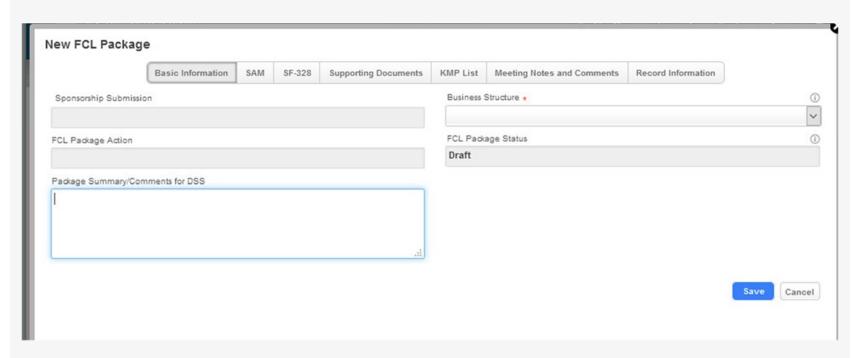
## NISS Screenshots—Apr 2017 Facility Clearance Package Submission

(Includes SF-328, OMB Control Number 0704-0194, Expiration Date: 9/30/2019)



Consolidated SF-328 Parent CAGE Code	
Consolidated SF-328 Parent CAGE Code	
consolidated SF-328 Parent Facility Name (if found)	
oes your company issue stock? •	
Yes ○No	
ENALTY NOTICE	
ilure to answer all questions or any misrepresentation (by omission or concealment, or by misleading, false or partial an assified information. In addition, Title 18, United States Code 1001, makes it a criminal offense, punishable by a maxin both, knowingly to make a false statement or representation to any Department or Agency of the United States, as to an ited States. This includes any statement made herein which is knowingly incorrect, incomplete or misleading in any im	num of five (5) years imprisonment, \$15,000 fine by matter within the jurisdiction of any Department or Agency of
ROVISIONS	
This report is authorized by the Secretary of Defense, as Executive Agent for the National Industrial Security Program, spond, your eligibility for a facility security clearance cannot be determined if you do not complete this form. The retent impliance with the requirements of DoD 5220.22-M for submission of a revised form as appropriate.	
When this report is submitted in confidence and is so marked, applicable exemptions to the Freedom of Information Ac	t will be invoked to withhold it from public disclosure.
Complete all questions on this form. Mark "Yes" or "No" for each question. If your answer is "Yes" furnish in full the comp	plete information under the appropriate corresponding fields.
JESTIONS and ANSWERS	
(Answer 1a or 1b)	
ote: Ownership of less than 5% should be included if the holder is entitled to control the appointment and tenure of any	management position.
(For entities which do not issue stock): Has any foreign person directly or indirectly subscribed 5% or more of your organ	ization's total capital commitment?
Yes No entify the percentage of total capital commitment which is subscribed by foreign persons.	
entity the percentage of total capital commitment which is subscribed by foreign persons.	
there an agreement(s) with the subscriber(s)? If yes, attach a copy(ies), and if none, so state. •  Yes No	
ubscriber Agreement Comment	

dentify the foreign interest by name, country, percentage owned, and personnel who occupy management positions with the organizations.	
	.ii
there are personnel from your organization who occupy management positions with the foreign firm(s), identify the name(s), title, and extent of involvement in the operations of the rganizations, (to include access to classified information).	
	.:
Do any non-U.S. citizens serve as members of your organization's board of directors (or similar governing body), officers, executive personnel, general partners, regents, trustees or enior management officials? • Yes \No	
dentify the foreign person(s) by name, title, citizenship, immigration status and clearance or exclusion status.	
attach copies of applicable by-laws or articles of incorporation, which describe the affected position(s). However, if you have already provided such copies to the Cognizant Security Andustrial Security Representative, so state.	,:i
	.::
Does any foreign person(s) have the power, direct or indirect, to control the election, appointment, or tenure of members of your organization's board of directors (or similar governing the control to a state of the decision of the control to a state o	9
ody) or other management positions of your organization, or have the power to control or cause the direction of other decisions or activities of your organization?  Foreign Person(s) Control •	
Yes ONO	
dentify the foreign person(s) by name, title, citizenship, and all details concerning the control or influence.	

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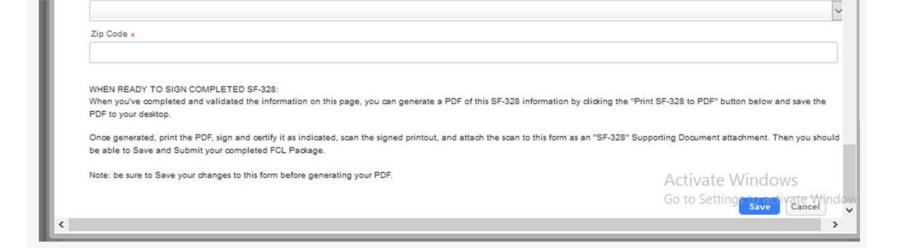
Note: If any foreign person(s) have such power, this question shall be answered in the affirmative even if such power has not been exercised, and whether or not it is exercisable through ownership of your facility's securities, if such power may be invoked by contractual arrangements or by other means.

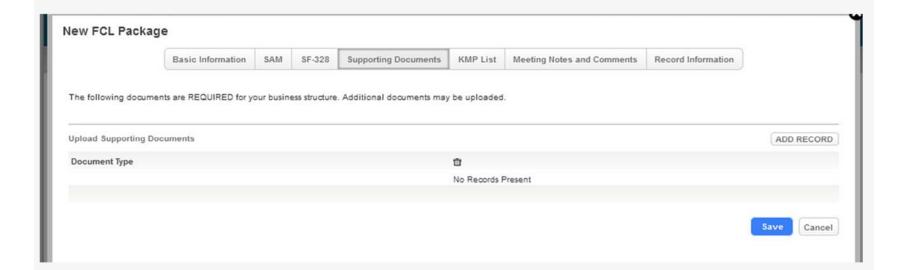
<ol> <li>Does your organization have any contracts, agreements, understandings, or arrangements with a foreign person(s)?</li> </ol>	
●Yes ○No	
f Yes, for each instance, provide the name of the foreign person, country, percentage of gross income derived, and nature of involvement, including:	
Whether defense/nuclear related or not	
Involvement with classified or export controlled technology	
Compliance with export control requirements	
Where the organization has a large number of involvements and where these involvements are not defense/ nuclear related and represent a small percentage explanation can be a generalized statement addressing the totals by country.	ge of gross income; the
(5) Foreign Person(s) Details •	
	.11
Note: We do not expect and will not require the contractor to ask every customer if he/she falls within the NISPOM definition of a foreign person. We will ask the ecurity education to those individuals who negotiate and/or administer such agreements regarding their responsibilities to report agreements with a foreign purpose. The contractor will be certifying the response to this question to "the best of his/her knowledge" or "through his/her best efforts".	
6. Does your organization, whether as borrower, surety, guarantor or otherwise have any indebtedness, liabilities or obligations to a foreign person(s)? •	
Yes No	
Provide your overall debt-to-equity ratio (in percentage). •	
	.ii
If yes, with respect to indebtedness or liability to a foreign person, indicate to whom indebted or liable, what collateral has been furnished or pledged, and an loan agreement. If stock or assets have been furnished or pledged as collateral, provide a copy of the loan agreement or pertinent extracts thereof (to include the event of default).	ry conditions or covenants of th
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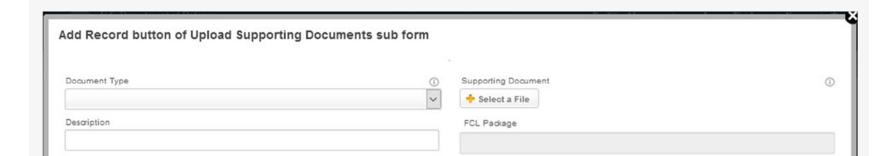
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This question should be answered in the affirmative if the debt is with a U.S. entity that is owned or o	ontrolled either directly or indirectly by a foreign person. If unknown, so state.
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Note: As stated above, we do not expect and will not require the contractor to ask every lender if he/s education to those employees who handle lending arrangements regarding their responsibilities to re The contractor will be certifying the response to this question as being to "the best of his/her knowledges."	eport any such arrangements with a foreign person lender, to the best of their knowledge
7. During your last fiscal year, did your organization derive:	
a. 5 percent or more of its total revenues or net income from any single foreign person? •  No  No	
b. In the aggregate 30 percent or more of its revenues or net income from foreign persons? •  • No	
Provide overall percentage of income derived from foreign sources *by country*, nature of involveme	ent, and type of services or products. *
Indicate if any single foreign source represents in excess of 5% of total revenues or net income. •	
Indicate if any single foreign source represents in excess of 5% of total revenues or net income. •	
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f yes, with respect to indebtedness or liability to a foreign person, indicate to whom indebted or liabl oan agreement. If stock or assets have been furnished or pledged as collateral, provide a copy of the he event of default).	
Indicate whether any classified information is involved.	

Note: As previously stated, we do not expect and will not require the contractor to ask every customer if he/she qualifies as a foreign person. We will ask the contractor to provide ongoing security education to those employees who handle information about company revenues regarding their responsibility to report revenues derived from a foreign person(s) to the best of their knowledge. The contractor will be certifying the response to this question as being to "the best of his/her knowledge" or "through his/her best efforts".
8. Is 10 percent or more of any class of your organization's voting securities held in 'nominee' shares, in 'street names' or in some other method which does not identify the beneficial owner? •  (**Yes**)No**
Identify each foreign institutional investor holding 10% or more of the voting stock by name and address and the percentage of stock held.
Indicate whether any investor has attempted to, or has exerted any control or influence over appointments to management positions or influenced the policies of the organization.
If yes, include copies of SEC Schedule 13D 13G.
9. Do any of the members of your organization's board of directors (or similar governing body), officers, executive personnel, general partners, regents, trustees or senior management offic hold any positions with, or serve as consultants for, any foreign person(s)?
(9) Positions With or Consultants for Foreign Person(s) •  (e) Yes (No
Provide the name, title, citizenship, immigration status and clearance or exclusion status on all such persons. *
Identify, by name and address, each foreign organization with which such persons serve and indicate the capacity in which they are serving.
Include a Statement of Full Disclosure of Foreign Affiliations for every cleared individual who is a representative of a foreign interest. *

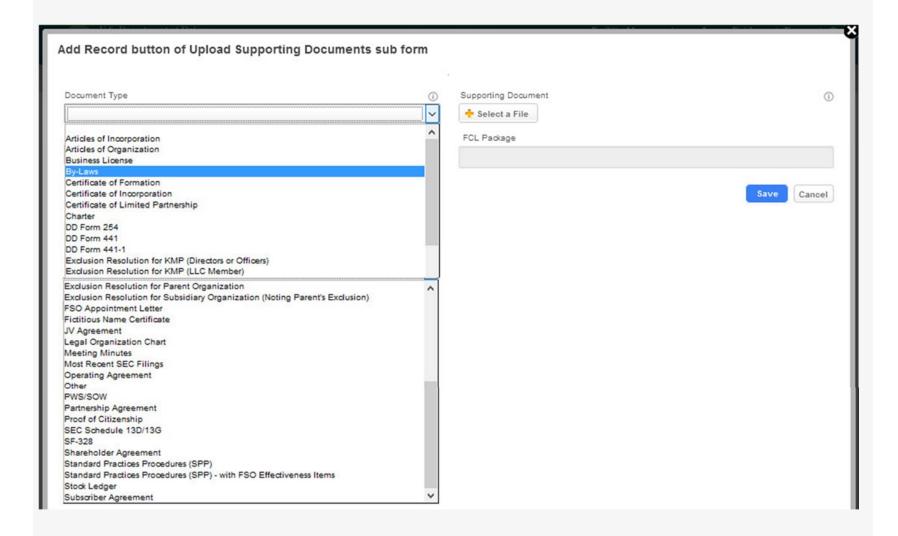
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Note: We expect the contractor to be able to answer this question fully for those individuals holding such positions with his/her foreign subsidiaries and any foreign interests. However, we not expect and will not require the contractor to ask every individual to ascertain if he/she is serving as a director, officer or manager of a foreign person. We will ask the contractor to provongoing security education to all key management personnel of their responsibilities to report serving as an interlocking director or in any other type of positions with a foreign person to best of their knowledge. The contractor will be certifying the response to this question as being to "the best of his/her knowledge" or "through his/her best efforts".	ide
10. Is there any other factor(s) that indicates or demonstrates a capability on the part of foreign persons to control or influence the operations or management of your organization? •  (**) Yes (**)No*	(
Describe the foreign involvement in detail, including why the involvement would not be reportable in the preceding questions. ▼	
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Remarks	(
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Certification Information  Witness 1 Name •	
Witness 2 Name	]
Authorized Contractor Representative Name •	J
Authorized Contractor Representative Title •	_
Street 1 •	_
Street 2	
City •	
State •	J













Save	Cancel

Notice:  Under the Privacy Act of 1974, you must safeguard personnel information retrie this system. Disclosure of information is governed by Title 5, United States Cod- 552a Public Law 93-579, DoDD 5400.11, DoDR 5400.11-R and the applicable: directives.	e, Section	
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Vice President		
Secretary Treasurer		
Chairman		
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