**PRA Disclosure Statement** This information is being collected to assist the Centers for Medicare & Medicaid Services in program monitoring of Medicaid Section 1115 Severe Mental Illness and Severe Emotional Disturbance Demonstrations. This mandatory information collection (42 CFR § 431.428) will be used to support more efficient, timely and accurate review of states' monitoring report submissions of Medicaid Section 1115 Severe Mental Illness and Severe Emotional Disturbance Demonstrations, and also support consistency in monitoring and evaluation, increase in reporting accuracy, and reduction in timeframes required for monitoring and evaluation. Under the Privacy Act of 1974 any personally identifying information obtained will be kept private to the extent of the law. Medicaid Section 1115 SMI/SED Demonstration Protocol - P State Demonstration Name Demonstration Year (DY) Calendar Dates for DY Reporting Period Calendar Dates for Reporting Period Submitted on

# Metric name

- 1 SUD Screening of Beneficiaries Admitted to Psychiatric Hospitals or Residential Treatment Settings (SUB-2)
- 2 Use of First-Line Psychosocial Care for Children and Adolescents on Antipsychotics (APP-CH)
- All-Cause Emergency Department Utilization Rate for
  Medicaid Beneficiaries who may Benefit From Integrated Physical and Behavioral Health Care (PMH-20)
- 30-Day All-Cause Unplanned Readmission Following
  Psychiatric Hospitalization in an Inpatient Psychiatric Facility (IPF)
- 5 Medication Reconciliation Upon Admission
- 6 Medication Continuation Following Inpatient Psychiatric Discharge
- 7 Follow-up After Hospitalization for Mental Illness: Ages 6-17 (FUH-CH)

8 Follow-up After Hospitalization for Mental Illness: Age 18 and older (FUH-AD)

9 Follow-up After Emergency Department Visit for Alcohol and Other Drug Abuse (FUA-AD)

10 Follow-Up After Emergency Department Visit for Mental Illness (FUM-AD)

Suicide or Overdose Death Within 7 and 30 Days of Discharge From an Inpatient Facility or Residential

11 Treatment for Mental Health Among Beneficiaries With SMI or SED (count)

Suicide or Overdose Death Within 7 and 30 Days of

- 12 Discharge From an Inpatient Facility or Residential Treatment for Mental Health Among Beneficiaries With SMI or SED (rate)
- 13 Mental Health Services Utilization Inpatient
- 14 Mental Health Services Utilization Intensive Outpatient and Partial Hospitalization
- 15 Mental Health Services Utilization Outpatient
- 16 Mental Health Services Utilization ED
- 17 Mental Health Services Utilization Telehealth

- 18 Mental Health Services Utilization Any Services
- 19a Average Length of Stay in IMDs
- 19b Average Length of Stay in IMDs (IMDs receiving FFP only)
- 20 Beneficiaries With SMI/SED Treated in an IMD for Mental Health
- 21 Count of Beneficiaries With SMI/SED (monthly)
- 22 Count of Beneficiaries With SMI/SED (annually)
- Diabetes Care for Patients with Serious Mental Illness:
   Hemoglobin A1c (HbA1c) Poor Control (>9.0%) (HPCMI-AD)
- 24 Screening for Depression and Follow-Up Plan: Age 18 and Older (CDF-AD)
- 25 Screening for Depression and Follow-Up Plan: Ages 12–17 (CDF-CH)
- 26 Access to Preventive/Ambulatory Health Services for Medicaid Beneficiaries With SMI
- 27 Tobacco Use Screening and Follow-up for People with SMI or Alcohol or Other Drug Dependence

- 28 Alcohol Screening and Follow-up for People with SMI
- 29 Metabolic Monitoring for Children and Adolescents on Antipsychotics
- 30 Follow-Up Care for Adult Medicaid Beneficiaries Who are Newly Prescribed an Antipsychotic Medication
- 31 Use of Multiple Concurrent Antipsychotics in Children and Adolescents (APC-CH)
- 32 Total Costs Associated With Mental Health Services Among Beneficiaries With SMI/SED - Not Inpatient or Residential
- 33 Total Costs Associated With Mental Health Services Among Beneficiaries With SMI/SED - Inpatient or Residential
- Per Capita Costs Associated With Mental Health Services 34 Among Beneficiaries With SMI/SED - Not Inpatient or Residential
- Per Capita Costs Associated With Mental Health Services
   Among Beneficiaries With SMI/SED Inpatient or Residential
- 36 Grievances Related to Services for SMI/SED
- 37 Appeals Related to Services for SMI/SED
- 38 Critical Incidents Related to Services for SMI/SED
- 39 Total Costs Associated With Treatment for Mental Health in an IMD Among Beneficiaries With SMI/SED
- 40 Per Capita Costs Associated With Treatment for Mental Health in an IMD Among Beneficiaries With SMI/SED
- Q1 [Insert selected metric(s) for health IT question 1]
- Q2 [Insert selected metric(s) for health IT question 2]
- Q3 [Insert selected metric(s) for health IT question 3]

'lanned metrics
[Enter State Name]
[Enter Demonstration Name]
[Enter Demonstration Year] (Format: DY1, DY2, DY3, etc.)
[Enter Calendar Dates for Demonstration Year] (Format: MM/DD/YYYY - MM/DD/YYYY)
[Enter Reporting Period] (Format: Q1, Q2, Q3, Q4)
[Enter Calendar Dates for Reporting Period (Format: MM/DD/YYYY - MM/DD/YYYY)
[Enter Date] (Format: MM/DD/YYYY)

#### Metric description

Two rates will be reported for this measure:

1. SUB-2: Patients who screened positive for unhealthy alcohol use who received or refused a brief intervention during the hospital stay.

2. SUB-2a: Patients who received the brief intervention during the hospital stay.

Percentage of children and adolescents ages 1 to 17 who had a new prescription for an antipsychotic medication and had documentation of psychosocial care as first-line treatment.

Number of all-cause ED visits per 1,000 beneficiary months among adult Medicaid beneficiaries age 18 and older who meet the eligibility criteria of beneficiaries with SMI.

The rate of unplanned, 30-day, readmission rate for demonstration beneficiaries with a primary discharge diagnosis of a psychiatric disorder or dementia/Alzheimer's disease. The measurement period used to identify cases in the measure population is 12 months from January 1 through December 31.

Percentage of patients for whom a designated prior to admission (PTA) medication list was generated by referencing one or more external sources of PTA medications and for which all PTA medications have a documented reconciliation action by the end of Day 2 of the hospitalization.

This measure assesses whether psychiatric patients admitted to an inpatient psychiatric facility (IPF) for major depressive disorder (MDD), schizophrenia, or bipolar disorder filled a prescription for evidence-based medication within 2 days prior to discharge and 30 days post-discharge.

Percentage of discharges for children ages 6 to 17 who were hospitalized for treatment of selected mental illness or intentional self-harm diagnoses and who had a follow-up visit with a mental health practitioner. Two rates are reported:

• Percentage of discharges for which the child received follow-up within 30 days after discharge

• Percentage of discharges for which the child received follow-up within 7 days after discharge

Percentage of discharges for beneficiaries age 18 years and older who were hospitalized for treatment of selected mental illness diagnoses or intentional self-harm and who had a follow-up visit with a mental health practitioner. Two rates are reported:

• Percentage of discharges for which the beneficiary received follow-up within 30 days after discharge

• Percentage of discharges for which the beneficiary received follow-up within 7 days after discharge

Percentage of emergency department (ED) visits for beneficiaries age 18 and older with a principal diagnosis of alcohol or other drug (AOD) abuse dependence who had a follow-up visit for AOD abuse or dependence. Two rates are reported:

• Percentage of ED visits for AOD abuse or dependence for which the beneficiary received follow-up within 30 days of the ED visit

• Percentage of ED visits for AOD abuse or dependence for which the beneficiary received follow-up within 7 days of the ED visit

Percentage of emergency department (ED) visits for beneficiaries age 18 and older with a principal diagnosis of mental illness or intentional self-harm and who had a follow-up visit for mental illness. Two rates are reported:

• Percentage of ED visits for mental illness for which the beneficiary received follow-up within 30 days of the ED visit

• Percentage of ED visits for mental illness for which the beneficiary received follow-up within 7 days of the ED visit

Number of suicide or overdose deaths among Medicaid beneficiaries with SMI or SED within 7 and 30 days of discharge from an inpatient facility or residential stay for mental health.

Rate of suicide or overdose deaths among Medicaid beneficiaries with SMI or SED within 7 and 30 days of discharge from an inpatient facility or residential stay for mental health.

Number of beneficiaries in the demonstration or with SMI/SED who use inpatient services related to mental health during the measurement period.

Number of beneficiaries in the demonstration or with SMI/SED who used intensive outpatient and/or partial hospitalization services related to mental health during the measurement period.

Number of beneficiaries in the demonstration or with SMI/SED who used outpatient services related to mental health during the measurement period.

Number of beneficiaries in the demonstration or with SMI/SED who use emergency department services for mental health during the measurement period.

Number of beneficiaries in the demonstration or with SMI/SED who used telehealth services related to mental health during the measurement period.

Number of beneficiaries in the demonstration or with SMI/SED who used any services related to mental health during the measurement period.

Average length of stay (ALOS) for beneficiaries with SMI discharged from an inpatient or residential stay in an IMD. Three rates are reported:

- ALOS for all IMDs and populations
- ALOS among short-term stays (less than or equal to 60 days)
- ALOS among long-term stays (greater than 60 days)

Average length of stay (ALOS) for beneficiaries with SMI discharged from an inpatient or residential stay in an IMD receiving federal financial participation (FFP). Three rates are reported:

- ALOS for all IMDs and populations
- ALOS among short-term stays (less than or equal to 60 days)
- ALOS among long-term stays (greater than 60 days)

Number of beneficiaries with SMI/SED who have a claim for inpatient or residential treatment for mental health in an IMD during the reporting year.

Count the number of unique beneficiaries (de-duplicated total) enrolled in the measurement period who have qualifying facility, or provider claims have sufficient qualifying facility, or provider claims to qualify as having SMI/SED-related treatment during the measurement period and/or in the 11 months before the measurement period.

Number of beneficiaries in the demonstration (with a diagnosis and service history indicating SMI/SED) during the measurement period and/or in the 11 months before the measurement period.

Percentage of beneficiaries ages 18 to 75 with a serious mental illness and diabetes (type 1 and type 2) whose most recent Hemoglobin A1c (HbA1c) level during the measurement year is >9.0%.

Percentage of beneficiaries age 18 and older screened for depression on the date of the encounter using an age appropriate standardized depression screening tool, AND if positive, a follow-up plan is documented on the date of the positive screen.

Percentage of beneficiaries ages 12 to 17 screened for depression on the date of the encounter using an age appropriate standardized depression screening tool, AND if positive, a follow-up plan is documented on the date of the positive screen.

The percentage of Medicaid beneficiaries with SMI who had an ambulatory or preventive care visit during the measurement period.

The percentage of patients 18 years and older with a serious mental illness or alcohol or other drug dependence who received a screening for tobacco use and follow-up for those identified as a current tobacco user. Two rates are reported:

• Percentage of adults with SMI who received a screening for tobacco use and follow-up for those identified as a current tobacco user

• Percentage of adults with AOD who received a screening for tobacco use and follow-up for those identified as a current tobacco user

The percentage of patients 18 years and older with a serious mental illness, who were screened for unhealthy alcohol use and received brief counseling or other follow-up care if identified as an unhealthy alcohol user.

The percentage of children and adolescents 1-17 years of age with ongoing antipsychotic medication use who had metabolic testing during the year.

Percentage of new antipsychotic prescriptions for Medicaid beneficiaries age 18 years and older who have completed a follow-up visit with a provider with prescribing authority within four weeks (28 days) of prescription of an antipsychotic medication.

Percentage of children and adolescents ages 1 to 17 who were treated with antipsychotic medications and who were on two or more concurrent antipsychotic medications for at least 90 consecutive days during the measurement year.

Total Medicaid costs for non-inpatient or residential services for mental health, among beneficiaries in the demonstration or with SMI/SED during the measurement period.

Total Medicaid costs for inpatient or residential services for mental health among beneficiaries with SMI/SED during the measurement period.

Per capita costs for non-inpatient, non-residential services for mental health, among beneficiaries with SMI/SED during the measurement period.

Per capita costs for not inpatient or residential services for mental health, among beneficiaries with SMI/SED during the measurement period.

Number of grievances filed during the measurement period that are related to services for SMI/SED.

Number of appeals filed during the measurement period that are related to services for SMI/SED.

Number of critical incidents filed during the measurement period that are related to services for SMI/SED.

Total Medicaid costs for beneficiaries with SMI/SED who had claims for inpatient or residential treatment for mental health in an IMD during the reporting year.

Per capita Medicaid costs for beneficiaries with SMI/SED who had claims for inpatient or residential treatment for mental health in an IMD during the reporting year.

# Standard information on CMS-provided metrics

| Milestone or reporting<br>topic | Metric type                    | Reporting category   | Data source                         |
|---------------------------------|--------------------------------|--|-------------------------------------|
| Milestone 1                     | Established quality measure    | Annual metrics that are<br>an established quality<br>measure | Medical record<br>review or claims  |
| Milestone 1                     | Established quality measure    | Annual metrics that are<br>an established quality<br>measure | Claims                              |
| Milestone 2                     | Established quality measure    | Annual metrics that are<br>an established quality<br>measure | Claims                              |
| Milestone 2                     | Established quality<br>measure | Annual metrics that are<br>an established quality<br>measure | Claims                              |
| Milestone 2                     | Established quality<br>measure | Annual metrics that are<br>an established quality<br>measure | Electronic/paper<br>medical records |
| Milestone 2                     | Established quality<br>measure | Annual metrics that are<br>an established quality<br>measure | Claims                              |
| Milestone 2                     | Established quality<br>measure | Annual metrics that are<br>an established quality<br>measure | Claims                              |

| Milestone 2 | Established quality<br>measure | Annual metrics that are<br>an established quality<br>measure | Claims                          |
|-------------|--------------------------------|--|---------------------------------|
| Milestone 2 | Established quality<br>measure | Annual metrics that are<br>an established quality<br>measure | Claims                          |
| Milestone 2 | Established quality<br>measure | Annual metrics that are<br>an established quality<br>measure | Claims                          |
| Milestone 2 | CMS-constructed                | Other annual metrics   | State data on cause<br>of death |
| Milestone 2 | CMS-constructed                | Other annual metrics   | State data on cause<br>of death |
| Milestone 3 | CMS-constructed                | Other monthly and quarterly metrics                          | Claims                          |
| Milestone 3 | CMS-constructed                | Other monthly and quarterly metrics                          | Claims                          |
| Milestone 3 | CMS-constructed                | Other monthly and quarterly metrics                          | Claims                          |
| Milestone 3 | CMS-constructed                | Other monthly and quarterly metrics                          | Claims                          |
| Milestone 3 | CMS-constructed                | Other monthly and quarterly metrics                          | Claims                          |

| Milestone 3 | CMS-constructed             | Other monthly and quarterly metrics                          | Claims                                   |
|-------------|-----------------------------|--|--|
| Milestone 3 | CMS-constructed             | Other annual metrics   | Claims<br>State-specific IMD<br>database |
| Milestone 3 | CMS-constructed             | Other annual metrics   | Claims<br>State-specific IMD<br>database |
| Milestone 3 | CMS-constructed             | Other annual metrics   | Claims                                   |
| Milestone 4 | CMS-constructed             | Other monthly and quarterly metrics                          | Claims                                   |
| Milestone 4 | CMS-constructed             | Other annual metrics   | Claims                                   |
| Milestone 4 | Established quality measure | Annual metrics that are<br>an established quality<br>measure | Claims<br>Medical records                |
| Milestone 4 | Established quality measure | Annual metrics that are<br>an established quality<br>measure | Claims<br>Medical records                |
| Milestone 4 | Established quality measure | Annual metrics that are<br>an established quality<br>measure | Claims<br>Electronic medical<br>records  |
| Milestone 4 | Established quality measure | Annual metrics that are an established quality measure       | Claims                                   |
|             |                             |  |  |
| Milestone 4 | Established quality measure | Annual metrics that are<br>an established quality<br>measure | Claims                                   |

| Milestone 4                         | Established quality<br>measure                           | Annual metrics that are<br>an established quality<br>measure | Claims                 |
|-------------------------------------|--|--|------------------------|
| Milestone 4                         | Established quality measure                              | Annual metrics that are<br>an established quality<br>measure | Claims                 |
| Milestone 4                         | Established quality measure                              | Annual metrics that are<br>an established quality<br>measure | Claims                 |
| Milestone 4                         | Established quality<br>measure                           | Annual metrics that are<br>an established quality<br>measure | Claims                 |
| Other SMI/SED metrics               | CMS-constructed  | Other annual metrics   | Claims                 |
| Other SMI/SED metrics               | CMS-constructed  | Other annual metrics   | Claims                 |
| Other SMI/SED metrics               | CMS-constructed  | Other annual metrics   | Claims                 |
| Other SMI/SED metrics               | CMS-constructed  | Other annual metrics   | Claims                 |
| Other SMI/SED metrics               | CMS-constructed  | Grievances and appeals                                       | Administrative records |
| Other SMI/SED metrics               | CMS-constructed  | Grievances and appeals                                       | Administrative records |
| Other SMI/SED metrics               | CMS-constructed  | Grievances and appeals                                       | Administrative records |
| Other SMI/SED metrics               | CMS-constructed  | Other annual metrics   | Claims                 |
| Other SMI/SED metrics               | CMS-constructed  | Other annual metrics   | Claims                 |
| Health IT<br>Health IT<br>Health IT | State-identified<br>State-identified<br>State-identified |  |                        |
|                                     |  |  |                        |

| Measurement period | Reporting<br>d frequency | Reporting priority | State will report (Y/N) |
|--------------------|--------------------------|--------------------|-------------------------|
| Year               | Annually                 | Recommended        |                         |
|                    | ,                        |                    |                         |
| Year               | Annually                 | Required           |                         |
| Year               | Annually                 | Required           |                         |
| Year               | Annually                 | Required           |                         |
|                    |                          |                    |                         |
| Year               | Annually                 | Recommended        |                         |
| Year               | Annually                 | Required           |                         |
|                    |                          |                    |                         |
| Year               | Annually                 | Required           |                         |

| Year  | Annually  | Required    |
|-------|-----------|-------------|
| Year  | Annually  | Required    |
| Year  | Annually  | Required    |
| Year  | Annually  | Recommended |
| Year  | Annually  | Recommended |
| Month | Quarterly | Required    |

| Month | Quarterly | Required    |
|-------|-----------|-------------|
| Year  | Annually  | Required    |
| Year  | Annually  | Required    |
| Year  | Annually  | Required    |
| Month | Quarterly | Required    |
| Year  | Annually  | Required    |
| Year  | Annually  | Required    |
| Year  | Annually  | Recommended |
| Year  | Annually  | Recommended |
| Year  | Annually  | Required    |
|       |           |             |

Year

Annually

Recommended

| YearAnnuallyRequiredYearAnnuallyRequiredYearAnnuallyRequiredYearAnnuallyRequiredYearAnnuallyRequiredYearAnnuallyRequiredQuarterQuarterlyRequiredQuarterQuarterlyRequiredYearAnnuallyRequiredQuarterQuarterlyRequiredYearAnnuallyRequiredYearAnnuallyRequiredYearAnnuallyRequiredYearAnnuallyRequired  | Year    | Annually  | Recommended |
|---|---------|-----------|-------------|
| YearAnnuallyRequiredYearAnnuallyRequiredYearAnnuallyRequiredYearAnnuallyRequiredYearQuarterlyRequiredQuarterQuarterlyRequiredQuarterQuarterlyRequiredQuarterQuarterlyRequiredYearAnnuallyRequiredQuarterQuarterlyRequiredYearAnnuallyRequiredYearAnnuallyRequiredYearAnnuallyRequiredYearAnnuallyRequiredYearAnnuallyRequiredYearAnnuallyRequired | Year    | Annually  | Required    |
| YearAnnuallyRequiredYearAnnuallyRequiredYearAnnuallyRequiredYearAnnuallyRequiredQuarterQuarterlyRequiredQuarterQuarterlyRequiredQuarterQuarterlyRequiredYearAnnuallyRequiredYearAnnuallyRequiredYearAnnuallyRequiredYearAnnuallyRequiredYearAnnuallyRequired  | Year    | Annually  | Required    |
| YearAnnuallyRequiredYearAnnuallyRequiredYearAnnuallyRequiredQuarterQuarterlyRequiredQuarterQuarterlyRequiredQuarterQuarterlyRequiredYearAnnuallyRequiredYearAnnuallyRequiredYearAnnuallyRequiredYearAnnuallyRequiredYearAnnuallyRequired  | Year    | Annually  | Required    |
| YearAnnuallyRequiredYearAnnuallyRequiredQuarterQuarterlyRequiredQuarterQuarterlyRequiredQuarterQuarterlyRequiredYearAnnuallyRequiredYearAnnuallyRequiredYearAnnuallyRequired  | Year    | Annually  | Required    |
| YearAnnuallyRequiredQuarterQuarterlyRequiredQuarterQuarterlyRequiredQuarterQuarterlyRequiredYearAnnuallyRequiredYearAnnuallyRequired  | Year    | Annually  | Required    |
| QuarterQuarterlyRequiredQuarterQuarterlyRequiredQuarterQuarterlyRequiredYearAnnuallyRequiredYearAnnuallyRequired  | Year    | Annually  | Required    |
| QuarterQuarterlyRequiredQuarterQuarterlyRequiredYearAnnuallyRequiredYearAnnuallyRequired  | Year    | Annually  | Required    |
| QuarterQuarterlyRequiredYearAnnuallyRequiredYearAnnuallyRequired  | Quarter | Quarterly | Required    |
| YearAnnuallyRequiredYearAnnuallyRequired  | Quarter | Quarterly | Required    |
| Year Annually Required  | Quarter | Quarterly | Required    |
|   | Year    | Annually  | Required    |
|   | Year    | Annually  | Required    |
| Required<br>Required  |         |           |             |

| Baseline, annu  | al goals, and demon | stration target                 | Align   |  |
|---|---------------------|---------------------------------|---|--|
| Baseline Reporting<br>Period (MM/DD/YYYY<br>MM/DD/YYYY) | Annual goal         | Overall demonstration<br>target | Attest that planned<br>reporting matches the<br>CMS-provided<br>specification (Y/N) |  |

| ment with CMS-provided technical specifications   |  |
|---|--|
| Explanation of any deviations from the CMS-provided specifications<br>(different data source, definition, codes, target population, etc.) | Dates covered by first<br>measurement period for metric<br>(MM/DD/YYYY - MM/DD/YYYY) |

|  | Initial repo                                      | rting date                              |
|--|---|---|
| Name of first report in which the metric     |   |   |
| will be submitted (Format: DY1 Q3<br>report) | which the metric will be reported<br>(MM/DD/YYYY) | State plans to phase in reporting (Y/N) |

Explanation of any plans to phase in reporting over time

Medicaid Section 1115 SMI/SED Der State Demonstration Name Demonstration Year (DY) Calendar Dates for DY Reporting Period Calendar Dates for Reporting Period Submitted on

EXAMPLE<sup>a</sup> Adults age 18 or older with serious r

Narrative description of how the state defines the population for purposes of monitoring (including included ages, diagnosis groups and associated service use requirements)

#### Codes used to identify population<sup>b</sup>

States may use ICD-10 diagnosis codes or state-specific treatment, diagnosis, or other types of codes to identify the population. When applicable, states should supplement ICD-10 codes with state-specific codes.

Procedure (e.g., CPT, HCPCS) or revenue codes used to identify/define service requirements<sup>b</sup>

If the state is not using procedure or revenue codes, the state should include the data source(s) (e.g., state-specific codes) used to identify/define service requirements. <sup>a</sup>The examples are based on a defini <sup>b</sup>States may choose to include codes monstration Protocol - SMI & SED definitions
[Enter State Name]
[Enter Demonstration Name]
[Enter Demonstration Year] (Format: DY1, DY2, DY3, etc.)
[Enter Calendar Dates for Demonstration Year] (Format: MM/DD/YYYY - MM/DD/YYYY)
[Enter Reporting Period] (Format: Q1, Q2, Q3, Q4)
[Enter Calendar Dates for Reporting Period (Format: MM/DD/YYYY - MM/DD/YYYY)
[Enter Date] (Format: MM/DD/YYYY)

## Narrative description of the SMI/SED demonstration p

nental illness or children under the age of 18 with a serious emotional disturbance living within the state

### Serious Mental Illness (SMI)

#### **EXAMPLE**<sup>a</sup>

\*At least one acute inpatient claim/encounter with any diagnosis of schizophrenia, bipolar I disorder, or major depression, OR

\*At least two visits in an outpatient, intensive outpatient (IOP), partial hospitalization (PH), emergency department (ED), or nonacute inpatient setting, on different dates of service, with any diagnosis of schizophrenia, OR

\*At least two visits in an outpatient, IOP, PH, ED, or nonacute inpatient setting on different dates of service with a diagnosis of bipolar I disorder.

**EXAMPLE**<sup>a</sup>

\*Schizophrenia: F20.0-F20.5, F20.81, F20.89

\*Major depression: F32.0 - F32.4, F33.0 - F33.3

\*Bipolar I disorder: F30.10-F30.13, F30.2 - F30.9

**EXAMPLE**<sup>a</sup>

\*Outpatient: 98960-98962, 99211-99215, G0155, G0176, G0177, G0409, 0510, 0513, 0515-0517

ition of SMI from the National Committee for Quality Assurance (NCQA). The examples provided are inte s as separate tabs in this workbook.

opulation

2.

Serious Emotional Disturbance (SED)

See SMI example for format and required information

See SMI example for format and required information

See SMI example for format and required information

ended to be illustrative only. The example codes provided are not comprehensive.

Medicaid Section 1115 SED/SMI Demonstration | State Demonstration Name Demonstration Year (DY) Calendar Dates for DY Reporting Period Calendar Dates for Reporting Period Submitted on

# Serious Mental Illness/Serious Emotio



- SUD Screening of Beneficiaries Admitted to Psychiatric Hospitals or Residential Treatment Settings (SUB-2)
- 2 Use of First-Line Psychosocial Care for Children and Adolescents on Antipsychotics (APP-CH)

All-Cause Emergency Department Utilization Rate for Medicaid Beneficiaries who may Benefit From Integrated Physical and Behavioral Health Care (PMH-20)

3

4

30-Day All-Cause Unplanned Readmission Following Psychiatric Hospitalization in an Inpatient Psychiatric Facility (IPF)

5 Medication Reconciliation Upon Admission

- 6 Medication Continuation Following Inpatient Psychiatric Discharge
- 7 Follow-up After Hospitalization for Mental Illness: Ages 6-17 (FUH-CH)

8 Follow-up After Hospitalization for Mental Illness: Age 18 and Older (FUH-AD)

 Follow-up After Emergency Department Visit for Alcohol and Other Drug Abuse (FUA-AD)

10 Follow-Up After Emergency Department Visit for Mental Illness (FUM-AD)  Suicide or Overdose Death Within 7 and 30
 Days of Discharge From an Inpatient Facility or Residential Treatment for Mental Health Among Beneficiaries With SMI or SED (count)

 Suicide or Overdose Death Within 7 and 30
 Days of Discharge From an Inpatient Facility or Residential Treatment for Mental Health Among Beneficiaries With SMI or SED (rate)

13 Mental Health Services Utilization - Inpatient

- 14 Mental Health Services Utilization Intensive Outpatient and Partial Hospitalization
- 15 Mental Health Services Utilization Outpatient

16 Mental Health Services Utilization - ED

- 17 Mental Health Services Utilization Telehealth
- 18 Mental Health Services Utilization Any Services

19a Average Length of Stay in IMDs

19b Average Length of Stay in IMDs (IMDs receiving FFP only)

- 20 Beneficiaries With SMI/SED Treated in an IMD for Mental Health
- 21 Count of Beneficiaries With SMI/SED (monthly)
- 22 Count of Beneficiaries With SMI/SED (annually)
- Diabetes Care for Patients with Serious Mental
   Illness: Hemoglobin A1c (HbA1c) Poor Control (>9.0%) (HPCMI-AD)
- 24 Screening for Depression and Follow-Up Plan: Age 18 and Older (CDF-AD)

- 25 Screening for Depression and Follow-Up Plan: Ages 12–17 (CDF-CH)
- 26 Access to Preventive/Ambulatory Health Services for Medicaid Beneficiaries With SMI
- Tobacco Use Screening and Follow-up for
   People with Serious Mental Illness or Alcohol or Other Drug Dependence
- 28 Alcohol Screening and Follow-up for People with Serious Mental Illness
- 29 Metabolic Monitoring for Children and Adolescents on Antipsychotics
- Follow-Up Care for Adult Medicaid Beneficiaries
   Who are Newly Prescribed an Antipsychotic Medication
- 31 Use of Multiple Concurrent Antipsychotics in Children and Adolescents (APC-CH)
- Total Costs Associated With Mental Health
   Services Among Beneficiaries With SMI/SED -Not Inpatient or Residential
- Total Costs Associated With Mental Health
   Services Among Beneficiaries With SMI/SED -Inpatient or Residential
- Per Capita Costs Associated With Mental Health
   Services Among Beneficiaries With SMI/SED -Not Inpatient or Residential
- Per Capita Costs Associated With Mental Health
   Services Among Beneficiaries With SMI/SED -Inpatient or Residential
- 36 Grievances Related to Services for SMI/SED

37 Appeals Related to Services for SMI/SED

| 38 | Critical Incidents Related to Services for SMI/SED  |
|----|---|
| 39 | Total Costs Associated With Treatment for<br>Mental Health in an IMD Among Beneficiaries<br>With SMI/SED      |
| 40 | Per Capita Costs Associated With Treatment for<br>Mental Health in an IMD Among Beneficiaries<br>With SMI/SED |
| Q1 | Insert selected metric(s) related to key health IT (  |
| Q2 | Insert selected metric(s) related to key health IT (  |
| Q3 | Insert selected metric(s) related to key health IT (  |

Note: Licensee and states must prominently display the The MPT, FUH-CH, FUH-AD, FUA-AD, FUM-AD, AAP, APN that are owned and copyrighted by the National Commin uses or reports performance measures and NCQA has nc

The measure specification methodology used by CMS is a measure results, based on the <u>adjusted</u> HEDIS specification

Certain non-NCQA measures in the CMS 1115 Serious M Proprietary coding is contained in the VS. Users of the pr the non-NCQA measures and any coding contained in the

<sup>a</sup> States should create a new metrics report for each rep

<sup>b</sup> For state-identified metrics or the SMI & SED definition

<sup>c</sup> Report metrics that are one annual value for a demonst

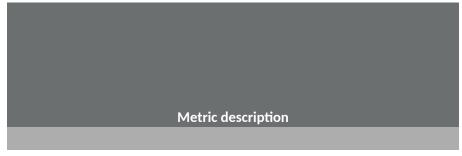
<sup>d</sup> If applicable. See CMS-provided technical specifications

<sup>e</sup> Enter any new models that will be reported after colun

### Checks:

Numerator in #11 is equal to the numerator in # Numerator in #32 is equal to the numerator in # Numerator in #33 is equal to the numerator in # Denominator in #34 and #35 is equal to #21 and Numerator in #40 is equal to #39. Report - Metrics reporting [Enter State Name] [Enter Demonstration Name] [Enter Demonstration Year] (Format: DY1, DY2, DY3, etc.) [Enter Calendar Dates for Demonstration Year] (Format: MM/DD/YYYY - MN [Enter Reporting Period] (Format: Q1, Q2, Q3, Q4) [Enter Calendar Dates for Reporting Period (Format: MM/DD/YYYY - MM/DI [Enter Date] (Format: MM/DD/YYYY)

# nal Disturbance (SMI/SED) Metrics<sup>a</sup>



SUB-2: Patients who screened positive for unhealthy alcohol use who received or refused a brief intervention during the hospital stay.

SUB-2a: Patients who received the brief intervention during the hospital stay.

Percentage of children and adolescents ages 1 to 17 who had a new prescription for an antipsychotic medication and had documentation of psychosocial care as first-line treatment.

Number of all-cause ED visits per 1,000 beneficiary months among adult Medicaid beneficiaries age 18 and older who meet the eligibility criteria of beneficiaries with SMI.

The rate of unplanned, 30-day, readmission rate for demonstration beneficiaries with a primary discharge diagnosis of a psychiatric disorder or dementia/Alzheimer's disease. The measurement period used to identify cases in the measure population is 12 months from January 1 through December 31.

Percentage of patients for whom a designated prior to admission (PTA) medication list was generated by referencing one or more external sources of PTA medications and for which all PTA medications have a documented reconciliation action by the end of Day 2 of the hospitalization.

This measure assesses whether psychiatric patients admitted to an inpatient psychiatric facility (IPF) for major depressive disorder (MDD), schizophrenia, or bipolar disorder filled a prescription for evidence-based medication within 2 days prior to discharge and 30 days post-discharge.

Percentage of discharges for children ages 6 to 17 who were hospitalized for treatment of selected mental illness or intentional self-harm diagnoses and who had a follow-up visit with a mental health practitioner. Two rates are reported:

Percentage of discharges for which the child received follow-up within 30 days after discharge.

Percentage of discharges for which the child received follow-up within 7 days after discharge.

Percentage of discharges for beneficiaries age 18 years and older who were hospitalized for treatment of selected mental illness diagnoses or intentional self-harm and who had a follow-up visit with a mental health practitioner. Two rates are reported:

Percentage of discharges for which the beneficiary received follow-up within 30 days after discharge.

Percentage of discharges for which the beneficiary received follow-up within 7 days after discharge.

Percentage of emergency department (ED) visits for beneficiaries age 18 and older with a principal diagnosis of alcohol or other drug (AOD) abuse dependence who had a follow-up visit for AOD abuse or dependence. Two rates are reported:

Percentage of ED visits for AOD abuse or dependence for which the beneficiary received follow-up within 30 days of the ED visit.

Percentage of ED visits for AOD abuse or dependence for which the beneficiary received follow-up within 7 days of the ED visit.

Percentage of emergency department (ED) visits for beneficiaries age 18 and older with a principal diagnosis of mental illness or intentional selfharm and who had a follow-up visit for mental illness. Two rates are reported:

Percentage of ED visits for mental illness for which the beneficiary received follow-up within 30 days of the ED visit.

Percentage of ED visits for mental illness for which the beneficiary received follow-up within 7 days of the ED visit.

Number of suicide or overdose deaths among Medicaid beneficiaries with SMI or SED within 7 and 30 days of discharge from an inpatient facility or residential stay for mental health.

7 days of discharge from an inpatient facility or residential stay for mental health.

30 days of discharge from an inpatient facility or residential stay for mental health.

Rate of suicide or overdose deaths among Medicaid beneficiaries with SMI or SED within 7 and 30 days of discharge from an inpatient facility or residential stay for mental health.

7 days of discharge from an inpatient facility or residential stay for mental health.

30 days of discharge from an inpatient facility or residential stay for mental health.

Number of beneficiaries in the demonstration or with SMI/SED who use inpatient services related to mental health during the measurement period.

Number of beneficiaries in the demonstration or with SMI/SED who used intensive outpatient and/or partial hospitalization services related to mental health during the measurement period.

Number of beneficiaries in the demonstration or with SMI/SED who used outpatient services related to mental health during the measurement period.

Number of beneficiaries in the demonstration or with SMI/SED who use emergency department services for mental health during the measurement period.

Number of beneficiaries in the demonstration or with SMI/SED who used telehealth services related to mental health during the measurement period.

Number of beneficiaries in the demonstration or with SMI/SED who used any services related to mental health during the measurement period. Average length of stay (ALOS) for beneficiaries with SMI discharged from an inpatient or residential stay in an IMD. Three rates are reported:

ALOS for all IMDs and populations

ALOS among short-term stays (less than or equal to 60 days)

ALOS among long-term stays (greater than 60 days)

Average length of stay (ALOS) for beneficiaries with SMI discharged from an inpatient or residential stay in an IMD receiving federal financial participation (FFP). Three rates are reported:

ALOS for all IMDs and populations

ALOS among short-term stays (less than or equal to 60 days)

ALOS among long-term stays (greater than 60 days)

Number of beneficiaries with SMI/SED who have a claim for inpatient or residential treatment for mental health in an IMD during the reporting year.

Count the number of unique beneficiaries (de-duplicated total) enrolled in the measurement period who have qualifying facility, or provider claims have sufficient qualifying facility, or provider claims to qualify as having SMI/SED-related treatment during the measurement period and/or in the 11 months before the measurement period.

Number of beneficiaries in the demonstration (with a diagnosis and service history indicating SMI/SED) during the measurement period and/or in the 11 months before the measurement period.

Percentage of beneficiaries ages 18 to 75 with a serious mental illness and diabetes (type 1 and type 2) whose most recent Hemoglobin A1c (HbA1c) level during the measurement year is >9.0%.

Percentage of beneficiaries age 18 and older screened for depression on the date of the encounter using an age appropriate standardized depression screening tool, AND if positive, a follow-up plan is documented on the date of the positive screen. Percentage of beneficiaries ages 12 to 17 screened for depression on the date of the encounter using an age appropriate standardized depression screening tool, AND if positive, a follow-up plan is documented on the date of the positive screen.

The percentage of Medicaid beneficiaries with SMI who had an ambulatory or preventive care visit during the measurement period.

The percentage of patients 18 years and older with a serious mental illness or alcohol or other drug dependence who received a screening for tobacco use and follow-up for those identified as a current tobacco user. Two rates are reported:

Percentage of adults with SMI who received a screening for tobacco use and follow-up for those identified as a current tobacco user

Percentage of adults with AOD who received a screening for tobacco use and follow-up for those identified as a current tobacco user

The percentage of patients 18 years and older with a serious mental illness, who were screened for unhealthy alcohol use and received brief counseling or other follow-up care if identified as an unhealthy alcohol user.

The percentage of children and adolescents 1-17 years of age with ongoing antipsychotic medication use who had metabolic testing during the year.

Percentage of new antipsychotic prescriptions for Medicaid beneficiaries age 18 years and older who have completed a follow-up visit with a provider with prescribing authority within four weeks (28 days) of prescription of an antipsychotic medication.

Percentage of children and adolescents ages 1 to 17 who were treated with antipsychotic medications and who were on two or more concurrent antipsychotic medications for at least 90 consecutive days during the measurement year.

Total Medicaid costs for non-inpatient or residential services for mental health, among beneficiaries in the demonstration or with SMI/SED during the measurement period.

Total Medicaid costs for inpatient or residential services for mental health among beneficiaries with SMI/SED during the measurement period.

Per capita costs for non-inpatient, non-residential services for mental health, among beneficiaries with SMI/SED during the measurement period.

Per capita costs for not inpatient or residential services for mental health, among beneficiaries with SMI/SED during the measurement period.

Number of grievances filed during the measurement period that are related to services for SMI/SED.

Number of appeals filed during the measurement period that are related to services for SMI/SED.

Number of critical incidents filed during the measurement period that are related to services for SMI/SED.

Total Medicaid costs for beneficiaries with SMI/SED who had claims for inpatient or residential treatment for mental health in an IMD during the reporting year.

Per capita Medicaid costs for beneficiaries with SMI/SED who had claims for inpatient or residential treatment for mental health in an IMD during the reporting year.

question 1

question 2

question 3

following notice on any display of Measure rates:

*1*, and APC measures (metrics #13, 14, 15, 16, 17, 18, 7, 8, 9, 10, 26, 29, 31) c ttee for Quality Assurance ("NCQA"). NCQA makes no representations, warra *iability* to anyone who relies on such measures or specifications.

different from NCQA's methodology. NCQA has not validated the adjusted misons, may be called only "Uncertified, Unaudited HEDIS rates."

lental Illness/Serious Emotional Disturbance Demonstration contain HEDIS Veroprietary code sets should obtain all necessary licenses from the owners of t e VS.

orting quarter.

is states should attest that it is reporting as specified in its monitoring protoc

tration year only in the report specified in the reporting schedule.

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Milestone or reporting topic Metric type Milestone 1 Established quality measure Established quality measure Milestone 1 Milestone 2 Established quality measure Milestone 2 Established quality measure Established quality measure Milestone 2

Milestone 2Established quality measureMilestone 2Established quality measure

Milestone 2

## Established quality measure

Milestone 2

Established quality measure

Milestone 2

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| Milestone 2 | CMS-constructed |
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| Other SMI/SED metrics | CMS-constructed             |

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re Healthcare Effectiveness Data and Information Set ("HEDIS®") measures inties, or endorsement about the quality of any organization or physician that

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alue Sets (VS) developed by and included with the permission of the NCQA. hese code sets. NCQA disclaims all liability for use or accuracy of the VS with

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Health IT

| Reporting category                                     | Data source                     | Technical specification<br>manual version |
|--|---------------------------------|---|
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| Annual metrics that are an established quality measure | Medical record review or claims |   |
|  |                                 |   |
| Annual metrics that are an established quality measure | Claims                          |   |
| Annual metrics that are an established quality measure | Claims                          |   |
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| Annual metrics that are an established quality measure | Claims                          |   |
| Annual metrics that are an                             | Electronic/paper                |   |
| established quality measure                            | medical records                 |   |

Annual metrics that are an established quality measure

Claims

Annual metrics that are an established quality measure

Claims

Claims



Annual metrics that are an established quality measure

#### Claims

#### Claims

Annual metrics that are an established quality measure



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Annual metrics that are an established quality measure

Claims

Claims

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| Other monthly and quarterly metrics    | Claims                          |
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| Other annual metrics                                   | Claims<br>State-specific IMD<br>database |
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| Annual metrics that are an established quality measure | Claims<br>Medical records                |
| Annual metrics that are an established quality measure | Claims<br>Medical records                |

| Annual metrics that are an established quality measure | Claims<br>Electronic medical<br>records |
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| Other annual metrics                                   | Claims                                  |
| Grievances and appeals                                 | Administrative records                  |

| Grievances and appeals                                   | Administrative records |
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| Grievances and appeals                                   | Administrative records |
| Other annual metrics                                     | Claims                 |
| Other annual metrics                                     | Claims                 |
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Attest that reporting matches CMS-provided specification (Y/N)<sup>b</sup> Describe any deviations from CMS-provided specifications

| Reporting issue(Y/N)<br>(further describe in data and reporting issues<br>tab) | Measurement period<br>(month, quarter, year <sup>c</sup> ) | Dates covered by<br>measurement period<br>(MM/DD/YYYY-MM/DD/YY<br>YY) |
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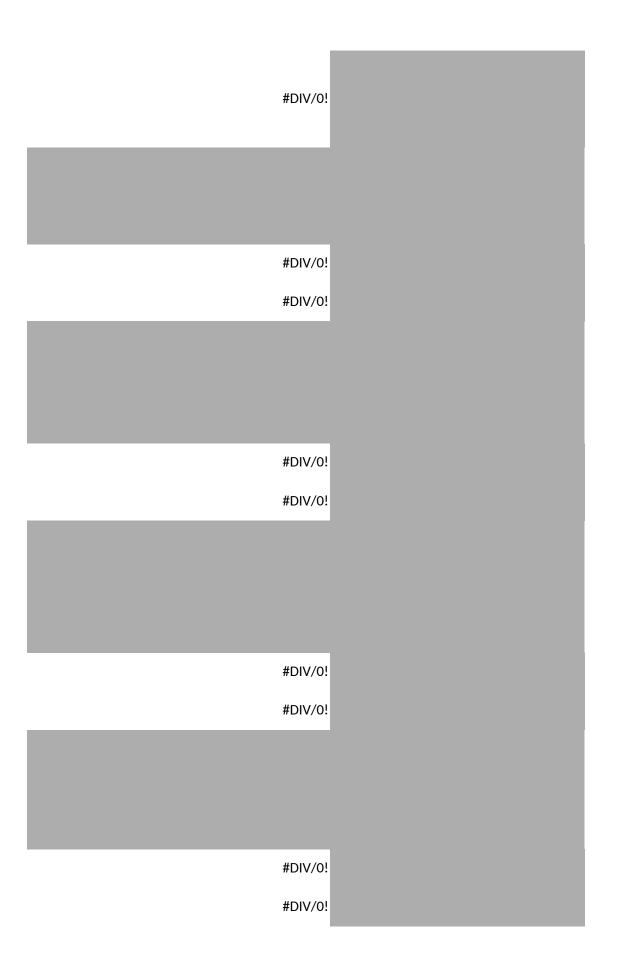


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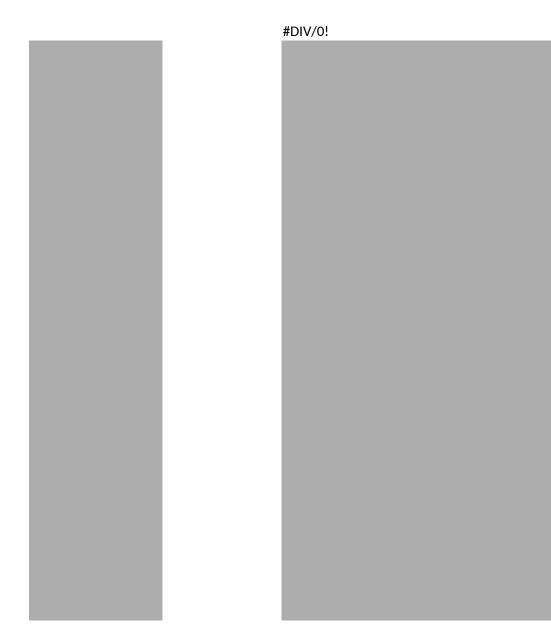
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| on of SMI                    | State-specific definition of SMI |                       |                              |
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| Rate/Percentage <sup>d</sup> | Denominator                      | Numerator or<br>count | Rate/Percentage <sup>d</sup> |
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|             | Children (Age <16)    |                              | Transition-age youth (Ag |                       |
|-------------|-----------------------|------------------------------|--------------------------|-----------------------|
| Denominator | Numerator or<br>count | Rate/Percentage <sup>d</sup> | Denominator              | Numerator or<br>count |





| ge 16-24)                    | Adults (Age 25-64) |                       |                              |
|------------------------------|--------------------|-----------------------|------------------------------|
|                              |                    |                       |                              |
| Rate/Percentage <sup>d</sup> | Denominator        | Numerator or<br>count | Rate/Percentage <sup>d</sup> |
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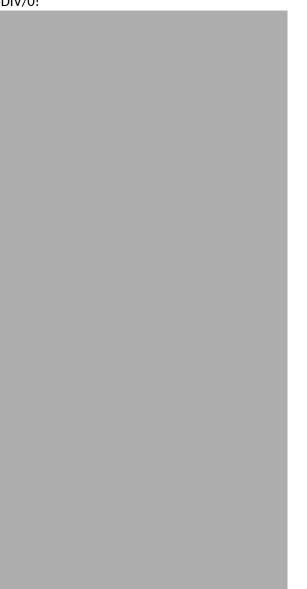


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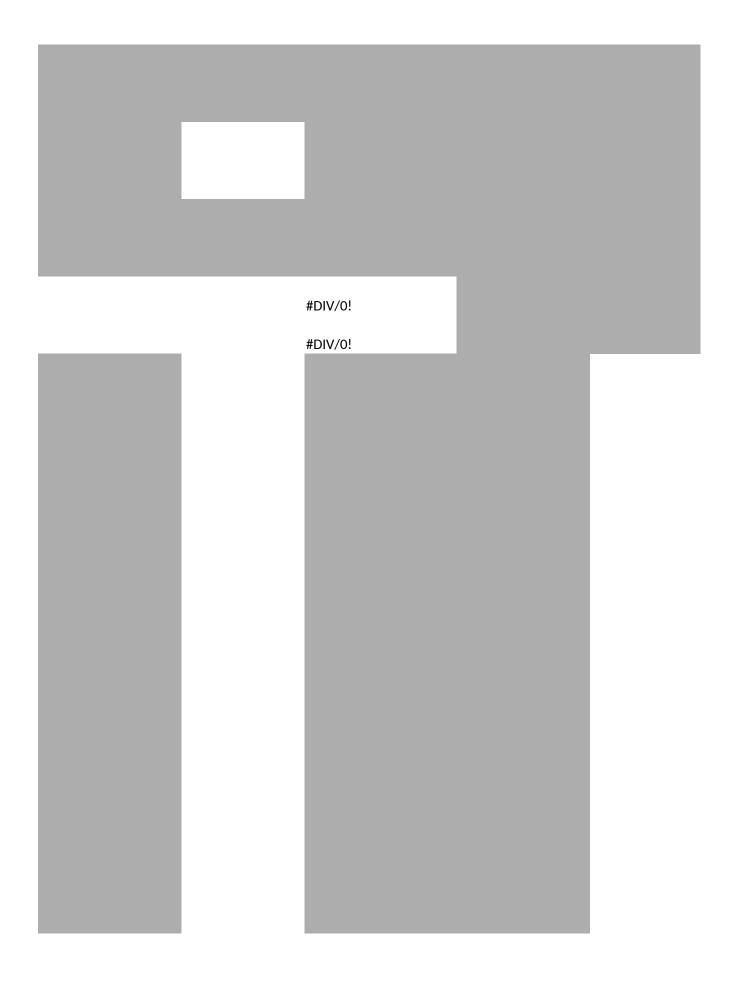
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|             | Older adults (Age 65+) |                              | Dual-eli    | gible (Medicare-M     |  |
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| Denominator | Numerator or<br>count  | Rate/Percentage <sup>d</sup> | Denominator | Numerator or<br>count |  |
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| edicaid eligible)            |             | Medicaid only         |                              |
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| Rate/Percentage <sup>d</sup> | Denominator | Numerator or<br>count | Rate/Percentage <sup>d</sup> |

| Eligible for Medicaid on the basis of disability |                       | Not eligible for             |             |  |
|--|-----------------------|------------------------------|-------------|--|
| Denominator                                      | Numerator or<br>count | Rate/Percentage <sup>d</sup> | Denominator |  |
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| Medicaid on the basis of disability |                              |             | Criminally involv     |  |
|-------------------------------------|------------------------------|-------------|-----------------------|--|
| Numerator or count                  | Rate/Percentage <sup>d</sup> | Denominator | Numerator or<br>count |  |
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| red                          |             | Not criminally involved |                              |
|------------------------------|-------------|-------------------------|------------------------------|
| Rate/Percentage <sup>d</sup> | Denominator | Numerator or count      | Rate/Percentage <sup>d</sup> |
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|             | Co-occurring SUE      | )                            | Cc          | o-occurring physic    |  |
|-------------|-----------------------|------------------------------|-------------|-----------------------|--|
| Denominator | Numerator or<br>count | Rate/Percentage <sup>d</sup> | Denominator | Numerator or<br>count |  |
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al conditions

Rate/Percentage<sup>d</sup>

| Medicaid Section 1115 SMI/SED   | Demonstration Report - Data & reporting issu    |
|---------------------------------|---|
| State                           | [Enter State Name]                              |
| Demonstration Name              | [Enter Demonstration Name]                      |
| Demonstration Year (DY)         | [Enter Demonstration Year] (Format: DY1, D)     |
| Calendar Dates for DY           | [Enter Calendar Dates for Demonstration Yea     |
| Reporting Period                | [Enter Reporting Period] (Format: Q1, Q2, Q3    |
| Calendar Dates for Reporting Pe | er[Enter Calendar Dates for Reporting Period (F |
| Submitted on                    | [Enter Date] (Format: MM/DD/YYYY)               |

| Milestone or reporting topic  | Metric(s) impacted                                    |
|-------------------------------|---|
| Milestone 1                   | [Add rows as needed]                                  |
| The state does not have any d | ata and reporting issues related to this section. All |
| Milestone 2                   | [Aaa rows as neeaea]                                  |
| The state does not have any d | ata and reporting issues related to this section. All |
| Milestone 3                   | [Add rows as needed]                                  |
| The state does not have any d | ata and reporting issues related to this section. All |
| Milestone 4                   | [Aad rows as neeaea]                                  |
| The state does not have any d | ata and reporting issues related to this section. All |
| SMI/SED health IT             | [Add rows as needed]                                  |
| The state does not have any d | ata and reporting issues related to this section. All |
| Other SMI/SED-related metric  | [Add rows as needed]                                  |
| The state does not have any d | ata and reporting issues related to this section. All |

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Note: Licensee and states must prominently display the following notice on ar The MPT, FUH-CH, FUH-AD, FUA-AD, FUM-AD, AAP, APM, and APC measures owned and copyrighted by the National Committee for Quality Assurance ("NC performance measures and NCQA has no liability to anyone who relies on sucl

The measure specification methodology used by CMS is different from NCQA's measure results, based on the <u>adjusted</u> HEDIS specifications, may be called on

Certain non-NCQA measures in the CMS 1115 Serious Mental Illness/Serious E Proprietary coding is contained in the VS. Users of the proprietary code sets sh non-NCQA measures and any coding contained in the VS.

<sup>a</sup>The state should also use this column to provide updates on any data or reporting issues descr End of workbook /2, DY3, etc.)
ar] (Format: MM/DD/YYYY - MM/DD/YYYY)
3, Q4)
Format: MM/DD/YYYY - MM/DD/YYYY)

Summary of issue

Date and report in which issue was first reported

associated metrics are reported as outlined in monitoring protocol.

associated metrics are reported as outlined in monitoring protocol.

associated metrics are reported as outlined in monitoring protocol.

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ny display of Measure rates:

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(metrics #13, 14, 15, 16, 17, 18, 7, 8, 9, 10, 26, 29, 31) are Healthcare Effectiveness Data and Information Set ("HE CQA"). NCQA makes no representations, warranties, or endorsement about the quality of any organization or physic measures or specifications.

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methodology. NCQA has not validated the adjusted measure specifications but has granted CMS permission to acily "Uncertified, Unaudited HEDIS rates."

Emotional Disturbance Demonstration contain HEDIS Value Sets (VS) developed by and included with the permission ould obtain all necessary licenses from the owners of these code sets. NCQA disclaims all liability for use or accurate

ibed in previous reports. When applicable, the state should note when issues are resolved. If an issue was noted as resolved in the previous

Estimated number of impacted beneficiaries

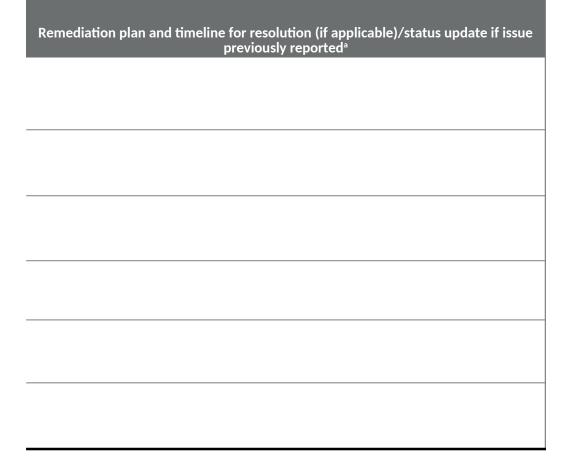
Known or suspected cause(s) of issue (if applicable)

DIS<sup>®</sup>") measures that are sician that uses or reports

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s report, it should not be reported in the current report.



| Instructio           | ons for Completing the Assessment of the Availability of Mental Health Services ("Availability<br>Assessment" tab)  |
|----------------------|---|
|                      | The state will submit multiple availability assessments. The state will submit an initial assessment at the time of<br>application and annual assessments thereafter.   |
| Before you<br>begin: | The state should select a consistent month in each year to populate the information in the availability assessment<br>(e.g. provide initial information based on counts covering August 2019, and update the information based on<br>counts covering August 2020, August 2021, August 2022, and August 2023).   |
|                      | Enter the state name, data entry date(s), and time period reflected in the availability assessment in cells C1-3.   |
|                      | To add rows for additional geographic designations, click the "Add row" button.   |
| Column               | Instructions  |
| В                    | In column B, enter each geographic designation starting in cell B8. Add rows as needed to capture all geographic designations. Geographic designation means a state-defined geographic unit for reporting data, such as county, region, or catchment area. The state should consider how it divides its mental health system into smaller units or catchment areas to select geographic designations that will yield meaningful, actionable information.  |
| с                    | In column C, starting in cell C8, please select whether geographic designation entered in the corresponding cell in column B could be considered urban or rural. If the geographic designation should be categorized as something other than urban or rural, select "Other-please explain" and record an explanation in the notes box in column D. Urban is defined as a Metropolitan Statistical Area or a Metropolitan division (in the case where a Metropolitan Statistical Area is divided into Metropolitan Divisions), as defined by the Executive Office of Management and Budget (42 CFR § 412.64(b)) Rural is defined as any area outside an urban area as defined in 42 CFR § 412.64(b).   |
| D                    | In column D, beginning in cell D8, please use this space to explain the state's response if the state selects 'Other-<br>please explain' in column C.   |
| E                    | In column E, starting in cell E8, enter the total number of adult Medicaid beneficiaries <b>ages 18-20</b> in each geographic designation at the selected point in time. Medicaid beneficiary means a person who has been determined to be eligible to receive Medicaid services as defined at 42 CFR §400.200. Note: this age category is separate in order to avoid double counting beneficiaries in the residential treatment category and to facilitate the calculation of certain ratios in the assessment. See the note in the following cell for additional explanation  |
| F                    | In column F, starting in cell F8, enter the number of adult Medicaid beneficiaries <b>ages 18-20 with SMI</b> in each geographic designation at the selected point in time. As defined on page 1 of the State Medicaid Directors Letter, serious mental illness means persons age 18 and over who currently, or at any time during the past year, have had a diagnosable mental, behavioral, or emotional disorder of sufficient duration to meet diagnostic criteria, that has resulted in functional impairment which substantially interferes with or limits one or more major life activities.<br>Note: in the State Medicaid Directors letter (SMDL #18-011), SMI is defined to include individuals age 18 years and older, and SED includes children younger than 18. However, the residential treatment section of the availability assessment requests data on PRTFs, and the federal definition for PRTFs includes facilities that serve individuals under the age of 21. In order to avoid double counting beneficiaries in the residential treatment category, the assessment requests data on beneficiaries age 0-17, 18-20, and 21 and older separately. |

| Instructio           | ns for Completing the Assessment of the Availability of Mental Health Services ("Availability<br>Assessment" tab)  |  |  |
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|                      | Enter the state name, data entry date(s), and time period reflected in the availability assessment in cells C1-3.  |  |  |
|                      | To add rows for additional geographic designations, click the "Add row" button.  |  |  |
| Column               | Instructions   |  |  |
| G                    | In column G, starting in cell G8, enter the total number of adult Medicaid beneficiaries <b>age 21 and older</b> in each geographic designation at the selected point in time.   |  |  |
|                      | In column H, starting in cell H8, enter the number of adult Medicaid beneficiaries <b>age 21 and older with SMI</b> in each geographic designation at the selected point in time.  |  |  |
| н                    | Note: in the SMDL, SMI is defined to include individuals age 18 years and older, and SED includes children younger than 18. However, the residential treatment section of the availability assessment requests data on PRTFs, and the federal definition for PRTFs includes facilities that serve individuals under the age of 21. In order to avoid double counting beneficiaries in the residential treatment category, the assessment requests data on beneficiaries age 0-17, 18-20, and 21 and older separately.  |  |  |
| I                    | In column I, starting in cell I8, the availability assessment will automatically calculate the percent of adult Medicaid<br>beneficiaries who have SMI in each geographic designation. The state should not input any values into this column or<br>modify the formulas in this column.  |  |  |
| J                    | In column J, starting in cell J8, enter the total number of Medicaid beneficiaries <b>under the age of 18</b> in each geographic designation at the selected point in time.  |  |  |
| K                    | In column K, starting in cell K8, enter the number of beneficiaries <b>under the age of 18 with SED</b> in each geographic designation at the selected point in time. As defined on page 2 of the SMDL, individuals with SED are those from birth up to age 18 who currently, or at any time during the past year, have had a diagnosable mental, behavioral, or emotional disorder of sufficient duration to meet diagnostic criteria that resulted in functional impairment which substantially interferes with or limits the child's role or functioning in family, school, or community activities. Functional impairment" is defined as difficulties that substantially interfere with or limit a child or adolescent from achieving or maintaining one or more developmentally-appropriate social, behavioral, cognitive, communicative, or adaptive skills. |  |  |
| L                    | In column L starting in cell L8, the availability assessment will automatically calculate the percent of beneficiaries <b>under the age of 18 who have SED</b> in each geographic designation. The state should not input any values into this column or modify the formulas in this column.   |  |  |
| М                    | In column M, starting in cell M8, the availability assessment will automatically calculate the number of Medicaid<br>beneficiaries (total) in each geographic designation.   |  |  |
| N                    | In column N, starting in cell N8, the availability assessment will automatically calculate the percent with Medicaid beneficiaries with SMI or SED (total) in each geographic designation.   |  |  |

| Instructio           | ns for Completing the Assessment of the Availability of Mental Health Services ("Availability<br>Assessment" tab)  |  |  |  |
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|                      | nter the state name, data entry date(s), and time period reflected in the availability assessment in cells C1-3.   |  |  |  |
|                      | To add rows for additional geographic designations, click the "Add row" button.  |  |  |  |
| Column               | Instructions   |  |  |  |
| 0                    | In column O, starting in cell O8, the availability assessment will automatically calculate the percent with SMI or SED (total) in each geographic designation.   |  |  |  |
| Р                    | In column P, beginning in cell P8, please use this space to provide notes about or qualifications to beneficiary data.<br>For example, use this cell to explain data limitations or missing data.  |  |  |  |
| Q                    | In column Q, starting in cell Q8, enter the number of <b>psychiatrists or other practitioners who are authorized to</b><br><b>prescribe</b> in each geographic designation. A psychiatrist is any psychiatrist licensed to practice in the state under<br>state licensure laws. Other prescribers authorized to prescribe means the number of mental health practitioners<br>other than psychiatrists who are authorized to prescribe as defined by state licensure laws.  |  |  |  |
| R                    | In column R, starting in cell R8, enter the number of <b>Medicaid-enrolled psychiatrists or other practitioners who are</b><br><b>authorized to prescribe</b> in each geographic designation. Medicaid-enrolled means any provider enrolled in Medicaid<br>to obtain Medicaid billing privileges, as defined in 42 CFR §455.410.   |  |  |  |
| s                    | In column S, starting in cell S8, enter the number of <b>Medicaid-enrolled psychiatrists or other practitioners who are</b><br><b>authorized to prescribe and are accepting new Medicaid patients</b> in each geographic designation. Accepting new<br>Medicaid patients means any provider enrolled in Medicaid to obtain Medicaid billing privileges who will treat new<br>Medicaid-enrolled patients.   |  |  |  |
| т-v                  | In columns T-V, starting in cell T8, the availability assessment will automatically calculate the ratios in each<br>geographic designation. The state should not input any values into these columns or modify the formulas in these<br>columns.   |  |  |  |
| w                    | In column W, beginning in cell W8, please use this space to provide notes about or qualifications to category data.<br>For example, use this cell to explain data limitations or missing data.   |  |  |  |
| x                    | In column X, starting in cell X8, enter the number of <b>other practitioners certified or licensed to independently treat</b><br><b>mental illness</b> in each geographic designation. Other types of practitioners certified or licensed to independently<br>treat mental illness means non-psychiatrist mental health providers who are certified or licensed to independently<br>treat mental illness as defined by state licensure laws. This may include, but is not limited to, licensed psychologists,<br>clinical social workers, and professional counselors. |  |  |  |
| Y                    | In column Y, starting in cell Y8, enter the number of <b>Medicaid-enrolled other types of practitioners certified and</b><br><b>licensed to independently treat mental illness</b> in each geographic designation.   |  |  |  |
| z                    | In column Z, starting in cell Z8, enter the number of <b>Medicaid-enrolled other types of practitioners certified and</b><br><b>licensed to independently treat mental illness accepting new Medicaid patients</b> in each geographic designation.   |  |  |  |

| Instructio           | ns for Completing the Assessment of the Availability of Mental Health Services ("Availability<br>Assessment" tab)   |
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|                      | Enter the state name, data entry date(s), and time period reflected in the availability assessment in cells C1-3.   |
|                      | To add rows for additional geographic designations, click the "Add row" button.   |
| Column               | Instructions  |
| AA-AC                | In columns AA-AC, starting in cell AA8, the availability assessment will automatically calculate the ratios in each geographic designation. The state should not input any values into these columns or modify the formulas in these columns.   |
| AD                   | In column AD, beginning in cell AD8, please use this space to provide notes about or qualifications to category data.<br>For example, use this cell to explain data limitations or missing data.  |
| AE                   | In column AE, starting in cell AE8, enter the number of <b>community mental health centers (CMHCs)</b> in each geographic designation. A community mental health center is an entity that provides outpatient mental health services, 24 hour emergency care services, day treatment, screenings, and consultation and educational services, as defined at 42 CFR §410.2.                                   |
| AF                   | In column AF, starting in cell AF8, enter the number of <b>Medicaid-enrolled CMHCs</b> in each geographic designation.  |
| AG                   | In column AG, starting in cell AG8, enter the number of <b>Medicaid-enrolled CMHCs accepting new Medicaid patients</b> in each geographic designation.  |
| AH-AJ                | In columns AH-AJ, starting in cell AH8, the availability assessment will automatically calculate the ratios in each<br>geographic designation. The state should not input any values into these columns or modify the formulas in these<br>columns.   |
| AK                   | In column AK, beginning in cell AK8, please use this space to provide notes about or qualifications to category data.<br>For example, use this cell to explain data limitations or missing data.  |
| AL                   | In column AL, starting in cell AL8, enter the number of <b>intensive outpatient/partial hospitalization providers</b> in each geographic designation. Partial hospitalization or intensive outpatient services means a distinct and organized intensive ambulatory treatment program that offers less than 24-hour daily care other than in an individual's home or in an inpatient or residential setting. |
| AM                   | In column AM, starting in cell AM8, enter the number of <b>Medicaid-enrolled intensive outpatient/partial hospitalization providers</b> in each geographic designation.   |
| AN                   | In column AN, starting in cell AN8, enter the number of <b>Medicaid-enrolled intensive outpatient/partial</b> hospitalization providers accepting new Medicaid patients in each geographic designation.   |
| AO-AQ                | In column AO-AQ, starting in cell AO8, the availability assessment will automatically calculate the ratios in each<br>geographic designation. The state should not input any values into these columns or modify the formulas in these<br>columns.  |
| AR                   | In column AR, beginning in cell AR8, please use this space to provide notes about or qualifications to category data.<br>For example, use this cell to explain data limitations or missing data.  |

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|                      | To add rows for additional geographic designations, click the "Add row" button.  |  |  |  |  |  |  |  |  |  |
| Column               | Instructions   |  |  |  |  |  |  |  |  |  |
| AS                   | In column AS, starting in cell AS8, enter the number of <b>residential mental health treatment facilities (adult)</b> in each geographic designation. A residential mental health treatment facilities (adult) is a facility not licensed as a psychiatric hospital, whose primary purpose is to provide individually planned programs of mental health treatment services in a residential care setting for adults as defined for SAMHSA's N-MHSS. Please exclude residential SUD treatment facilities. |  |  |  |  |  |  |  |  |  |
| AT                   | In column AT, starting in cell AT8, enter the number of <b>Medicaid-enrolled residential mental health treatment</b><br>facilities (adult) in each geographic designation.   |  |  |  |  |  |  |  |  |  |
| AU                   | In column AU, starting in cell AU8, enter the number of <b>Medicaid-enrolled residential mental health treatment</b><br>facilities (adult) accepting new Medicaid patients in each geographic designation.   |  |  |  |  |  |  |  |  |  |
| AV-AX                | In column AV-AX, starting in cell AV8, the availability assessment will automatically calculate the ratios in each<br>geographic designation. The state should not input any values into these columns or modify the formulas in these<br>columns.   |  |  |  |  |  |  |  |  |  |
| AY                   | In column AY, starting in cell AY8, enter the total number of <b>residential mental health treatment facility beds (adult)</b> in each geographic designation.   |  |  |  |  |  |  |  |  |  |
| AZ                   | In column AZ, starting in cell AZ8, enter the total number of <b>Medicaid-enrolled residential mental health treatment</b><br><b>beds (adult)</b> in each geographic designation.  |  |  |  |  |  |  |  |  |  |
| ВА                   | In column BA, starting in cell BA8, enter the total number of <b>Medicaid-enrolled residential mental health treatment</b><br><b>beds available to adult Medicaid patients</b> in each geographic designation. Available to Medicaid adult Medicaid<br>patients means any facility or bed available to serve Medicaid patients over the age of 18.   |  |  |  |  |  |  |  |  |  |
| BB-BD                | In column BB-BD, starting in cell BB8, the availability assessment will automatically calculate the ratios in each<br>geographic designation. The state should not input any values into these columns or modify the formulas in these<br>columns.   |  |  |  |  |  |  |  |  |  |
| BE                   | In column BE, beginning in cell BE8, please use this space to provide notes about or qualifications to category data.<br>For example, use this cell to explain data limitations or missing data.   |  |  |  |  |  |  |  |  |  |

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|                      | Enter the state name, data entry date(s), and time period reflected in the availability assessment in cells C1-3.  |  |  |  |  |  |  |  |  |  |
|                      | To add rows for additional geographic designations, click the "Add row" button.  |  |  |  |  |  |  |  |  |  |
| Column               | Instructions   |  |  |  |  |  |  |  |  |  |
| BF                   | In column BF, starting in cell BF8, enter the number of <b>psychiatric residential treatment facilities (PRTF)</b> in each geographic designation. A PRTF is a non-hospital facility with a provider agreement with a state Medicaid agency to provide the inpatient psychiatric services to individuals under age 21 benefit (psych under 21 benefit). The facility must be accredited by the Joint Commission, the Council on Accreditation of Services for Families and Children, the Commission on Accreditation of Rehabilitation Facilities, or any other accrediting organization with comparable standards recognized by the State. PRTFs must also meet the requirements at 42 CFR §441.151 - §441.182, and 42 CFR §483.350 - §483.376. |  |  |  |  |  |  |  |  |  |
| BG                   | In column BG, starting in cell BG8, enter the number of <b>Medicaid-enrolled PRTFs</b> in each geographic designation.   |  |  |  |  |  |  |  |  |  |
| ВН                   | In column BH, starting in cell BH8, enter the number of <b>Medicaid-enrolled PRTFs accepting new Medicaid patients</b> in each geographic designation.   |  |  |  |  |  |  |  |  |  |
| BI-BK                | In column BI-BK, starting in cell BI8, the availability assessment will automatically calculate the ratios in each<br>geographic designation. The state should not input any values into these columns or modify the formulas in these<br>columns.   |  |  |  |  |  |  |  |  |  |
| BL                   | In column BL, starting in cell BL8, enter the total number of <b>PRTF beds</b> in each geographic designation.   |  |  |  |  |  |  |  |  |  |
| BM                   | In column BM, starting in cell BM8, enter the number of <b>Medicaid-enrolled PRTF beds</b> in each geographic designation.   |  |  |  |  |  |  |  |  |  |
| BN                   | In column BN, starting in cell BN8, enter the number of <b>Medicaid-enrolled PRTF beds available to Medicaid patients</b> in each geographic designation. Available to Medicaid patients means any facility or bed available to serve Medicaid patients.   |  |  |  |  |  |  |  |  |  |
| BO-BQ                | In column BO-BQ, starting in cell BO8, the availability assessment will automatically calculate the ratios in each<br>geographic designation. The state should not input any values into these columns or modify the formulas in these<br>columns.   |  |  |  |  |  |  |  |  |  |
| BR                   | In column BR, beginning in cell BR8, please use this space to provide notes about or qualifications to category data.<br>For example, use this cell to explain data limitations or missing data.   |  |  |  |  |  |  |  |  |  |
| BS                   | In column BS, starting in cell BS8, enter the number of <b>psychiatric hospitals</b> in each geographic designation. A psychiatric hospital is an institution which provides diagnosis and treatment of mentally ill persons, as defined at 42 USC §1395x.   |  |  |  |  |  |  |  |  |  |

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|                      |  |  |  |  |  |  |  |  |  |  |
|                      | To add rows for additional geographic designations, click the "Add row" button.  |  |  |  |  |  |  |  |  |  |
| Column               | Instructions   |  |  |  |  |  |  |  |  |  |
| BT                   | In column BT, starting in cell BT8, enter the number of <b>psychiatric hospitals available to Medicaid patients</b> in each geographic designation.  |  |  |  |  |  |  |  |  |  |
| BU-BV                | In column BU-BV, starting in cell BU8, the availability assessment will automatically calculate the ratios in each<br>geographic designation. The state should not input any values into these columns or modify the formulas in these<br>columns.   |  |  |  |  |  |  |  |  |  |
| BW                   | In column BW, beginning in cell BW8, please use this space to provide notes about or qualifications to category data.<br>For example, use this cell to explain data limitations or missing data.   |  |  |  |  |  |  |  |  |  |
| BX                   | In column BX, starting in cell BX8, enter the number of <b>psychiatric units in acute care hospitals</b> in each geographic designation. A psychiatric unit is a separate inpatient psychiatric unit of a general hospital that provides inpatient mental health services and has specifically allocated staff and space (beds) for the treatment of persons with mental illness, as defined for SAMHSA's N-MHSS.                  |  |  |  |  |  |  |  |  |  |
| ВҮ                   | In column BY, starting in cell BY8, enter the number of <b>psychiatric units in critical access hospitals (CAHs)</b> in each geographic designation. A critical access hospital is a small facility that provides 24-hour emergency care, outpatient services, as well as inpatient services to people in rural areas, as defined in 42 CFR §485.606.  |  |  |  |  |  |  |  |  |  |
| BZ                   | In column BZ, starting in cell BZ8, enter the number of <b>Medicaid-enrolled psychiatric units in acute care hospitals</b> in each geographic designation.   |  |  |  |  |  |  |  |  |  |
| CA                   | In column CA, starting in cell CA8, enter the number of <b>Medicaid-enrolled psychiatric units in CAHs</b> in each geographic designation.   |  |  |  |  |  |  |  |  |  |
| СВ                   | In column CB, starting in cell CB8, enter the number of <b>Medicaid-enrolled psychiatric units in acute care hospitals</b><br>accepting new Medicaid patients in each geographic designation.  |  |  |  |  |  |  |  |  |  |
| сс                   | In column CC starting in cell CC8, enter the number of <b>Medicaid-enrolled psychiatric units in CAHs accepting new</b><br>Medicaid patients in each geographic designation.   |  |  |  |  |  |  |  |  |  |
| CD-CI                | In column CD-CI, starting in cell CD8, the availability assessment will automatically calculate the ratios in each<br>geographic designation. The state should not input any values into these columns or modify the formulas in these<br>columns.   |  |  |  |  |  |  |  |  |  |
| CJ                   | In column CJ, beginning in cell CJ8, please use this space to provide notes about or qualifications to category data.<br>For example, use this cell to explain data limitations or missing data.   |  |  |  |  |  |  |  |  |  |
| СК                   | In column CK, starting in cell CK8, enter the number of <b>licensed psychiatric hospital beds (psychiatric hospital +</b><br><b>psychiatric units)</b> in each geographic designation. Please enter the number of licensed psychiatric hospital beds as<br>defined by state licensure requirements.  |  |  |  |  |  |  |  |  |  |

|            | Assessment" tab)   |  |  |  |  |  |  |  |  |  |
|------------|--|--|--|--|--|--|--|--|--|--|
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|            | Enter the state name, data entry date(s), and time period reflected in the availability assessment in cells C1-3.  |  |  |  |  |  |  |  |  |  |
|            | To add rows for additional geographic designations, click the "Add row" button.  |  |  |  |  |  |  |  |  |  |
| Column     | Instructions   |  |  |  |  |  |  |  |  |  |
|            | In column CL, starting in cell CL8, enter the number of <b>licensed psychiatric hospital beds (psychiatric hospital +</b><br><b>psychiatric units) available to Medicaid patients</b> in each geographic designation.  |  |  |  |  |  |  |  |  |  |
|            | In column CM-CN, starting in cell CM8, the availability assessment will automatically calculate the ratios in each<br>geographic designation. The state should not input any values into these columns or modify the formulas in these<br>columns.   |  |  |  |  |  |  |  |  |  |
|            | In column CO, beginning in cell CO8, please use this space to provide notes about or qualifications to category data.<br>For example, use this cell to explain data limitations or missing data.   |  |  |  |  |  |  |  |  |  |
| CD.        | In column CP, starting in cell CP8, enter the number of <b>residential mental health treatment facilities (adult) that</b><br><b>qualify as an institution for mental diseases (IMDs)</b> in each geographic designation. An IMD is a hospital, nursing<br>facility, or other institution of more than 16 beds that is primarily engaged in providing diagnosis, treatment or care<br>of persons with mental diseases, including medical attention, nursing care and related services per section 1905(i) o<br>the Social Security Act. See also 42 CFR §435.1010 and section 4390 of the State Medicaid Manual. |  |  |  |  |  |  |  |  |  |
|            | In column CQ, starting in cell CQ8, enter the number of <b>Medicaid-enrolled residential mental health treatment</b> facilities (adult) that qualify as IMDs in each geographic designation.   |  |  |  |  |  |  |  |  |  |
| CR         | In column CR, starting in cell CR8, enter the number of <b>Medicaid-enrolled residential mental health treatment</b><br>facilities (adult) that qualify as IMDs accepting Medicaid patients in each geographic designation.  |  |  |  |  |  |  |  |  |  |
| CS-CU      | In column CS-CU, starting in cell CS8, the availability assessment will automatically calculate the ratios in each<br>geographic designation. The state should not input any values into these columns or modify the formulas in these<br>columns.   |  |  |  |  |  |  |  |  |  |
|            | In column CV, starting in cell CV8, enter the number of <b>psychiatric hospitals that qualify as IMDs</b> in each geographic designation.  |  |  |  |  |  |  |  |  |  |
| C14/       | In column CW, starting in cell CW8, the availability assessment will automatically calculate the ratios in each<br>geographic designation. The state should not input any values into these columns or modify the formulas in these<br>columns.  |  |  |  |  |  |  |  |  |  |
|            | In column CX, beginning in cell CX8, please use this space to provide notes about or qualifications to category data.<br>For example, use this cell to explain data limitations or missing data.   |  |  |  |  |  |  |  |  |  |
|            | In column CY, starting in cell CY8, enter the number of <b>crisis call centers</b> in each geographic designation. Please enter<br>the number of crisis call centers as defined by the state.  |  |  |  |  |  |  |  |  |  |

| Instructio           | ns for Completing the Assessment of the Availability of Mental Health Services ("Availability<br>Assessment" tab)   |  |  |  |  |  |  |  |  |  |
|----------------------|---|--|--|--|--|--|--|--|--|--|
|                      | The state will submit multiple availability assessments. The state will submit an initial assessment at the time of<br>application and annual assessments thereafter.   |  |  |  |  |  |  |  |  |  |
| Before you<br>begin: | The state should select a consistent month in each year to populate the information in the availability assessment<br>(e.g. provide initial information based on counts covering August 2019, and update the information based on<br>counts covering August 2020, August 2021, August 2022, and August 2023).                   |  |  |  |  |  |  |  |  |  |
|                      | Enter the state name, data entry date(s), and time period reflected in the availability assessment in cells C1-3.   |  |  |  |  |  |  |  |  |  |
|                      | To add rows for additional geographic designations, click the "Add row" button.   |  |  |  |  |  |  |  |  |  |
| Column               | Instructions  |  |  |  |  |  |  |  |  |  |
| cz                   | In column CZ, starting in cell CZ8, enter the number of <b>mobile crisis units</b> in each geographic designation. A mobile crisis unit is a team that intervenes during mental health crises, as defined by the state.   |  |  |  |  |  |  |  |  |  |
| DA                   | In column DA, starting in cell DA8, enter the number of <b>crisis observation/ assessment centers</b> in each geographic designation. Please enter the number of observation or assessment centers as defined by the state.   |  |  |  |  |  |  |  |  |  |
| DB                   | In column DB, starting in cell DB8, enter the number of <b>crisis stabilization units</b> in each geographic designation. Crisis stabilization units offer medically monitored short-term crisis stabilization services, as defined by the state.   |  |  |  |  |  |  |  |  |  |
| DC                   | In column DC, starting in cell DC8, enter the number of <b>coordinated community crisis response teams</b> in each geographic designation. Coordinated community crisis response means a community-based program or entity that manages crisis response across various community entities or programs, as defined by the state. |  |  |  |  |  |  |  |  |  |
| DD-DH                | In column DD-DH, starting in cell DD8, the availability assessment will automatically calculate the ratios in each geographic designation. The state should not input any values into these columns or modify the formulas in these columns.  |  |  |  |  |  |  |  |  |  |
| DI                   | In column DI, beginning in cell DI8, please use this space to provide notes about or qualifications to category data.<br>For example, use this cell to explain data limitations or missing data.  |  |  |  |  |  |  |  |  |  |
| ſ                    | In column DJ, starting in cell DJ8, enter the number <b>FQHCs that offer behavioral health services</b> in each geographic designation. Federally qualified health center (FQHC) means an entity that has entered into an agreement with CMS to meet Medicare program requirements under 42 CFR §405.2434 and 42 CFR §405.2401. |  |  |  |  |  |  |  |  |  |
| DK                   | In column DK, starting in cell DK8,the availability assessment will automatically calculate the ratios in each<br>geographic designation. The state should not input any values into these columns or modify the formulas in these<br>columns.  |  |  |  |  |  |  |  |  |  |
| DL                   | In column DL, beginning in cell DL8, please use this space to provide notes about or qualifications to category data.   |  |  |  |  |  |  |  |  |  |
| DM                   | Beginning in column DM, add additional counts and ratios for provider and setting types that the state considers<br>important to its mental health system. The state should not modify any of the previous columns.   |  |  |  |  |  |  |  |  |  |

|  | Definitions of terms used in the Availability Assessment  |
|--|---|
| Term   | Definition  |
| Accepting new Medicaid patients                                | Accepting new Medicaid patients means any provider enrolled in Medicaid to obtain Medicaid billing privileges who will treat new Medicaid-enrolled patients.  |
| Available to Medicaid patients                                 | Available to Medicaid patients means any facility or bed available to serve Medicaid patients.  |
| Adult  | An <i>adult</i> is a person age 18 and over [SMDL].   |
| Community mental health center (CMHC)                          | A <i>community mental health center (CMHC)</i> is an entity that provides outpatient mental health services, 24 hour emergency care services, day treatment, screenings, and consultation and educational services, as defined at 42 CFR §410.2.  |
| Coordinated community crisis response                          | <i>Coordinated community crisis response</i> means a community-based program or entity that manages crisis response across various community entities or programs, as defined by the state.   |
| Crisis call center   | Crisis call centers are defined by the state.   |
| Crisis stabilization unit                                      | <i>Crisis stabilization units</i> offer medically monitored short-term crisis stabilization services, as defined by the state.  |
| Critical access hospital                                       | A <i>critical access hospital</i> is a small facility that provides 24-hour emergency care, outpatient services, as well as inpatient services to people in rural areas, as defined in 42 CFR §485.606.   |
| Federally qualified health center                              | <i>Federally qualified health center (FQHC)</i> means an entity that has entered into an agreement with CMS to meet Medicare program requirements under 42 CFR §405.2434 and 42 CFR §405.2401   |
| Geographic designation   | <i>Geographic designation</i> means a state-defined geographic unit for reporting data, such as county, region, or catchment area.  |
| Institution for mental<br>diseases (IMD)                       | An <b>institution for mental diseases</b> is a hospital, nursing facility, or other institution of more than 16 beds that is primarily engaged in providing diagnosis, treatment or care of persons with mental diseases, including medical attention, nursing care and related services per section 1905(i) of the Social Security Act. See also 42 CFR §435.1010 and section 4390 of the State Medicaid Manual. |
| Intensive outpatient<br>services or partial<br>hospitalization | <i>Intensive outpatient services or partial hospitalization</i> means a distinct and organized intensive ambulatory treatment program that offers less than 24-hour daily care other than in an individual's home or in an inpatient or residential setting.  |
| Licensed psychiatric hospita bed                               | al <i>Licensed psychiatric hospital beds</i> are defined by state licensure requirements.   |
| Medicaid beneficiary   | <i>Medicaid beneficiary</i> means a person who has been determined to be eligible to receive Medicaid services as defined at 42 CFR §400.200.   |
| Medicaid-enrolled  | <i>Medicaid-enrolled</i> means any provider enrolled in Medicaid to obtain Medicaid billing privileges, as defined in 42 CFR §455.410.  |

|   | <b>Definition</b><br>A <b>mobile crisis unit</b> is a team that intervenes during mental health crises, as defined by the state.  |
|---|---|
|   | A mohile crisis unit is a team that intervenes during mental health crises as defined by the state  |
| Montal health prestitionary                                 | The movie cross whit is a team that intervenes during mental health croses, as defined by the state.  |
| other than psychiatrists who<br>are authorized to prescribe | Mental health practitioners other than psychiatrists who are authorized to prescribe are defined by state licensure laws.   |
| other than psychiatrists who are certified or licensed by   | Mental health practitioners other than psychiatrists who are certified or licensed to treat mental illness<br>are non-psychiatrist mental health providers who are certified or licensed to independently treat mental<br>illness as defined by state licensure laws. This may include, but is not limited to, licensed psychologists,<br>clinical social workers, and professional counselors.   |
| Observation or assessment centers                           | <b>Observation or assessment centers</b> are defined by the state.  |
|   | A <i>psychiatric hospital</i> is an institution which provides diagnosis and treatment of mentally ill person, as defined at 42 USC §1395x.   |
| treatment facility (PRTF)                                   | A <b>psychiatric residential treatment facility</b> is a non-hospital facility with a provider agreement with a state<br>Medicaid agency to provide the inpatient psychiatric services to individuals under age 21 benefit (psych<br>under 21 benefit). The facility must be accredited by the Joint Commission, the Council on Accreditation of<br>Services for Families and Children, the Commission on Accreditation of Rehabilitation Facilities, or any other<br>accrediting organization with comparable standards recognized by the State. PRTFs must also meet the<br>requirements at 42 CFR §441.151 - §441.182, and 42 CFR §483.350 – §483.376. |
|   | A <b>psychiatric unit</b> is a separate inpatient psychiatric unit of a general hospital that provides inpatient mental health services and has specifically allocated staff and space (beds) for the treatment of persons with mental illness, as defined for SAMHSA's National Mental Health Services Survey (N-MHSS).  |
| Psychiatrist  | A <b>psychiatrist</b> is any psychiatrist licensed to practice in the state under state licensure laws  |
| treatment facilities (adult)                                | A <b>residential mental health treatment facilities (adult)</b> is a facility not licensed as a psychiatric hospital, whose primary purpose is to provide individually planned programs of mental health treatment services in a residential care setting for adults as defined for SAMHSA's N-MHSS. Please exclude residential SUD treatment facilities.   |
| Rural   | <i>Rural</i> means any area outside an urban area as defined in 42 CFR § 412.64(b)  |

|                                  | Definitions of terms used in the Availability Assessment   |
|----------------------------------|--|
| Term                             | Definition   |
| Serious emotional<br>disturbance | Persons withserious emotional disturbance means individuals from birth up to age 18 who currently, or at<br>any time during the past year, have had a diagnosable mental, behavioral, or emotional disorder of<br>sufficient duration to meet diagnostic criteria that resulted in functional impairment which substantially<br>interferes with or limits the child's role or functioning in family, school, or community activities. Functional<br>impairment" is defined as difficulties that substantially interfere with or limit a child or adolescent from<br>achieving or maintaining one or more developmentally-appropriate social, behavioral, cognitive,<br>communicative, or adaptive skills [SMDL]. |
| Serious mental illness           | Persons with <b>serious mental illness</b> means individuals, age 18 and over, who currently, or at any time during the past year, have had a diagnosable mental, behavioral, or emotional disorder of sufficient duration to meet diagnostic criteria, that has resulted in functional impairment which substantially interferes with or limits one or more major life activities. [SMDL]   |
|                                  | Note: in the SMDL, SMI is defined to include individuals age 18 years and older, and SED includes children<br>younger than 18. However, the residential treatment section of the availability assessment requests data<br>on PRTFs, and the federal definition for PRTFs includes facilities that serve individuals under the age of 21.<br>In order to avoid double counting beneficiaries in the residential treatment category, the assessment<br>requests data on beneficiaries age 0-17, 18-20, and 21 and older separately.  |
| Urban                            | <b>Urban</b> means a Metropolitan Statistical Area or a Metropolitan division (in the case where a Metropolitan Statistical Area is divided into Metropolitan Divisions), as defined by the Executive Office of Management and Budget (42 CFR § 412.64(b)).  |

| State Name<br>Date of Assessment<br>Time Period Reflected in Assessment |                |            |   |               |       |  |         |  |  |                            |     |
|---|----------------|------------|---|---------------|-------|--|---------|--|--|----------------------------|-----|
| Geographic Designation  |                |            |   |               |       | Benefici   | aries   |  |  |                            |     |
|   |                |            |   |               | Adult |  |         |  | Children   |                            | 1   |
| ge<br>de<br>pri   | rimarilv urban | Geographic | Number of adult<br>Medicaid<br>beneficiaries (18<br>- 20) | beneficiaries |       | Number of adult<br>Medicaid<br>beneficiaries<br>with SMI (21+) | Percent | Number of<br>Medicaid<br>beneficiaries (0<br>- 17) | Number of<br>Medicaid<br>beneficiaries<br>with SED<br>(0 - 17) | Percent with<br>SED (0-17) |     |
| 1.  |                |            |   |               |       |  | -       |  |  | -                          |     |
| 3.  |                |            |   |               |       |  | -       |  |  | -                          |     |
| 5.  |                |            |   |               |       |  | -       |  |  | -                          |     |
| 6.  |                |            |   |               |       |  | -       |  |  | -                          | 1   |
| 7   |                |            |   |               |       |  | -       |  |  | -                          | İ   |
| 9.  |                |            |   |               |       |  | -       |  |  | -                          | i i |
| 0   |                |            |   |               |       |  | -       |  |  |                            | į   |
| ⊥. Total  |                |            | 0   | 0             | 0     | 0  | -       | 0  | 0  | -                          | i – |

|   |  |                       |                         | Providers  |   |  |   |  |                      |  |  |  |   |
|---|--|-----------------------|-------------------------|--|---|--|---|--|----------------------|--|--|--|---|
|   | Total  |                       |                         |  | Psychiatrists or Other Practitioners Who Are Authorized to Prescribe                        |  |   |  |                      |  |  |  | I |
| Number of<br>Medicaid<br>beneficiaries<br>(Total) | Number of<br>Medicaid<br>beneficiaries<br>with SMI or<br>SED (Total) | SMI or SED<br>(Total) | Beneficiary<br>Category | Number of<br>Psychiatrists or<br>Other<br>Practitioners<br>Who Are<br>Authorized to<br>Prescribe | Number of<br>Medicaid-<br>Enrolled<br>Psychiatrists or<br>Other<br>Practitioners<br>Who Are | Number of<br>Medicaid-<br>Enrolled<br>Psychiatrists or<br>Other<br>Practitioners<br>Who Are<br>Authorized to<br>Prescribe<br>Accepting New<br>Medicaid<br>Patients | Medicaid<br>beneficiaries<br>with SMI/SED to<br>Medicaid-<br>Enrolled | Ratio of Total<br>Psychiatrists or<br>Other<br>Prescribers to<br>Medicaid-<br>Enrolled<br>Psychiatrists or<br>Other<br>Prescribers | Other<br>Prescribers | Psychiatrists or<br>Other<br>Practitioners<br>Who Are<br>Authorized to | Number of<br>Other<br>Practitioners<br>Certified or<br>Licensed to<br>Independently<br>Treat Mental<br>Illness | Number of<br>Medicaid-<br>Enrolled Other<br>Practitioners<br>Certified or<br>Licensed to<br>Independently<br>Treat Mental<br>Illness |   |
| 0   |  | ,                     |                         |  |   |  | -   | -  | -                    | -  | 1  |  |   |
| 0   | 0  | ) -                   |                         |  |   |  | -   | -  | -                    |  |  |  |   |
| 0   | C  | ·                     |                         |  |   |  | -   | -  | -                    |  |  |  |   |
| 0   | C  | 4                     |                         |  |   |  | -   | -  | -                    | _  |  |  |   |
| 0   | 0  | ·                     |                         |  |   |  | -   | -  | -                    | -  | j  |  |   |
| 0   |  | 1                     |                         |  |   |  | -   | -  | -                    | -  |  |  |   |
| 0   | 0  | ) -                   |                         |  |   |  | -   | -  | -                    |  | 1  |  |   |
| 0   | C  | 1                     |                         |  |   |  | -   | -  | -                    |  |  |  |   |
| 0   | C  |                       |                         |  |   |  | -   | -  | -                    |  |  |  |   |
| 0   | C  | -                     |                         | 0  | 0   |  | ) -   | -  | -                    | _  | . (  | 0  | I |

| ers Certified and Licensed t                         |  |                                       |                       |                    | C C   | community M                           | lental Health             | Centers |                           |  | Inte  |
|--|--|---------------------------------------|-----------------------|--------------------|---|---------------------------------------|---------------------------|---------|---------------------------|--|---|
|  | to Independently Trea  | at Mental Illness                     |                       |                    |   |                                       |                           |         |                           |  |   |
| Medicaid- Beneficiaries<br>Enrolled Other SMI/SED to | Ratio of Other<br>Practitioners<br>Certified or<br>Licensed to<br>Independently<br>swith Treat Mental<br>Illness to<br>rolled Medicaid-<br>Enrolled Other<br>Practitioners<br>Certified or<br>Licensed to<br>Independently | Treat Mental Illness<br>Accepting New | Other<br>Practitioner | Number of<br>CMHCs | Number of<br>Medicaid-<br>Enrolled<br>CMHCs | Accepting<br>New Medicaid<br>Patients | with SMI/SED to Medicaid- |         | CMHC<br>Category<br>Notes | Number of<br>Intensive<br>Outpatient/<br>Partial<br>Hospitalization<br>Providers | Number of<br>Medicaid-<br>Enrolled<br>Intensive<br>Outpatient/<br>Partial<br>Hospitalization<br>Providers |

| nsive Outpatien   | t or Partial Ho  | spitalization Pi   | oviders   |  |  |   |  |  |   |  |  |  |  |
|---|--|--|---|--|--|---|--|--|---|--|--|--|--|
|   |  |  |   |  | Residential Mental Health Treatme  |   |  |  |   |  |  |  |  |
| Number of<br>Medicaid-Enrolled<br>Intensive<br>Outpatient/Partial<br>Hospitalization<br>Providers<br>Accepting New<br>Medicaid Patients | Ratio of<br>Medicaid<br>Beneficiaries<br>with SMI/SED<br>to Medicaid-<br>Enrolled<br>Intensive<br>Outpatient/<br>Partial<br>Hospitalization<br>Providers | Ratio of Total<br>Partial<br>Hospitalization/<br>Day Treatment<br>Providers to<br>Medicaid-<br>Enrolled<br>Intensive<br>Outpatient/<br>Partial<br>Hospitalization<br>Providers | Ratio of Medicaid-<br>Enrolled Partial<br>Hospitalization/<br>Day Treatment<br>Providers to<br>Medicaid-<br>Enrolled Intensive<br>Outpatient/ Partial<br>Hospitalization<br>Providers<br>Accepting New<br>Medicaid Patients | Intensive<br>Outpatient/<br>Partial<br>Hospitalization | Number of<br>Residential<br>Mental Health<br>Treatment<br>Facilities (Adult) | Number of<br>Medicaid-<br>Enrolled<br>Residential<br>Mental Health<br>Treatment<br>Facilities (Adult) | Number of<br>Medicaid-<br>Enrolled<br>Residential<br>Mental Health<br>Treatment<br>Facilities<br>Accepting New<br>Medicaid<br>Patients (Adult) | Ratio of<br>Medicaid<br>Beneficiaries<br>with SMI (Adult)<br>to Medicaid-<br>Enrolled<br>Residential<br>Mental Health<br>Treatment<br>Facilities (Adult) | Enrolled<br>Residential<br>Mental Health<br>Treatment<br>Facilities | Ratio of<br>Medicaid-<br>Enrolled<br>Residential<br>Mental Health<br>Treatment<br>Facilities<br>(Adult) to<br>Medicaid-<br>Enrolled<br>Residential<br>Mental Health<br>Treatment<br>Facilities<br>(Adult)<br>Accepting New<br>Patients | Total Number<br>of Residential<br>Mental Health<br>Treatment<br>Facility Beds<br>(Adult) |  |  |
|   | -  | -  | -   |  |  |   |  | -  | -   | -  |  |  |  |
|   | -  | -  | -   |  |  |   |  | -  | -   | -  |  |  |  |
|   | -  | -  | -   |  |  |   |  | -  | -   | -  |  |  |  |
|   | -  | -  | -   | -  |  |   |  | -  | -   | -  | <u> </u>   |  |  |
|   | -  | -  | -   |  |  |   |  | -  | -   | -  |  |  |  |
|   | -  | -  | -   |  |  |   |  | -  | -   | -  |  |  |  |
|   | -  | -  | -   |  |  |   |  | -  | -   | -  |  |  |  |
|   | -  | -  | -   |  |  |   |  | -  | -   | -  |  |  |  |
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| (   | ) -  |  |   |  |  | ) (   | ) (  | ) -  |   |  | 0  |  |  |

| Residential Mental Health Treatment Facilities          |   |   |  |   |   |          |  |          |                          |                            |  |
|---|---|---|--|---|---|----------|--|----------|--------------------------|----------------------------|--|
| t Facilities (A   | dult)   |   |  |   |   | 1        |  |          |                          |                            | Psychiatric R  |
| Total Number<br>of Medicaid-<br>Enrolled<br>Residential | Total Number<br>of Medicaid-<br>Enrolled<br>Residential<br>Mental Health<br>Treatment<br>Beds Available<br>to Adult<br>Medicaid<br>Patients | Ratio of<br>Medicaid<br>Beneficiaries<br>with SMI (Adult)<br>to Medicaid-<br>Enrolled<br>Residential<br>Mental Health<br>Treatment Beds | Treatment Beds<br>to Medicaid-<br>Enrolled<br>Residential<br>Mental Health | Mental Health<br>Treatment Beds<br>Available to<br>Medicaid | Mental Health<br>Treatment<br>Facility Category |          | Number of<br>Medicaid-<br>Enrolled PRTFs | Medicaid | with SED to<br>Medicaid- | Ratio of Total<br>PTRFs to | Ratio of<br>Medicaid-<br>Enrolled PRTFs<br>to Medicaid-<br>Enrolled PRTFs<br>Accepting New<br>Medicaid<br>Patients |
|   |   | -   | -  | -   |   |          |  |          | -                        | -                          | -  |
|   |   | -   | -  | -   |   | <u> </u> |  |          | -                        | -                          | -  |
|   |   | -   | -  | -   |   | 1        |  |          | -                        | -                          | -  |
|   |   | -   | -  | -   |   |          |  |          | -                        | -                          | -  |
|   |   | -   | -  | -   |   | 1        |  |          | -                        | -                          | -  |
|   |   | -   | -  | -   |   |          |  |          | -                        | -                          | -  |
|   |   | -   | -  | -   |   |          |  |          | -                        | -                          | -  |
|   |   | -   | -  | -   |   |          |  |          | -                        | -                          | -  |
|   |   | -   | -  | -   |   | i        |  |          | -                        | -                          | -  |
|   |   | -<br>  -  | -  | -   |   | I o      | <br>C                                    |          | -<br> -                  | -                          | -  |

| sidential Treat            | ment Facilities                                 |  |   | Psychiatric Hospitals   |  |                 |                                       |  |  |   |   |
|----------------------------|---|--|---|---|--|-----------------|---------------------------------------|--|--|---|---|
| otal Number of<br>RTF Beds | Number of<br>Medicaid-<br>Enrolled PRTF<br>Beds | Number of<br>Medicaid-<br>Enrolled PRTF<br>Beds Available<br>to Medicaid<br>Patients | Ratio of<br>Medicaid<br>Beneficiaries<br>with SED to<br>Medicaid-<br>Enrolled PRTF<br>Beds Available<br>to Medicaid<br>Patients | Ratio of Total<br>Number of<br>PRTF Beds to<br>Medicaid-<br>Enrolled PRTF<br>Beds | Ratio of<br>Medicaid-<br>Enrolled PRTF<br>Beds to<br>Medicaid-<br>Enrolled PRTFs<br>Available to<br>Medicaid<br>Patients | Facility (Under | Number of<br>Psychiatric<br>Hospitals | Psychiatric<br>Hospitals<br>Available to<br>Medicaid<br>Patients | Ratio of<br>Medicaid<br>Beneficiaries<br>with SMI/SED to<br>Psychiatric<br>Hospitals<br>Available to<br>Medicaid<br>Patients | Ratio of<br>Psychiatric<br>Hospitals to<br>Psychiatric<br>Hospitals<br>Available to<br>Medicaid<br>Patients | Psychiatric<br>Hospital<br>Category Notes |
|                            |   |  | -   | -   | -  | -               |                                       |  | -  | -   | - !                                       |
|                            |   |  | -   | -   | -  | -               |                                       |  | -  | -   | - !                                       |
|                            |   |  | -   | -   | -  |                 |                                       |  | -  | -   | !   |
|                            |   |  | -   | -   | -  | _               |                                       |  | -  | -   | _ !                                       |
|                            |   |  | -   | -   | -  | -               |                                       |  | -  | -   | - i                                       |
|                            |   |  | -   | -   | -  | -               |                                       |  | -  | -   | - i                                       |
|                            |   |  | -   | -   | -  |                 |                                       |  | -  | -   | i   |
|                            |   |  | -   | -   | -  |                 |                                       |  | -  | -   |   |
|                            |   |  |   |   |  |                 |                                       |  |  |   |   |

| Inpatient                                       |   |  |   |               |   |  |   |                         |   |   |  |
|---|---|--|---|---------------|---|--|---|-------------------------|---|---|--|
|   | Psychiatric Units                                       |  |   |               |   |  |   |                         |   |   |  |
| Number of<br>Psychiatric Units<br>in Acute Care | Psychiatric Units<br>in Critical<br>Access<br>Hospitals | Enrolled<br>Psychiatric Units<br>in Acute Care | Number of<br>Medicaid-<br>Enrolled<br>Psychiatric Units | Accepting New | Number of<br>Medicaid-<br>Enrolled<br>Psychiatric Units<br>in CAHs<br>Accepting New<br>Medicaid | Ratio of<br>Medicaid<br>Beneficiaries<br>with SMI/SED to<br>Medicaid-<br>Enrolled<br>Psychiatric Units<br>in Acute Care<br>Hospitals | with SMI/SED to<br>Medicaid-<br>Enrolled<br>Psychiatric Units | Enrolled<br>Psychiatric | Psychiatric<br>Units in CAHs<br>to Medicaid-<br>Enrolled<br>Psychiatric | Psychiatric<br>Units in Acute<br>Care Hospitals<br>to Medicaid-<br>Enrolled<br>Psychiatric<br>Units in Acute<br>Care Hospitals<br>Accepting New<br>Medicaid | Psychiatric<br>Units in CAHs<br>to Medicaid-<br>Enrolled<br>Psychiatric<br>Units in CAHs |
|   |   |  |   |               |   | -  | -   | -                       | -   | -   | -  |
|   |   |  |   |               |   | -  | -   | -                       | -   | -   | -  |
|   |   |  |   |               |   | -  | -   | -                       | -   | -   | -  |
|   |   |  |   |               |   | -  | -   | -                       | -   | -   | -  |
|   |   |  |   |               |   | -  | -   | -                       | -   | -   | -  |
|   |   |  |   |               |   | -  | -   | -                       | -   | -   | -  |
|   |   |  |   |               |   | -  | -   | -                       | -   | -   | -  |
|   |   |  |   |               |   | -  | -   | -                       | -   | -   | -  |
| 0   | 0   | 0  | 0   | 0             | 0   | -  | -   | -                       | -   | -   | -  |

| Psychiatric Beds |              |  |  |   |                                       | Institutions for Mental Diseases<br>Residential Treatment Facilities That Qualify As IMDs                  |  |  |  |  |   |  |
|------------------|--------------|--|--|---|---------------------------------------|--|--|--|--|--|---|--|
| sychiatric Unit  | (Psychiatric | Number of<br>Licensed<br>Psychiatric<br>Hospital Beds<br>(Psychiatric<br>Hospital +<br>Psychiatric<br>Units)<br>Available to<br>Medicaid<br>Patients | Ratio of<br>Medicaid<br>Beneficiaries<br>with SMI/SED<br>to Licensed<br>Psychiatric<br>Hospital Beds<br>Available to<br>Medicaid<br>Patients | Ratio of<br>Licensed<br>Psychiatric<br>Hospital Beds<br>to Licensed<br>Psychiatric<br>Hospital Beds<br>Available to<br>Medicaid<br>Patients | Psychiatric<br>Beds Category<br>Notes | Number of<br>Residential<br>Mental Health<br>Treatment<br>Facilities<br>(Adult) that<br>Qualify as<br>IMDs | Number of<br>Medicaid-<br>Enrolled<br>Residential<br>Mental Health<br>Treatment<br>Facilities (Adult)<br>that Qualify as<br>IMDs | Number of<br>Medicaid-<br>Enrolled<br>Residential<br>Mental Health<br>Treatment<br>Facilities (Adult)<br>that Qualify as<br>IMDs Accepting<br>Medicaid<br>Patients | Ratio of<br>Medicaid<br>Beneficiaries<br>with SMI (Adult)<br>to Medicaid-<br>Enrolled<br>Residential<br>Mental Health<br>Treatment<br>Facilities that<br>Qualify as IMDs | Ratio of Total<br>Residential<br>Mental Health<br>Treatment<br>Facilities (Adult)<br>that Qualify as<br>IMDs to<br>Medicaid-<br>Enrolled<br>Residential<br>Mental Health<br>Treatment<br>Facilities (Adult)<br>that Qualify as<br>IMDs | Treatment Facilities<br>(Adult) that Qualify<br>as IMDs to<br>Medicaid- Enrolled<br>Residential Mental<br>Health Treatment<br>Facilities (Adult) that |  |
|                  | <u> </u>     |  | -  | -   | _                                     |  |  |  | -  | -  | -   |  |
|                  | !            |  | -  | -   | -                                     |  |  |  | -  | -  | -   |  |
|                  | i            |  | -  | -   | -                                     |  |  |  | -  | -  | -   |  |
|                  |              |  | -  | -   |                                       |  |  |  | -  | -  | -   |  |
|                  |              |  | -  | -   | _                                     |  |  |  | -  | -  | -   |  |
|                  | i            |  | -  | -   | -                                     |  |  |  | -  | -  | -   |  |
|                  |              |  | -  | -   | -                                     |  |  |  | -  | -  | -   |  |
|                  |              |  | -  | -   | -                                     |  |  |  | -  | -  | -   |  |
|                  |              |  | -  | -   |                                       |  |  |  | -  | -  | -   |  |
|                  | 0            |  | ) -  | -   |                                       | (  | ) (  | ) (  | ) -  | -  | -   |  |

|  | Crisis Stabilization Services |                            |  |   |  |                |   |                           |  |   |
|--|-------------------------------|----------------------------|--|---|--|----------------|---|---------------------------|--|---|
| Psychiatric Hospitals That Qualify As IMDs   |                               |                            |  |   |  |                |   |                           |  |   |
|  |                               |                            |  |   |  |                |   |                           |  |   |
|  |                               |                            |  |   |  |                |   |                           |  |   |
|  |                               |                            |  |   |  |                |   |                           |  |   |
|  |                               |                            |  |   |  |                |   |                           |  |   |
| Ratio of<br>Medicaid<br>Beneficiaries<br>Number of with SMI/SED to<br>Psychiatric Psychiatric Facilities That<br>Hospitals that Hospitals that Qualify As IMD<br>Qualify as IMDs Qualify as IMDs Category Note | s Crisis Call I               | Number of<br>Mobile Crisis | Number of<br>Crisis<br>Observation/<br>Assessment<br>Centers | Number of<br>Crisis<br>Stabilization<br>Units | Number of<br>Coordinated<br>Community Crisis<br>Response Teams | to Crisis Call |   | to Crisis<br>Observation/ | Ratio of<br>Medicaid<br>Beneficiaries<br>with SMI/SED<br>to Crisis<br>Stabilization<br>Units | Ratio of<br>Medicaid<br>Beneficiaries<br>with SMI/SED<br>to Coordinated<br>Community<br>Crisis<br>Response<br>Teams |
| -  |                               |                            |  |   |  | -              | - | -                         | -  | -   |
| -  |                               |                            |  |   |  | -              | - | -                         | -  | -   |
|  |                               |                            |  |   |  | -              | - | -                         | -  | -   |
| -  |                               |                            |  |   |  | -              | - | -                         | -  | -   |
| -  |                               |                            |  |   |  | -              | - | -                         | -  | -   |
| -  |                               |                            |  |   |  | -              | - | -                         | -  | -   |
| -  |                               |                            |  |   |  | -              | - | -                         | -  | -   |
| - 0 -  | 0                             | 0                          | 0  | 0   | 0  | -              | - | -                         | -  | -   |

|                            | Federally Qualified Health Centers |  |  |  |  |  |  |  |  |
|----------------------------|------------------------------------|--|--|--|--|--|--|--|--|
|                            |                                    |  |  |  |  |  |  |  |  |
| Crisis<br>Stabilization    | that Offer                         | Ratio of<br>Medicaid<br>Beneficiaries<br>with SMI/SED to<br>FQHCs that |  |  |  |  |  |  |  |
| Services<br>Category Notes | Behavioral<br>Health Services      | Offer Behavioral<br>Health Services                                    |  |  |  |  |  |  |  |
|                            |                                    | -  |  |  |  |  |  |  |  |
|                            |                                    | -  |  |  |  |  |  |  |  |
|                            |                                    | -  |  |  |  |  |  |  |  |
|                            |                                    | -  |  |  |  |  |  |  |  |
|                            |                                    | -  |  |  |  |  |  |  |  |
|                            |                                    | -  |  |  |  |  |  |  |  |
|                            |                                    | -  |  |  |  |  |  |  |  |
|                            |                                    | -  |  |  |  |  |  |  |  |
|                            |                                    | -  |  |  |  |  |  |  |  |
|                            |                                    | -  |  |  |  |  |  |  |  |
|                            |                                    | -  |  |  |  |  |  |  |  |
|                            | 0                                  | -  |  |  |  |  |  |  |  |