# Survey of Occupational Injuries and Illnesses, 2016



YOUR RESPONSE IS REQUIRED BY LAW IN 30 DAYS.

Please correct your company address as needed.

## For your convenience, you can submit your survey response on our website at https://idcf.bls.gov.

We estimate it will take you an average of 24 minutes to complete this survey (ranging from 10 minutes to 5 hours per package), including time for reviewing instructions, searching existing data sources, gathering and maintaining the dataneeded, and completing and reviewing this information. If you have any comments regarding the estimates or any other aspect of this survey, including suggestions for reducing this burden, please send them to the Bureau of Labor Statistics, Occupational Safety and Health Statistics (1220-0045), 2 Massachusetts Avenue, N.E., Washington, DC 20212. Persons are not required to respond to the collection of information unless it displays a currently valid OMB control number. **DO NOTSEND THE COMPLETED FORM TO THIS ADDRESS.** 

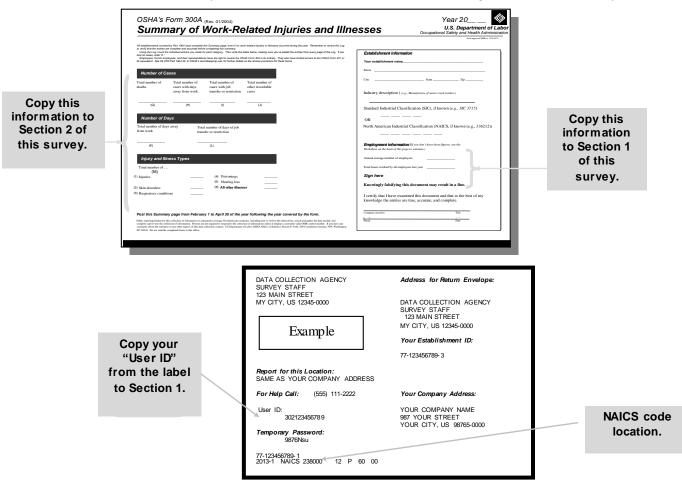
The Bureau of Labor Statistics, its employees, agents, and partner statistical agencies, will use the information you provide for statistical purposes only and will hold the information in confidence to the full extent permitted by law. In accordance with the Confidential Information Protection and Statistical Efficiency Act of 2002 (Title 5 of Public Law 107-347) and other applicable Federal laws, your responses will not be disclosed in identifiable form without your informed consent.

OMB No. 1220-0045 BLS-9300 N06

## **Steps to Complete this Survey**

This survey requires employers to provide information about work-related injuries and illnesses based upon the information you have maintained for Calendar Year 2016 on your Occupational Safety and Health Administration (OSHA) *Forms for Recording Work-Related Injuries and Illnesses*. Copies of these forms were mailed to you in late 2015. Under Public Law 91-596, all establishments that receive this **mandatory** survey must complete and return it within 30 days, even if they had **no** work-related injuries and illnesses during 2016. The instructions below outline the steps to complete the survey regardless of whether your establishment did or did not have injuries or illnesses in 2016.

- **Step 1:** Complete this survey only for the establishment(s) noted on the front cover under "**Report for this Location**." If you are unsure, please call the number(s) listed on the front of this form in the "**For Help Call:**" section.
- Step 2: Check "Your Company Address" printed on the front cover. Make any necessary corrections directly on the front cover.
- **Step 3**: Refer to your establishment's OSHA *Forms for Recording Work-Related Injuries and Illnesses*. Copies of these forms were mailed to you in late 2015. Form 300A from that mailing is shown immediately below.



- If you had **no** work-related injuries or illnesses in 2016, answer all questions in Sections 1 and 4 of the survey.
- If you had at least one work-related injury or illness in 2016, answer all questions in Sections 1, 2 and 4 of the survey.
- Report cases with Days Away From Work (with or without days of job transfer or restriction) in Section 3.
- Report cases with *Job Transfer or Restriction* (without days away from work) in Section 3 if you are reporting for a private industry establishment whose six-digit NAICS code begins with these numbers: 312, 452, 492, 562, 622, or 721 (see mailing label example for NAICS code location).
- **Step 4:** In case we have questions, write the name of the person who completed this survey in Section 4: Contact Information, on the last page of this survey.
- **Step 5:** Return this survey and any attachments in the enclosed envelope within 30 days of the date your establishment received it.

## Section 1: Establishment Information

**Instructions:** Using your completed Calendar Year 2016 *Summary of Work-Related Injuries and Illnesses* (OSHA Form 300A), copy the establishment information into the boxes. If these numbers are not available on your OSHA Form 300A, or if your establishment does not keep records needed to answer (2) and (3) below, you can estimate using the steps that follow on the next page.

1. Enter your "User ID" from the front cover.	
2. Enter the annual average number of employees	for 2016.
3. Enter the total hours worked by all employees f	or 2016.
4. Check any conditions that might have affected	your answers to questions 2 and 3 above during 2016:

- □ Strike or lockout
- Shutdown or layoff
- □ Seasonal work

- □ Shorter work schedules or fewer pay periods than usual
- Longer work schedules or more pay periods than usual
- Seasonal work
   Natural disaster or adverse weather conditions
- $\Box$  Other reason: \_
- $\hfill\square$  Nothing unusual happened to affect our employment or hours figures
- 5. Did you have ANY work-related injuries or illnesses during 2016?
  - □ Yes. Go to Section 2: Summary of Work-Related Injuries and Illnesses, 2016, directly below.
  - □ No. Go to Section 4: Contact Information, on the back cover.

## Section 2: Summary of Work-Related Injuries and Illnesses, 2016

#### Instructions:

- 1. Refer to the OSHA *Forms for Recording Work-Related Injuries and Illnesses* for the location referenced on the front cover of the survey under "**Report for this Location**." If you prefer, you may enclose a photocopy of your *Summary of Work-Related Injuries and Illnesses* (OSHA Form 300A).
- 2. If more than one establishment is noted on the front cover of this survey, be sure to include the OSHA Form 300A for all of the specified establishments.
- 3. If any total is zero on your OSHA Form 300A, write "0" in that total's space below.
- 4. The **total** Number of Cases recorded in G + H + I + J must equal the **total** Injury and Illness Types recorded in M (1 + 2 + 3 + 4 + 5 + 6).

<b>Number of Cases</b> Total number of deaths	Total number of cases with days away from work	Total number of cases with job transfer or restriction	Total number of other recordable cases
(G) Number of Days	(H)	(I)	(J)
Total number of days away from work		Total number of days of job transfer or restriction	
(K) Injury and Illness Typ Total number of	es	(L)	
<ul> <li>(M)</li> <li>(1) Injuries</li> <li>(2) Skin disorders</li> <li>(3) Respiratory conditions</li> </ul>		<ul><li>(4) Poisonings</li><li>(5) Hearing loss</li><li>(6) All other illnesses</li></ul>	

If you had any work-related deaths in 2016, please tell us on the line below where you assigned/classified each death within the list of items (M1) through (M6) provided under *Injury and Illness Types* above (e.g., "fatal case was due to injury resulting from fall" or "death resulted from respiratory conditions")\_\_\_\_\_

#### Steps to estimate annual average number of employees for 2016:

#### Step 1:

To calculate the annual average number of employees your establishment paid during 2016, you must calculate the total number of employees your establishment paid for all periods. Add the number of employees your establishment paid in every pay period during С d S W

#### Example:

Acme Construction paid its employees in 12 pay periods during 2016:

employees your establishment paid for an periods. I rad the frame of employees your establishment paid in every pay period during Calendar Year 2016. Count all employees that you paid at any time during the year and include full-time, part-time, temporary, seasonal, salaried, and hourly workers. Note that pay periods could be monthly, weekly, bi-weekly, etc.	Pay Period           1           2           3           4           5           6           7           8           9           10           11           12	Number of Employees PaidPer Pay Period300353737404342373530+26392 (total number of employees paid over all pay periods)
<b>Step 2:</b> Divide the total number of employees (from Step 1) by the number of pay periods your establishment had in 2016. Be sure to count any pay periods when you had no (zero) employees.		uction had 12 pay periods and paid a total of es during these pay periods. 12 = 32.67
<b>Step 3:</b> Round the answer you computed in Step 2 to the next highest whole number. Write that number in the box for Section 1, Question 2 on the previous page.	Example: Acme would n	round 32.67 to 33.

## Steps to estimate total hours worked by all employees for 2016:

<b>Step 1:</b> Determine the number of full-time employees at your establishment.	<i>Example:</i> Of Acme's 33 employees in 2016, 28 were full-time.
<b>Step 2:</b> Determine the number of hours generally worked by a full-time employee for a year. Multiply the number of full-time employees you calculated in Step 1 by this number. This total number of full-time hours worked should exclude vacation, sick leave, holidays, and any other non-work time.	<i>Example:</i> Each of Acme's 28 full-time employees worked an average of 2,000 hours per year after excluding vacation, sick leave, holidays, and other non-work time. This works out to 40 hours per week for 50 weeks of the year.
	28 full-time employees $\underline{X 2,000}$ hours per year 56,000 total full-time hours
Step 3:	Example:
Determine the number of hours of overtime worked by your full-time employees.	Acme's 28 full-time employees worked a total of 2,800
	hours of overtime during 2016 and 56,000 regular hours. Acme's 5 part-time employees worked a total of 2,716
Determine the number of regular hours worked by your non-full-time employees. (Non-full-time employees include part-time, seasonal, and temporary employees.)	hours of overtime during 2016 and 56,000 regular hours. Acme's 5 part-time employees worked a total of 2,716 hours during 2016. 56,000 full-time hours from Step 2 2,800 over time hours $\pm 2.716$ part-time hours

## Section 3: Reporting Cases

#### **Instructions:**

- 1. If you had **NO** cases with days away from work (Column H) and **NO** cases with days of job transfer or restriction (Column I), please proceed to Section 4: Contact Information.
- 2. If you had cases with days away from work (Column H) and/or cases with days of job transfer or restriction only (Column I), please complete Section 3. You should report all cases with days away from work (with or without job transfer or restriction). If you are reporting for a <u>private industry</u> establishment whose six-digit NAICS code begins with: 312, 452, 492, 562, 622, or 721, you should also report all cases with days of job transfer or restriction (without days away from work). Your NAICS code is located on the mailing label on the front of this booklet. To identify the individual cases to report, follow these steps:
  - Step 1: Go to your completed OSHA Form 300. Note each case that has a check in Column (H) and/or Column (I). These are the only cases you should report. See the illustration in Step 3 below.
  - **Step 2:** Fill out one Injury and Illness Case Form for each case that you identified in Step 1. You can find most of the information on a supplementary document such as the *Injury and Illness Incident Report* (OSHA Form 301), a workers' compensation report, an accident report, or an insurance form.
  - **Step 3:** If more than one establishment is noted on the front cover under "**Report for this Location**," be sure to look at all your OSHA Form 300's to find which cases to report.

og of Work-Related Injuries and Illnesses							protects the confidentiality of employees to the extent possible while the information is being used for occupational safety and health purposes.					Year 20 Vear 20 V					
awa	ay from work, or medical treatme fessional. You must also record v	nt beyond first aid. Yo vork-related injuries a	u must also record nd illnesses that me	significant work-related injuries et any of the specific recording	olves loss of consciousness, restricted work activity or job and illnesses that are diagnosed by a physician or licens I criteria listed in 29 CFR Part 1904.8 through 1904.12. Fe m 301) or equivalent form for each injury or illness record	ed health el free to				Establishn	nent name						
	ou're not sure whether a case is	recordable, call your		Constant and						City		_	St	tate	_		
ent se	(B) Employee's name	(C) Job title	Describe t (D) Date of injury	(E) Where the event occurred	(F) Describe injury or illness, parts of body affected,	CHEC	on the mo	ase E box for ea st serious ou		days th	he number of he injured or ker was:	Chec choo		e "Inju ne typ			
		(e.g., Welder)	or onset of illness	(e.g., Loading dock north end)	and object/substance that directly injured or made person ill (e.g., Second degree burns on right forearm from acetylene torch)	Death	D a away	Job transfer	od at Work	Away from work	On job transfer or restriction	(M)	kin diseeder	lepinatory condition	bioning	louing loss	
			/			(G)	(H)	(1)	(J)	(K) days	(L) s days	(1)	(2)	(3)	(4)	(5)	
	-		month/day							days	rs days						
			month/day			-				days	rs days						
_	· · · · · · · · · · · · · · · · · · ·		month/day /			- 0				days	rs days						
	-			S <u></u>						days	rs days						
						- 0/				day:	rs days						
	Section 3 as	ks about	injuries				0			day	rs days						
	or illnesses	swith a c	heck in			- 0				day	rs days						
	Column H,	Days Awa	ay from			_ □				day	rs days						
	Work and/o				and the second sec	- 0				day	/s days						
	Transfer o		ion, of			- 0				day	vs days						
	yo	ur Log.				- 0				day	s days						
						- 0	0			day	s <u>days</u>						
nstru	porting burden for this collection of in actions, search and gather the data nee	ded, and complete and r	review the collection of	information. Persons are not vequire			to the Summa	ry page (Form 3	300A) before you po	st it.		Injury	disorder	spinatory ondition	bisoning	ring loss	
spon	ed to the collection of information unle se estimates or any other aspects of the	ss it displays a currently	valid OMB control nu	nber. If you have any comments thor, OSHA Office of Statistical						Page of		(1)	Shin	ag a	a.,	Hes	

- Step 4: We have designed this survey to ensure that you do not have to report more than approximately 16 cases. If you have significantly more than 16 cases, please go to Section 5: If You Need Help... at the back of this booklet and call the phone number(s) listed for your State for assistance. If you need additional Injury and Illness Case Forms, you may either photocopy a blank form or go to Section 5: If You Need Help... at the back of this booklet and call the phone number(s) listed for your State for your State.
- **Step 5:** When you are finished, proceed to Section 4: Contact Information on the back cover of this booklet and provide information for the person who completed this survey.

#### Injury and Illness Case Form

Tell us about a 2016 work-related injury or illness **only** if it resulted in days away from work or job transfer/restriction. To find out which case(s) you should report, read the instructions at the beginning of *Section 3: Reporting Cases*.

#### Tell us about the Case

Go to your completed OSHA Form 300. Copy the case information from that form into the spaces below.

<b>Employee's nam</b> (Column B)	e	<b>Job title</b> (Column C)		Date of inj or onset of ill (Column D / month day	Iness	Number of day away from wor (Column K)		nsfer ction
Tell us about t	he Employee			Tell us al	bout	the Incide	nt	
1. Check the category v of job or work: (opti-		Answer the qu document tha			a copy of a supj	lementary		
<ul> <li>Office, profession or management s</li> <li>Sales</li> <li>Product assembly product manufac</li> <li>Repair, installation of machines, equ</li> <li>Construction</li> <li>Other:</li> <li>2. Employee's race or of</li> <li>American Indian</li> <li>Asian</li> <li>Black or African</li> <li>Hispanic or Latin</li> <li>Native Hawaiian</li> <li>White</li> <li>Not available</li> </ul>	<ul> <li>7. Was employ</li> <li>8. Time employ</li> <li>9. Time of even</li> <li>Event occur</li> <li>10. What was Describe th employee w while carry sprayer"; "d</li> <li>11. What happ Examples: "Worker w</li> </ul>	yee hosp pyee beg nt: rred: (op the activity vas using ing roof daily con pened? "When as spray	pitalized overnig gan work: gan work: gan work: am ptional) befor ployee doing just ty as well as the to g. Be specific. E ing materials"; "s nputer key-entry T ell us how the i ladder slipped on ed with chlorine	e during t t before the incid ools, equipment, o fxamples: "climb spraying chlorine	ent? yes no pm Check if time carnot be determined after work shift lent occurred? or material the bing a ladder from hand ccurred. after 20 feet"; e during			
<ul> <li>NOTE: You may either supplementary document</li> <li>3. Employee's age:</li> <li>4. Employee's date hir OR check length of occurred:</li> <li>Less than 3 mont</li> <li>From 3 to 11 mont</li> <li>From 1 to 5 years</li> <li>More than 5 year</li> <li>5. Employee's gender:</li> <li>Male</li> <li>Female</li> </ul>	that answers them. <i>OR</i> date of birth ed: ////////////////////////////////////	:// month day year ear		was affecte "pain," or " hand"; "car 13. What obje Examples: "	d and ho 'sore." pal tunn ct or su "concre	w it was affected Examples: "strai el syndrome." bstance di rectly te floor"; "chlorin	ell us the part of t d; be more specifi ned back"; "chen <b>harmed the em</b> ne"; "radial arm s ent, leave it blank	c than "hurt," nical burn, <b>ployee?</b> aw." If this
N	Ρ	S	E		SS		000	

#### Injury and Illness Case Form

Tell us about a 2016 work-related injury or illness **only** if it resulted in days away from work or job transfer/restriction. To find out which case(s) you should report, read the instructions at the beginning of *Section 3: Reporting Cases*.

#### Tell us about the Case

Go to your completed OSHA Form 300. Copy the case information from that form into the spaces below.

<b>Employee's name</b> (Column B)	<b>Job title</b> (Column C)	Date of injury or onset of illness (Column D) / /16 month day year	Number of days away from work (Column K)	Number of days of job transfer or restriction (Column L)
Tell us about the Employee		Tell us about	the Incident	
1. Check the category which <i>best</i> describes the of job or work: (optional)	he employee's regular type	Answer the question document that answe		opy of a supplementary
or management staff Sales Product assembly, product manufacture Repair, installation or service of machines, equipment		<ul> <li>8. Time employee be</li> <li>9. Time of event:</li> <li>Event occurred: (or</li> <li>10. What was the em Describe the activity employee was usin while carrying roo sprayer"; "daily cor</li> <li>11. What happened? Examples: "When "Worker was spray</li> </ul>	pitalized overnight a gan work: optional) defore am // optional) before aployee doing just bef ity as well as the tools, g. Be specific. Exam fing materials"; "spray mputer key-entry." Tell us how the injury hadder slipped on wet yed with chlorine when	s an in-patient? yes not am pm om OR Check if time cannot be determined during after work shift fore the incident occurred? , equipment, or material the p/es: "climbing a ladder ying chlorine from hand y or illness occurred. floor, worker fell 20 feet";
<b>NOTE:</b> You may either answer questions (3) t supplementary document that answers them.	o (13) or attach a copy of a			
<ol> <li>3. Employee's age:OR date of birth</li> <li>4. Employee's date hired:/</li></ol>	ear	was affected and h	ow it was affected; be Examples: "strained	s the part of the body that more specific than "hurt," back"; "chemical burn,
<ul> <li>OR check length of service at establishm occurred:</li> <li>Less than 3 months</li> <li>From 3 to 11 months</li> <li>From 1 to 5 years</li> <li>More than 5 years</li> </ul> 5. Employee's gender:	ent wh en in cident			"radial arm saw." If this
Male Female	S E	SS	oc	c

#### Section 4: Contact Information

Fill in the name, title, and phone number of the person who completed this survey in case we have questions.

	( ) -		( ) -	
Printed name	Telephonenumber	Ext.	Faxnumber	
	/ /			
Title	Today's date			

Use the return envelope to send us the **entire package** -- everything that we sent you -- within 30 days of the date your establishment received it. If the return envelope is missing, send the **entire package** to the return address on the front cover (look for *Address for Return Envelope*).

## Section 5: If You Need Help . . .

If you have any questions or if you need help completing this survey, call the phone number(s) that is listed below for your State. The phone number(s) may be for an office outside your State, but they will be able to help you. If you prefer to write, send your letter to the return address on the front of this package.

Alabama (334) 242-3461, 3463 (334) 242-2543 fax Alaska (907) 465-4539 (907) 465-4506 fax Arizona (602) 542-3739 (602) 542-6360 fax Arkansas (501) 682-4872 (501) 682-4754 fax California (416) 703-3020 (416) 703-3029 fax Colorado (816) 285-7031, or 7146 (972) 850-4810 fax Connecticut (860) 263-6278 (860) 263-6263 fax Delaware (302) 761-8221 (302) 762-3590 fax **District of Columbia** (202) 442-5930, 5926, 9010 (202) 442-4833 fax Florida (216) 861-5638, 5625 (216) 861-5736 fax Georgia (404) 463-0737, 0753, 0738 (404) 656-7089 (404) 656-5529 fax Guam (671) 300-6339 (671) 475-7060 fax Hawaii (808) 586-9001 (808) 586-9022 fax Idaho (416) 625-2275, 2267 (416) 625-2356 fax

Illinois (217) 524-2098 (217) 558-4122 fax Indiana (317) 232-2668 (317) 233-3790 fax Iowa (516) 281-0202 (516) 281-5522 fax Kansas (785) 581-7479 (785) 296-2161 fax Kentuckv (502) 564-3312, 4105, 4259 (502) 564-0091 fax Louisiana (225) 342-3126 (225) 342-3269 fax Maine (207) 623-7903, 7904 (207) 623-7937 fax Maryland (410) 527-4460, 4461, 4462 (410) 527-4497 fax Massachusetts (617) 626-6945 (617) 626-6944 fax Michigan (517) 322-1848 (517) 284-7816 fax Minnesota (888) 589-6322 (651) 284-5726 fax Mississippi (404) 893-1934, 8344 (404) 893-8343 fax Missouri (573) 751-3802, 2719 (573) 751-2319 fax Montana (800) 541-3904 (406) 444-2638 fax

Nebraska (402) 471-3547, 1645 (800) 599-5165 (402) 471-6523 fax Nevada (866) 931-1216 (702) 486-9187 (702) 486-9175 fax New Hampshire (617) 565-2302 (617) 565-3847 fax **New Jersey** (609) 292-8999 (609) 633-0618 fax New Mexico (505) 476-8740 (505) 476-8735 fax New York (888) 425-1323 (888) 807-0410 fax North Carolina (919) 733-2758 (919) 733-2186 fax North Dakota (312) 353-7253 (312) 353-7230 fax Ohio (866) 569-7806 (614) 995-8608 (614) 728-6460 fax Oklahoma (312) 353-7253 (312) 353-7230 fax Oregon (503) 947-7030 (503) 947-7312 fax **Pennsylvania** (800) 238-9412 (717) 705-4318 fax **Puerto Rico** (787) 754-5300, ext. 3032, 3036, 3051, 3056, 3057 (787) 754-5360 fax

**Rhode Island** (617) 565-2302 (617) 565-3847 fax South Carolina (803) 896-7659, 7683 (803) 896-4676 fax South Dakota (312) 353-7253 (312) 353-7230 fax Tennessee (616) 741-1748 (800) 778-3966 (616) 253-5501 fax Texas (866) 237-6405 (512) 804-4652 fax Utah (801) 530-6926, 6823 (801) 536-7906 fax Vermont (802) 828-5985 (802) 828-2195 fax Virgin Islands (340) 776-3700 ext. 2019 (340) 777-4803 fax Virginia (804) 786-1995, 1035 (804) 786-2376 fax Washington (360) 902-5640 (360) 902-4249 fax West Virginia (800) 652-9033 (304) 558-0301 fax Wisconsin (800) 884-1273 (608)-221-6293 (608) 221-6297 fax Wyoming (866) 518-6680 (307) 473-3838 (307) 473-3863 fax