

SUPPORTING STATEMENT: PART B

October 17, 2017

Evaluation of the SAMHSA Naloxone Education and Distribution Program

OMB# 0920-XXXX

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Attachments

- A Authorizing Legislation: Public Health Service Act
- B Published 60-Day Federal Register Notice
- C IRB Documentation
- D Focus Group Guides
- E Key Informant Interview Guides
 - E1. Key Informant Interview Guide-Grantee
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- F Recruitment Contact scripts and informed consent
- G Key Informant Selection Tool
- H State Evaluation Plan Template

B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS

B.1. Respondent Universe and Sampling Methods

Respondents will include all 12 grantees funded under SAMHSA FOA No. SP-16-005, Grants to Prevent Prescription Drug/Opioid Overdose-Related Deaths (PDO grant) and associated stakeholders in those jurisdictions. Funded states include Alaska, Arkansas, Illinois, Missouri, New Jersey, New Mexico, Oklahoma, South Carolina, Washington, Wisconsin, West Virginia, and Wyoming.

Selection of non-layperson respondents will be purposive, and not based on a sample. To identify potential participants, we will consult with grantee program staff in each funded jurisdiction. To assist with identifying potential participants, grantee program staff will be provided with a tool for identifying potential non-layperson stakeholders (see Appendix G: Key Informant Identification and Selection Tool). The state grantee contact will be asked to use the tool to identify 10-12 potential key informants based on their role and involvement in the PDO/naloxone grant.

Selection of laypersons will come from a convenience sample of laypersons that participate in naloxone education and distribution activities that are conducted by grantees as part of the PDO grant.

We anticipate interviewing approximately 12 respondents per state grantee, per year as follows:

Grantee program staff: 4

Stakeholders and community partners: 6

Lay persons/naloxone users: 2

Additionally, each funded program is required by the FOA to form a PDO advisory council and an annual focus group may be conducted with up to ten advisory committee members in each jurisdiction for a total of 12 focus group or 120 participants per year.

B.2. Procedures for the Collection of Information

Recruitment of all respondents will be conducted by the contractor (Battelle). Data collection methods will include qualitative data collection. Qualitative data will be collected annually through focus groups (see Attachment D) and key informant interviews (see Attachment E1-E3) with grantees and select stakeholders at each of the 12 SAMHSA-funded sites. Once an individual has been identified and selected as a potential non-layperson key informant, a Battelle staff person will reach out to that individual to describe the study and invite the individual to participate in an interview or focus group. If the participant agrees, an interview or focus group will be scheduled at a time that is convenient for him or her. Interviews will be conducted by a trained interviewer and will generally occur by telephone. Prior to the start of the interview, the interviewer will read the consent form and obtain informed consent from the participant. Permission will also be requested to audio record the conversation to help prepare accurate interview notes.

The procedure for conducting interviews with laypersons will vary slightly and we anticipate that this will take place in one of two ways:

- Potential participants will be informed of the study by their community partners and if they are interested in participating they will contact the contractor (Battelle) directly. The interview will then be scheduled at a time that is convenient for the participant. Prior to the start of the interview, the interviewer will read the consent form and obtain informed consent from the participant. Permission will also be requested to audio record the conversation to help prepare accurate interview notes.
- Potential participants will be informed of the study by their community partners and if they are interested in participating, the community representative would make the arrangements for the interview. In this case, the community representative would call Battelle directly at an agreed upon time and then hand the phone over to the layperson to participate in the interview. The interview would be conducted in a private location and the community partner would leave the room after handing over the phone and would not be present during the actual interview. After the interview, the participant would hand the phone back over to the community representative.

B.3. Methods to Maximize Response Rates and Deal with Nonresponse

The contractor will employ several strategies to maximize response rates and participation in the evaluation. In most cases, introductions with potential non-layperson participants will come from the state grantee contacts, who will likely already have an established relationship with potential respondents. This will facilitate the recruitment process. Additionally, when attempting to schedule the interviews/focus groups, participants will be asked for dates and times that are most convenient for them. A dedicated Battelle study coordinator will also be assigned to each grantee to facilitate good communication and scheduling of stakeholder interviews in the 12 jurisdictions.

When conducting data collection with laypersons, recruitment will come from community partners who have established relationships with these individuals to help foster a trusting environment and facilitate the data collection process.

B.4. Tests of Procedures or Methods to be Undertaken

The proposed request will employ approaches typical in analyzing qualitative data, and are modeled after similar studies. Data will be analyzed through qualitative content analysis.

B.5. Individuals Consulted on Statistical Aspects and Individuals Collecting and/or Analyzing Data

The data collected will be qualitative in nature and no statistical analyses will be conducted on the data. However, the individuals consulted with for the design of the data collection plans and instruments are included below. Only Battelle staff will be involved with collecting and analyzing the data through qualitative content analysis.

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