#### SUPPORTING STATEMENT GULF OF ALASKA CATCHER VESSEL & PROCESSOR TRAWL ECONOMIC DATA REPORT OMB CONTROL NO. 0648-0700

### **B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS**

1. Describe (including a numerical estimate) the potential respondent universe and any sampling or other respondent selection method to be used. Data on the number of entities (e.g., establishments, State and local governmental units, households, or persons) in the universe and the corresponding sample are to be provided in tabular form. The tabulation must also include expected response rates for the collection as a whole. If the collection has been conducted before, provide the actual response rate achieved.

In determining the scope or "universe" of the entities to be considered, NMFS generally includes only those entities that can reasonably be expected to be <u>directly regulated</u> by the action. Based on most recent EDR submissions, there were 120 entities impacted by this EDR collection. The number of participants fluctuates annually.

Entity Type	Sample Size
15 shoreside processors	100%
2 stationary floating processors	100%
1 fish meal processor	100%
102 catcher vessels	100%

Groundfish harvest includes both the GOA and BSAI; therefore, groundfish activity from both areas is included. The owner or leaseholder of a catcher vessel with an LLP license endorsed for catcher vessel operation type, and for trawl gear in the Western or Central GOA reporting area during a calendar year must submit an Annual Trawl Catcher Vessel Economic Data Report (EDR) for that calendar year.

The owner or leaseholder of a shoreside processor or stationary floating processor with a Federal Processor Permit that processes groundfish caught by vessels fishing with trawl gear in the Western and Central GOA reporting area must submit an Annual Shoreside Processor Economic Data Report (EDR) for that calendar year.

2. Describe the procedures for the collection, including: the statistical methodology for stratification and sample selection; the estimation procedure; the degree of accuracy needed for the purpose described in the justification; any unusual problems requiring specialized sampling procedures; and any use of periodic (less frequent than annual) data collection cycles to reduce burden.

The sample selection method is an annual census of all respondents, as any other sampling methodology would produce too few observations to estimate representative levels of cost,

earnings, and other outputs required for this collection. As this program is a mandatory collection, and valuable fishing and processing privileges could be withheld if an EDR is not submitted, we anticipate a 100 percent response rate from the respondents.

Given that approximately 100 to120 respondents each year will be participating in this fishery, it is not feasible to generate enough observations on any one of the variables without applying this collection annually. And, random sampling from this population is not a viable option for statistical reasons. Based on the degrees of freedom and number of observations required for estimating the statistical relationship among the variables in this collection, data may be separately pooled to create a time-series of cross-sectional data in order to generate sufficient observations for economic and statistical analysis. The strata used in preparing analyses (either deterministic or statistical) of management actions for this group will depend on the specific questions of interest.

## 3. Describe the methods used to maximize response rates and to deal with non-response. The accuracy and reliability of the information collected must be shown to be adequate for the intended uses. For collections based on sampling, a special justification must be provided if they will not yield "reliable" data that can be generalized to the universe studied.

Each of the owners and leaseholders in the catcher vessel, shoreside processor, and stationary floating processor sectors is required to submit an annual EDR. Therefore, the response to mandatory data requirements should be very high. Those individuals who do not submit their EDR by the submission date will receive a follow-up phone call from Pacific States. If a solution cannot be reached at that point, their information will be referred to the NOAA Office of Law Enforcement. Therefore, we anticipate response rates of 95 to 100 percent.

NMFS has established different enforcement procedures for the GOA Trawl EDR Program than those used to ensure that accurate fisheries landings are reported. The economic data are not used for in-season management as landings data are, therefore persons submitting EDR data are given an opportunity to correct omissions and errors before any enforcement action is taken.

This approach is important to ensure that accurate EDR data are collected without being overly burdensome on industry for unintended errors. Only if the agency and the person submitting the data cannot reach a solution will the enforcement agency be contacted.

## 4. Describe any tests of procedures or methods to be undertaken. Tests are encouraged as effective means to refine collections, but if ten or more test respondents are involved OMB must give prior approval.

There have not been tests of procedures or methods undertaken recently. The collection has only been in place since 2015, and the statistical design team will consider tests of procedure when deemed appropriate.

# 5. Provide the name and telephone number of individuals consulted on the statistical aspects of the design, and the name of the agency unit, contractor(s), grantee(s), or other person(s) who will actually collect and/or analyze the information for the agency.

Brian Garber-Yonts, Ph.D. [statistical design and analysis of data] Research Economist NOAA/NMFS, Alaska Fisheries Science Center PH: (206) 526-6301 Email Address: <u>Brian.Garber-yonts@noaa.gov</u>

Stephanie Warpinski [specialist on EDR regulation] NOAA/NMFS, Alaska Region PH: (907) 586-7234 Email Address: <u>stephanie.warpinski@noaa.gov</u>

Geana Tyler [collection of data, verification of accuracy of data] Pacific States Marine Fisheries Commission PH: (503) 595-3100 Email Address: edr@psmfc.org

Tracy Buck [permits, permit data] Supervisor of Permits NOAA/NMFS, Alaska Region PH: (907) 586-7889 Email Address: <u>tracy.buck@noaa.gov</u>