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INPATIENT REHABILITATION FACILITY - PATIENT ASSESSMENT INSTRUMENT

| | Identification Information* | | Payer Information* |
|------|--|-------------|---|
| 1. | Facility Information A. Facility Name | 20. | Payment Source (02 - Medicare Fee For Service; 51- Medicare-Medicare Advantage; 99 - Not Listed) |
| | | | A. Primary Source B. Secondary Source |
| | | | Medical Information* |
| | - | 21 | Impairment Group |
| | B. Facility Medicare Provider Number | 21. | Admission Discharge |
| 2. | Patient Medicare Number | | Condition requiring admission to rehabilitation; code according to Appendix |
| 3. | Patient Medicaid Number | | A. |
| 4. | Patient First Name | 22. | Etiologic Diagnosis A (Use ICD codes to indicate the etiologic problem B |
| 5A. | Patient Last Name | | that led to the condition for which the patient is receiving C. |
| 5B. | Patient Identification Number | | rehabilitation) |
| 6. | Birth Date/ | 23. | Date of Onset of Impairment / / MM / DD / YYYY |
| 7. | Social Security Number | 24. | Comorbid Conditions |
| 8. | Gender (1 - Male; 2 - Female) | | Use ICD codes to enter comorbid medical conditions |
| 9. | Race/Ethnicity (Check all that apply) | | A J S |
| ٠. | American Indian or Alaska Native A | | B K T |
| | Asian B. | | C U |
| | | | D M V E. N. W. |
| | Black or African American C. | | |
| | Hispanic or Latino D | | |
| | Native Hawaiian or Other Pacific Islander E | | |
| | White F | | H Q I R |
| 10. | Marital Status (1 - Never Married; 2 - Married; 3 - Widowed; | 244 | . Are there any arthritis conditions recorded in items #21, #22, or #24 that meet |
| 11. | 4 - Separated; 5 - Divorced) Zip Code of Patient's Pre-Hospital Residence | 24/1. | all of the regulatory requirements for IRF classification (in 42 CFR 412.29(b)(2)(x), (xi), and (xii))? |
| 12. | Admission Date / / | | (0 - No; 1 - Yes) |
| 12. | MM / DD / YYYY | 25. | DELETED |
| 13. | Assessment Reference Date/ | 26. | DELETED |
| | MM / DD / YYYY | | Height and Weight |
| 14. | Admission Class (1 - Initial Rehab; 2 - Evaluation; 3 - Readmission; 4 - Unplanned Discharge; 5 - Continuing Rehabilitation) | | (While measuring if the number is X.1-X.4 round down, X.5 or greater round up) |
| 15A. | Admit From | 25A. | . Height on admission (in inches) |
| | (01- Home (private home/apt., board/care, assisted living, group home, transitional living); 02- Short-term General Hospital; 03 - Skilled Nursing Facility (SNF); 04 - Intermediate care; 06 - Home under care of organized home health service organization; 50 - Hospice (home); 51 - Hospice (institutional facility); 61 - Swing bed; 62 - Another Inpatient Rehabilitation Facility; 63 - Long-Term Care Hospital (LTCH); 64 - Medicaid Nursing Facility; 65 - Inpatient Psychiatric Facility; 66 - Critical Access Hospital; 99 - Not Listed) | 26A. 27. | . Weight on admission (in pounds) |
| 16A. | Pre-hospital Living Setting Use codes from 15A. Admit From | | modified food consistency 2- <u>Modified Food Consistency/Supervision:</u> subject requires modified food |
| 17. | Pre-hospital Living With (Code only if item 16A is 01- Home: Code using 01 - Alone; 02 - Family/Relatives; 03 - Friends; 04 - Attendant; 05 - Other) | 20 | consistency and/or needs supervision for safety 1- <u>Tube/Parenteral Feeding:</u> tube/parenteral feeding used wholly or partially as a means of sustenance |
| 18. | DELETED | 28. | DELETED |
| 19. | DELETED | | |

| | Function M | odifiers* | | | 39. | FIM TM Instrum | nent* | | |
|---|--|-------------------|----------------------------|--|--|---------------------------|--------------------------|-------------------|--|
| Complete the following specific functional items prior to scoring the | | | | | Admission | Discharge | Goal | | |
| FIM | TM Instrument: | | | SELF | -CARE | | | | |
| | | Admission | Discharge | A. | Eating | | | | |
| 29. | Bladder Level of Assistance | | | В. | Grooming | | | | |
| | (Score using FIM Levels 1 - 7) | | | C. | Bathing | | | | |
| 30. | Bladder Frequency of Accidents | | | D. | Dressing - Upper | П | | П | |
| | (Score as below) | | | | Dressing - Lower | $\overline{\Box}$ | ō | | |
| | 7 - No accidents | | | E. | - | | ä | | |
| | 6 - No accidents; uses device such as a5 - One accident in the past 7 days | a catneter | | F. | Toileting | ш | ш | ш | |
| | 4 - Two accidents in the past 7 days3 - Three accidents in the past 7 days | | | SPHII | NCTER CONTROL | | | | |
| | 2 - Four accidents in the past 7 days | | | G. | Bladder | | | 닏 | |
| | 1 - Five or more accidents in the past 7 | • | | H. | Bowel | Ц | | | |
| | Enter in Item 39G (Bladder) the lower and 30 above | (more depender | nt) score from Items 29 | TRAN | NSFERS | | | | |
| | | Admission | Discharge | I. | Bed, Chair, Wheelchair | | | | |
| 31. | Bowel Level of Assistance | П | П | J. | Toilet | | | | |
| <i>J</i> 1. | (Score using FIM Levels 1 - 7) | _ | _ | K. | Tub, Shower | | | | |
| 32. | Bowel Frequency of Accidents | | | | | V | V - Walk | | |
| 32. | (Score as below) | _ | _ | 1.000 | MOTION | | Wheelchair | | |
| | 7 - No accidents | | | | OMOTION | | B - Both | П | |
| | 6 - No accidents; uses device such as a5 - One accident in the past 7 days | a ostomy | | L. | Walk/Wheelchair | | | H | |
| | 4 - Two accidents in the past 7 days | | | M. | Stairs | Ш | Ц | ш | |
| | 3 - Three accidents in the past 7 days2 - Four accidents in the past 7 days | | | | | _ | - Auditory ' - Visual | | |
| | 1 - Five or more accidents in the past 7 | 7 days | | COM | MUNICATION | | B - Both | | |
| | Enter in Item 39H (Bowel) the lower (rabove. | more dependent |) score of Items 31 and 32 | N. | Comprehension | | | | |
| | ubove. | Admission | Discharge | O. | Expression | | | | |
| 22 | T. 1. T | | | | | | / - Vocal | | |
| 33. | Tub Transfer | | | | | | - Nonvocal | | |
| 34. | Shower Transfer | | | SOCI | AL COGNITION | | | | |
| | (Score Items 33 and 34 using FIM Lev | vels 1 - 7; use 0 | if activity does not | P. | Social Interaction | | | | |
| | occur) See training manual for scoring | | • , | Q. | Problem Solving | | | | |
| | | Admission | Discharge | R. | Memory | $\overline{\Box}$ | $\overline{\Box}$ | $\overline{\Box}$ | |
| 35. | Distance Walked | Ц | Ц | K. | Wichiory | _ | _ | _ | |
| 36. | Distance Traveled in Wheelchair | | | | | | | | |
| | (Code items 35 and 36 using: 3 - 150) | | 19 feet; | FIM | LEVELS | | | | |
| | 1 - Less than 50 feet; 0 – activity does i | | . | | elper | | | | |
| | | Admission | Discharge | 7 | Complete Independence | (Timely, Safely) |) | | |
| 37. | Walk | Ш | Ш | 6 | Modified Independence (| | | | |
| 38. | Wheelchair | | | Helpe | er - Modified Dependence | | | | |
| | (Score Items 37 and 38 using FIM Leve | | | 5 | Supervision (Subject = 10 | | | | |
| | See training manual for scoring of Item | n 39L (Walk/Wh | eelchair) | 4 | Minimal Assistance (Sub Moderate Assistance (Su | - | | | |
| * The FIM data set, measurement scale and impairment codes incorporated or referenced herein are the property of U B Foundation Activities, Inc. ©1993, | | | | er - Complete Dependence | bject = 30% of 1 | nore) | | | |
| | ferenced herein are the property of U B 001 U B Foundation Activities, Inc. Th | | | 2 Maximal Assistance (Subject = 25% or more) | | | | | |
| | | | , | 1 | Total Assistance (Subject | | · · | | |
| | | | | 0 | Activity does not occur; | Use this code on | ly at admission | | |
| | | | | | richtity does not occur, | ese uns code on | ny at administrati | | |

| Discharge Information* | Therapy Information |
|---|---|
| 40. Discharge Date// | O0401. Week 1: Total Number of Minutes Provided |
| MM / DD / YYYY | O0401A: Physical Therapy |
| 41. Patient discharged against medical advice? | a. Total minutes of individual therapy |
| (0 - No; 1 - Yes) | b. Total minutes of concurrent therapy |
| 42. Program Interruption(s) | c. Total minutes of group therapy |
| 42. Program Interruption(s) (0 - No; 1 - Yes) | d. Total minutes of co-treatment therapy |
| 43. Program Interruption Dates | |
| (Code only if item 42 is 1 - Yes) | O0401B: Occupational Therapy |
| A 1-t Letermentian Data D 18 Datum Data | a. Total minutes of individual therapy |
| A. 1st Interruption Date B. 1st Return Date | b. Total minutes of concurrent therapy |
| MM / DD / YYYY MM / DD / YYYY | c. Total minutes of group therapy |
| | d. Total minutes of co-treatment therapy |
| C. 2 nd Interruption Date D. 2 nd Return Date | |
| | O0401C: Speech-Language Pathology |
| MM / DD / YYYY | a. Total minutes of individual therapy b. Total minutes of concurrent therapy |
| E. 3 rd Interruption Date F. 3 rd Return Date | c. Total minutes of group therapy |
| E. 3 Interruption Date 1. 3 Return Date | d. Total minutes of co-treatment therapy |
| MM / DD / YYYY MM / DD / YYYY | d. Total influtes of co-treatment distapy |
| 1000,000,000 | O0402. Week 2: Total Number of Minutes Provided |
| 44C. Was the patient discharged alive? (0 - No; 1 - Yes) | O0402A: Physical Therapy |
| | a. Total minutes of individual therapy |
| 44D. Patient's discharge destination/living setting, using codes below: (answer only if 44C = 1; if 44C = 0, skip to item 46) | b. Total minutes of concurrent therapy |
| only ii ++C = 1, ii ++C = 0, skip to itciii +0) | c. Total minutes of group therapy |
| (01- Home (private home/apt., board/care, assisted living, group home, | d. Total minutes of co-treatment therapy |
| transitional living); 02- Short-term General Hospital; 03 - Skilled Nursing | |
| Facility (SNF); 04 - Intermediate care; 06 - Home under care of organized home health service organization; 50 - Hospice (home); | O0402B: Occupational Therapy |
| 51 - Hospice (institutional facility); 61 - Swing bed; 62 - Another | a. Total minutes of individual therapy |
| Inpatient Rehabilitation Facility; 63 - Long-Term Care Hospital (LTCH); 64 - Medicaid Nursing Facility; 65 - Inpatient Psychiatric Facility; | b. Total minutes of concurrent therapy |
| 66 - Critical Access Hospital; 99 - Not Listed) | c. Total minutes of group therapy |
| 45. Discharge to Living With | d. Total minutes of co-treatment therapy |
| (Code only if item 44C is 1 - Yes and 44D is 01 - Home; Code using 1 - | 001000 0 11 P.4.1 |
| Alone; 2 - Family / Relatives; 3 - Friends; 4 - Attendant; | O0402C: Speech-Language Pathology |
| 5 - Other) | a. Total minutes of individual therapy b. Total minutes of concurrent therapy |
| 46. Diagnosis for Interruption or Death | c. Total minutes of group therapy |
| (Code using ICD code) | d. Total minutes of co-treatment therapy |
| 47. Complications during rehabilitation stay | d. Total limites of co deather diorapy |
| (Use ICD codes to specify up to six conditions that | |
| began with this rehabilitation stay) | |
| A B | |
| C | |
| E F | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| * The FIM data set, measurement scale and impairment codes incorporated or | |
| referenced herein are the property of U B Foundation Activities, Inc. © 1993, | |
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INPATIENT REHABILITATION FACILITY - PATIENT ASSESSMENT INSTRUMENT QUALITY INDICATORS

ADMISSION

Section B

Hearing, Speech, and Vision

BB0700. Expression of Ideas and Wants (3-day assessment period)

Enter Code

Expression of Ideas and Wants (consider both verbal and non-verbal expression and excluding language barriers)

- 4. Expresses complex messages without difficulty and with speech that is clear and easy to understand
- 3. Exhibits some difficulty with expressing needs and ideas (e.g., some words or finishing thoughts) or speech is not clear
- 2. Frequently exhibits difficulty with expressing needs and ideas
- 1. Rarely/Never expresses self or speech is very difficult to understand

BB0800. Understanding Verbal Content (3-day assessment period)

Enter Code

Understanding Verbal Content (with hearing aid or device, if used and excluding language barriers)

- 4. **Understands:** Clear comprehension without cues or repetitions
- 3. **Usually Understands:** Understands most conversations, but misses some part/intent of message. Requires cues at times to understand
- 2. Sometimes Understands: Understands only basic conversations or simple, direct phrases. Frequently requires cues to understand
- 1. Rarely/Never Understands

Section C

Cognitive Patterns

C0100. Should Brief Interview for Mental Status (C0200-C0500) be conducted? (3-day assessment period)

Attempt to conduct interview with all patients.

Enter Code

- 0. **No** (patient is rarely/never understood) → Skip to C0900. Memory/Recall Ability
- 1. **Yes** → Continue to C0200. Repetition of Three Words

Brief Interview for Mental Status (BIMS)

C0200. Repetition of Three Words

Ask patient: "I am going to say three words for you to remember. Please repeat the words after I have said all three. The words are: **sock, blue** and bed. Now tell me the three words."

Enter Code

Number of words repeated by patient after first attempt:

- 3. Three
- 2. **Two**
- 1. **One**
- 0. None

After the patient's first attempt say: "I will repeat each of the three words with a cue and ask you about them later: sock, something to wear; blue, a color; bed, a piece of furniture." You may repeat the words up to two more times.

| Section | n C Cognitive Patterns | | | |
|--|--|--|--|--|
| Brief Interview for Mental Status (BIMS) - Continued | | | | |
| C0300. Temporal Orientation: Year, Month, Day | | | | |
| Enter Code | A. Ask patient: "Please tell me what year it is right now." Patient's answer is: 3. Correct 2. Missed by 1 year 1. Missed by 2 to 5 years 0. Missed by more than 5 years or no answer | | | |
| Enter Code | B. Ask patient: "What month are we in right now?" Patient's answer is: 2. Accurate within 5 days 1. Missed by 6 days to 1 month 0. Missed by more than 1 month or no answer | | | |
| Enter Code | C. Ask patient: "What day of the week is today?" Patient's answer is: 1. Correct 0. Incorrect or no answer | | | |
| C0400. R | lecall | | | |
| Enter Code | Ask patient: "Let's go back to the first question. What were those three words that I asked you to repeat?" If unable to remember a word, give cue (i.e., something to wear; a color; a piece of furniture) for that word. A. Recalls "sock?" 2. Yes, no cue required 1. Yes, after cueing ("something to wear") 0. No, could not recall | | | |
| Enter Code | B. Recalls "blue?" 2. Yes, no cue required 1. Yes, after cueing ("a color") 0. No, could not recall | | | |
| Enter Code | C. Recalls "bed?" 2. Yes, no cue required 1. Yes, after cueing ("a piece of furniture") 0. No, could not recall | | | |
| C0500. B | SIMS Summary Score | | | |
| Enter Score | Add scores for questions C0200-C0400 and fill in total score (00-15) Enter 99 if the patient was unable to complete the interview | | | |
| C0600. S | hould the Staff Assessment for Mental Status (C0900) be Conducted? | | | |
| Enter Code | No (patient was able to complete Brief Interview for Mental Status) → Skip to GG0100. Prior Functioning: Everyday Activities Yes (patient was unable to complete Brief Interview for Mental Status) → Continue to C0900. Memory/Recall Ability | | | |
| Staff Ass | essment for Mental Status | | | |
| Do not cor | nduct if Brief Interview for Mental Status (C0200-C0500) was completed. | | | |
| C0900. Memory/Recall Ability | | | | |
| ↓ Check all that the patient was normally able to recall | | | | |
| | A. Current season | | | |
| | B. Location of own room | | | |
| | C. Staff names and faces | | | |
| | E. That he or she is in a hospital/hospital unit | | | |

Z. None of the above were recalled

| Section GG Functional A | bilities and Goals | | | | |
|---|---|--|--|--|--|
| GG0100. Prior Functioning: Everyday Activities. Indicate the patient's usual ability with everyday activities prior to the current | | | | | |
| illness, exacerbation, or injury. | | | | | |
| | ↓ Enter Codes in Boxes | | | | |
| Independent - Patient completed the activities by him/herself, with or without an assistive device, | A. Self-Care: Code the patient's need for assistance with bathing, dressing, using the toilet, or eating prior to the current illness, exacerbation, or injury. | | | | |
| with no assistance from a helper. 2. Needed Some Help - Patient needed partial assistance from another person to complete | B. Indoor Mobility (Ambulation): Code the patient's need for assistance with walking from room to room (with or without a device such as cane, crutch, or walker) prior to the current illness, exacerbation, or injury. | | | | |
| activities.1. Dependent - A helper completed the activities for the patient.8. Unknown | C. Stairs: Code the patient's need for assistance with internal or external stairs (with or without a device such as cane, crutch, or walker) prior to the current illness, exacerbation, or injury. | | | | |
| 9. Not Applicable | D. Functional Cognition: Code the patient's need for assistance with planning regular tasks, such as shopping or remembering to take medication prior to the current illness, exacerbation, or injury. | | | | |
| GG0110. Prior Device Use. Indicate devices and | d aids used by the patient prior to the current illness, exacerbation, or injury. | | | | |
| ↓ Check all that apply | | | | | |
| A. Manual wheelchair | | | | | |
| B. Motorized wheelchair or scooter | B. Motorized wheelchair or scooter | | | | |
| C. Mechanical lift | C. Mechanical lift | | | | |
| D. Walker | D. Walker | | | | |
| E. Orthotics/Prosthetics | E. Orthotics/Prosthetics | | | | |
| Z. None of the above | | | | | |

Section GG

Functional Abilities and Goals

GG0130. Self-Care (3-day assessment period)

Code the patient's usual performance at admission for each activity using the 6-point scale. If activity was not attempted at admission, code the reason. Code the patient's discharge goal(s) using the 6-point scale. Do not use codes 07, 09, or 88 to code discharge goal(s).

CODING:

Safety and **Quality of Performance** - If helper assistance is required because patient's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. **Independent** Patient completes the activity by him/herself with no assistance from a helper.
- 05. Setup or clean-up assistance Helper SETS UP or CLEANS UP; patient completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** Helper provides VERBAL CUES or TOUCHING/STEADYING assistance as patient completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** Helper does LESS THAN HALF the effort. Helper lifts, holds or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** Helper does ALL of the effort. Patient does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the patient to complete the activity.

- 07. Patient refused
- 09. Not applicable
- 88. Not attempted due to medical condition or safety concerns

| 1. Admission Performance | 2. Discharge Goal | |
|--------------------------------|-------------------------|--|
| ↓ Enter Code | s in Boxes 👃 | |
| | | A. Eating: The ability to use suitable utensils to bring food to the mouth and swallow food once the meal is presented on a table/tray. Includes modified food consistency. |
| | | B. Oral hygiene: The ability to use suitable items to clean teeth. [Dentures (if applicable): The ability to remove and replace dentures from and to the mouth, and manage equipment for soaking and rinsing them.] |
| | | C. Toileting hygiene: The ability to maintain perineal hygiene, adjust clothes before and after using the toilet, commode, bedpan or urinal. If managing an ostomy, include wiping the opening but not managing equipment. |
| | | E. Shower/bathe self: The ability to bathe self in shower or tub, including washing, rinsing, and drying self. Does not include transferring in/out of tub/shower. |
| | | F. Upper body dressing: The ability to put on and remove shirt or pajama top; includes buttoning, if applicable. |
| | | G. Lower body dressing: The ability to dress and undress below the waist, including fasteners; does not include footwear. |
| | | H. Putting on/taking off footwear: The ability to put on and take off socks and shoes or other footwear that is appropriate for safe mobility. |

Section GG Functional Abilities and Goals

GG0170. Mobility (3-day assessment period)

Code the patient's usual performance at admission for each activity using the 6-point scale. If activity was not attempted at admission, code the reason. Code the patient's discharge goal(s) using the 6-point scale. Do not use codes 07, 09, or 88 to code discharge goal(s).

CODING:

Safety and **Quality of Performance** - If helper assistance is required because patient's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. **Independent** Patient completes the activity by him/herself with no assistance from a helper.
- 05. Setup or clean-up assistance Helper SETS UP or CLEANS UP; patient completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** Helper provides VERBAL CUES or TOUCHING/STEADYING assistance as patient completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** Helper does LESS THAN HALF the effort. Helper lifts, holds or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** Helper does ALL of the effort. Patient does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the patient to complete the activity.

- 07. Patient refused
- 09. Not applicable
- 88. Not attempted due to medical condition or safety concerns

| 1. Admission Performance | 2. Discharge Goal | | | |
|--------------------------------|---|--|--|--|
| ↓ Enter Code | s in Boxes ↓ | | | |
| | | A. Roll left and right: The ability to roll from lying on back to left and right side, and return to lying on back. | | |
| | | B. Sit to lying: The ability to move from sitting on side of bed to lying flat on the bed. | | |
| | | C. Lying to sitting on side of bed: The ability to safely move from lying on the back to sitting on the side of the bed with feet flat on the floor, and with no back support. | | |
| | D. Sit to stand: The ability to safely come to a standing position from sitting in a chair or on the side of the bed | | | |
| | E. Chair/bed-to-chair transfer: The ability to safely transfer to and from a bed to a chair (or wheelchair). | | | |
| | F. Toilet transfer: The ability to safely get on and off a toilet or commode. | | | |
| | G. Car transfer: The ability to transfer in and out of a car or van on the passenger side. Does not include th to open/close door or fasten seat belt. | | | |
| | | H1. Does the patient walk? | | |
| | | 0. No, and walking goal is not clinically indicated → <i>Skip to GG0170Q1. Does the patient use a wheelchair/scooter?</i> | | |
| | | 1. No, and walking goal is clinically indicated → Code the patient's discharge goal(s) for items GG0170I, J, K, L, M, N, O, and P. For admission performance, skip to GG0170Q1. Does the patient use a wheelchair/scooter? | | |
| | | 2. Yes → Continue to GG01701. Walk 10 feet | | |
| | | I. Walk 10 feet: Once standing, the ability to walk at least 10 feet in a room, corridor or similar space. | | |
| | | J. Walk 50 feet with two turns: Once standing, the ability to walk at least 50 feet and make two turns. | | |
| | | K. Walk 150 feet: Once standing, the ability to walk at least 150 feet in a corridor or similar space. | | |

Section GG Functional Abilities and Goals

GG0170. Mobility (3-day assessment period) - Continued

Code the patient's usual performance at admission for each activity using the 6-point scale. If activity was not attempted at admission, code the reason. Code the patient's discharge goal(s) using the 6-point scale. Do not use codes 07, 09, or 88 to code discharge goal(s).

CODING:

Safety and **Quality of Performance** - If helper assistance is required because patient's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. Independent Patient completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** Helper SETS UP or CLEANS UP; patient completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** Helper provides VERBAL CUES or TOUCHING/STEADYING assistance as patient completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** Helper does LESS THAN HALF the effort. Helper lifts, holds or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** Helper does ALL of the effort. Patient does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the patient to complete the activity.

- 07. Patient refused
- 09. Not applicable
- 88. Not attempted due to medical condition or safety concerns

| 1. Admission | 2. Discharge | | | | |
|--|-----------------|--|--|--|--|
| Performance | Goal | | | | |
| ↓ Enter Code | es in Boxes ↓ | | | | |
| | | L. Walking 10 feet on uneven surfaces: The ability to walk 10 feet on uneven or sloping surfaces, such as grass or gravel. | | | |
| | | M. 1 step (curb): The ability to step over a curb or up and down one step. | | | |
| | | N. 4 steps: The ability to go up and down four steps with or without a rail. | | | |
| | | O. 12 steps: The ability to go up and down 12 steps with or without a rail. | | | |
| | | P. Picking up object: The ability to bend/stoop from a standing position to pick up a small object, such as a spoon, from the floor. | | | |
| | | Q1. Does the patient use a wheelchair/scooter? | | | |
| | | 0. No → Skip to H0350. Bladder Continence | | | |
| | | 1. Yes → Continue to GG0170R. Wheel 50 feet with two turns | | | |
| | | R. Wheel 50 feet with two turns: Once seated in wheelchair/scooter, the ability to wheel at least 50 feet and make two turns. | | | |
| RR1. Indicate the type of wheelchair/scooter used. 1. Manual 2. Motorized | | 1. Manual | | | |
| | | S. Wheel 150 feet: Once seated in wheelchair/scooter, the ability to wheel at least 150 feet in a corridor or similar space. | | | |
| | | SS1. Indicate the type of wheelchair/scooter used. 1. Manual 2. Motorized | | | |

Patient Identifier Section H **Bladder and Bowel** H0350. Bladder Continence (3-day assessment period) **Bladder continence -** Select the one category that best describes the patient. **Enter Code** 0. Always continent (no documented incontinence) 1. Stress incontinence only 2. Incontinent less than daily (e.g., once or twice during the 3-day assessment period) 3. Incontinent daily (at least once a day) 4. Always incontinent 5. **No urine output** (e.g., renal failure) 9. Not applicable (e.g., indwelling catheter) **H0400.** Bowel Continence (3-day assessment period) **Bowel continence -** Select the one category that best describes the patient. **Enter Code** 0. Always continent 1. **Occasionally incontinent** (one episode of bowel incontinence) 2. Frequently incontinent (2 or more episodes of bowel incontinence, but at least one continent bowel movement) 3. Always incontinent (no episodes of continent bowel movements) 9. Not rated, patient had an ostomy or did not have a bowel movement for the entire 3 days **Section I Active Diagnoses** Comorbidities and Co-existing Conditions Check all that apply 10900. Peripheral Vascular Disease (PVD) or Peripheral Arterial Disease (PAD) 12900. Diabetes Mellitus (DM) (e.g., diabetic retinopathy, nephropathy, and neuropathy) 17900. None of the above Section J **Health Conditions** J1750. History of Falls Has the patient had two or more falls in the past year or any fall with injury in the past year? **Enter Code** 0. **No** 1. **Yes** 8. Unknown J2000. Prior Surgery Did the patient have major surgery during the 100 days prior to admission? **Enter Code** 0. **No** 1. **Yes** 8. Unknown **Section K Swallowing/Nutritional Status** K0110. Swallowing/Nutritional Status (3-day assessment period) Indicate the patient's usual ability to swallow. Check all that apply A. Regular food - Solids and liquids swallowed safely without supervision or modified food or liquid consistency. B. Modified food consistency/supervision - Patient requires modified food or liquid consistency and/or needs supervision during eating for safety. C. Tube/parenteral feeding - Tube/parenteral feeding used wholly or partially as a means of sustenance.

Section M

Skin Conditions

Report based on highest stage of existing ulcer(s) at its worst; do not "reverse" stage

| M0210. Unhealed Pressure Ulcer(s) | | | | | |
|---|-------------|---|--|--|--|
| Enter Code | Do | es this patient have one or more unhealed pressure ulcer(s) at Stage 1 or higher? 0. No → Skip to O0100. Special Treatments, Procedures, and Programs 1. Yes → Continue to M0300. Current Number of Unhealed Pressure Ulcers at Each Stage | | | |
| M0300. | Cur | rent Number of Unhealed Pressure Ulcers at Each Stage | | | |
| Enter Number | A. | Stage 1: Intact skin with non-blanchable redness of a localized area usually over a bony prominence. Darkly pigmented skin may not have a visible blanching; in dark skin tones only it may appear with persistent blue or purple hues. Number of Stage 1 pressure ulcers | | | |
| Enter Number | В. | Stage 2: Partial thickness loss of dermis presenting as a shallow open ulcer with a red or pink wound bed, without slough. May also present as an intact or open/ruptured blister. | | | |
| | | 1. Number of Stage 2 pressure ulcers | | | |
| Enter Number | c. | Stage 3: Full thickness tissue loss. Subcutaneous fat may be visible but bone, tendon or muscle is not exposed. Slough may be present but does not obscure the depth of tissue loss. May include undermining and tunneling. | | | |
| | | 1. Number of Stage 3 pressure ulcers | | | |
| Enter Number | D. | Stage 4: Full thickness tissue loss with exposed bone, tendon or muscle. Slough or eschar may be present on some parts of the wound bed. Often includes undermining and tunneling. 1. Number of Stage 4 pressure ulcers | | | |
| Enter Number | _ | | | | |
| Enter Humber | E. | Unstageable - Non-removable dressing: Known but not stageable due to non-removable dressing/device | | | |
| | | 1. Number of unstageable pressure ulcers due to non-removable dressing/device | | | |
| Enter Number | F. | Unstageable - Slough and/or eschar: Known but not stageable due to coverage of wound bed by slough and/or eschar | | | |
| | | 1. Number of unstageable pressure ulcers due to coverage of wound bed by slough and/or eschar | | | |
| Enter Number | G. | Unstageable - Deep tissue injury: Suspected deep tissue injury in evolution | | | |
| | | 1. Number of unstageable pressure ulcers with suspected deep tissue injury in evolution | | | |
| Sectio | n (| Special Treatments, Procedures, and Programs | | | |
| O0100. Special Treatments, Procedures, and Programs | | | | | |
| ↓ Check if treatment applies at admission | | | | | |
| | N. 7 | Total Parenteral Nutrition | | | |

DISCHARGE

Section GG Functional Abilities and Goals

GG0130. Self-Care (3-day assessment period)

Code the patient's usual performance at discharge for each activity using the 6-point scale. If activity was not attempted at discharge, code the reason.

CODING:

Safety and **Quality of Performance** - If helper assistance is required because patient's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. **Independent** Patient completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** Helper SETS UP or CLEANS UP; patient completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** Helper provides VERBAL CUES or TOUCHING/STEADYING assistance as patient completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** Helper does LESS THAN HALF the effort. Helper lifts, holds or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** Helper does ALL of the effort. Patient does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the patient to complete the activity.

- 07. Patient refused
- 09. Not applicable
- 88. Not attempted due to medical condition or safety concerns

| 3. Discharge Performance | |
|--------------------------------|--|
| Enter Codes in Boxes 👃 | |
| | A. Eating: The ability to use suitable utensils to bring food to the mouth and swallow food once the meal is presented on a table/tray. Includes modified food consistency. |
| | B. Oral hygiene: The ability to use suitable items to clean teeth. [Dentures (if applicable): The ability to remove and replace dentures from and to the mouth, and manage equipment for soaking and rinsing them.] |
| | C. Toileting hygiene: The ability to maintain perineal hygiene, adjust clothes before and after using the toilet, commode, bedpan or urinal. If managing an ostomy, include wiping the opening but not managing equipment. |
| | E. Shower/bathe self: The ability to bathe self in shower or tub, including washing, rinsing, and drying self. Does not include transferring in/out of tub/shower. |
| | F. Upper body dressing: The ability to put on and remove shirt or pajama top; includes buttoning, if applicable. |
| | G. Lower body dressing: The ability to dress and undress below the waist, including fasteners; does not include footwear. |
| | H. Putting on/taking off footwear: The ability to put on and take off socks and shoes or other footwear that is appropriate for safe mobility. |

Section GG Functional Abilities and Goals

GG0170. Mobility (3-day assessment period)

Code the patient's usual performance at discharge for each activity using the 6-point scale. If activity was not attempted at discharge, code the reason.

CODING:

Safety and **Quality of Performance** - If helper assistance is required because patient's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. Independent Patient completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** Helper SETS UP or CLEANS UP; patient completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** Helper provides VERBAL CUES or TOUCHING/STEADYING assistance as patient completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** Helper does LESS THAN HALF the effort. Helper lifts, holds or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** Helper does ALL of the effort. Patient does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the patient to complete the activity.

- 07. Patient refused
- 09. Not applicable
- 88. Not attempted due to medical condition or safety concerns

| 3. Discharge Performance | | | |
|--------------------------------|---|--|--|
| Enter Codes in Boxes ↓ | | | |
| | A. Roll left and right: The ability to roll from lying on back to left and right side, and return to lying on back. | | |
| | B. Sit to lying: The ability to move from sitting on side of bed to lying flat on the bed. | | |
| | C. Lying to sitting on side of bed: The ability to safely move from lying on the back to sitting on the side of the bed with feet flat on the floor, and with no back support. | | |
| | D. Sit to stand: The ability to safely come to a standing position from sitting in a chair or on the side of the bed. | | |
| | E. Chair/bed-to-chair transfer: The ability to safely transfer to and from a bed to a chair (or wheelchair). | | |
| | F. Toilet transfer: The ability to safely get on and off a toilet or commode. | | |
| | G. Car transfer: The ability to transfer in and out of a car or van on the passenger side. Does not include the ability to open/close door or fasten seat belt. | | |
| | H3. Does the patient walk? 0. No → Skip to GG0170Q3. Does the patient use a wheelchair/scooter? 2. Yes → Continue to GG0170I. Walk 10 feet | | |
| | I. Walk 10 feet: Once standing, the ability to walk at least 10 feet in a room, corridor or similar space | | |
| | J. Walk 50 feet with two turns: Once standing, the ability to walk at least 50 feet and make two turns | | |
| | K. Walk 150 feet: Once standing, the ability to walk at least 150 feet in a corridor or similar space | | |

Section GG

Functional Abilities and Goals

GG0170. Mobility (3-day assessment period) - Continued

Code the patient's usual performance at discharge for each activity using the 6-point scale. If activity was not attempted at discharge, code the reason.

CODING:

Safety and **Quality of Performance** - If helper assistance is required because patient's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. Independent Patient completes the activity by him/herself with no assistance from a helper.
- 05. Setup or clean-up assistance Helper SETS UP or CLEANS UP; patient completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** Helper provides VERBAL CUES or TOUCHING/STEADYING assistance as patient completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** Helper does LESS THAN HALF the effort. Helper lifts, holds or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** Helper does ALL of the effort. Patient does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the patient to complete the activity.

- 07. Patient refused
- 09. Not applicable
- 88. Not attempted due to medical condition or safety concerns

| 3. Discharge Performance | |
|--------------------------------|--|
| Enter Codes in Boxes ↓ | |
| | L. Walking 10 feet on uneven surfaces: The ability to walk 10 feet on uneven or sloping surfaces, such as grass or gravel. |
| | M. 1 step (curb): The ability to step over a curb or up and down one step. |
| | N. 4 steps: The ability to go up and down four steps with or without a rail. |
| | O. 12 steps: The ability to go up and down 12 steps with or without a rail. |
| | P. Picking up object: The ability to bend/stoop from a standing position to pick up a small object, such as a spoon, from the floor. |
| | Q3. Does the patient use a wheelchair/scooter? 0. No → Skip to J1800. Any Falls Since Admission 1. Yes → Continue to GG0170R. Wheel 50 feet with two turns |
| | R. Wheel 50 feet with two turns: Once seated in wheelchair/scooter, the ability to wheel at least 50 feet and make two turns. |
| | RR3. Indicate the type of wheelchair/scooter used. 1. Manual 2. Motorized |
| | S. Wheel 150 feet: Once seated in wheelchair/scooter, the ability to wheel at least 150 feet in a corridor or similar space. |
| | SS3. Indicate the type of wheelchair/scooter used. 1. Manual 2. Motorized |

| Section J | Health | Conditions |
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| Section 5 | licaitii | Collabora |

| J1800. Any | I1800. Any Falls Since Admission | | |
|-------------------|--|------|--|
| Enter Code | Has the patient had any falls since admission? 0. No → Skip to M0210. Unhealed Pressure Ulcer(s) 1. Yes → Continue to J1900. Number of Falls Since Admission | | |
| J1900. Nur | 1900. Number of Falls Since Admission | | |
| CODING: | | ↓ En | iter Codes in Boxes |
| 0. None 1. One | | | A. No injury: No evidence of any injury is noted on physical assessment by the nurse or primary care clinician; no complaints of pain or injury by the patient; no change in the patient's behavior is noted after the fall |
| 2. Two or m | more | | B. Injury (except major): Skin tears, abrasions, lacerations, superficial bruises, hematomas and sprains; or any fall-related injury that causes the patient to complain of pain |
| | | | C. Major injury: Bone fractures, joint dislocations, closed head injuries with altered consciousness, subdural hematoma |

Section M Skin Conditions

Report based on highest stage of existing ulcer(s) at its worst; do not "reverse" stage

| M0210. | Unh | ealed Pressure Ulcer(s) |
|--------------|-----|--|
| Enter Code | Do | es this patient have one or more unhealed pressure ulcer(s) at Stage 1 or higher? |
| | | No → Skip to M0900A. Healed Pressure Ulcer(s) Yes → Continue to M0300. Current Number of Unhealed Pressure Ulcers at Each Stage |
| M0300. | Cur | rent Number of Unhealed Pressure Ulcers at Each Stage |
| Enter Number | A. | Stage 1: Intact skin with non-blanchable redness of a localized area usually over a bony prominence. Darkly pigmented skin may not have a visible blanching; in dark skin tones only it may appear with persistent blue or purple hues. |
| | | Number of Stage 1 pressure ulcers |
| Enter Number | В. | Stage 2: Partial thickness loss of dermis presenting as a shallow open ulcer with a red or pink wound bed, without slough. May also present as an intact or open/ruptured blister. |
| | | 1. Number of Stage 2 pressure ulcers If 0 → Skip to M0300C. Stage 3 |
| Enter Number | | 2. Number of these Stage 2 pressure ulcers that were present upon admission - enter how many were noted at the time of admission |
| Enter Number | c. | Stage 3: Full thickness tissue loss. Subcutaneous fat may be visible but bone, tendon or muscle is not exposed. Slough may be present but does not obscure the depth of tissue loss. May include undermining and tunneling. |
| Enter Number | | 1. Number of Stage 3 pressure ulcers If 0 → Skip to M0300D. Stage 4 |
| Enter Number | | 2. Number of these Stage 3 pressure ulcers that were present upon admission - enter how many were noted at the time of admission |

| Section M | Skin | Conditions |
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| | JKIII | Colluitions |

| М0300. О | Current Number of Unhealed Pressure Ulcers at Each Stage - Continued |
|--------------|--|
| Enter Number | D. Stage 4: Full thickness tissue loss with exposed bone, tendon or muscle. Slough or eschar may be present on some parts of the wound bed. Often includes undermining and tunneling. |
| | Number of Stage 4 pressure ulcers If 0 → Skip to M0300E. Unstageable - Non-removable dressing |
| Enter Number | Number of <u>these</u> Stage 4 pressure ulcers that were present upon admission - enter how many were noted at the time of admission |
| Enter Number | E. Unstageable - Non-removable dressing: Known but not stageable due to non-removable dressing/device |
| | Number of unstageable pressure ulcers due to non-removable dressing/device If 0 → Skip to M0300F. Unstageable - Slough and/or eschar |
| Enter Number | 2. Number of <u>these</u> unstageable pressure ulcers that were present upon admission - enter how many were noted at the time of admission |
| Enter Number | F. Unstageable - Slough and/or eschar: Known but not stageable due to coverage of wound bed by slough and/or eschar |
| | Number of unstageable pressure ulcers due to coverage of wound bed by slough and/or eschar If 0 → Skip to M0300G. Unstageable - Deep tissue injury |
| Enter Number | 2. Number of <u>these</u> unstageable pressure ulcers that were present upon admission - enter how many were noted at the time of admission |
| Enter Number | G. Unstageable - Deep tissue injury: Suspected deep tissue injury in evolution |
| | Number of unstageable pressure ulcers with suspected deep tissue injury in evolution If 0 → Skip to M0800. Worsening in Pressure Ulcer Status Since Admission |
| Enter Number | 2. Number of <u>these</u> unstageable pressure ulcers that were present upon admission - enter how many were noted at the time of admission |
| M0800. \ | Worsening in Pressure Ulcer Status Since Admission |
| | e number of current pressure ulcers that were not present or were at a lesser stage on admission. nt pressure ulcer at a given stage, enter 0. |
| Enter Numbe | A. Stage 2 |
| Enter Numbe | B. Stage 3 |
| Enter Numbe | C. Stage 4 |
| Enter Numbe | D. Unstageable - Non-removable dressing |
| Enter Numbe | E. Unstageable - Slough and/or eschar |
| Enter Numbe | F. Unstageable - Deep tissue injury |

| Section M | Skin | Conditions |
|-----------|------|------------|
| | | |

| M0900. Healed Pressure Ulcer(s) | | |
|---------------------------------|---|--|
| | Indicate the number of pressure ulcers that were: (a) present on Admission ; and (b) have completely closed (resurfaced with epithelium) upon Discharge. If there are no healed pressure ulcers noted at a given stage, enter 0. | |
| Enter Number | A. Stage 1 | |
| Enter Number | B. Stage 2 | |
| Enter Number | C. Stage 3 | |
| Enter Number | D. Stage 4 | |

Section O Special Treatments, Procedures, and Programs

| O0250. Influenza Vaccine - Refer to current version of IRF-PAI Training Manual for current influenza vaccination season and |
|---|
| reporting period. |

Enter Code

- A. Did the patient receive the influenza vaccine in this facility for this year's influenza vaccination season?
 - 0. **No** \longrightarrow Skip to O0250C. If influenza vaccine not received, state reason
 - 1. **Yes** → Continue to O0250B. Date influenza vaccine received
- **B.** Date influenza vaccine received → Complete date and skip to Z0400A. Signature of Persons Completing the Assessment
 - $\mathsf{M} \quad \mathsf{M} \quad \mathsf{D} \quad \mathsf{D} \quad \mathsf{Y} \quad \mathsf{Y} \quad \mathsf{Y}$

Enter Code

- C. If influenza vaccine not received, state reason:
 - 1. Patient not in this facility during this year's influenza vaccination season
 - 2. Received outside of this facility
 - 3. Not eligible medical contraindication
 - 4. Offered and declined
 - 5. Not offered
 - 6. Inability to obtain influenza vaccine due to a declared shortage
 - 9. None of the above

Item Z0400A. Signature of Persons Completing the Assessment*

I certify that the accompanying information accurately reflects patient assessment information for this patient and that I collected or coordinated collection of this information on the dates specified. To the best of my knowledge, this information was collected in accordance with applicable Medicare and Medicaid requirements. I understand that this information is used as a basis for ensuring that patients receive appropriate and quality care, and as a basis for payment from federal funds. I further understand that payment of such federal funds and continued participation in the government-funded health care programs is conditioned on the accuracy and truthfulness of this information, and that I may be personally subject to or may subject my organization to substantial criminal, civil, and/or administrative penalties for submitting false information.

| Signature | Title | Date Information is Provided | Time |
|-----------|-------|------------------------------|------|
| A. | | | |
| В. | | | |
| C. | | | |
| D. | | | |
| E. | | | |
| F. | | | |
| G. | | | |
| Н. | | | |
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| L. | | | |