Appendix A: Assumptions Driving Calculations

Subchapter	K Reg	T Reg	Title	Assumptions
	· · · · · · · · · · · · · · · · · · ·	, i		Recordkeeping
K	115.310		Certification expiration date stickers	The application of the stickers takes about 5 minutes (0.08 hrs.) and occurs every 3 years. All K vessels must do this. The work is done by an E1 - 5, outside government.
K	116.520		Emergency evacuation plan	Posting the plan is done once, by an O1/2 level individual, and takes 0.5 hrs. It only affects new K vessels.
K	116.530		Fire control plan	As above
K	116.610 (g)(4)		Ventilation ducts	Marking ventilation fire dampers is done once only and takes 10 minutes (0.17 hrs). It should only affect new K vessels and is performed by an E-1 - 5.
			Logbook for vessels of >19.8 mtrs (65ft) with	Approximately 2 entries, each taking 1minute (0.02 hrs), are made in the logbook every trip. Affected vessels make 1 trip per day and make trips on 75% of the year (274 days).
K	122.282		overnight accomodation for >49 passengers	Logbook is maintained by an O-1/2
K	122,515		Passenger safety bill	Identical assumptions to 116,520
K, T	115,302	176.302	Certificates and Permits	It takes 10 minutes to post these. Posting is done once and is done by an E- 1- 5. All new T& K vessels must do this.
K. T	115,306		Stability letter	Posting takes 5 minutes. All other assumptions are as for 115.302 and 176.302, above.
K, T	115.710		Inspection and testing prior to hot work	The inspection, as well as the preparation of the certificate, takes 15 minutes. The work is performed by an O-3/4 level individual. All K & T vessels need this once per year.
K, T	115.810 (b)	176.810 (b)		The inspection occurs every 3 years. The inspection and preparation of the certificate require one hour for completion. All other assumptions are as for 115.710 and 176.710.
K, T	120.220 (d)	183 220 (d)	General safety provisions	Marking all electrical circuits and equipment takes 30 minutes, is performed once by an E-1 - 5, and affects all new K & T vessels.
K, T	121.420		Charts and nautical publications	Assembling all the necessary charts takes 2 hours and is performed annually. All K & T vessels require this and the work is performed by an O-1/2.
K, T	121.506		Emergency broadcast placard	Posting this takes 5 minutes and is done once by an E-1 - 5, for all new K and T vessels per year.
K, T	122.220		Records of a voyage resulting in a marine casualty	Data from MSMS show 181 and 525 marine casualties in 1998 for K & T vessels respectively. Maintaining and assembling records takes 1 hour per casualty. The work is done by an O-
K, T	122.280	185.280	Official logbook for foreign voyages	Only 5% of K & T vessels regularly make foreign voyages. Approximately 2 entries, each taking 5 minutes (0.08 hrs), are made in the logbook every day on a voyage. Voyages are 7 days long and are undertaken 26 times per year. Logbook is maintained by an O-1/2.
K, T	122.340(c)	185.340(c)	Vessels carrying vehicles	Assume 5% of new K&T carry vehicles. It takes 20 minutes for each one to post all the "No Smoking" signs. The work is done by an E-1 - 5.
K, T	122.402		Licenses	It takes 30 minutes to assemble licenses. The work is done by an O-1/2. All T& K vessels must do this once annually.
K, T	122.506		Passenger safety orientation	All K&T vessels have to do this before every trip. The announcement is made by an O-1/2. There is 1 trip made per day for 274 days of the year (non foreign voyages - 80% of pop'n). Vessels that regularly operate on foreign voyages make weekly trips for 26 weeks of the year. The announcement takes 2 minutes.
K, T	122,510	185 510	Emergency instructions	Mounting the instructions takes 5 minutes, is done once by all new K & T vessels and the work is done by an E-1 - 5.
K, T	122.514		Station bill	As for 122.510 and 185.510 above.
K, T	122.516		Life jacket placards	Posting life jacket placards takes 20 minutes. All other assumptions are as for 122.510 and 185.510 above.
K, T	122.518		Inflatable survival craft placards	Posting the placards takes 10 minutes. "X" have inflatable survival craft. All other assumptions are as for 122.510 and 185.510 above.
3	122.602		Hull markings	Naking the breecessary hull markings takes 1 hour, is done once by an E-1 -5 for all new K & T vessels.
K, T	122.604		Lifesaving equipment markings	Complying with all the requirements have takes 2 hours. All other assumptions are as for 122.602 and 185.602.
K, T	122.606		Escape hatches and emergency exits	Leonapying with the requirements free transfer and the transfer and the requirement and the requirements are transfer and the requirements and the requirements are transfer and transfer and transfer are transfe
K, T	122.608		Fuel shutoff valves	Marking cinsej shutoff valves takes 15 minutes. All other assumptions are as for 122.602 and 182.002.
K, T	122.610		Watertight doors and watertight hatches	Warking wheretight doors and batches takes 10 minutes. All other assumptions are as for 122,002 and 163,002.
K, T	122.612		Fire protection equipment	Is waiting watering in coors an equipment takes 2 hrs. All other assumptions are as for 122,602 and 185,602.
K, T	122.702		Maintenance	This is done once and is required of all new K & T vessels that have primary lifesaving equipment (survival craft). Assume the same proportion of new vessels have survival craft. The work is done by an O-1/2.
K, T	122.704 (c)	185.704(c)	Maintenance of falls	The information collection required - marking the falls- takes 5 minutes and must be performed every 2.5 years. The recordkeeping is done by an E-1 - 5 for all K & T vessels.
T	183.3	320 (d) and (e)	Generators and motors	Attaching the nameplates to generators and motors takes 10 minutes per vessel. It is performed by an E-1 - 5 for all new T vessels and is done once per vessel. The report is made by an O-1/2.
				POPULE. Reporting
K. T	115.105 (a)	176 105 (a)	How to obtain or renew	This takes 30 minutes, occurs every 3 yrs., is required for all K and T vessels and is performed by an O-1/2.
K, T	115.202		Permit to proceed	This takes 15 minutes, ACM K & T vessels do this once every other year. The application is filled out and submitted by an O-1/2.
K, T	115.204		Permit to proceed Permit to carry Excursion Party	Assumption identical to those of 115.202 and 176.202.
K, T	115.500 (a)		When required	Assumptions actinized to dross of 115,262 and 176,262. This takes a 5 minute phone call every 3 years. All K & T vessels are required to do this, The call is made by an O-1/2.
K, T	115.612	176.612	Notice and plans required	All K&T vessels have to be drydocked for safety related repairs or load line examination approximately once every 6 years. The notice and plans are prepared and submitted by an O-1/2 Submitting drawings etc. for alterations and repairs takes 30 minutes and occurs once every other year for all K and T vessels. The submission is performed by an O-1/2. Preparation an
K, T	115.700		Permission for repairs and alterations	submission of plans takes 30 minutes.
K, T	115.704	176.704	Breaking of safety valve seals	Reporting takes 10 minutes and is necessary once every 10 years for all K & T vessels. The reporting is done by an O-1/2.
K, T	115.920 (c)	176.920(c)	Exemption	80% of all K & T do not regularly make foreign voyages. Of these, 50% do make 1 foreign voyage per year and have to apply for exemption. The time requirement is 30 minutes and performed by an O-1/2.
K, T	115.930		Equivalents	Submitting the request for approval takes 15 minutes. All K & T vessels do this once per year. The submission is done by an O-1/2.
K, T	116.202	177.202	Plans and information required	Submitting all the necessary information takes 1 hour. All K & T vessels do this once. The individual who does this is an O-1/2.
K, T	116.330	177.330	Sailing vessels	Submitting the required documents takes 20 minutes and is required of all new K & T that are sailing vessels (1% = 1.5). The submission occurs once and is performed by an O-1/2.
K, T	116.340	177.340	Alternate design considerations	Submission of the alternate design request takes 20 minutes, occurs once and 10% of all new K & T vessels will submit requests. The submission is done by an O-1/2.
K, T	122.208	185.208	Accidents to machinery	The report takes 10 minutes to prepare and submit. All K&T vessels do this once every other year. The submission is performed by an O-1/2.
K, T	122.230		Report of an accident to an aid to navigation	Assumptions identical to those of 122.208 and 185.208.
				Recordkeeping and Reporting
K, T	122.502	185.502	Crew and passenger list	Preparing and submitting the list takes 30 minutes. All K & T vessels do this prior to trips that meet certain criteria. Assume 50% of all K & T vessels need to do this 50 times per year. Submission is by an O-1/2.
K, T	122.503	185,503	Voyage plan	Preparing and submitting the list takes 40 minutes. All other assumptions are as for 122.502 and 185.502 above.
				Preparing and submitting the list takes 5 minutes. All K & T vessels do this prior to trips that meet certain criteria. Assume 50% of all K & T vessels need to do this 274 times per year.
K, T	122.504	185.504	Passenger count	Submission is by an O-1/2.

Appendix B: Annual Responses and Respondents

Reporting Requirements

Subchapter	K Reg	T Reg	Title	Responses
K, T	115.105 (a)		How to obtain or renew	2,073
K, T	115.202		Permit to proceed	3,110
K, T	115.204	176.204	Permit to carry Excursion Party	3,110
K, T	115.500 (a)	176.500 (a)	When required	2,073
K, T	115.612		Notice and plans required	1,037
K, T	115.700	176.700	Permission for repairs and alterations	3,110
K, T	115.704	176.704	Breaking of safety valve seals	622
K, T	115.920 (c)	176.920(c)		622
K, T	115.930	176.930	Equivalents	6,219
K, T	116.202	177.202	Plans and information required	6,219
K, T	116.330	177.330	Sailing vessels	1
K, T	116.340	177.340	Alternate design considerations	10
K, T	122.208	185.208	Accidents to machinery	3,110
K, T	122.230	185.230	Report of an accident to an aid to navigation	3,110
Total Annual Resp	onses for this	s COI		34,423

Populations	
Category	Count
Total Population of K Vessels	409
Total Population of T Vessels	5,810
Total Existing Population	6,219
Annual New Entrants K Vessels	4
Annual New Entrants T Vessels	100
Total New Entrants	104
Largest Grouping of Existing Reporting Annua	6,219
Largest Grouping of New Reporting Annually	10
Total Annual Respondents for this COI	6,229

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Annual Respondents	6,229
Annual Responses	34.423

Appendix C: Industry Time and Costs by Section

Subchapter	K Reg	T Reg	Title	Time burden (minutes)	Time burden (hours)	Frequency	Frequency (numeric)	Annual time burden/ vessel (hours)	Number of vessels affected	Total annual time burden (hours)	Standard Rate	Associated Wage rate (\$/hour)	Total time cost
				(Recordkeeping	g		()				(4,)	
K	115.310		Certification expiration date stickers		0.08	Every 3 yrs.	3	0.03	All K	11	E1/5	47	\$53
K	116.520		Emergency evacuation plan	30	0.50	One time	1	0.50	New K	2	O1/2	79	\$15
K	116.530		Fire control plan	30	0.50	One time	1	0.50	New K	2	O1/2	79	\$158
K	116.610 (g)(4)		Ventilation ducts	10	0.17	One time	1	0.17	New K	1	E1/5	47	\$3:
			Logbook for vessels of >19.8 mtrs (65ft) with overnight										
K	122.282		accomodation for >49 passengers	1	0.02	Every trip	N/A	9.13	Some K	3.431	O1/2	79	\$271.049
K	122.515		Passenger safety bill	30	0.50	One time	1	0.50	New K	2	01/2	79	\$158
K, T	115,302	176.302	Certificates and Permits	10		One time	1		New K & T	17	E1/5	47	\$815
K, T	115.306		Stability letter	-		One time	1		New K & T	9	E1/5	47	\$407
K, T	115.710	176.710	Inspection and testing prior to hot work	14		As needed	N/A		All K & T	1,555	O3/4	116	\$179,574
K, T	115.810 (b)		Fire protection	60		Every 3 years	3		All K & T	2,073	O3/4	116	\$239,432
K, T	120.220 (d)	183.220 (d)	General safety provisions	3(One time	1		New K & T	52	E1/5	47	\$2,444
K, T	120.220 (d)		Charts and nautical publications	120		Annual	1		All K & T	12,438	O1/2	70	\$982,602
K, T	121.506		Emergency broadcast placard	120		One time			New K & T	12,430	E1/5	47	\$407
K, T	122.220	185.220		6(As needed			Some K & T	163	O1/2	79	\$12,877
K, T	122.280		Official logbook for foreign voyages	- 00		Every voyage	N/A		Some K & T	9,432	O1/2	79	\$745,140
K, T	122.340(c)		Vessels carrying vehicles	20		One time	18/74		Some New K & T	9,432	E1/5	47	\$745,140
K, T	122.340(C)		Licenses	20		Annual	1		All K & T	3,110	O1/2	79	\$245,651
K, T	122.506			30			N/A		All K & T	54,180		79	\$4,280,255
	122.506		Passenger safety orientation	4		Every trip	N/A			54,180	O1/2		
K, T			Emergency instructions			One time	I		New K & T	9	E1/5	47	\$407
K, T	122.514		Station bill	- 3		One time			New K & T	9	E1/5	47	\$407
K, T	122.516		Life jacket placards	20		One time	1		New K & T	35	E1/5	47	\$1,629
K, T	122.518		Inflatable survival craft placards	10		One time	1		New K & T	17	E1/5	47	\$815
K, T	122.602	185.602		60		One time	1		New K & T	104	E1/5	47	\$4,888
K, T	122.604	185.604	8 -1 -1	120		One time	1		New K & T	208	E1/5	47	\$9,776
K, T	122.606		Escape hatches and emergency exits	10		One time	1		New K & T	17	E1/5	47	\$815
K, T	122.608		Fuel shutoff valves	15		One time	1		New K & T	26	E1/5	47	\$1,222
K, T	122.610		Watertight doors and watertight hatches	10		One time	1		New K & T	17	E1/5	47	\$815
K, T	122.612		Fire protection equipment	120	2.00	One time	1		New K & T	208	E1/5	47	\$9,776
K, T	122.702	185.702	Maintenance	15	0.25	One time	1	0.25	Some new K & T	6	O1/2	79	\$450
K, T	122.704 (c)	185.704(c)	Maintenance of falls	5	0.08	Every 2.5 years	3	0.03	Some K & T	7	E1/5	47	\$315
T		183.320 (d) and (e)	Generators and motors	10	0.17	One time	1	0.17	New T	17	E1/5	47	\$783
					Reporting								
K, T	115.105 (a)	176.105 (a)	How to obtain or renew	30	0.50	Every 3 yrs.	3	0.17	All K & T	1,037	O1/2	79	\$81,884
K, T	115.202	176.202	Permit to proceed	15	0.25	As needed	N/A	0.00	All K & T	16	O1/2	79	\$1,228
K, T	115.204	176.204	Permit to carry Excursion Party	15	0.25	As needed	N/A	0.03	All K & T	155	O1/2	79	\$12,283
K. T	115.500 (a)	176,500 (a)	When required	-	0.08	Every 3 yrs.	3	0.03	All K & T	173	O1/2	79	\$13,647
K. T	115.612		Notice and plans required	30		Every 6 yrs.	6	0.08	All K & T	518	O1/2	79	\$40,942
K, T	115.700	176,700		30		As needed	N/A		All K & T	1,555	O1/2	79	\$122,825
K, T	115,704	176,704		10		As needed	N/A		All K & T	10	O1/2	79	\$819
K, T	115,920 (c)	176.920(c)	Exemption	3(0.50	As needed	N/A		Some K & T	1,477	O1/2	79	\$116,684
K. T	115.930	176,930		15		As needed	N/A		All K & T	16	01/2	79	\$1,228
K. T	116,202	177.202	Plans and information required	60		One time	1		New K & T	104	01/2	79	\$8,216
K, T	116.330		Sailing vessels	20		One time	1		Some new K & T	0.3	01/2	79	\$27
K, T	116.340	177.340		20		One time	1		Some new K & T	3	01/2	79	\$274
K, T	122.208		Accidents to machinery	10	0.00	As needed	N/A		All K & T	518	O1/2	79	\$40.942
K, T	122.230		Report of an accident to an aid to navigation	10		As needed	N/A	0.00	All K & T	518	O1/2	79	\$40,942
к, 1	122.230	103.230	report of an accident to an aid to navigation		lkeeping and R		IN/A	0.08	ran K & I	318	01/2	19	p+0,942
K. T	122.502	185 502	Crew and passenger list	30		All approp. trips	N/A	25.00	Some K & T	77.738	O1/2	79	\$6,141,263
K, T	122.503		Voyage plan	40		All approp. trips	N/A		Some K & T	103,650	O1/2	79	\$8,188,350
K, T	122.504		Passenger count	40		All approp. trips	N/A N/A		Some K & T	103,630	O1/2	70	\$9,675,047
r., 1	122.304	165.504	r assenger codfit		0.08	гли арргор. urps	N/A	20.73	JUHIE K & I	122,469	O1/2	19	\$9,073,047

Appendix D: Total Estimated Annual Burden from Recordkeeping and Reporting on Industry

Annual Respondents	6,229
Annual Responses	34,423

	Hour Burden	Cost Burden
Recordkeeping	87,167	\$6,993,872
Reporting	6,101	\$481,940
Recordkeeping and Reporting	303,856	\$24,004,660
Total	397,124	\$31,480,472

Total Respondents	6,229
Total Responses	34,423
Total Hour Burden	397,124
Total Cost Burden	\$31,480,472

Appendix E: Government Time and Costs by Section

Subchapter	K Reg	T Reg	Title	Time burden (minutes)	Time burden (hours)	Frequency	Frequency (numeric)	Annual time burden/ vessel	Number of vessels affected	Total annual time burden (hours)	Standard Rate	Associated Wage Rate (\$/hour)	Total time cos
				Rec	ordkeeping								
K	115.310		Certification expiration date stickers	0	0.00		3		All K	0	E1/5	38	<u> </u>
K	116.520		Emergency evacuation plan	30	0.50	One time	1		New K	2	O1/2	61	\$1
K	116.530		Fire control plan	5	0.08		1		New K	0	O1/2	61	\$
K	116.610 (g)(4)		Ventilation ducts	0	0.00	One time	1	0.00	New K	0	E1/5	38	
			Logbook for vessels of >19.8 mtrs (65ft) with overnight accomodation	1							O1/2		ľ
K	122.282		for >49 passengers	0	0.00	Every trip	N/A	0.00	Some K	0	01/2	61	ľ
K	122.515		Passenger safety bill	0	0.00	One time	1	0.00	New K	0	O1/2	61	
K, T	115.302	176.302	Certificates and Permits	0	0.00	One time	1	0.00	New K & T	0	E1/5	38	
K, T	115.306	176.306	Stability letter	0	0.00	One time	1	0.00	New K & T	0	E1/5	38	
K, T	115.710	176.710	Inspection and testing prior to hot work	0	0.00	As needed	N/A	0.00	All K & T	0	O3/4	87	
K, T	115.810 (b)	176.810 (b)	Fire protection	0	0.00	Every 3 years	3	0.00	All K & T	0	O3/4	87	
K, T	120.220 (d)	183.220 (d)	General safety provisions	0	0.00	One time	1	0.00	New K & T	0	E1/5	38	
K, T	121.420	184.420	Charts and nautical publications	0	0.00	Annual	1	0.00	All K & T	0	O1/2	61	
K, T	121.506	184.506	Emergency broadcast placard	0	0.00	One time	1	0.00	New K & T	0	E1/5	38	
K, T	122.220	185.220	Records of a voyage resulting in a marine casualty	0	0.00	As needed	1	0.00	Some K & T	0	O1/2	61	ſ
K, T	122.280	185.280	Official logbook for foreign voyages	0	0.00	Every voyage	N/A	0.00	Some K & T	0	O1/2	61	ſ
K, T	122.340(c)	185.340(c)	Vessels carrying vehicles	0	0.00	One time	1	0.00	Some New K & T	0	E1/5	38	ſ
K, T	122.402	185.402	Licenses	0	0.00	Annual	1	0.00	All K & T	0	O1/2	61	ſ
K, T	122.506	185.506	Passenger safety orientation	0	0.00	Every trip	N/A		All K & T	0	O1/2	61	ſ
K. T	122,510	185,510	Emergency instructions	0	0.00		1		New K & T	0	E1/5	38	
K, T	122,514	185,514	Station bill	0	0.00	One time	1	0.00	New K & T	0	E1/5	38	
K. T	122.518	185,518	Inflatable survival craft placards	0	0.00		1		New T	0	E1/5	38	
K, T	122,516	185,516	Life jacket placards	0	0.00	One time	1		New K & T	0	E1/5	38	
K, T	122,602	185,602	Hull markings	0	0.00		1		New K & T	0	E1/5	38	
K, T	122,604	185.604	Lifesaving equipment markings	0	0.00	One time	1		New K & T	0	E1/5	38	
K. T	122,606		Escape hatches and emergency exits	0	0.00		1		New K & T	0	E1/5	38	
K, T	122.608	185,608	Fuel shutoff valves	0	0.00	One time	1		New K & T	0	E1/5	38	
K. T	122,610	185.610	Watertight doors and watertight hatches	0	0.00		1		New K & T	0	E1/5	38	
K. T	122,612	185.612	Fire protection equipment	0	0.00		1		New K & T	0	E1/5	38	1
K, T	122,702		Maintenance	0	0.00		1		Some new K & T	0	O1/2	61	1
K. T	122.704 (c)		Maintenance of falls	0	0.00		3		Some K & T	0	E1/5	38	1
Т	122.704 (0)		Generators and motors	0		One time	1		New T	0	E1/5	38	
•		103.320 (u) and (c)	Ocherators and motors		eporting	One time		0.00	INCW I	, o	E1/3	30	
K, T	115,105 (a)	176 105 (-)	How to obtain or renew	10		Every 3 yrs.	2	0.06	All K & T	346	O1/2	61	\$2
K, T	115.103 (a)			10		As needed	N/A		All K & T	340	01/2	61	\$2.
K, T	115.202	176.204	Permit to proceed Permit to carry Excursion Party	5	0.08		N/A		All K & T	52	O1/2	61	\$:
K, T	115.500 (a)		When required	5	0.08		11/74		All K & T	173	01/2	61	\$10
K, T	115.500 (a)	176.612	Notice and plans required	30	0.50	Every 6 years	3		All K & T	518	01/2	61	\$3
K, T	115.700	176.700	Permission for repairs and alterations	10	0.30		N/A		All K & T	518	O1/2	61	\$3
K, T	115.704	176.704	Breaking of safety valve seals	10	0.17	As needed	N/A N/A		All K & T	10	01/2	61	33
K, T	115.920 (c)			10	0.17		N/A		Some K & T	2,954	O1/2	61	\$180
K, I K. T	115.920 (c)	176.920(c) 176.930	Exemption Equivalents	10			N/A N/A		All K & T	2,954	O1/2	61	
		*******					N/A						Si
K, T K. T	116.202 116.330		Plans and information required Sailing vessels	60 20	1.00 0.33		1		New K & T Some new K & T	104	O1/2	61	- 5
						One time	1			0			
K, T	116.340		Alternate design considerations	20			1		Some new K & T	3	01/2	61	0.0
K, T	122.208	185.208	Accidents to machinery	10			N/A		All K & T	518	O1/2	61	\$3
K, T	122.230	185.230	Report of an accident to an aid to navigation	10		As needed	N/A	0.08	All K & T	518	O1/2	61	\$3
				Recordkeep									
K, T	122.502		Crew and passenger list	1		All approp. trips	N/A		Some K & T	2,591	O1/2	61	\$15
K, T	122.503		Voyage plan	1		All approp. trips	N/A		Some K & T	2,591	O1/2	61	\$158
K, T	122.504	185 504	Passenger count	1 1	0.02	All approp. trips	N/A	4 15	Some K & T	24,494	O1/2	61	\$1,494

Appendix F: Total Estimated Annual Federal Government Cost

Annual Respondents	6,229
Annual Responses	34,423

	Hour Burden	Cost Burden
Recordkeeping	2	\$142
Reporting	5,731	\$349,580
Recordkeeping and Reporting	29,676	\$1,810,254
Total	35,409	\$2,159,976

Total Respondents	6,229
Total Responses	34,423
Total Cost	\$2,159,976