Attachment A: Draft Diagnostic Safety Supplemental Item Set

Form Approved
OMB No. XXXX-XXXX
Exp. Date XX/XX/20XX

Draft Diagnostic Safety Supplemental Item Set (With the Medical Office SOPS Survey) 7/3/19

Public reporting burden for this collection of information is estimated to average 20 minutes per response, the estimated time required to complete the survey. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: AHRQ Reports Clearance Officer Attention: PRA, Paperwork Reduction Project (0935-XXXX) AHRQ, 540 Gaither Road, Room # 5036, Rockville, MD 20850.

Attachment A: Draft Diagnostic Safety Supplemental Item Set

Medical Office Survey on Patient Safety

SURVEY INSTRUCTIONS

Think about the way things are done in <u>your</u> medical office and provide your opinions on issues that affect the overall safety and quality of the care provided to patients in your office.

- ▶ In this survey, the term **provider** refers to physicians, physician assistants, and nurse practitioners who diagnose, treat patients, and prescribe medications. The term **staff** refers to all others who work in the office.
 - If a question does not apply to you or you don't know the answer, please check "Does Not Apply or Don't Know."
 - If you work in more than one office or location for your practice, when answering this survey answer only about the office location where you received this survey—do not answer about the entire practice.
 - If your medical office is in a building with other medical offices, answer only about the specific medical office where you work—do not answer about any other medical offices in the building.

SECTION A: List of Patient Safety and Quality Issues

The following items describe things that can happen in medical offices that affect patient safety and quality of care. In your best estimate, how often did the following things happen in your medical office <u>OVER THE</u> PAST 12 MONTHS?

Acc	ess to Care	Daily	Weekly	Monthly	Several times in the past 12 months	Once or twice in the past 12 months	Not in the past 12 months	Does Not Apply or Don't Know
1.	A patient was unable to get an appointment within 48 hours for an acute/serious problem		\square_2	Пз	□ 4	□ 5	□ 6	□ 9
Pati 2.	ent Identification The wrong chart/medical record was used for a patient		\square_2	□ ₃	□ 4	□ 5	□ 6	□ 9
Cha	rts/Medical Records							
3.	A patient's chart/medical record was not available when needed	□₁	\square_2	□3	□ 4	□ ₅	\square_6	 9
4.	Medical information was filed, scanned, or entered into the wrong patient's chart/medical record		\square_2	□3	□ 4	□ 5	□ 6	□ 9
Med	lical Equipment							

Atta	ichment A: Draft Diagnostic Sa	itety Supp	lemental It	em Set				
5.	Medical equipment was not working properly or was in need of repair or replacement	ı 🗖 1	\square_2	Пз	□ 4	\square_5	□ ₆	9
	SECTION A: List	of Patie	ent Safety	and Qu	ality Issu	ies (con	tinued)	
Hov	v often did the following things	happen i	n your med	lical office	OVER TH		<u>2 MONTHS</u>	?
		Daily	Weekly	Monthly	Several times in the past 12 months	Once or twice in the past 12 months	Not in the past 12 months	Does Not Apply or Don't Know
	lication	_						
о.	A pharmacy contacted our office to clarify or correct a prescriptio		\square_2	□ 3	□ 4	□ ₅	□ 6	9
7.	A patient's medication list was not updated during his or her visit		\square_2	Пз	□4	□₅	\square_6	 9
Dia	gnostics & Tests							
8.	The results from a lab or imaging test were not available when needed		\square_2	□3	□ ₄	□ 5	□ 6	 9
9.	A critical <u>abnormal</u> result from a lab or imaging test was not followed up within 1 business day			Пз	□ 4	□5	\Box_6	□ 9
	SECTION B	: Inforn	nation Ex	change \	With Oth	er Settir	ngs	
	r the past 12 months, how ofte ely information with:	n has you	ır medical d	office had	problems	exchangii	ng accurate	, complete, a
		Problems daily	Problems weekly		Problems several times in the past 12 months		problems in the st past 12	│ Apply or │ Don't
1.	Outside labs/imaging centers?		\square_2	П3	\square_4	□ ₅	\square_6	9
2.	Other medical offices/ outside physicians?	\square_1	\square_2	□ 3	\square_4	\square_5	\square_6	9
3.	Pharmacies?	\square_1	\square_2	□ 3	\square_4	\square_5	\square_6	9
4.	Hospitals?	\square_1	\square_2	\square_3	\square_4	\square_5	\square_6	

Attachment A: Draft Diagnostic Safety Supplemental Item Set

of patients.....

13. This office emphasizes teamwork in taking care

14. This office has too many patients to be able to

	Other ? (Specify):	□ ₁	□ ₂]4	_ 5	 6	 9
	SE	CTION C: V	Vorkin	g in You	ur Medi	cal Offic	e		
	much do you agree or disa wing statements?	agree with the		Strongly Disagree	Disagree	Neither Agree nor Disagree	Agree	Strongly Agree	Does Not Apply or Don't Know
1.	When someone in this office others help out			1	□ 2	□3	□ 4	\square_5	
2.	In this office, there is a good between staff and providers	•	•	□1	\square_2	Пз	□ ₄	\square_5	 □ ₉
3.	In this office, we often feel recare of patients			1		\square_3	□ ₄	□ ₅	
4.	This office trains staff when put into place			1	\square_2	Пз	\square_4	\square_5	│ │
5.	In this office, we treat each	other with respe	ect	🗖 1	\square_2	□ ₃	\square_4	\square_5	 Ш9
6.	We have too many patients providers in this office			1	□ 2	\square_3	□ 4	\square_5	│ │ □ ₉
7.	This office makes sure staff training they need			□1	\square_2	□3	□ 4	\square_5	 □9
8.	This office is more disorgan	ized than it sho	uld be	□1	□ 2	\square_3	□ 4	\square_5	
9.	We have good procedures f in this office was done corre			1	\square_2	Пз	\square_4	\square_5	 □ ₉
10.	Staff in this office are asked haven't been trained to do		-		\square_2	Пз	□ ₄	\square_5	9
11.	We have enough staff to ha	ndle our patient	load	🔲 1	\square_2	\square_3	\square_4	\square_5	

 \square_3

 \square_3

 \square_3

 \square_2

 \square_5

 \square_5

 \square_5

Attachment A: Draft Diagnostic Safety Supplemental Item Set

14. Staff in this office follow standardized processes to get tasks done	1	\square_2	Пз	□ 4	\square_5	9
					1	1

SECTION D: Communication and Followup

	w often do the following things happen in ur medical office?	Never	Rarely	Some- times	Most of the time	Always	Does Not Apply or Don't Know
1.	Providers in this office are open to staff ideas about how to improve office processes	□ ₁	\square_2	Пз	□ 4	\square_5	l □ ₉
2.	Staff are encouraged to express alternative viewpoints in this office		\square_2	□ ₃	□ 4	□ 5	l □ ₉
3.	This office reminds patients when they need to schedule an appointment for preventive or routine care			□ ₃	□ 4	□ 5	
4.	Staff are afraid to ask questions when something does not seem right	1	\square_2	□3	□ 4	\square_5	│ │ □9
5.	This office documents how well our chronic-care patients follow their treatment plans	1	\square_2	□3	□ 4	□ ₅	 □9
6.	Our office follows up when we do not receive a report we are expecting from an outside provider	□ ₁	\square_2	Пз	□ 4	□ 5	
7.	Staff feel like their mistakes are held against them	1	\square_2	Пз	□ 4	\square_5	 □9
9.	Providers and staff talk openly about office problems	□ 1	\square_2	Пз	□ 4	\square_5	 П 9
10.	This office follows up with patients who need monitoring	1	\square_2	\square_3	□ 4	\square_5	 П ₉
11.	It is difficult to voice disagreement in this office	□1	\square_2	\square_3	\square_4	\square_5	□ 9
12.	In this office, we discuss ways to prevent errors from happening again	1	\square_2	Пз	\square_4	\square_5	 □9
13.	Staff are willing to report mistakes they observe in this office	1	\square_2	\square_3	 4	\square_5	

		SECTION E: Owner/Managing Partner/Leadership Support									
Г	_ 1	Are you an owner, a managing partner, financial decisions for your medical officers.		dership po	osition wit	h respor	nsibility fo	r making			
	_	les → Go to Section F									
	the <u>owr</u>	v much do you agree or disagree with following statements about the ners/ managing partners/leadership of r medical office?	Strongly Disagree	Disagree	Neither Agree nor Disagree	Agree	Strongly Agree	Does Not Apply or Don't Know			
	t	They aren't investing enough resources o improve the quality of care in this office	П	\square_2	Пз	□ ₄	□ 5	\square_9			
		ney overlook patient care mistakes that nappen over and over	1	\square_2	\square_3	□ 4	□ ₅	\square_9			
 		ney place a high priority on improving patient care processes	1	\square_2	□ 3	\square_4	□ ₅	 9			
	١	ney make decisions too often based on what is best for the office rather than what is best for patients	1	\square_2	□ 3	□ 4	□ ₅	 9			
ļ →		SECTION F: Your Medical Office									
		ow much do you agree or disagree with e following statements?	Strongly Disagree	, e Disagree	Neither Agree nor Disagree	Agree	Strongly Agree	,			
		c following statements.		0	Ū			Know			
	1.	When there is a problem in our office, we see if we need to change the way we do things				□4		1			
		When there is a problem in our office, we see if we need to change the way we do things Our office processes are good at preventing mistakes that could affect			0	□ □4 □4					
	2.	When there is a problem in our office, we see if we need to change the way we do things			0	□ 4 □ 4 □ 4					
	2.	When there is a problem in our office, we see if we need to change the way we do things			□ □3 □3	□ ₄	□ 5 □ 5				
	2.3.4.	When there is a problem in our office, we see if we need to change the way we do things			□ 3 □ 3 □ 3	□ ₄	□ 5 □ 5 □ 5				
	2.3.4.5.	When there is a problem in our office, we see if we need to change the way we do things			□ □ 3 □ 3 □ 3 □ 3 □ 3		□ 5 □ 5 □ 5 □ 5				

Attachment A: Draft Diagnostic Safety Supplemental Item Set						
	SECTION	G: Over	all Ratin	gs		
Overall Ratings on Quality 1. Overall, how would you rate your medical office on each of the following areas of health care quality?						
		Poor ▼	Fair ▼	Good ▼	Very good ▼	Excellent ▼
a. Patient centered	Is responsive to individual patient preferences, needs, and values	\Box_1	□ 2	🗆 з	□ 4	□ 5
b. Effective	Is based on scientific knowledge		□ 2	3	□ 4	□ ₅
c. Timely	Minimizes waits and potentially harmful delays		□ 2	Дз	□ 4	□ 5
d. Efficient	Ensures cost-effective care (avoids waste, overuse, and misuse of services)	\Box_1	□ 2	3	□ 4	□5
e. Equitable	Provides the same quality of care to all individuals regardless of gender, race, ethnicity, socioeconomic status, language, etc		□ 2	3	□ 4	□ 5
verall Rating	on Patient Safety					
2. Overall, how would you rate the systems and clinical processes your medical office has in place to prevent, catch, and correct problems that have the potential to affect patients?						
Poor	Fair Good Very g	ood Ex	cellent			

▼

▼ 4

Attachment A: Draft Diagnostic Safety Supplemental Item Set

Diagnostic Processes in Your Medical Office

The following items ask about the diagnostic process in your medical office.

• The diagnostic process starts when a patient seeks care for a health problem and includes gathering and interpreting information, communicating the diagnosis to a patient, and revising the diagnosis over time, as needed.

	SECTION H: Testing Process									
	w much do you agree or disagree with the lowing statements?	Strongly Disagree	Disagree	Neither Agree nor Disagree	Agree	Strongly Agree	Does Not Apply or Don't Know			
1.	This office follows a standardized process for tracking patient test results	□1	\square_2	 3	\square_4	□ ₅	 9			
2.	When this office doesn't receive a patient's test results, staff follow up		\square_2	Пз	\square_4	\square_5	□ 9			
3.	Patients are told to assume that their test results are normal if they don't hear from this office	🗖 1		Пз	□ 4	□ ₅	\square_9			
4.	All test results are communicated to patients, even if the test results are normal			Пз	□ 4	\square_5	□ 9			
	SECTION I:	Referra	al Proce	ss						
	SECTION I: w much do you agree or disagree with the lowing statements?	Strongly	Disagree	Neither Agree nor	Agree	Strongly Agree	Does Not Apply or Don't Know			
	w much do you agree or disagree with the	Strongly		Neither Agree nor	Agree		Apply or Don't Know			
fol :	w much do you agree or disagree with the lowing statements? This office follows a standardized process for	Strongly Disagree	Disagree	Neither Agree nor Disagree		Agree	Apply or Don't Know			

	SECTION J: Office Communication Around Diagnosis						
	w much do you agree or disagree with the owing statements?	Strongly Disagree	Disagree	Neither Agree nor Disagree	Agree	Strongly Agree	Does Not Apply or Don't Know
1.	Providers in this office encourage staff to share their observations about a patient's condition	1	\square_2	\square_3	\square_4	□ ₅	 9
2.	Providers in this office seek staff input to help inform a patient's diagnosis	🗖 1	\square_2	□ ₃	\square_4	□ 5	 9
3.	When staff think a provider has missed an important piece of diagnostic information, they discuss it with the provider	<u> </u>	\square_2	Пз	 4	□ ₅	 9
4.	When staff are concerned about the accuracy of a diagnosis, they speak up	□1	\square_2	□ ₃	□ 4	□ ₅	 9
	SECTION K: Communication A	nd Feed	back Ab	out Diag	nostic	Errors	
D	iagnostic errors are:						
	o The failure to establish an accurate an problem(s) or communicate that expla	_			patien	t's health	1
	o Any mistake or failure in the diagnostic diagnosis.	c proces	s leading	j to a wro	ng, mis	sed, or d	elayed
	w much do you agree or disagree with the owing statements?	Strongly Disagree	Disagree	Neither Agree nor Disagree	Agree	Strongly Agree	Does Not Apply or Don't Know
1.	We are informed about diagnostic errors that happen in this office	1	\square_2	□ ₃	 4	□ ₅	 9
2.	In this office, providers and staff discuss ways to prevent diagnostic errors from happening	1	\square_2	□3	□ 4	□ 5	□ 9
3.	When providers in this office discover a diagnostic error made by another provider, they talk to that provider about it	1	\square_2	Пз	□ 4	□5	□ 9
4.	Providers in this office are reluctant to talk with other providers about their <u>own</u> diagnostic	\square_1	\square_2	Пз	\square_4	□ 5	□9

	SECTION L: Docume	entation	Around	l Diagno	sis		
	w much do you agree or disagree with the lowing statements?	Strongly Disagree	Disagree	Neither Agree nor Disagree	Agree	Strongly Agree	Does Not Apply or Don't Know
1.	Information needed for help make a diagnosis is hard to find in the patient's medical record	. 🔲 1	\square_2	Пз	\square_4	□ ₅	\square_9
2.	When providers are uncertain about a patient's diagnosis, they document their uncertainty in the patient's medical record	1	\square_2	□ 3	□ 4	□ 5	\square_9
3.	Alternative diagnoses are documented in the patient's medical record when the diagnosis is	□₁	<u></u> 2	Пз	 4	□ ₅	 9
	SECTION M: Comr	nunicati	on With	Patients			
	OLOTTOR III. COIII		011 111111	- uticité	•		
foll	w much do you agree or disagree with the lowing statements?	Strongly Disagree	Disagree	Neither Agree nor Disagree	Agree	Strongly Agree	Does Not Apply or Don't Know
1.	If providers are unsure about a diagnosis, they discuss other possible diagnoses with the patient	. 🗆 1	□ 2	□3	□ 4	□ 5	9
	SECTION N: Provider C	Consulta	tion/Sec	cond Opi	inions		
	w much do you agree or disagree with the lowing statements?	Strongly Disagree	Disagree	Neither Agree nor Disagree	Agree	Strongly Agree	Does Not Apply or Don't Know
1.	Providers in this office ask other providers for advice about complicated diagnoses	1	\square_2	□3	□ 4	□ ₅	□ 9
2.	When a report from a specialist/radiologist/ pathologist needs clarification, providers talk with them directly	<u>_</u> 1	\square_2	Пз	□ 4	□5	\square_9
3.	When a diagnosis is complicated, providers in this office encourage the patient to get a second opinion	. 🗖 1	\square_2	Пз	□ 4	□ ₅	□ 9

SECTION O: Time Pressure							
	w much do you agree or disagree with the lowing statements?	Strongly Disagree	Disagree	Neither Agree nor Disagree	Agree	Strongly Agree	Does Not Apply or Don't Know
1.	Providers in this office have the flexibility to extend appointment times if needed	□1	\square_2	Пз	□ 4	\square_5	□9
2.	Providers in this office have enough time during their regular workday to complete patient notes	1	\square_2	□3	□ ₄	\square_5	□ 9
3.	Providers in this office have enough time during their regular workday to investigate complicated cases	_ 🗖 1		□3	□ 4	□ ₅	□9
4.	Providers in this office have enough time to stop and think about alternative diagnoses	□1	□ 2	Пз	□ ₄	□ ₅	□ 9
	SECTION P: Overall Ration	ngs on I	Diagnos	tic Proce	2422		
	SECTION F. OVERAII NAME	ilgs on L	Jiagilos	tic Floce			
1.	Overall, how would you rate your medical office	:					
		Poor ▼	Fair ▼	Goo ▼	d Ver	y good E	excellent
a.	In making accurate and timely diagnoses and clearly communicating them to patients?	1	\square_2		3	□ 4	\square_5
b.	At preventing and learning from diagnostic errors?	1	\square_2	□₃	3	\square_4	\square_5

	SECTION Q: Background Questions							
1.	How lo	ong have you worked in <u>this med</u>	ical office location?					
	Па.	Less than 2 months	☐d. 3 years to less than 6 years					
	□b.	2 months to less than 1 year	$\square_{\rm e.}$ 6 years to less than 11 years					
	□с.	1 year to less than 3 years	☐f. 11 years or more					
2.1	Typically, how many hours per week do you work in this medical office location?							
	\square_{a}	1 to 4 hours per week	☐d. 25 to 32 hours per week					
	\square_{b} .	5 to 16 hours per week	☐e. 33 to 40 hours per week					
	□с.	17 to 24 hours per week	☐f. 41 hours per week or more					
3.	∐a. □b.	Physician (MD or DO) Physician Assistant, Nurse Prac Nurse, etc. Management	heck ONE category that best applies to your job. ctitioner, Clinical Nurse Specialist, Nurse Midwife, Advanced Practice					
		Practice Manager Office Manager Office Administrator	Business Manager Nurse Manager Lab Manager					
	Пч	Administrative or clerical staff	Other Manager					
		Insurance Processor Billing Staff Referral Staff Medical Records	Front Desk Receptionist Scheduler (appointments, surgery, etc.) Other administrative or clerical staff position					
	_		al Nurse (LVN), Licensed Practical Nurse (LPN)					
		Other clinical staff or clinical su Medical Assistant Nursing Aide Other position; please specify:	pport staff Technician (all types) Therapist (all types) Other clinical staff or clinical support staff					
	9	• • • • • • • • • • • • • • • • • • • •						

Attachment A: Draft Diagnostic Safety Supplemental Item Set

SECTION R: Your Comments
Please feel free to write any comments you may have about patient safety or quality of care in your med office.

THANK YOU FOR COMPLETING THIS SURVEY.