

**1Supporting Statement – Part A**

**WINE GRAPE INVENTORY SURVEYS**

**OMB No. 0535-NEW**

This supporting statement addresses the new data collection efforts for the Wine Grape Inventory Surveys for a period of three years. This project will concentrate on wine grape producers in two States, Michigan and Ohio. The reference period will be grapes grown in crop years 2018-2020.

Data collected under this docket are for cooperative agreements between the National Agricultural Statistics Service (NASS) and each State's Department of Agriculture. The purpose of these surveys is to collect wine grape acreage, data by variety, and age, as well as intentions to add grapes by variety. NASS and each State's wine grape interest group hope the results will allow them to track industry growth and analyze the wine grape industry more thoroughly.

**A. JUSTIFICATION**

This survey is being conducted through a cooperative agreement with the Michigan and/or Ohio Departments of Agriculture. NASS is being fully reimbursed for all expenses.

- 1. Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection. Attach a copy of the appropriate section of each statute and regulation mandating or authorizing the collection of information.**

The primary function of the National Agricultural Statistics Service (NASS) is to prepare and issue current official State and national estimates of crop and livestock production, value, and disposition. Limited data exists specifically for wine grapes. Currently, only Oregon and Washington publish annual statistics for wine grapes that are funded by their State Departments of Agriculture.

General authority for these data collection activities is granted under U.S. Code Title 7, Section 2204. This statute specifies that "The Secretary of Agriculture shall procure and preserve all information concerning agriculture which he can obtain ... by the collection of statistics ... and shall distribute them among agriculturists."

- 2. Indicate how, by whom, and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.**

NASS will select approximately 1,200 operations in two States (Michigan and Ohio) to represent the various types and sizes of farms that grow grapes. The 1,200 operations will be asked to provide the total number of acres of wine, juice, and table grapes. If any wine grapes were grown, then the survey will ask the producer to report by field:

- County and township where the grapes are grown,
- The specific variety of wine grape,
- The principal use of grapes,
- The year the field was planted to grapes,
- Number of vines, and
- The spacing of the vines.

Questions related to crop insurance, planned plantings and removals, and number of seasonal farm workers will be asked. The summarized and published information will be analyzed by State Departments of Agriculture, agricultural economists, and stakeholders in wine grape production. Results will be used to track industry growth and analyze the wine grape industry.

**3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also describe any consideration of using information technology to reduce burden.**

During this data collection, NASS will mail out a paper questionnaire along with a cover letter and return envelope. Operators who do not respond to this mailing will be contacted by a Computer Assisted Telephone Interview (CATI). Data will be collected by a trained National Association of State Departments of Agriculture (NASDA) enumerator. Data collection does not currently involve the use of electronic submission of responses or other information technology to reduce burden.

**4. Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purposes described in Item 2 above.**

NASS cooperates with State departments of agriculture, land grant universities,

and other State and Federal agencies to conduct surveys. Wherever possible, surveys meet both State and Federal needs, thus eliminating duplication and minimizing reporting burden on the agricultural industry.

Data on the specific variety of grapes and number of vines in production can only be obtained from farm operators; they are not available from any other source.

- 5. If the collection of information impacts small businesses or other small entities (Item 5 of OMB Form 83-I), describe any methods used to minimize burden.**

This information collection will not have a significant economic impact on small entities. Out of the estimated sample size of 1,330, approximately 83% or 1,105 are estimated to be classified as small operations.

- 6. Describe the consequence to Federal program or policy activities if the collection is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reducing burden.**

Collecting data less frequently would prevent the agriculture industry from being kept abreast of changes at the State and variety level.

- 7. Explain any special circumstances that would cause an information collection to be conducted in a manner inconsistent with the general information guidelines in 5 CFR 1320.5.**

There are no special circumstances associated with this information collection.

- 8. Provide a copy and identify the date and page number of publication in the Federal Register of the agency's notice, required by 5 CFR 1320.8 (d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and describe actions taken by the agency in response to these comments.**

The Federal Register Notice soliciting comments was published on September 22, 2017 on pages 44380 - 44381. There are no public comments on this submission.

**Describe efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and record-keeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.**

The Michigan and Ohio State Departments of Agriculture have requested and received input on these matters from stakeholders in the wine grape industry, including representatives of wine grape producers.

**9. Explain any decision to provide any payment or gift to respondents.**

No payment or gifts will be provided to respondents.

**10. Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation, or agency policy.**

Questionnaires include a statement that individual reports are confidential. U.S. Code Title 18, Section 1905; U.S. Code Title 7, Section 2276; and Public Law 107-347, Title V (CIPSEA) provide for confidentiality of reported information. All employees of NASS and all enumerators hired and supervised under a cooperative agreement with the National Association of State Departments of Agriculture (NASDA) must read the regulations and sign a statement of compliance.

Additionally, NASS employees and NASS contractors comply with the OMB implementation guidance document, "Implementation Guidance for Title V of the E-Government Act, Confidential Information Protection and Statistical Efficiency Act of 2002 (CIPSEA)." CIPSEA supports NASS's pledge of confidentiality to all respondents and facilitates the agency's efforts to reduce burden by supporting statistical activities of collaborative agencies through designation of NASS agents, subject to the limitations and penalties described in CIPSEA.

The following confidentiality pledge statement will appear on all NASS questionnaires.

The information you provide will be used for statistical purposes only. Your responses will be kept confidential and any person who willfully discloses ANY identifiable information about you or your operation is subject to a jail term, a fine, or both. This survey is conducted in accordance with the Confidential Information Protection provisions of Title V, Subtitle A, Public Law 107-347 and other applicable Federal laws. For more information on how we protect your information please visit:  
<https://www.nass.usda.gov/confidentiality>.

**11. Provide additional justification for any questions of a sensitive nature.**

There are no questions of a sensitive nature.

**12. Provide estimates of the hour burden of the collection of information. The statement should indicate the number of respondents, frequency of response, annual hour burden, and an explanation of how the burden was estimated. If this request for approval covers more than one form, provide separate hour burden estimates for each form and aggregate the hour burdens in Item 13 of OMB Form 83-I. Provide estimates of annualized cost**

**to respondents for the hour burdens for collections of information, identifying and using appropriate wage rate categories.**

Burden hours based on the average completion time per questionnaire are summarized below.

NASS uses the Bureau of Labor Statistics' Occupational Employment Statistics (most recently published on March 31, 2017 for the previous May) to estimate an hourly wage for the burden cost. The May 2016 mean wage for bookkeepers was \$19.34. The mean wage for farm managers was \$36.44. The mean wage for farm supervisors was \$23.47. The average of the three is \$26.42. The annual estimated reporting time of 482 hours is multiplied by \$26 per hour for a total cost to the public of \$12,532.

## Estimated Sample Size and Respondent Burden for the 2018-2020 surveys

Category	Commodity	Sector	Survey Name	Sample Size 1/	Waves of Data Collection	Resp. Count	Waves X Count	Min. / Resp	Burden Hours	Non-Resp Count	Waves X Count	Min / Non Resp.	Burden Hours	Total Burden Hours		
Wine	Wine Grapes	Growers	Michigan Grape Inventory Survey 2/	750	1	600	600	20	200	150	150	2	5	205		
			Ohio Grape Inventory Survey 2/	550	1	440	440	20	147	110	110	2	4	151		
<b>Publicity Materials</b>																
Cover Letter and/or EDR Instruction Sheet				1,300		1,040	1,040	5	87	260	260	2	9	96		
<b>Cognitive Testing</b>																
Questionnaire Testing				30	1	30	30	60	30	0	0	2	0	30		
TOTALS				1,330		1,070	1,070		464	260	260		18	482		

1/ Sample size is inflated to account for additional operations resulting from the Census of Agriculture and industry lists in future years.

2/ A master questionnaire will be used for both Michigan and Ohio Grape Inventory Surveys.

**13. Provide an estimate of the total annual cost burden to respondents or record-keepers resulting from the collection of information.**

There are no capital/start-up or ongoing operation/maintenance costs associated with this information collection.

**14. Provide estimates of annualized cost to the Federal government; provide a description of the method used to estimate cost which should include quantification of hours, operational expenses, and any other expense that would not have been incurred without this collection of information.**

The projected total cost to conduct the Wine Grape Inventory Surveys is approximately \$22,400 for fiscal year 2018, most of which is staff costs. The costs will be reimbursed by the Michigan and Ohio Departments of Agriculture. There will be no cost to the Federal government.

**15. Explain the reasons for any program changes or adjustments reported in Items 13 or 14 of the OMB Form 83-I (reasons for changes in burden).**

This is a new request, so there is no current inventory.

**16. For collections of information whose results will be published, outline plans for tabulation and publication. Address any complex analytical techniques that will be used. Provide the time schedule for the entire project, including beginning and ending dates of the collection of information, completion of report, publication dates, and other actions.**

The Regional Field Office (RFO) is responsible for manually editing and processing the questionnaires. The RFO creates and provides editing guidelines and estimation documentation to help ensure that all questionnaires are edited and analyzed in a consistent manner. After the data has been key entered and run through computer edits, detailed computer analyses and summaries of the data are provided by the RFO for evaluation and estimation.

In May estimates of variety acreage and number of vines will be published in a Grape Inventory report.

2018 Survey:

Survey design.....	September-October, 2018
Sample selection.....	December 2018
Questionnaire design.....	October-November, 2018
Mail Survey.....	February, 2019
Phone Follow-up.....	March, 2019
End of Data Collection.....	March, 2019
Publication.....	May, 2019

- 17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons that display would be inappropriate.**

No approval is requested for non-display of the expiration date.

- 18. Explain each exception to the certification statement identified in Item 19, "Certification for Paperwork Reduction Act Submissions" of OMB Form 83-I.**

There are no exceptions to the certification statement.

December, 2017