

A. **Project Identification**

OMB Approval No.: 1840-0830  
Expiration Date: 12/31/2019

**Educational Opportunity Centers (EOC) Program  
Form for Annual Performance Report  
for Program Year 2016-17**

**Section I: Project Identification, Certification and Warning**

- 1. PR/Award Number *[pre-populated]*: \_\_\_\_\_
- 2. Name of Grantee *[pre-populated]*: \_\_\_\_\_
- 3. Address (City, State, Zip) *[pre-populated]*: \_\_\_\_\_
- 4. Name of Project Director *[pre-populated]*: \_\_\_\_\_
- 5. Phone Number *[pre-populated]*: \_\_\_\_\_  
Fax Number *[pre-populated]*: \_\_\_\_\_  
E-mail Address *[pre-populated]*: \_\_\_\_\_
- 6. Report Period *[pre-populated]*: \_\_\_\_\_  
(MM/DD/YYYY) to (MM/DD/YYYY) \_\_\_\_\_ to \_\_\_\_\_
- 7. Name of Data Entry Person: \_\_\_\_\_  
Phone Number: \_\_\_\_\_  
E-mail Address: \_\_\_\_\_

**B. Certification:** We certify that the performance report information reported and submitted electronically on \_\_\_\_\_ is readily verifiable. The information reported is accurate and complete to the best of our knowledge.

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Name of Project Director (Print)	Name of Certifying Official (Print)
Signature and Date	Signature and Date

**C. Warning:** Any person who knowingly makes a false statement or misrepresentation on this report is subject to penalties which may include fines, imprisonment, or both, under the United States Criminal Code and 20 U.S. C. 1097. Further Federal funds or other benefits may be withheld under this program unless this report is completed and filed as required by existing law (20 U.S.C. 1231a) and regulations (34 CFR 75.591 and 75.720).

**Authority: Public Law 102-325, as amended.**

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. Public reporting burden for this collection of information is estimated to average 8 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The obligation to respond to this collection is required to obtain or retain benefit (Education General Administrative Regulations, 34 CFR 75.591 and 75.720; program regulations, 34 CFR Part 644 and Title IV, Section 402A and 402F of Higher Education Act of 1965, as amended (Public Law 102-325)). Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to [regulations.gov](http://regulations.gov) during the public comment period for this collection of information. If you have specific questions about the form, instrument or survey, please contact the U.S. Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202-4536.

**Section II: Demographic Profile of Project Participants, Target Schools, Invitational Priorities**

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**Participants Funded to serve [pre-populated]**

\_\_\_\_\_

**A. Types of Participants Assisted**

- 1. New participants
- 2. Continuing participants
- 3. Total participants

\_\_\_\_\_  
\_\_\_\_\_

**B. Participant Distribution by Eligibility**

- 1. Low-income and potential first-generation college students
- 2. Low-income only
- 3. Potential first-generation college students only
- 4. Other
- 5. Total (must equal A3)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**C. Participant Distribution by Race and Ethnicity**

- 1. American Indian or Alaska Native, non-Hispanic/Latino
- 2. Hispanic/Latino of any race
- 3. Asian, non-Hispanic/Latino
- 4. Black or African American, non-Hispanic/Latino
- 5. White, non-Hispanic/Latino
- 6. Native Hawaiian or Other Pacific Islander, non-Hispanic/Latino
- 7. Two or more races, non-Hispanic/Latino
- 8. Race or Ethnicity Unknown
- 9. Total (must equal A3)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**D. Participant Distribution by Gender**

- 1. Male
- 2. Female
- 3. Total (must equal A3)

\_\_\_\_\_  
\_\_\_\_\_

**1. E. Participant Distribution by Age (age of participants at time of first**

**budget period)**

- 1. 10-13
- 2. 14-18
- 3. 19-27
- 4. 28 and above
- 5. Unknown
- 6. Total (should equal A3)

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**F. Participants with Limited English Proficiency (see 34 CFR 644.4(k))**

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**G. EOC participants also served during the reporting year by another federally funded program (see 34 CFR 644.32(c)(4))**

- 2. Upward Bound (UB)
- 3. Upward Bound (UBMS)
- 4. Veterans Upward Bound (VUB)
- 5. Talent Search (TS)
- 6. GEAR UP
- 7. Student Support Services
- 8. Other
- 9. Served by more than one other federally funded program
- 10. Total

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**H. TARGET SCHOOLS (if applicable)**

Please list all the target schools served during this budget period. For each target school, include the school's identification number listed in the Common Core of Data (CCD) of the National Center for Education Statistics (NCES), the name of the school, its city, state, zip code, and the number of participants served during the reporting period. A Web link to the CCD data will appear on the Web application to assist you in finding the NCES school identification numbers for your target schools.

This section will be pre-populated with the list of target schools the project submitted in the previous year's Annual Performance Report (APR), if applicable. If there are any changes in the target schools, please update or correct the data in the pre-populated list of target schools.

Also, please check the pre-populated NCES identification numbers for accuracy as identification numbers may change over time.

**Please be sure to provide the number of participants served at each target school.**

NCES School ID #	School name	City	State	Zip Code	Number served

**I. COMPETITIVE PREFERENCE PRIORITIES (if applicable)**

- The Department supports projects in which grantees develop a plan or expand upon existing relationships in order to collaborate with and coordinate their activities with the proposed target population, other Federal programs and State-funded programs with similar focus, school-level partners and other community resources in order to carry out projects that best meet the needs of adult learners.

1A. Not applicable

1B. Yes

If "Yes," list below the organizations and community partners you collaborated with to provide services to meet the needs of project participants.

<b>Collaborations with Community Organizations and Other Partners</b>
1.
2.
3.
4.
5.
6.
7.
8.
9.
10.

- The Department encourages applicants to design projects that address the needs of military or veteran connected students.

2A. Not applicable

**A. Educational Status of Project Participants Aged 19 or Older (at time of first service in the reporting year)**

2B. Yes

If "Yes," please provide the number of veterans, active duty military, children of active duty military, and spouses of active duty military served by the EOC project during the reporting year.

**Military or veteran connected students**

- 1. Veterans \_\_\_\_\_
- 2. Active duty military \_\_\_\_\_
- 3. Spouse of active duty military \_\_\_\_\_
- 4. Child of active duty military \_\_\_\_\_
- 5. Total \_\_\_\_\_

**SECTION III: Educational Status of EOC Participants (at the time of first service in the reporting year)**

**NUMBER**

- 1. Adult without a secondary school credential, enrolled as a high school senior or in an alternative education program at a level equivalent to a high school senior \_\_\_\_\_
- 2. Adult without a secondary school credentials who belong neither in A1 nor A5 \_\_\_\_\_
- 3. High school graduate or high school equivalency graduate not already enrolled in postsecondary education \_\_\_\_\_
- 4. Postsecondary dropout with a secondary school diploma or credential \_\_\_\_\_
- 5. Postsecondary dropout without a secondary school diploma or credential \_\_\_\_\_
- 6. Potential postsecondary transfer \_\_\_\_\_
- 7. Postsecondary student \_\_\_\_\_
- 8. Other participant, 19 years or older \_\_\_\_\_
- 9. Unknown \_\_\_\_\_
- 10. Total \_\_\_\_\_

**B. Educational Status of Secondary School-Age Students (at time of first service in the reporting year)**

**NUMBER**

- 1. High school non-senior (9th-11th grade) \_\_\_\_\_
- 2. High school senior or in alternative education program (12th grade only) \_\_\_\_\_
- 3. Secondary school dropout (not older than 18 years) \_\_\_\_\_
- 4. Other participant, not older than 18 years \_\_\_\_\_
- 5. Total \_\_\_\_\_

**Section IV: Educational Status of EOC Participants (at end of reporting period or for the following fall)**

In this section all the standard objectives are listed for your EOC project. The percentage for each of these standard objectives will be pre-populated in the online Web application for all grantees. The pre-populated numbers are based on the information provided on the Program Profile sheet submitted with your approved FY 2016 application. No changes may be made to these percentages on this form. However, if any of the pre-populated numbers reflects a data entry error, you must contact your assigned program specialist to resolve the problem. So as to allow the Department to report aggregated data gathered in a uniform manner, all EOC grantees must report outcomes based on the standard objectives identified in the FY 2016 application.

To better facilitate data collection, please enter a positive numeric value in each field. For fields not applicable to your project, please enter zero (0). If more than one response is possible for a given participant, choose the most recent status. Also, please refer to the "Definitions that Apply" section in the APR instructions for additional information on commonly used terms, e.g., secondary school diploma, and alternative education program, etc., that are new in this APR.

**A. OBJECTIVE: Secondary School Diploma**

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\_\_\_\_\_ % of participants served during the project year that did not have a secondary school diploma or its equivalent at the time of first service in the project year will receive a secondary school diploma or its equivalent during the project year.

<b>Educational Status of Such Participants</b>	<b>NUMBER</b>	<b>NUMBER</b>
A1. Received a secondary school diploma or its equivalent	_____	
A2. Enrolled in an alternative education program but did not complete	_____	
A3. Enrolled in high school but did not complete	_____	
A4. Not enrolled in high school or an alternative education program	_____	
A5. Deceased	_____	
A6. Unknown	_____	
A7. Total	_____	

The **denominator** is the number of participants who did not have a secondary school diploma or its equivalent at the time of first service in the reporting year project year (sum of Section III A1, A2, A5, B1, B2, B3, and B4) minus the deceased.

The **numerator** is the number of participants who received a secondary school diploma or equivalent during the project year (Section IV A1).

**B. OBJECTIVE: Financial Aid Applications**

\_\_\_\_% of participants served during the project year who at time of first service in the project year were not already enrolled in a postsecondary education program and who: (1) were high school seniors or equivalents in alternative education programs; (2) were high school graduates; or (3) had obtained a high school equivalency certificate will apply for financial aid during the project year.

	NUMBER
<b>Financial Aid Completion</b>	
B1. Completed a financial aid application	_____
B2. Did not complete a financial aid application	_____
B3. Unknown	_____
B4. Total	_____

The **denominator** is the number of participants, at the time first service in the reporting period, who are not enrolled in postsecondary education, and who are high school seniors or equivalents in alternative programs or who have a secondary diploma or equivalent (Section III, A1, A3, A4, A6, and B2)

The **numerator** is the number of participants who applied for financial aid during the project year (Section IV, B1).

**C. OBJECTIVE: Postsecondary Education Admissions**

\_\_\_\_% of participants served during the project year who at time of first service in the project year were not already enrolled in a postsecondary education program and who: (1) were high school seniors or equivalents in alternative education programs; (2) were high school graduates; or (3) had obtained a high school equivalency certificate will apply for postsecondary admissions during the project year.

	NUMBER
<b>Postsecondary Education Admissions</b>	
C1. Applied for admission to a postsecondary education program	_____
C2. Did not apply for admission to a postsecondary education program	_____
C3. Unknown	_____
C4. Total	_____

The **denominator** is the number of participants, at the first time of service in the reporting period, who are not enrolled in postsecondary education, and who are high school seniors or equivalents in alternative programs or who have a secondary diploma or equivalent (Section III, A1, A3, A4, A6, and B2)

The **numerator** is the number of participants who applied for admission to a postsecondary education programs (Section IV, C1)



**D. OBJECTIVE: Postsecondary Education Enrollment**

\_\_\_\_% of participants who graduate from secondary school and are not already enrolled in a postsecondary education program will enroll in a postsecondary education program immediately following participation in an EOC program or will have received notification, by the fall semester, of acceptance but deferred enrollment until the next academic semester (e.g. spring semester).

	<b>NUMBER</b>
<b>Postsecondary Education Status of Participants</b>	
D1. Received a secondary school diploma or equivalent during the reporting year and enrolled in a postsecondary education program	_____
D2. Had a secondary school diploma or credential at the time of first service in the reporting year and enrolled in a postsecondary education program	_____
D3. Did not enroll in a postsecondary education program	_____
D4. Deceased	_____
D5. Unknown	_____
D6. Total (Should equal the sum of Section IV, A1 <u>and</u> Section III, A3, A4, and A6)	_____

Every project will be required to indicate which interpretation of the objective’s wording was followed when the project established the objective rate for postsecondary enrollment in its FY 2016 application. Projects will **NOT** be allowed to change their selection within the same grant cycle to maintain consistent PE calculations across all PE assessment years. The interpretations are as follows;

- Option 1: The **denominator** for this objective would include participants that received a secondary school diploma or its equivalent during the reporting year (Section IV, A1). The **numerator** is the number of participants served who received a secondary school diploma or equivalent during the reporting year and enrolled in a postsecondary education program (Section IV, D1).

**or**

- Option 2: The **denominator** for this objective would include participants that received a secondary school diploma or its equivalent during the reporting year (Section IV, A1) **and** those participants who had a secondary school diploma or credential at the time of first service (Section III, A3, A4, and A6 minus deceased reported in Section IV, D4) during the reporting year. The **numerator** is the number of participants served who received a secondary school diploma or equivalent during the reporting year and enrolled in a postsecondary education program (Section IV, D1) **and** the number of participants who already had a secondary school diploma or credential at the time of first service and enrolled in a postsecondary education program (Section IV, D2).

Note: Because Section IV, A5 identified deceased participants, IV, A1 did not include such students; the calculation for Option 1 will therefore not involve subtracting deceased participants from the denominator. On the other hand, Section IIIA *did not* identify deceased

students; therefore, in the calculation for Option 2, deceased students in IV, D4 will be subtracted from the denominator.

**E. Postsecondary Placements (Types of Institutions)**

Please indicate the number of participants enrolled in postsecondary education for each type of postsecondary institution listed

<b>Type and Control of Postsecondary Institutions</b>	<b>NUMBER</b>
E1. Public, two-year institution	_____
E2. Private, non-profit, two-year institution	_____
E3. Public, four-year institution	_____
E4. Private, non-profit, four-year institution	_____
E5. Public or non-profit vocational/technical institution	_____
E6. Proprietary school	_____
E7. Unknown	_____
E8. Total (should equal the sum of Section IV, D1 and D2)	_____