## JUSTIFICATION FOR NONMATERIAL/NONSUBSTANTIVE CHANGE Patent Reexaminations and Supplemental Examinations OMB Control Number 0651-0064

## **Background**

The United States Patent and Trademark Office (USPTO) is submitting this request to update the current inventory of information collection 0651-0064 Patent Reexamination and Supplemental Examinations. The fees in 0651-0064 had been moved into collection 0651-0072 America Invents Act Section 10 Patent Fee Adjustments (approved by OMB in January, 2013). This request is to return these fees to 0651-0064 to provide appropriate accounting of the fees with the discontinuation of 0651-0072.

The request is only to return the affected fees to the annual (non-hour) cost burden. The table below details the fees that have been moved to 0651-0064 and are to be removed from 0651-0072, pending its discontinuation:

## Fees for Respondents - Annual (Non-hour) Cost Burden

| Item                                   | Responses | Cost            |
|--|-----------|-----------------|
| 0064 Request for ex parte              | 620       | \$6,366,000.00  |
| reexamination – granted                |           |                 |
| 0064 Request for ex parte              | 70        | \$216,900.00    |
| reexamination - refused                |           |                 |
| 0064 Supplemental Examination          | 1,720     | \$6,831,000.00  |
| Request                                |           |                 |
| 0064 Supplemental Examination          | 1,513     | \$17,762,800.00 |
| Reexamination                          |           |                 |
| 0064 Supplemental Examination          | 131       | \$23,175.00     |
| document size fees; 21-50              |           |                 |
| 0064 Supplemental examination          | 12        | \$3,360.00      |
| document size fees; each additional 50 |           |                 |
| 0064 Reexamination independent         | 506       | \$196,770.00    |
| claims in excess of three and also in  |           |                 |
| excess of the number of such claims in |           |                 |
| the patent under reexamination         |           |                 |
| 0064 Reexamination claims in excess of | 4,240     | \$322,480.00    |
| 20 and also in excess of the number of |           |                 |
| claims in the patent under             |           |                 |
| reexamination                          |           |                 |
| 0064 Reexamination Petition            | 832       | \$1,433,660.00  |
| Total                                  | 9,644     | \$33,156,145.00 |

## Summary of Changes

The addition of \$33,156,145 in fees to collection 0651-0064 is to account for these fees following the discontinuation of collection 0651-0072.

**Changes in Burden** 

| Burden Type | Currently Approved | Proposed Change | New Estimate    |
|-------------|--------------------|-----------------|-----------------|
| Responses   | 0                  | 9,644           | 9,644           |
| Fees        | \$0.00             | \$33,156,145.00 | \$33,156,145.00 |

Adding these fees to 0651-0064 (that are currently accounted for in the discontinued 0651-0072) will increase the annual (non-hour) cost estimates originally reported for in the renewal.

Consequently, this collection takes a net burden increase of \$33,156,145 in annual (non-hour) costs as a program change.

The 0651-0064 revised total burden is as follows:

- 9,644 responses
- \$33,156,145 in annual (non-hour) fees