

## Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.

Both

## Part IV $\quad$ ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ................ $\square$ Yes $\square$

11 a Does the ESOP hold any preferred stock?
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.).
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?

## Part V $\quad$ Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than $5 \%$ of total contributions to the plan during the plan year (measured in dollars). See instructions. Complete as many entries as needed to report all applicable employers.
a Name of contributing employer
b EIN
C Dollar amount contributed by employer
d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box $\square$ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year
e Contribution rate information (If more than one rate applies, check this box $\square$ and see instructions regarding required attachment. Otherwise, complete lines $13 e(1)$ and $13 e(2)$.)
(1) Contribution rate (in dollars and cents)
(2) Base unit measure: $\square$ Hourly $\square$ Weekly $\square$ Unit of production $\square$ Other (specify):
a Name of contributing employer
b EIN
C Dollar amount contributed by employer
d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box $\square$ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month __ Day ___ Year
e Contribution rate information (If more than one rate applies, check this box $\square$ and see instructions regarding required attachment. Otherwise, complete lines $13 e(1)$ and $13 e(2)$.)
(1) Contribution rate (in dollars and cents)
(2) Base unit measure: $\square$ Hourly $\square$ Weekly $\square$ Unit of production $\quad \square$ Other (specify):
a Name of contributing employer
b EIN
C Dollar amount contributed by employer
d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box $\square$ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month

Day
Year
e Contribution rate information (If more than one rate applies, check this box $\square$ and see instructions regarding required attachment. Otherwise, complete lines $13 e(1)$ and $13 e(2)$. .)
(1) Contribution rate (in dollars and cents)
(2) Base unit measure: $\square$ Hourly $\square$ Weekly $\square$ Unit of production $\square$ Other (specify):
a Name of contributing employer
b EIN
C Dollar amount contributed by employer
d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box $\square$ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year
e Contribution rate information (If more than one rate applies, check this box $\square$ and see instructions regarding required attachment. Otherwise, complete lines $13 e(1)$ and $13 e(2)$. )
(1) Contribution rate (in dollars and cents)
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Unit of production
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(1) Contribution rate (in dollars and cents)
(2) Base unit measure: $\square$ Hourly $\square$ Weekly $\square$ Unit of production $\square$ Other (specify):
a Name of contributing employer
b EIN C Dollar amount contributed by employer
d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box $\square$ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month ___ Day ___ Year
e Contribution rate information (If more than one rate applies, check this box $\square$ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)
(1) Contribution rate (in dollars and cents)

Base unit measure: $\square$
Hourly
Weekly
Unit of production
Other (specify):

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:
a The current year

| $14 a$ |  |
| :---: | :--- |
| $14 b$ |  |
| 14 c |  |

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:
a The corresponding number for the plan year immediately preceding the current plan year

| $15 a$ |  |
| :---: | :--- |
| $15 b$ |  |
| $16 a$ |  |
| $16 b$ |  |

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. $\qquad$

## Part VI $\quad$ Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)
a Enter the percentage of plan assets held as: Stock: $\qquad$ \% Investment-Grade Debt: $\qquad$ \% High-Yield Debt:___ \% Real Estate: $\qquad$ \% Other: $\qquad$ \%
b Provide the average duration of the combined investment-grade and high-yield debt:
$\square 0-3$ years $\quad \square 3-6$ years $\quad \square 6$-9 years $\quad \square 9-12$ years $\quad \square 12-15$ years $\quad \square 15-18$ years $\quad \square 18-21$ years $\quad \square 21$ years or more

C What duration measure was used to calculate line 19(b)?
$\square$ Effective duration $\quad \square$ Macaulay duration $\square$ Modified duration $\square$ Other (specify):

