.S. Government inspectors at the port of entry. Part B of this form is completed by approved establishments; that is, museums, educational institutions, or other establishments approved to receive bird or poultry carcasses or products for educational purposes. The establishments forward a copy of this form to the VS District Office. The completed form helps APHIS ensure that the imported restricted materials are stored and processed in accordance with the requirements of 9 CFR 94.6.

Establishments must provide the following information on VS Form 16-78: Date products or materials are received; the name of the approved establishment; whether the shipment is intact (if not an explanation must be given); date of treatment completion; whether the railroad car, truck, or other mode of transport has been disinfected; disinfectant used; method of treatment; disposition of refuse; and the name and signature of the establishment owner.

### **Certificate for Importing Sheep and Goat Semen from Canada (VS 17-139) (9 CFR 98.36, 98.34 and 98.35)**

APHIS asks that importers bringing in sheep and goat semen from Canada sign a certificate verifying the source and status of the donor animals. The certificate must state the name and address of the importer; the identity of the owner; whether the donor animals have ever been outside Canada and if so, why; the date of import; the destination; and a certification that no animals, semen, or germplasm imported from a country other than the United States into any herd where donor sheep or goats resided. If the importer is unable to so certify, he or she must provide the reason, including the dates of importation, the exporting countries, the quantity and types of germplasm, and the common name of the animal). The importer's signature further signifies that the information is true to the best of the importer's knowledge and belief and that Agriculture and Agri-Food Canada can verify the information provided on the form

### **Seals (Foreign Government) (9 CFR 93.427 (b)(1)(ii))**

If the cattle will transit through an area of Mexico APHIS has not determined to be free from fever ticks, they would have to be moved in a sealed means of conveyance. The seals would be applied by Mexican government officials and must remain intact throughout transit.

Note: This permit is a new item added, previously approved under the 0579-0425 package.

**3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also describe any consideration of using information technology to reduce burden.**

### **90-day Written Bird Possession Statement - Canada**

This is part of VS 17-8.

### **Agreement of Pet Bird Owner for Confinement in Personal Possession (Declaration and Affirmation Under Oath) (VS 17-8)**

This form may be uploaded by applicants through the Automated Commercial Environment (ACE) system or accessed through a VS electronic system such as Veterinary Services Process Streamlining if applicants have Level 2 eAuthentication. At this time only CPB has direct access to view ACE scans; VS IT specialists continue to work to make the system accessible to State partners and members of the public.

### **Import Permit to Transit Poultry, Hatching Eggs, or Birds, (VS 17-135A)**

This form may be uploaded into the ACE system through the Digital Imaging System. It also may be submitted in the VSPS system by users with Level 2 eAuthentication clearance. It is also available online in a pdf format or may be mailed or emailed to an applicant, through a telephone or email request to APHIS. If submitted via paper it must accompany the shipments.

### **Submission of Ratite Registers**

APHIS has not developed a database to collect this information electronically because the number of yearly submissions is low.

### **Declaration of Importation of Animals, Animal Semen, Embryos, Birds, Poultry, and Eggs for Hatching (VS 17-29)**

This form is available for use electronically via the ACE system, where a signed scanned document can be posted; if a paper copy is preferred, it can also be found on the APHIS website

(<http://www.aphis.usda.gov/wps/portal/footer/resources/manualsandguidelines>). Respondents may complete one page of the form electronically and print it to make additional copies.

VS 17-29 may be uploaded into ACE through the Digital Imaging System (DIS). It also may be submitted in the Veterinary Services Process Streamlining (VSPS) system, which is an electronic VS data entry system.

### **Request for Hearing for Withdrawal of an Import Permit for Ratites or Ratite Hatching Eggs**

There is no form; APHIS regulations specify that the importer write a letter for this purpose. There may be multiple reasons why a request can be made. Because each request may entail a unique situation, an electronic form cannot be created for this purpose.

### **Cooperative Agreement and Trust Fund for Privately Owned Bird or Poultry Quarantine Facilities**

Parts of this burden are electronic; much of it is completed by APHIS from information from the importer or exporter, and discussed at the time of signature.

### **Daily Log and Recordkeeping for Identification Record for Birds or Poultry (VS 17-12)**

APHIS requires this log be kept and maintained, but specifies no method for doing so. APHIS has no plans to create an electronic submission system as the records are kept at the site, and not submitted to APHIS.

### **Application for Import or In-Transit Permit (for Live Animals, Animal Semen, Animal Embryos, Birds, Poultry, or Hatching Eggs), (VS 17-129)**

This form is available on the internet as a fillable form that can be electronically submitted to APHIS; or may be mailed or emailed to an applicant, through a telephone or email request to APHIS. VS Form 17-129 is available to the public electronically at [http://www.aphis.usda.gov/library/forms/pdf/vs17\\_129.pdf](http://www.aphis.usda.gov/library/forms/pdf/vs17_129.pdf) and can be submitted by fax, mail, or email. The application can also be submitted through the e-Permits system found at: [http://www.aphis.usdu.gov/permits/login\\_epermits.shtml](http://www.aphis.usdu.gov/permits/login_epermits.shtml).

### **Request Space at USDA Operated Quarantine Facilities**

This request is made via phone.

### **Letter of Credit, Cashier's Check, Certified Check, or Money Order**

This activity cannot be submitted electronically because these are non-electronic means of payment. Therefore, APHIS cannot develop a website where the non-electronic means of payment can be made. Credit cards can be used for electronic payment, but are not specified in the CFR.

### **Export Health Certificates**

This form varies by each exporting country, and cannot be submitted to APHIS electronically because it must be physically presented with the imports.

### **Written Notice of Cancellation from Importer**

This notice can be sent to APHIS via fax or e-mail. APHIS has not received any requests from importers for an electronic submission system and does not specify a form of submission.

### **Daily Record of Horse's Activities**

APHIS does not specify how the records must be maintained or submitted. The trainer or horse owner could complete and submit the records electronically based on the protocol of the recordkeeping association that the Department has approved.

**Written Request to Change Horse’s Itinerary or Method of Transport**

This request can be sent to APHIS via an e-mail. It is not available for electronic submission; APHIS has not developed a database to do so because the number of yearly submissions is low.

**Appeal or Hearing of Import Permit Withdrawal**

This request can be sent to APHIS via an e-mail. This document is not a candidate for electronic submission. APHIS has not developed a database to do so because the number of yearly submissions is low.

**Written Agreement with State for CEM (Monitoring by State)**

Because an original signature is required, this document is not a candidate for electronic submission.

**Opportunity to Present View on Suspension/Opportunity for Hearing to Present Views on Facility Withdrawal**

These requests can be sent to APHIS in writing or via an e-mail. They are not candidates for electronic submission. APHIS has not developed a database to do so because the number of yearly submissions is low.

**Zoological Park Inspection Report (VS 17-65A)**

APHIS does not currently use this form as no wild or zoo ruminant or swine has been imported from FMD countries or regions for more than 10 years.

**Agreement for the Importation, Quarantine, and Exhibition of Certain Wild Ruminants and Wild Swine (VS 17-65B)**

APHIS does not currently use this form as no wild or zoo ruminant or swine has been imported from FMD countries or regions for more than 10 years.

**Report of Zoo Animals with Suspected Cases of Contagious or Communicable Diseases (VS 17-65C)**

APHIS does not currently use this form as no wild or zoo ruminant or swine has been imported from FMD countries or regions for more than 10 years.

**Agreement for Transfer of Certain Wild Animals (VS 17-65D)**

APHIS does not currently use this form as no wild or zoo ruminant or swine has been imported from FMD countries or regions for more than 10 years.

**Application for Approval of Quarantine or Holding Facility (Letter)**

This document is not a candidate for electronic submission. APHIS has not developed a database to do so because the number of yearly submissions is low.

**Trust Fund or Compliance Agreement for Horses**

Because an original signature is required, it is not a candidate for electronic submission.

**Daily Log of Privately Owned Quarantine Facility for Ruminants, Swine, and Equids**



APHIS requires this log be kept and maintained, but specifies no method for doing so. APHIS has no plans to create an electronic submission system as the records are kept at the site, and not generally submitted to APHIS (a few State quarantine facilities do send some data to the APHIS CEM coordinators, who in turn place it into Excel spreadsheets and submit those to APHIS Veterinary Services).

**Application for Approval of Quarantine Facilities and Request for Transfer of Operations to Another Facility for Birds or Poultry (VS 17-11)**

The requested information can be sent to APHIS by letter, fax, or email. This document is not a candidate for electronic submission. APHIS has not developed a database to do so because the number of yearly submissions is low and the information requested is not standardized.

**Written Request for Inspection, Other Services, and Dipping, (VS 17-32) (Application for Inspection and Dipping)**

This form can now be submitted through the ACE DIS system as a scanned signed document. The form is also available in the Animal Import Module in VSPS, and can be filled out by anyone (such as brokers) with access to VSPS).

**Importer or Agent Certification Free of Fever Tick (Letter)**

This form is not currently submitted electronically. APHIS is evaluating the information collection activities relating to Mexican cattle imported into the United States to streamline those activities as part of its development of a proposed rule to recognize certain regions in Mexico as free of fever ticks.

**72-Hour Prior Arrival Notice (Fish, Hedgehogs, Tenrecs, Elephants, Hippos, Rhinos, and Tapirs)**

This can be given in writing, by telephone, or by fax for each shipment of animals intended for import. The numbers of actual imports are too low to justify establishing an electronic database for this information.

**Owner Affidavit for Sheep and Goats from Scrapie Regions**

This document must bear an original signature and accompany the shipment, and so is not a candidate for electronic submission.

**Request for Recognition of the Animal Health Status of a Region**

This request can be sent to APHIS by letter, fax, or email. This document is not a candidate for electronic submission. APHIS has not developed a database to do so because the number of yearly submissions is low.

**Application for Recognition of the Animal Health Status of a Region**

The application questionnaire is available on the APHIS Web site:  
[www.aphis.usda.gov/import\\_export/animals/downloads/info\\_request\\_recognition\\_region.pdf](http://www.aphis.usda.gov/import_export/animals/downloads/info_request_recognition_region.pdf).

The data associated with APHIS regionalization program, including the questionnaire, can be sent to APHIS by letter, fax, or email. This document is not a candidate for electronic

submission. APHIS has not developed a database to do so because the number of yearly submissions is low.

### **Application for Recognition of a Region as Historically Free of a Disease**

The application questionnaire is available on the APHIS Web site:  
[www.aphis.usda.gov/import\\_export/animals/downloads/info\\_req\\_recognition\\_historically-free\\_region.pdf](http://www.aphis.usda.gov/import_export/animals/downloads/info_req_recognition_historically-free_region.pdf).

The data associated with APHIS regionalization program, including the questionnaire, can be sent to APHIS by letter, fax, or email. This document is not a candidate for electronic submission. APHIS has not developed a database to do so because the number of yearly submissions is low.

### **Request for Additional Information about a Region**

The requested information can be sent to APHIS by letter, fax, or email. This document is not a candidate for electronic submission. APHIS has not developed a database to do so because the number of yearly submissions is low and the information requested is not standardized.

### **Appeal Classification of Animal Health Status**

The appeal and supporting information can be sent to APHIS by letter, fax, or email. This document is not a candidate for electronic submission. APHIS has not developed a database to do so because the number of yearly submissions is low and the appeals are highly variable.

### **Written Recommendations Have Been Implemented by the Region**

Documentation supporting implementation of written recommendations can be sent to APHIS by letter, fax, or email. This document is not a candidate for electronic submission. APHIS has not developed a database to do so because the number of yearly submissions is low and the recommendations vary.

### **Certification for Equids that Spend Less than 60 Days in a Region**

This document must accompany the horse during shipment, and is not a candidate for electronic submission.

### **Permanent Electronic Identification Compatible Reader for Horses**

This is required to travel with the animal and so is not a candidate for electronic submission. Microchip readings taken using the port or Animal Import Center's own scanner are entered into the facility's database. The port veterinarian ensures that the RFID identified on the animal's health certificate matches the microchip found in the horse.

### **Photographs for Identification of Horses**

These are required to travel with the animal and so are not candidates for electronic submission.

### **Written Plan for Medical Treatment of Horses**

The information can be sent to APHIS by letter, fax, or email. This document is not a candidate for electronic submission. APHIS has not developed a database to do so because the

number of yearly submissions is low and the plans are highly variable.

#### **Statement for Animals Imported from Countries Affected with Screwworm**

This document is not a candidate for electronic submission. APHIS has not developed a database to do so because the number of yearly submissions is low.

#### **Checklist for the Approval of Permanent, Privately Owned Equine Quarantine Facilities**

This document is not a candidate for electronic submission. APHIS has not developed a database to do so because the number of yearly submissions is low.

#### **VS Form 10-4, Specimen Submission; VS Form 10-4A, Continuation Sheet for Specimen Submission**

Hard copies of these forms currently must be submitted with specimens to ensure proper identification of the samples. However, the VS equine import staff is working with NVSL to create a version of the VS 17-31 instead of the 10-4 and 10-4A to use when submitting equine serum samples to NVSL from land border ports and Animal Import Centers; this will be a fillable PDF available in the VSPS system. Staff intends to streamline data entry at NVSL.

#### **Notice of Arrival**

Currently submitted via phone, letter, email; VS may consider making it available through ACE.

#### **Emergency Action Notification**

Currently submitted via phone, letter, email; VS may consider making it available through ACE.

#### **Diagnostic Specimen Import Application (VS Form 16-3)**

Importers of diagnostic specimens containing viable SVC virus may use this form, available at: <https://www.aphis.usda.gov/aphis/ourfocus/animalhealth/animal-and-animal-product-import-information/animal-health-permits>. This form may be submitted to the VS Products Permits staff electronically (via ePermits), emailed, or downloaded and mailed or faxed to APHIS by interested parties.

#### **Refusal of Entry and Order to Dispose of Fish (VS Form 17-136)**

There are few transactions necessitating this form; therefore, APHIS has determined that it is not practical to automate it. Obtaining and submitting this form is not anticipated to be an undue burden for importers of SVC-susceptible species of finfish or their gametes.

#### **Report of Entry and Shipment of Restricted Imported Animal Products or Byproducts (VS 16-78)**

This is a new form. APHIS plans for the form to be available electronically and fillable via the APHIS website once approved.

#### **Application for On-Hold Shipment Notification (VS 16-79)**

This is a new form. APHIS plans for the form to be available electronically and fillable via the APHIS website once approved.

### **Certificate for Importing Sheep and Goat Semen from Canada (VS 17-139)**

This is a new form. APHIS plans for the form to be available electronically and fillable via the APHIS website once approved.

**The Customs Declaration, Herd of Origin Certificate and Annex, TB certificate, health certificate, and dip certificate** are available through the Mexican government. APHIS has convened a working group which is formulating methods of electronic certification to eventually allow electronic submission of this information. APHIS is also discussing proposals for electronic certification, including digital signatures for import documents and electronic identification, with its Mexican counterparts. Although APHIS indicated in its last renewal of this collection that it expected to have electronic capability within 3 to 5 years, it now anticipates an indeterminate timeframe as other issues need to be resolved as the two countries work to develop electronic certification. For the foreseeable future, the Herd of Origin Certificate and Annex, TB certificate, health certificate, and dip certificate must physically accompany the export shipment.

#### **4. Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purpose described in item 2 above.**

The information APHIS collects in connection with the importation of animals, poultry, animal and poultry products, zoological animals, and animal germplasm is not available from any other source. APHIS is the only Agency responsible for preventing the introduction of foreign animal diseases into the United States.

#### **5. If the collection of information impacts small businesses or other small entities, describe any methods used to minimize burden.**

APHIS estimates that 25 percent of the total respondents are small entities. Information can be collected in either paper or electronic format, both of which are made available to importers at no cost. The information APHIS collects in connection with its import programs is the minimum needed to ensure that animals, poultry, animal and poultry products, zoological animals, and animal germplasm imported into the United States pose a negligible risk of introducing foreign animal diseases into the U.S. livestock and poultry populations.

#### **6. Describe the consequence to Federal program or policy activities if the collection is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reducing burden.**

If the information were collected less frequently or not collected at all, it would diminish APHIS' ability to protect the United States from foreign (and other communicable) animal disease incursions. The U.S. livestock and poultry populations would suffer repeated disease

outbreaks, and many billions of dollars would need to be spent on containment and eradication efforts. In addition, the U.S. livestock and poultry industries would suffer additional billions of dollars in losses, as the value of their products would be diminished both domestically and internationally.

**7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with the general information collection guidelines in 5 CFR 1320.5.**

- **requiring respondents to report information to the agency more often than quarterly;**

APHIS requires individuals to record information more than quarterly on their Daily Registers and Daily Logs; however, the individuals are not required to submit the registers on a daily basis.

- **requiring respondents to prepare a written response to a collection of information less than 30 days after receipt of it;**

Written Notice of Cancellation from Importer – must be submitted no later than 15 days prior to the beginning of the time of importation.

Written Request to Change Horse’s Itinerary or Method of Transport – must be submitted to APHIS no less than 15 days before the proposed date of the change. APHIS uses 15 days as a standard timeframe for many activities as it is neither too short to place an undue compliance burden on the public, nor too long to allow necessary information (e.g., for tracing animals in the event of a disease outbreak) to be lost.

APHIS requires importers to alert APHIS at least 72 hours before certain animals arrive at a port. While drafting the underlying regulation, APHIS staff determined that this was the least amount of time needed to give port inspection staff notice of the impending arrival so they could arrange necessary coverage for the arrival, and for the port veterinarians to finalize the schedules for inspections and evaluate all paperwork..

Statement for Animals Imported from Countries Affected with Screwworm – animals must be within 24 hours before shipment to the United States and horses must be treated with ivermectin 3 to 5 days prior to the date of export to the United States.

- **requiring respondents to submit more than an original and two copies of any document;**
- **requiring respondents to retain records, other than health, medical, government contract, grant-in-aid, or tax records for more than 3 years;**
- **in connection with a statistical survey, that is not designed to produce valid and reliable results that can be generalized to the universe of study;**

- **requiring the use of a statistical data classification that has not been reviewed and approved by OMB;**
- **that includes a pledge of confidentiality that is not supported by authority established in statute or regulation, that is not supported by disclosure and data security policies that are consistent with the pledge, or which unnecessarily impedes sharing of data with other agencies for compatible confidential use; or**
- **requiring respondents to submit proprietary trade secret, or other confidential information unless the agency can demonstrate that it has instituted procedures to protect the information's confidentiality to the extent permitted by law.**

There are no other special circumstances; this information collection is otherwise conducted in a manner consistent with the guidelines established in 5 CFR 1320.5.

**8. Describe efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting form, and on the data elements to be recorded, disclosed, or reported. If applicable, provide a copy and identify the date and page number of publication in the Federal Register of the agency's notice, soliciting comments on the information collection prior to submission to OMB.**

APHIS engaged in productive consultations with the following individuals in connection with the information collection activities associated with its programs:

Lisa Frohn  
 Topigs Norsvin USA  
 12750 Nicollet Ave. S. Suite 300  
 Burnsville, MN 55337  
 Email: lisa.frohn@topignorsvin.us  
 Phone: (507) 273-1316

Dr. John Glisson  
 US Poultry & Egg Association  
 1530 Cooledge Road  
 Tucker, GA 30084-7303  
 Email: jglisson@uspoultry.org  
 Phone: (770) 493-9401  
 Fax: 770-493-9257

Selwyn Wallace  
 Operations Coordinator, IRT USA  
 Suite 520  
 8055 Manchester  
 Avenue Playa Del Rey,

CA Phone: (310) 306-0262

On Friday, June 30, 2017, pages 29823-29824, Volume 82, APHIS published in the Federal Register a 60-day notice seeking public comments on its plans to request a 3-year renewal of this collection of information.

One comment was received from a concerned citizen about their perception of the general maltreatment of animals. It had no relevance to the purpose of the collection.

**9. Explain any decision to provide any payment or gift to respondents, other than remuneration of contractors or grantees.**

This information collection activity involves no payments or gifts to respondents.

**10. Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation, or agency policy.**

No additional assurance of confidentiality is provided with this information collection. However, the confidentiality of information is protected under 5 U.S.C. 552a.

**11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior or attitudes, religious beliefs, and other matters that are commonly considered private. This justification should include the reasons why the agency considers the questions necessary, the specific uses to be made of the information, the explanation to be given to persons from whom the information is requested, and any steps to be taken to obtain their consent.**

This information collection activity will ask no questions of a personal or sensitive nature.

**12. Provide estimates of the hour burden of the collection of information. Indicate the number of respondents, frequency of response, annual hour burden, and an explanation of how the burden was estimated.**

**•Indicate the number of respondents, frequency of response, annual hour burden, and an explanation of how the burden was estimated. If this request for approval covers more than one form, provide separate hour burden estimates for each form and aggregate the hour burdens in Item 13 of OMB Form 83-I.**

This renewal submission is for 8,412 respondents, 545,050 respondents, and 313,851 burden hours. See APHIS Form 71 for more detail. Burden estimates were developed from discussions with importers of animals and poultry, animal and poultry products, zoological animals, and

animal germplasm into the United States; foreign exporters of these items; foreign animal health authorities; and State animal health authorities.

**•Provide estimates of annualized cost to respondents for the hour burdens for collections of information, identifying and using appropriate wage rate categories.**

Respondents include foreign animal health authorities seeking to engage in the regionalization process; U.S. importers; foreign exporters; veterinarians and animal health technicians in other countries; State animal health authorities; shippers, owners, and operators of foreign processing plants and farms; USDA-approved zoos; laboratories; feedlots; private quarantine facilities; and other individuals involved (directly or indirectly) in importing animals and poultry, animal and poultry products, zoological animals, and animal germplasm into the United States.

APHIS estimates the total annualized cost to these respondents to be \$14,507,310. APHIS arrived at this figure by multiplying the total burden hours (313,851) by the estimated average hourly wage of the above respondents (\$46.27). Estimated hourly wages for the respondents were determined from the U.S. Department of Labor; Bureau of Labor Statistics May 2016 Report – National Compensation Survey: Occupational Employment and Wages, May 2016. See [http://www.bls.gov/oes/current/oes\\_stru.htm](http://www.bls.gov/oes/current/oes_stru.htm).

Foreign animal health authorities \$55.30 [11-0000 Management Occupations]  
State animal health authorities \$55.30 [11-0000 Management Occupations]  
Importers and exporters \$32.11 [41-4012 Sales Representatives, Wholesale and Manufacturing, Except Technical and Scientific Products]  
Veterinarians \$47.59 [29-1131 Veterinarians]  
Animal health technicians \$16 [29-2056 veterinary technologists and technicians]  
Shippers \$15.55 per hour [43-5071 Shipping, Receiving, and Traffic Clerks]  
Owners and operators of foreign processing plants and farms \$57.44 per hour [11-1021 General and Operations Manager]  
Owners and operators of zoos \$57.44 per hour [11-1021 General and Operations Manager]  
Owners and operators of labs \$57.44 per hour [11-1021 General and Operations Manager]  
Owners and operators of feedlots \$57.44 per hour [11-1021 General and Operations Manager]  
Owners and operators of private quarantine facilities \$57.44 per hour [11-1021 General and Operations Manager]

**13. Provide estimates of the total annual cost burden to respondents or recordkeepers resulting from the collection of information (do not include the cost of any hour burden shown in items 12 and 14.) The cost estimates should be split into two components: (a) a total capital and start-up cost component annualized over its expected useful life; and (b) a total operation and maintenance and purchase of services component.**

The only cost burden associated with capital and startup costs, operation and maintenance expenditures, and purchase of services for this collection is the use of the letter of credit, cashier's check, certified check, or money order to reserve and use space at USDA-operated



quarantine facilities. These are usually made by brokers or other businesses rather than individuals, and generally by credit card. No such transactions occurred during the 3-year reporting period for this collection, but the average cost for reservation and use of a USDA-owned quarantine is \$1,544.98.

**14. Provide estimates of annualized cost to the Federal government. Provide a description of the method used to estimate cost and any other expense that would not have been incurred without this collection of information.**

The annualized cost to the Federal government is estimated at \$6,068,230. (See APHIS Form 79.)

**15. Explain the reasons for any program changes or adjustments reported in Items 13 or 14 of the OMB Form 83-1.**

ICR Summary of Burden:

	Requested	Program Change Due to New Statute	Program Change Due to Agency Discretion	Change Due to Adjustment in Agency Estimate	Change Due to Potential Violation of the PRA	Previously Approved
Annual Number of Responses	545,050	0	423,653	0	0	121,397
Annual Time Burden (Hr)	313,851	0	281,928	0	0	31,923

Overall there is an increase of 423,653 responses and increase of 281,928 total burden hours, due to program changes, and violations (listed as program changes in ROCIS).

There is a program change resulting in an increase of 121,428 responses and 94,225 total burden hours due to merging 0579-0165, 0579-0425, and integrating discontinued 0579-0224, and 0579-0301 into this combined information collection, as well as adjusting the number of responses to account for increases in trade in 0579-0040.

- 0579-0165:
  - Application for Import or In-transit Permit (VS 17-129) (+221 responses and +55 total burden hours).
  - Export Health Certificate for Horses, Ruminants/Swine, Dogs (+1,716 responses and +430 total burden hours).

- 0579-0224:
  - Application for Import or In-transit Permit (VS 17-129) (+6,851 responses and 1,713 total burden hours)
  - Customs Declaration for Import or In-transit Permit (VS 17-29) (+6,851 responses and 1,141 total burden hours)
  - Premises Information (Herd of Origin Certificate and Annex) (+75,000 responses and +56,250 total burden hours)
  - TB History Certificate (+6,851 responses and +27,404 total burden hours)
  - Export Health Certificate (+6,851 responses and +3,426 total burden hours)
  - Dip Certificate (+6,851 responses and +2,281 total burden items)
- 0579-0301:
  - Application for Import or In-transit Permit (VS 17-129) (+1,072 responses and +107 total burden hours).
  - Export Health Certificate (+1,072 responses and +268 total burden hours)
  - Diagnostic Specimen Import Application (VS 16-3) (+9 responses and + 5 total burden hours).
  - Refusal of Entry and Order to Dispose of Fish (VS 17-136) (+1 response, +1 total burden hour, +1,072 recordkeepers, and +89 total recordkeeping hours).
  - Declaration of Importation of Animals (VS 17-29) (+1,072 responses and +172 total burden hours).
  - Cleaning and Disinfection Certificate (+1 response and +1 total burden hour).
  - 72-Hour Notification (+1,072 responses and +375 total burden hours).
- 0579-0425:
  - Seals (+1 response and +1 total burden hour).

There is a violation (listed in ROCIS as a program change) resulting in an increase of 1,864 responses and an increase of 506 total burden hours.

The Application for On-Hold Shipment – VS 16-79 (+610 responses and +305 total burden hours), Report of Entry and Shipment of Restricted Imported Animal Products or Byproducts – VS 16-78 (+1250 responses and +200 total burden hours), and Certificate for Importing Sheep and Goat Semen from Canada – VS 17-139 (+4 responses and +1 total burden hour) are three new burden activities that were inadvertently previously not included in this 0579-0040 information collection package, but APHIS is requesting approval now.

**16. For collections of information whose results are planned to be published, outline plans for tabulation and publication.**

APHIS has no plans to publish information it collects in connection with this program.

**17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons that display would be inappropriate.**

The VS form 10-4, 10-4A, 16-3, 16-78, 17-8, 17-29, 17-129, and PPQ 523 are used in multiple collections; therefore, it is not practical to include an OMB expiration date because of the various expiration dates for each collection. APHIS is seeking approval to not display the OMB expiration date on these forms.

All other official forms will have expiration dates listed.

**18. Explain each exception to the certification statement identified under "Certification for Paperwork Reduction Act."**

APHIS can certify compliance with all provisions in the Act.

**B. Collections of Information Employing Statistical Methods**

No statistical methods are associated with the information collection activities used in this program.