Organization Determinations/Reconsiderations (Part C) 2017

rations/1000monations (1 art 5) 2017
Organization Determinations/Reconsiderations (Part C) 2017
MM/DD/YYYY
Last name, First name
Last name, First name

1) In the "Data Sources and Review Results:" column, enter the review results and/or data sources used for each standard or sub-standard.

2) Enter "Y" if the requirements for the standard or sub-standard have been completely met. If any requirement for the standard or sub-standard has not been met, enter "N". If any standard or sub-standard does not apply, enter "N/A".

3) For standards 1c, 1d, 1e, 1g, 1h, and 2e, enter 'Findings' as follows based on the five-point scale: Select "1" if plan data has more than 20% error, select "2" if plan data has between 15.1% - 20.0% error, select "3" if plan data has between 10.1% - 15.0% error, select "4" if plan data has between 5.1% - 10.0% error, select "5" if plan data has less than or equal to a 5% error. Enter "N/A" if standard does not apply.

	-	uoes not appry.
Standard/ Sub-standard ID	Standard/Sub-standard Description	Data Sources and Review Results: Enter 'Findings' using the applicable choice in the appropriate cells. Cells marked we can '*' should not be edited.
1	A review of source documents (e.g., programming code, spreadsheet formulas, analysis plans, saved data queries, file layouts, process flows) indicates that all source documents accurately capture required data fields and are properly documented.	Data Sources: *
1.a	Source documents are properly secured so that source documents can be retrieved at any time to validate the information submitted to CMS via CMS systems.	Review Results:
1.b	Source documents create all required data fields for reporting requirements.	Review Results:
1.c	Source documents are error-free (e.g., programming code and spreadsheet formulas have no messages or warnings indicating errors, use correct fields, have appropriate data selection, etc.).	Review Results:
1.d	All data fields have meaningful, consistent labels (e.g., label field for patient ID as Patient_ID, rather than Field1 and maintain the same field name across data sets).	Review Results:
1.e	Data file locations are referenced correctly.	Review Results:
1.f	If used, macros are properly documented.	Review Results:
1.g	Source documents are clearly and adequately documented.	Review Results:
1.h	Titles and footnotes on reports and tables are accurate.	Review Results:

	1.i		Version control of source documents is appropriately applied.	Review Results:	
	2		A review of source documents (e.g., programming code, spreadsheet formulas, analysis plans, saved data queries, file layouts, process flows) and census or sample data, whichever is applicable, indicates that data elements for each reporting section are accurately identified, processed, and calculated.	Data Sources:	*
	2.a	RSC-1	The appropriate date range(s) for the reporting period(s) is captured.	Review Results:	
			Organization reports data based on the periods of 1/1 through 3/31, 4/1 through 6/30, 7/1 through 9/30, and 10/1 through 12/31.		
	2.b	RSC-2	Data are assigned at the applicable level (e.g., plan benefit package or contract level). Organization properly assigns data to the applicable CMS contract.	Review Results:	
-	2.c	RSC-3	Appropriate deadlines are met for reporting data (e.g., quarterly).	Review Results:	
			Organization meets deadlines for reporting data to CMS by 2/26/2018. [Note to reviewer: If the organization has, for any reason, re-submitted its data to CMS for this reporting section, the reviewer should verify that the organization's original data submissions met the CMS deadline in order to have a finding of "yes" for this reporting section criterion. However, if the organization re-submits data for any reason and if the re-submission was completed by 3/31 of the data validation year, the reviewer should use the organization's corrected data submission(s) for the rest of the reporting section criteria for this reporting section.]		
	2.d	RSC-4	Terms used are properly defined per CMS regulations, guidance and Reporting Requirements Technical Specifications.	Data Sources:	*
	2.d	RSC-4.a	Organization properly defines the term "Organization Determinations" in accordance with 42 C.F.R Part 422, Subpart M and the Medicare Managed Care Manual Chapter 13, Section 10. This includes applying all relevant guidance properly when performing its calculations and categorizations.	Review Results:	
	2.d	RSC-4.b	Organization properly defines the term "Reconsideration" in accordance with 42 C.F.R. Part 422, Subpart M and the Medicare Managed Care Manual Chapter 13, Sections 10 and 70. This includes applying all relevant guidance properly when performing its calculations and categorizations.	Review Results:	
	2.e	RSC-5	Organization data passes data integrity checks listed below:	Data Sources:	*
	2.e	RSC-5.a	RSC-5.a: The number of organization determinations processed timely (Data Element 6.2) does not exceed the total number of organization determinations (Data Element 6.1). [Data Element 6.2]	Review Results:	
	2.e	RSC-5.b	RSC-5.b: The total number of organization determinations (Data Element 6.1, 6.1) is equal to sum of organization determinations by outcome (Data 6.3, 6.4, 6.5, 6.6, 6.7, Element 6.3 + Data Element 6.4 + Data Element 6.5 + Data Element 6.6 + Data Element 6.7 + Data Element 6.8).	Review Results:	
I	ļ		'	ι	

2.e	RSC-5.c	RSC-5.c: Number of reconsiderations processed timely (Data Element 6.12) does not exceed total number of reconsiderations (Data Element 6.11). [Data Element 6.12]	Data Element 6.12	Review Results:
2.e	RSC-5.d	RSC-5.d: The total number of reconsiderations (Data Element 6.11) is equal to sum of reconsiderations by outcome (Data Element 6.13 + Data Element 6.14 + Data Element 6.15 + Data Element 6.16 + Data Element 6.17 + Data Element 6.18).	Data Elements 6.11, 6.13, 6.14, 6.15, 6.16, 6.17, 6.18	Review Results:
2.e	RSC-5.e	RSC-5.e: The total number of reopened decisions (Data Element 6.21) is equal to the number of records reported in the data file with a disposition of reopened. [Data Element 6.21]	Data Element 6.21	Review Results:
2.e	RSC-5.f	RSC-5.f: The date each case was reopened (Data Element 6.31) is after the date of its original disposition (Data Element 6.26). [Data Element 6.31]	Data Element 6.31	Review Results:
2.e	RSC-5.g	RSC-5.g: The date of disposition for each reopening (Data Element 6.34) is after the date of the original disposition (Data Element 6.26). [Data Element 6.34]	Data Element 6.34	Review Results:
2.e	RSC-5.h	RSC-5.h: The date of disposition for each reopening (Data Element 6.34) is after the date the case was reopened (Data Element 6.31). [Data Element 6.34]	Data Element 6.34	Review Results:
2.e	RSC-5.i	RSC-5.i: The date of disposition for each reopening (Data Element 6.34) is within the reporting quarter. [Data Element 6.34]	Data Element 6.34	Review Results:
2.e	RSC-5.j	RSC-5.j: Verify that there is a valid value submitted for date of original disposition as MM/DD/YYYY format (Data Element 6.26). [Data Element 6.26]	Data Element 6.26	Review Results:
2.e	RSC-5.k	RSC-5.k: Verify that there is a valid value submitted for case level (Organization Determination or Reconsideration) (Data Element 6.25). [Data Element 6.25]	Data Element 6.25	Review Results:
2.e	RSC-5.I	RSC-5.I: Verify that there is a valid value submitted for reopening disposition (Fully Favorable; Partially Favorable, Adverse or Pending (Data Element 6.35). [Data Element 6.35]	Data Element 6.35	Review Results:
2.e	RSC-5.m	If the organization received a CMS outlier/data integrity notice validate whether or not an internal procedure change was warranted or resubmission through HPMS.	Data Elements 6.1- 6.21, 6.25, 6.26, 6.27, 6.34, 6.35	Review Results:

2.e	RSC-6	The number of expected counts (e.g., number of members, claims, grievances, procedures) are verified; ranges of data fields are verified; all calculations (e.g., derived data fields) are verified; missing data have been properly addressed; reporting output matches corresponding source documents (e.g., programming code, saved queries, analysis plans); version control of reported data elements is appropriately applied; QA checks/thresholds are applied to detect outlier or erroneous data prior to data submission. RSC-6: Organization accurately calculates the total number of organization determinations, including the following criteria: [Data Elements 6.1-6.8]		Data Sources:	*
2.e	RSC-6.a	RSC-6.a: Includes all completed organization determinations (Part C only) with a date of member notification of the final decision that occurs during the reporting period, regardless of when the request for organization determination was received. [Data Elements 6.1-6.8]	Data Element 6.1	Review Results:	
2.e	RSC-6.a		Data Element 6.2	Review Results:	
2.e	RSC-6.a		Data Element 6.3	Review Results:	
2.e	RSC-6.a		Data Element 6.4	Review Results:	
2.e	RSC-6.a		Data Element 6.5	Review Results:	
2.e	RSC-6.a		Data Element 6.6	Review Results:	
2.e	RSC-6.a		Data Element 6.7	Review Results:	
2.e	RSC-6.a		Data Element 6.8	Review Results:	
2.e	RSC-6.b	RSC-6.b: Includes adjudicated claims with a date of adjudication that occurs during the reporting period. [Data Elements 6.1-6.8]	Data Element 6.1	Review Results:	
2.e	RSC-6.b		Data Element 6.2	Review Results:	

2.e					
۷.۰	RSC-6.b		Data Element 6.3	Review Results:	
2.e	RSC-6.b		Data Element 6.4	Review Results:	
2.e	RSC-6.b		Data Element 6.5	Review Results:	
	000.01		D-t- El	Parities Parents	
2.e	RSC-6.b		Data Element 6.6	Review Results:	
2.e	RSC-6.b		Data Element 6.7	Review Results:	
2.e	RSC-6.b		Data Element 6.8	Review Results:	
2.e	RSC-6.c	RSC-6.c: Includes all claims submitted for payment including those that	Data Element 6.1	Review Results:	
		pass through the adjudication system that may not require			
		determination by the staff of the organization or its delegated entity.			
		[Data Elements 6.1-6.8]			
2.e					
Ī	RSC-6.c		Data Element 6.2	Review Results:	
İ	RSC-6.c		Data Element 6.2	Review Results:	
	RSC-6.c		Data Element 6.2	Review Results:	
	RSC-6.c		Data Element 6.2	Review Results:	
	RSC-6.c		Data Element 6.2	Review Results:	
	RSC-6.c		Data Element 6.2	Review Results:	
	RSC-6.c		Data Element 6.2	Review Results:	
	RSC-6.c		Data Element 6.2	Review Results:	
2.e	RSC-6.c			Review Results:	
2.e			Data Element 6.2 Data Element 6.3		
2.e					
	RSC-6.c		Data Element 6.3	Review Results:	
2.e 2.e					
	RSC-6.c		Data Element 6.3	Review Results:	
	RSC-6.c		Data Element 6.3	Review Results:	
	RSC-6.c		Data Element 6.3	Review Results:	
	RSC-6.c		Data Element 6.3	Review Results:	
	RSC-6.c		Data Element 6.3	Review Results:	
	RSC-6.c		Data Element 6.3	Review Results:	
	RSC-6.c		Data Element 6.3 Data Element 6.4	Review Results:	
	RSC-6.c		Data Element 6.3	Review Results:	
2.e	RSC-6.c		Data Element 6.3 Data Element 6.4	Review Results: Review Results:	
2.e	RSC-6.c		Data Element 6.3 Data Element 6.4	Review Results: Review Results:	
2.e	RSC-6.c		Data Element 6.3 Data Element 6.4	Review Results: Review Results:	
2.e	RSC-6.c		Data Element 6.3 Data Element 6.4	Review Results: Review Results:	
2.e	RSC-6.c		Data Element 6.3 Data Element 6.4	Review Results: Review Results:	
2.e	RSC-6.c		Data Element 6.3 Data Element 6.4	Review Results: Review Results:	
2.e	RSC-6.c		Data Element 6.3 Data Element 6.4	Review Results: Review Results:	

2.e	RSC-6.c		Data Element 6.6	Review Results:	
2.e	RSC-6.c		Data Element 6.7	Review Results:	
2.e	RSC-6.c		Data Element 6.8	Review Results:	
2.6	N3C-0.C		Data Liement 0.8	Review Results.	
2.e	RSC-6.d	RSC-6.d: Includes decisions made on behalf of the organization by a	Data Element 6.1	Review Results:	
		delegated entity.			
		[Data Elements 6.1-6.8]			
2.e	RSC-6.d		Data Element 6.2	Review Results:	
2.e	RSC-6.d		Data Element 6.3	Review Results:	
2.e	RSC-6.d		Data Element 6.4	Review Results:	
2.6	K3C-0.u		Data Element 0.4	neview results.	
2.e	RSC-6.d		Data Element 6.5	Review Results:	
2.e	RSC-6.d		Data Element 6.6	Review Results:	
3.5	DCC C 4		Data Element 6.7	Poviow Poculto	
2.e	RSC-6.d		Data ciement 6.7	Review Results:	
2.e	RSC-6.d		Data Element 6.8	Review Results:	
=			_		

2.e	RSC-6.e	RSC-6.e: Includes organization determinations that are filed directly with the organization or its delegated entities (e.g., excludes all organization determinations that are only forwarded to the organization from the CMS Complaint Tracking Module (CTM) and not filed directly with the organization or delegated entity). If a member requests an organization determination directly with the organization and files an identical complaint via the CTM, the organization includes only the organization determination that was filed directly with the organization and excludes the identical CTM complaint. [Data Elements 6.1-6.8]		Review Results:
2.e	RSC-6.e		Data Element 6.2	Review Results:
2.e	RSC-6.e		Data Element 6.3	Review Results:
2.e	RSC-6.e		Data Element 6.4	Review Results:
2.e	RSC-6.e		Data Element 6.5	Review Results:
2.e	RSC-6.e		Data Element 6.6	Review Results:
2.e	RSC-6.e		Data Element 6.7	Review Results:
2.e	RSC-6.e		Data Element 6.8	Review Results:
2.e	RSC-6.f	RSC-6.f: Includes all methods of organization determination request receipt (e.g., telephone, letter, fax, in-person). [Data Elements 6.1-6.8]	Data Element 6.1	Review Results:
2.e	RSC-6.f		Data Element 6.2	Review Results:
2.e	RSC-6.f		Data Element 6.3	Review Results:

2.e	RSC-6.f		Data Element 6.4	Review Results:	
2.e	RSC-6.f		Data Element 6.5	Review Results:	
2.0	DCC C f		Data Flamout C C	Deview Devolter	
2.e	RSC-6.f		Data Element 6.6	Review Results:	
2.e	RSC-6.f		Data Element 6.7	Review Results:	
			Saturation of		
2.e	RSC-6.f		Data Element 6.8	Review Results:	
5					
2.e	RSC-6.g	RSC-6.g: Includes all organization determinations regardless of who filed	Data Element 6.1	Review Results:	
		the request.			
		[Data Elements 6.1-6.8]			
2.e	RSC-6.g		Data Element 6.2	Review Results:	
2.e	RSC-6.g		Data Element 6.3	Review Results:	
2.e	RSC-6.g		Data Element 6.4	Review Results:	
2.e	RSC-6.g		Data Element 6.5	Review Results:	
2.6	NGC-0.g		Data Liement 0.5	neview negatio.	
2.e	RSC-6.g		Data Element 6.6	Review Results:	
1					
•	•	1			

_			_		
2.e	RSC-6.g		Data Element 6.7	Review Results:	
2.e	RSC-6.g		Data Element 6.8	Review Results:	
2.e	RSC-6.h	RSC-6.h: Includes supplemental benefits (i.e., non- Medicare covered	Data Element 6.1	Review Results:	
2.6	K3C-0.11	item or service) provided as a part of a plan's Medicare benefit package.		neview results.	
		[Data Elements 6.1-6.8]			
		,			
2.e	RSC-6.h		Data Element 6.2	Review Results:	
1					1
1					
1					.
1					.
1					
2.e	RSC-6.h		Data Element 6.3	Review Results:	
2.e	RSC-6.h		Data Element 6.4	Review Results:	
2.e	RSC-6.h		Data Element 6.5	Review Results:	
2.6	K3C-0.11		Data Element 0.5	neview results.	
2.e	RSC-6.h		Data Element 6.6	Review Results:	
I					
1					
I					
I					
1					
2.e	RSC-6.h		Data Element 6.7	Review Results:	
I					
I					
I					
1					.
1					.
1					.
2.e	RSC-6.h		Data Element 6.8	Review Results:	
2.6	1.30-0.11		Data Liement 0.0	Actiew Results.	
1					
I					
I					
1					
1					
1					
2.e	RSC-6.i	RSC-6.i: Excludes dismissals and withdrawals.	Data Element 6.1	Review Results:	
I		[Data Elements 6.1-6.8]			
1					.
1					.
1					.
1					1
1					
1					

2.5
2.e
2.e
2.e
2.e
2 e RSC-6.1
2.e
2.e
2.e
2.e RSC 6.i Data Element 6.5 Review Results: 2.e RSC 6.i Data Element 6.6 Review Results: 2.e RSC 6.i Data Element 6.7 Review Results: 2.e RSC 6.i Data Element 6.7 Review Results: 2.e RSC 6.i RSC 6.i RSC 6.i RSC 6.i Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC 6.i Data Element 6.5 Review Results: 2.e RSC 6.i Data Element 6.6 Review Results: 2.e RSC 6.i Data Element 6.7 Review Results: 2.e RSC 6.i Data Element 6.7 Review Results: 2.e RSC 6.i RSC 6.i RSC 6.i RSC 6.i Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC 6.i Data Element 6.5 Review Results: 2.e RSC 6.i Data Element 6.6 Review Results: 2.e RSC 6.i Data Element 6.7 Review Results: 2.e RSC 6.i Data Element 6.7 Review Results: 2.e RSC 6.i RSC 6.i RSC 6.i RSC 6.i Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.1 Data Element 6.5 Review Results: 2.e RSC-6.1 Data Element 6.6 Review Results: 2.e RSC-6.1 Data Element 6.7 Review Results: 2.e RSC-6.1 Data Element 6.7 Review Results: 2.e RSC-6.1 Data Element 6.8 Review Results: 3. Review Results: 4. Review Results: 5. Review Results: 6. Review Results: 8. Review Results:
2.e RSC-6.1 Data Element 6.5 Review Results: 2.e RSC-6.1 Data Element 6.6 Review Results: 2.e RSC-6.1 Data Element 6.7 Review Results: 2.e RSC-6.1 Data Element 6.7 Review Results: 2.e RSC-6.1 Data Element 6.8 Review Results: 3. Review Results: 4. Review Results: 5. Review Results: 6. Review Results: 8. Review Results:
2.e RSC-6.1 Data Element 6.5 Review Results: 2.e RSC-6.1 Data Element 6.6 Review Results: 2.e RSC-6.1 Data Element 6.7 Review Results: 2.e RSC-6.1 Data Element 6.7 Review Results: 2.e RSC-6.1 Data Element 6.8 Review Results: 3. Review Results: 4. Review Results: 5. Review Results: 6. Review Results: 8. Review Results:
2.e RSC-6.1 Data Element 6.5 Review Results: 2.e RSC-6.1 Data Element 6.6 Review Results: 2.e RSC-6.1 Data Element 6.7 Review Results: 2.e RSC-6.1 Data Element 6.7 Review Results: 2.e RSC-6.1 Data Element 6.8 Review Results: 3. Review Results: 4. Review Results: 5. Review Results: 6. Review Results: 8. Review Results:
2.e RSC 6.i Data Element 6.5 Review Results: 2.e RSC 6.i Data Element 6.6 Review Results: 2.e RSC 6.i Data Element 6.7 Review Results: 2.e RSC 6.i Data Element 6.7 Review Results: 2.e RSC 6.i RSC 6.i RSC 6.i RSC 6.i Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.i Data Element 6.6 Review Results: 2.e RSC-6.i Data Element 6.7 Review Results: 2.e RSC-6.i Data Element 6.7 Review Results: 2.e RSC-6.i Data Element 6.8 Review Results:
2.e RSC-6.i Data Element 6.6 Review Results: 2.e RSC-6.i Data Element 6.7 Review Results: 2.e RSC-6.i Data Element 6.7 Review Results:
2.e RSC-6.i Data Element 6.6 Review Results: 2.e RSC-6.i Data Element 6.7 Review Results: 2.e RSC-6.i Data Element 6.7 Review Results: 2.e RSC-6.i Data Element 6.8 Review Results:
2.e RSC-6.i Data Element 6.6 Review Results: 2.e RSC-6.i Data Element 6.7 Review Results: 2.e RSC-6.i Data Element 6.7 Review Results: 2.e RSC-6.i Data Element 6.8 Review Results:
2.e RSC-6.i Data Element 6.6 Review Results: 2.e RSC-6.i Data Element 6.7 Review Results: 2.e RSC-6.i Data Element 6.7 Review Results: 2.e RSC-6.i Data Element 6.8 Review Results:
2.e RSC-6.i Data Element 6.6 Review Results: 2.e RSC-6.i Data Element 6.7 Review Results: 2.e RSC-6.i Data Element 6.7 Review Results:
2.e RSC-6.i Data Element 6.6 Review Results: 2.e RSC-6.i Data Element 6.7 Review Results: 2.e RSC-6.i Data Element 6.7 Review Results: 2.e RSC-6.i Data Element 6.8 Review Results:
2.e RSC-6.i Data Element 6.6 Review Results: 2.e RSC-6.i Data Element 6.7 Review Results: 2.e RSC-6.i Data Element 6.7 Review Results: 2.e RSC-6.i Data Element 6.8 Review Results:
2.e RSC-6.i Data Element 6.7 Review Results: 2.e RSC-6.i Data Element 6.8 Review Results: 2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.i Data Element 6.7 Review Results: 2.e RSC-6.i Data Element 6.8 Review Results: 2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.i Data Element 6.7 Review Results: 2.e RSC-6.i Data Element 6.8 Review Results: 2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.i Data Element 6.7 Review Results: 2.e RSC-6.i Data Element 6.8 Review Results: 2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.i Data Element 6.7 Review Results: 2.e RSC-6.i Data Element 6.8 Review Results: 2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.i Data Element 6.7 Review Results: 2.e RSC-6.i Data Element 6.8 Review Results: 2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.i Data Element 6.7 Review Results: 2.e RSC-6.i Data Element 6.8 Review Results: 2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.i Data Element 6.7 Review Results: 2.e RSC-6.i Data Element 6.8 Review Results: 2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.i Data Element 6.8 Review Results: 2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.i Data Element 6.8 Review Results: 2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.i Data Element 6.8 Review Results: 2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.i Data Element 6.8 Review Results: 2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.i Data Element 6.8 Review Results: 2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.i Data Element 6.8 Review Results: 2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.i Data Element 6.8 Review Results: 2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.i Data Element 6.8 Review Results: 2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.j RSC-6.j: Excludes Independent Review Entity Decisions. Data Element 6.1 Review Results:
2.e RSC-6.j Data Element 6.2 Review Results:
2.e RSC-6.j Data Element 6.3 Review Results:
2.e RSC-6.j Data Element 6.4 Review Results:
2.e RSC-6.j Data Element 6.4 Review Results:
2.e RSC-6.j Data Element 6.4 Review Results:
2.e RSC-6.j Data Element 6.4 Review Results:
2.e RSC-6.j Data Element 6.4 Review Results:
2.e RSC-6.j Data Element 6.4 Review Results:
2.e RSC-6.j Data Element 6.4 Review Results:
2.e RSC-6.j Data Element 6.4 Review Results:

2.e	RSC-6.j		Data Element 6.5	Review Results:	
2 -	DCC C :		Data Flamout C C	Partition Paradian	
2.e	RSC-6.j		Data Element 6.6	Review Results:	
2.e	RSC-6.j		Data Element 6.7	Review Results:	
2.0	1.50 0.5		Butu Element 6.7	Never nesures.	
			<u> </u>		
2.e	RSC-6.j		Data Element 6.8	Review Results:	
1					
1					
1					
	202 - :		B + 51 + 15 + 1		
2.e	RSC-6.k	RSC-6.k: Excludes Quality Improvement Organization (QIO) reviews of a	Data Element 6.1	Review Results:	
1		member's request to continue Medicare-covered services (e.g., a SNF			
1		stay). [Data Elements 6.1-6.8]			
		[Sata Elements 6.1 6.6]			
2.e	RSC-6.k		Data Element 6.2	Review Results:	
2.0			Data Liement 0.2		
2.e	RSC-6.k		Data Element 6.3	Review Results:	
2.e	RSC-6.k		Data Element 6.4	Review Results:	
1					
1					
1					
2.e	RSC-6.k		Data Element 6.5	Review Results:	
2.6	11.JC-U.K		Data Liement 0.5	neview negation	
1					
1					
2.e	RSC-6.k		Data Element 6.6	Review Results:	
1					
1					
1					
1					
1					
<u> </u>			D1		
2.e	RSC-6.k		Data Element 6.7	Review Results:	
1					
1					
1					
1					
1					
1	l	l			

2.e	RSC-6.k		Data Element 6.8	Review Results:	
2.0	DSC 6 I	BSC 6 Is Evaluated duplicate payment requests concerning the same	Data Element 6.1	Poviou Posults	
2.e	RSC-6.I	RSC-6.I: Excludes duplicate payment requests concerning the same service or item.	Data Element 6.1	Review Results:	
		[Data Elements 6.1-6.8]			
		[Data Lieffierits 0.1-0.6]			
2.e	RSC-6.I		Data Element 6.2	Review Results:	
2.0	NSC 0.1		Data Element 6.2	Neview Nesures.	
2.e	RSC-6.I		Data Element 6.3	Review Results:	
2.e	RSC-6.I		Data Element 6.4	Review Results:	
2.e	RSC-6.I		Data Element 6.5	Review Results:	
2.e	RSC-6.I		Data Element 6.6	Review Results:	
	200.01		5 . 5 6 7		
2.e	RSC-6.I		Data Element 6.7	Review Results:	
2.e	RSC-6.l		Data Element 6.8	Review Results:	
۷.6	1.50-0.1		Data Liement 0.8	neview negults.	
2.e	RSC-6.m	RSC-6.m: Excludes payment requests returned to a provider/supplier in	Data Element 6.1	Review Results:	
		which a substantive decision (fully favorable, partially favorable or			
		adverse) has not yet been made due to error (e.g., payment requests or			
		forms that are incomplete, invalid or do not meet the requirements for a			
		Medicare claim).			
		[Data Elements 6.1-6.8]			
2.e	RSC-6.m		Data Element 6.2	Review Results:	

	_				
2.e	RSC-6.m	Data	a Element 6.3	Review Results:	
2.e	RSC-6.m	Data	a Element 6.4	Review Results:	
2.e	RSC-6.m	Data	a Element 6.5	Review Results:	
2.e	RSC-6.m	Data	a Element 6.6	Review Results:	
	P.C		- 51	Parties Parell	
2.e	RSC-6.m	Data	a Element 6.7	Review Results:	
2.e	RSC-6.m	Data	a Element 6.8	Review Results:	
2.e	RSC-7	Organization accurately calculates the number of organization determinations	s, including the	Data Sources:	*
		following criteria:			
2.e	RSC-7.a	RSC-7.a: Includes all service organization determinations for contract Data	a Element 6.1	Review Results:	
		and non-contract providers/suppliers.			
		[Data Element 6.1]			
2.e	RSC-7.b		a Element 6.1	Review Results:	
		contract and non-contract providers/suppliers.			
		[Data Element 6.1]			
2.e	RSC-8	Organization accurately calculates the total number of organization determina	ations that were	Data Sources:	*
		processed in a timely manner including the following criteria:			
2.e	RSC-8.a		a Element 6.2	Review Results:	
		and non-contract providers/suppliers.			
		[Data Element 6.2]			
I	Ì	I			

2.e	RSC-8.b	RSC-8.b: Includes all payment (claim) organization determinations for contract and non-contract providers/suppliers. [Data Element 6.2]	Data Element 6.2	Review Results:	
2.e	RSC-9	RSC-9: Organization accurately calculates the number of fully favorable (request resulting in full coverage of the item or service organization detective following criteria):		Data Sources:	*
2.e	RSC-9.a	RSC-9.a: Includes all fully favorable service organization determinations for contract and non-contract providers/suppliers. [Data Element 6.3]	Data Element 6.3	Review Results:	
2.e	RSC-9.b	RSC-9.b: Includes all fully favorable payment (claim) organization determinations made to contract and non-contract providers. [Data Element 6.4]	Data Element 6.4	Review Results:	
2.e	RSC-9.c	RSC-9.c: For instances when a request for payment is submitted to an organization concerning an item or service, and the organization has already made a favorable organization determination (i.e., issued a fully favorable service decision), includes the request for payment for the same item or service as another, separate, fully favorable organization determination. [Data Elements 6.3-6.4]	Data Element 6.3	Review Results:	
2.e	RSC-9.c		Data Element 6.4	Review Results:	
2.e	RSC-9.d	RSC-9.d: For instances when the organization approves an initial request for an item or service (e.g., physical therapy services) and the organization approves a separate additional request to extend or continue coverage of the same item or service, includes the decision to extend or continue coverage of the same item or service as another, separate, fully favorable organization determination. [Data Elements 6.3-6.4]	Data Element 6.3	Review Results:	
2.e	RSC-9.d		Data Element 6.4	Review Results:	
2.e	RSC-9.e	RSC-9.e: Includes auto-adjudicated claims, service authorizations which include prior-authorization (authorization that is issued prior to the services being rendered), concurrent authorization for services rendered in an office setting (authorization that is issued at the time the service is being rendered) and post-authorization (authorization that is issued after the services has already been provided) for contract and non-contract providers [Data Elements 6.3-6.4].		Review Results:	
2.e	RSC-9.e		Data Element 6.4	Review Results:	
2.e	RSC-10	Organization accurately calculates the number of partially favorable clair organization determinations (e.g., coverage denial of some items and covitems in a claim that has multiple line items) organization determinations criteria:	verage approval of some	Data Sources:	*

2.e	RSC-10.a	RSC-10.a: Includes all partially favorable service organization	Data Element 6.5	Review Results:	
		determinations for contract and non-contract providers/suppliers.			
		[Data Element 6.5]			
2.e	RSC-10.b	RSC-10.b: Includes all partially favorable payment (claim) organization	Data Element 6.6	Review Results:	
		determinations for contract and non-contract providers/suppliers.			
		[Data Element 6.6]			
2.e	RSC-11	Organization accurately calculates the number of adverse (e.g., denial of e	entire request resulting	Data Sources:	*
	1.00 ==	in no coverage of the item or service) organization determinations, includ			
		criteria:			
2.e	RSC-11.a	RSC-11.a: Includes all adverse service organization determinations for	Data Element 6.7	Review Results:	
2.0	1.00 11.0	contract and non-contract providers/suppliers.			
		[Data Element 6.7]			
2.e	RSC-11.b	RSC-11.b: Includes all adverse payment (claim) organization	Data Element 6.8	Review Results:	
2.6	1/30-11.0	determinations that result in zero payment being made to contract and	Data LICINCIIL 0.0	neview results.	
		non-contract providers.			
		[Data Element 6.8]			
	200.40				*
2.e	RSC-12	RSC-12: Organization accurately calculates "Withdrawn Organization Dete to the following criteria:	ermination" according	Data Sources:	*
2.e	RSC-12.a	RSC-12.a: Includes an organization determination that is withdrawn upon the enrollee's request, the enrollee representative's request, or	Data Element 6.9	Review Results:	
		the enrollee provider's request but excludes appeals that the			
		organization forwards to the IRE for dismissal.			
		[Data Element 6.9]			
2.e	RSC-13	Organization accurately calculates "Organization Determinations - Dismis following criteria:	sals" according to the	Data Sources:	*
		Tonowing circeria.			
2.e	RSC-13.a	· · · · · · · · · · · · · · · · · · ·	Data Element 6.10	Review Results:	
		Reconsideration Dismissal Procedure as stated in guidance provided in the September 10, 2013 HPMS memo regarding Part C reconsideration			
		dismissal procedures prior to issuing the dismissal as well as guidance			
		provided in Chapter 13 of the Medicare Managed Care Manual. [Data Element 6.10]			
		2.56 0.20]			
2.e	RSC-14	Organization accurately calculates the total number of reconsiderations, i	ncluding the following	Data Sources:	*
		criteria:			
2.e	RSC-14.a	1	Data Element 6.11	Review Results:	
		date of member notification of the final decision that occurs during the reporting period, regardless of when the request for reconsideration was			
		received			
		[Data Elements 6.11-6.18]			
		·			<u></u>

2.e	RSC-14.a		Data Element 6.12	Review Results:	
2.e	RSC-14.a		Data Element 6.13	Review Results:	
2.e	RSC-14.a		Data Element 6.14	Review Results:	
2.e	RSC-14.a		Data Element 6.15	Review Results:	
2.0	NSC-14.0		Data Element 0.15	Neview Nesures.	
				· 	
2.e	RSC-14.a		Data Element 6.16	Review Results:	
2.e	RSC-14.a		Data Element 6.17	Review Results:	
2.e	RSC-14.a		Data Element 6.18	Review Results:	
2.e	RSC-14.b	RSC-14.b: Includes decisions made on behalf of the organization by a	Data Element 6.11	Review Results:	
2.0	1136 14.5	delegated entity	Data Element 6.11	Neview Nesures.	
		[Data Elements 6.11-6.18]			
		[Duta Lichichts 0.11 0.10]			
	805 () (Data El Constant	Parties Parelle	
2.e	RSC-14.b		Data Element 6.12	Review Results:	
2.e	RSC-14.b		Data Element 6.13	Review Results:	
2.e	RSC-14.b		Data Element 6.14	Review Results:	
۷.5	1.50-14.0		Data Licinciii 0.14		

2.e	RSC-14.b		Data Element 6.15	Review Results:	
2.e	RSC-14.b		Data Element 6.16	Review Results:	
z.e	KSC-14.D		Data Element 6.16	Review Results:	
2.e	RSC-14.b		Data Element 6.17	Review Results:	
2.e	RSC-14.b		Data Element 6.18	Review Results:	
2.5	DCC 14 c	PSC 14 or Includes all methods of reconsideration recovery	Data Florent C 11	Povious Pocultos	
2.e	RSC-14.c	RSC-14.c: Includes all methods of reconsideration request receipt (e.g., telephone, letter, fax, and in-person).	Data Element 6.11	Review Results:	
		[Data Elements 6.11-6.18]			
		[Sata Elements 6.11 6.15]			
2.e	RSC-14.c		Data Element 6.12	Review Results:	
2.e	RSC-14.c		Data Element 6.13	Review Results:	
2 -	DCC 4.4 -		Data Flamant C 44	Part and Parents	
2.e	RSC-14.c		Data Element 6.14	Review Results:	
2.e	RSC-14.c		Data Element 6.15	Review Results:	
2.e	RSC-14.c		Data Element 6.16	Review Results:	
2.e	RSC-14.c		Data Element 6.17	Review Results:	
۷.6	N3C-14.C		Julia Liement 0.1/	nesiew negatio.	
•	1	•			

2.e	RSC-14.c		Data Element 6.18	Review Results:	
2.e	RSC-14.d	RSC-14.d: Includes all reconsiderations regardless of who filed the	Data Element 6.11	Review Results:	
		request. For example, if a non-contracted provider signs a waiver of			
		liability and submits a reconsideration request, a plan is to report this			
		reconsideration in the same manner it would report a member-filed			
		reconsideration.			
		[Data Elements 6.11-6.18]			
		·			
2.0	DCC 14 d		Data Flamout C 12	Deview Besulter	
2.e	RSC-14.d		Data Element 6.12	Review Results:	
2.e	RSC-14.d		Data Element 6.13	Review Results:	
2.e	RSC-14.d		Data Element 6.14	Review Results:	
z.e	N3C-14.0		Data Element 0.14	NEVIEW NESUILS.	
2.e	RSC-14.d		Data Element 6.15	Review Results:	
2.e	RSC-14.d		Data Element 6.16	Review Results:	
2 -	DCC 44 -l		Data 51	Post and Possible	
2.e	RSC-14.d		Data Element 6.17	Review Results:	
2.e	RSC-14.d		Data Element 6.18	Review Results:	
2.e	RSC-14.e	RSC-14.e: Includes reconsiderations that are filed directly with the	Data Element 6.11	Review Results:	
		organization or its delegated entities (e.g., excludes all reconsiderations			
		that are only forwarded to the organization from the CMS Complaint			
		Tracking Module (CTM) and not filed directly with the organization or			
		delegated entity). If a member requests a reconsideration directly with			
		the organization and files an identical complaint via the CTM, the			
		organization includes only the reconsideration that was filed directly			
		with the organization and excludes the identical CTM complaint.			
		[Data Elements 6.11-6.18]			
2.0	RSC-14.e		Data Element 6.12	Review Results:	
2.e	N3C-14.8		Data Element 0.12	NEVIEW RESUITS.	
					_

2.e	RSC-14.e		Data Element 6.13	Review Results:	
2.e	RSC-14.e		Data Element 6.14	Review Results:	
2 -	DCC 4.4 -		D-t- 51 6.45	Pariting Parenthal	
2.e	RSC-14.e		Data Element 6.15	Review Results:	
2.e	RSC-14.e		Data Element 6.16	Review Results:	
2.6	N3C-14.€		Data Liement 0.10	neview negatio.	
1					
1					
1					
1					
1					
1					
2.e	RSC-14.e		Data Element 6.17	Review Results:	
	17.6		Saturation of the		
1					
2.e	RSC-14.e		Data Element 6.18	Review Results:	
1					
2.e	RSC-14.f	RSC-14.f: Includes supplemental benefits (i.e., non- Medicare covered	Data Element 6.11	Review Results:	
2.e	RSC-14.f	RSC-14.f: Includes supplemental benefits (i.e., non- Medicare covered item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.11	Review Results:	
2.e	RSC-14.f	RSC-14.f: Includes supplemental benefits (i.e., non- Medicare covered item or service) provided as a part of a plan's Medicare benefit package. [Data Elements 6.11-6.18]		Review Results:	
2.e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.		Review Results:	
2.e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.		Review Results:	
2.e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.		Review Results:	
2.e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.		Review Results:	
2.e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.		Review Results:	
2.e 2.e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.		Review Results: Review Results:	
		item or service) provided as a part of a plan's Medicare benefit package.			
		item or service) provided as a part of a plan's Medicare benefit package.			
		item or service) provided as a part of a plan's Medicare benefit package.			
		item or service) provided as a part of a plan's Medicare benefit package.			
		item or service) provided as a part of a plan's Medicare benefit package.			
		item or service) provided as a part of a plan's Medicare benefit package.			
2 .e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.12	Review Results:	
		item or service) provided as a part of a plan's Medicare benefit package.			
2 .e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.12	Review Results:	
2 .e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.12	Review Results:	
2 .e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.12	Review Results:	
2 .e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.12	Review Results:	
2 .e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.12	Review Results:	
2 .e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.12	Review Results:	
2.e 2.e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.12 Data Element 6.13	Review Results: Review Results:	
2 .e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.12	Review Results:	
2.e 2.e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.12 Data Element 6.13	Review Results: Review Results:	
2.e 2.e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.12 Data Element 6.13	Review Results: Review Results:	
2.e 2.e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.12 Data Element 6.13	Review Results: Review Results:	
2.e 2.e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.12 Data Element 6.13	Review Results: Review Results:	
2.e 2.e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.12 Data Element 6.13	Review Results: Review Results:	
2.e 2.e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.12 Data Element 6.13	Review Results: Review Results:	
2.e 2.e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.13 Data Element 6.13	Review Results: Review Results:	
2.e 2.e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.12 Data Element 6.13	Review Results: Review Results:	
2.e 2.e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.13 Data Element 6.13	Review Results: Review Results:	
2.e 2.e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.13 Data Element 6.13	Review Results: Review Results:	
2.e 2.e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.13 Data Element 6.13	Review Results: Review Results:	
2.e 2.e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.13 Data Element 6.13	Review Results: Review Results:	
2.e 2.e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.13 Data Element 6.13	Review Results: Review Results:	
2.e 2.e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.13 Data Element 6.13	Review Results: Review Results:	
2.e 2.e	RSC-14.f	item or service) provided as a part of a plan's Medicare benefit package.	Data Element 6.13 Data Element 6.13	Review Results: Review Results:	

2.e	RSC-14.f		Data Element 6.16	Review Results:	
2.e	RSC-14.f		Data Element 6.17	Review Results:	
2.e	RSC-14.f		Data Element 6.18	Review Results:	
2.0	DSC 14 ~	DCC 1.4 gr. Evaluates dismissals and withdrawals	Data Flament 6 11	Povinu Povulto	
2.e	RSC-14.g	RSC-14.g: Excludes dismissals and withdrawals. [Data Elements 6.11-6.18]	Data Element 6.11	Review Results:	
		[2010 210110110 0111 0110]			
2.e	RSC-14.g		Data Element 6.12	Review Results:	
2.e	RSC-14.g		Data Element 6.13	Review Results:	
2.5	DCC 14 =		Data Flamont C 14	Parison Pareller	
2.e	RSC-14.g		Data Element 6.14	Review Results:	
2.e	RSC-14.g		Data Element 6.15	Review Results:	
2.e	RSC-14.g		Data Element 6.16	Review Results:	
2.e	RSC-14.g		Data Element 6.17	Review Results:	
1					
1					
	DCC 1:		Data Slam 1 5 15	Pariting Parenths	
2.e	RSC-14.g		Data Element 6.18	Review Results:	
=	=	-			

		T	<u> </u>		
2.e	RSC-14.h	RSC-14.h: Excludes Independent Review Entity Decisions. [Data Elements 6.11-6.18]	Data Element 6.11	Review Results:	
		[Data Liements 0.11-0.16]			
2.e	RSC-14.h		Data Element 6.12	Review Results:	
2.e	K3C-14.II		Data Element 6.12	neview results.	
2.e	RSC-14.h		Data Element 6.13	Review Results:	
2.0	1136 14.11		Butu Element 0.13	Neview Results.	
2.e	RSC-14.h		Data Element 6.14	Review Results:	
2.e	RSC-14.h		Data Element 6.15	Review Results:	
2.e	RSC-14.h		Data Element 6.16	Review Results:	
2.e	RSC-14.h		Data Element 6.17	Review Results:	
2.e	RSC-14.h		Data Element 6.18	Review Results:	
2.e	RSC-14.i	RSC-14.i: Excludes QIO reviews of a member's request to continue	Data Element 6.11	Review Results:	
		Medicare-covered services (e.g., a SNF stay).			
		[Data Elements 6.11-6.18]			
2.e	RSC-14.i		Data Element 6.12	Review Results:	
2.e	RSC-14.i		Data Element 6.13	Review Results:	
1					
•	i	1	•	·	

2.e	RSC-14.i		Data Element 6.14	Review Results:	
2.0	DCC 14 :		Data Flament 6 1F	Review Results:	
2.e	RSC-14.i		Data Element 6.15	Review Results:	
2.e	RSC-14.i		Data Element 6.16	Review Results:	
2.e	RSC-14.i		Data Element 6.17	Review Results:	
2.6	N3C-14.1		Data Element 6.17	neview results.	
	<u>L</u> _				
2.e	RSC-14.i		Data Element 6.18	Review Results:	
				· - · · · · · · · · · · · · · · · · · ·	
2.e	RSC-14.j	RSC-14.j: Excludes duplicate payment requests concerning the same	Data Element 6.11	Review Results:	
		service or item.			
		[Data Elements 6.11-6.18]			
2.e	RSC-14.j		Data Element 6.12	Review Results:	
	,				
	500.441		5 . 5		
2.e	RSC-14.j		Data Element 6.13	Review Results:	
2.e	RSC-14.j		Data Element 6.14	Review Results:	
2.e	RSC-14.j	<u> </u>	Data Element 6.15	Review Results:	
2.6	1.5C-14.J		Data Liciniciit 0.13		
	1		1		
2.e	RSC-14.j		Data Element 6.16	Review Results:	
ĺ					
ĺ					
ĺ					
I	1	1	<u> </u>	· -	<u>. </u>

2.e	RSC-14.j		Data Element 6.17	Review Results:	
2.0	DCC 14:		Data Flamont C 10	Pavian Pavilta	
2.e	RSC-14.j		Data Element 6.18	Review Results:	
2.e	RSC-14.k	RSC-14.k: Excludes payment requests returned to a provider/supplier in	Data Element 6.11	Review Results:	
		which a substantive decision (Fully Favorable, Partially Favorable or			
		Adverse) has not yet been made due to error (e.g., payment requests or forms that are incomplete, invalid or do not meet the requirements for a			
		Medicare claim).			
		[Data Elements 6.11-6.18]			
2.e	RSC-14.k		Data Element 6.12	Review Results:	
2.0	130 1411		Data Element 0.12	nesien nesans.	
2.e	RSC-14.k		Data Element 6.13	Review Results:	
2.e	RSC-14.k		Data Element 6.14	Review Results:	
_					
2.e	RSC-14.k		Data Element 6.15	Review Results:	
2.e	RSC-14.k		Data Element 6.16	Review Results:	
2 -	DCC 441		Data Flament C 47	Pavious Possulte:	
2.e	RSC-14.k		Data Element 6.17	Review Results:	
2.e	RSC-14.k		Data Element 6.18	Review Results:	
					1
2.e	RSC-15	Organization accurately calculates the total number of reconsiderations	processed timely	Data Sources:	*
2.6	W2C-12	according to the following criteria:	processed timely	Data Jources.	
-	=				

2.e	RSC-15.a	RSC-15.a. Includes all -service reconsiderations for contract and non- contract providers/suppliers. [Data Element 6.12]	Data Element 6.12	Review Results:	
		Contract providers/suppliers. [Data Element 0.12]			
2.e	RSC-15.b	RSC-15.b. Includes all payment (claim) reconsiderations for contract and	Data Flament 6 12	Review Results:	
2.e	K3C-15.D	non-contract providers/suppliers. [Data Element 6.12]	Data Element 6.12	review results.	
2.e	RSC-16	Organization accurately calculates the number of fully favorable (item or	service was covered in	Data Sources:	*
		full) reconsiderations, including the following criteria:			
2.e	RSC-16.a	RSC-16.a: Includes all fully favorable service reconsideration	Data Element 6.13	Review Results:	
2.6	N3C-10.a	determinations for contract and non-contract providers/suppliers.	Data Element 0.13	Review Results.	
		[Data Element 6.13]			
2.e	RSC-16.b	RSC-16.b: Includes all fully favorable payment (claim) reconsideration determinations for contract and non-contract providers/suppliers.	Data Element 6.14	Review Results:	
		[Data Element 6.14]			
2.e	RSC-16.c	RSC-16.c: For instances when a reconsideration request for payment is	Data Element 6.13	Review Results:	
2.6	N3C-10.C	submitted to an organization concerning an item or service, and the	Data Element 0.13	Review Results.	
		organization has already made a favorable service reconsideration determination, includes the reconsideration request for payment for the			
		same item or service as another, separate, fully favorable reconsideration determination.			
		[Data Elements 6.13, 6.14]			
2.e	RSC-16.c		Data Element 6.14	Review Results:	
2.e	RSC-17	Organization accurately calculates the number of partially favorable (e.g.	, coverage denial of	Data Sources:	*
		some items and coverage approval of some items in a claim that has mul reconsiderations, including the following criteria:			
2.e	RSC-17.a	RSC-17.a: Includes all partially favorable service reconsideration determinations for contract and non-contract providers/suppliers.	Data Element 6.15	Review Results:	
		[Data Element 6.15]			
2.e	RSC-17.b	RSC-17.b: Includes all partially favorable payment (claim)	Data Element 6.16	Review Results:	
		reconsideration determinations for contract and non-contract providers/suppliers. [Data Element 6.16]			
2.e	RSC-18	Organization accurately calculates the number of adverse (e.g., denial of in no coverage of the item or service) reconsiderations, including the foll		Data Sources:	*
1	l				

	1	T	<u>-</u>		
2.e	RSC-18.a	RSC-18.a: Includes all adverse service reconsideration determinations for contract and non-contract providers/suppliers. [Data Element 6.17]	Data Element 6.17	Review Results:	
2.e	RSC-18.b	RSC-18.b: Includes all adverse payment (claim) reconsideration determinations that result in zero payment being made to contract and non-contract providers. [Data Element 6.18]	Data Element 6.18	Review Results:	
2.e	RSC-18.c	RSC-18.c: For instances when a reconsideration request for payment is submitted to an organization concerning an item or service, and the organization has already made an adverse service reconsideration determination, includes the reconsideration request for payment for the same item or service as another, separate, adverse reconsideration determination. [Data Element 6.17]	Data Element 6.17	Review Results:	
2.e	RSC-18.c	RSC-18.c: For instances when a reconsideration request for payment is submitted to an organization concerning an item or service, and the organization has already made an adverse service reconsideration determination, includes the reconsideration request for payment for the same item or service as another, separate, adverse reconsideration determination. [Data Element 6.18]	Data Element 6.18	Review Results:	
2.e	RSC-19	Organization accurately calculates "Withdrawn Reconsiderations" accord criteria:	ing to the following	Data Sources:	*
2.e	RSC-19.a	RSC-19.a: Includes a Reconsideration that is withdrawn upon the enrollee's request, the enrollee representatives request, or the enrollee provider's request. [Data Element 6.19]	Data Element 6.19	Review Results:	
2.e	RSC-20	Organization accurately calculates "Reconsiderations Dismissals" accordi criteria:	ing to the following	Data Sources:	*
2.e	RSC-20.a	RSC-20.a: Includes reconsiderations dismissals that were processed according to Reconsideration Dismissal Procedure as provided in the September 10, 2013 HPMS memo and according to guidance provided by Chapter 13 of the Medicare Managed Care Manual. [Data Element 6.20]	Data Element 6.20	Review Results:	
2.e	RSC-21	Organization accurately calculates the total number of reopened decision following criteria:		Data Sources:	*
2.e	RSC-21.a	RSC-21.a: Includes a remedial action taken to change a final determination or decision even though the determination or decision was correct based on the evidence of record. [Data Element 6.21]	Data Element 6.21	Review Results:	
2.e	RSC-22	The organization accurately reports the following information for each re	opened case.	Data Sources:	*

2.e	RSC-22.a	RSC-22.a: Contract Number [Data Element 6.22]	Data Element 6.22	Review Results:	
2.e	RSC-22.b	RSC-22.b: Plan ID [Data Element 6.23]	Data Element 6.23	Review Results:	
2.e	RSC-22.c	RSC-22.c: Case ID [Data Element 6.24]	Data Element 6.24	Review Results:	
2.0	1130 2210	Tibe 22.6. Gase to [outa 2.e.ment 6.2.4]	Data Liement 0.21	nesien nesane.	
2.e	RSC-22.d	RSC-22.d: Date of original disposition [Data Element 6.26]	Data Element 6.26	Review Results:	
2.e	RSC-22.e	RSC-22.e: Original disposition (Fully Favorable; Partially Favorable; or Adverse) [Data Element 6.27]	Data Element 6.27	Review Results:	
		Adverse, [Bata Element 0.27]			
2.e	RSC-22.f	RSC-22.f: Case Level (Organization Determination or Reconsideration)	Data Element 6.25	Review Results:	
2.6	N3C-22.1	[Data Element 6.25]	Data Liement 0.23	neview results.	
2.e	RSC-22.g	RSC-22.g: Date case was reopened [Data Element 6.31]	Data Element 6.31	Review Results:	
2.e	RSC-22.h	RSC-22.h: Reason (s) for reopening (Clerical Error, Other Error, New and Material Evidence, Fraud or Similar Fault, or Other) [Data Element 6.32]		Review Results:	
		Waterial Evidence, Fraud of Similar Fault, of Other) [Data Element 0.32]			
2.0	RSC-22.i	RSC-22 is Date of reonening disposition (rovised decision). [Date Flore-set	Data Flement 6 34	Raview Reculter	
2.e	NOC-ZZ.I	RSC-22.i: Date of reopening disposition (revised decision) [Data Element 6.34]	Data Element 6.34	Review Results:	
2.e	RSC-22.j	RSC-22.j: Reopening disposition (Fully Favorable; Partially Favorable,	Data Element 6.35	Review Results:	
		Adverse, or Pending) [Data Element 6.35]			
3		Organization implements policies and procedures for data submission, in	cluding the following:	Data Sources:	*

3.a	Data elements are accurately entered/uploaded into CMS systems	and Data Floment 6.1	Review Results:	
J.a	entries match corresponding source documents.	aa Data Element 0.1	ACTION ROUND.	
3.a		Data Element 6.2	Review Results:	
3.a		Data Element 6.3	Review Results:	
2.5		Data Flamout C 4	Paviana Pavaltan	
3.a		Data Element 6.4	Review Results:	
3.a		Data Element 6.5	Review Results:	
3.a		Data Element 6.6	Review Results:	
3.a		Data Element 6.7	Review Results:	
3.a		Data Element 6.8	Review Results:	
3.0		Data Element did		
3.a		Data Element 6.9	Review Results:	
3.a		Data Element 6.10	Review Results:	
2.5		Data Flament C 44	Povious Possulte:	
3.a		Data Element 6.11	Review Results:	
I				

		D : 51 : 542		
3.a		Data Element 6.12	Review Results:	
3.a		Data Element 6.13	Review Results:	
3.a		Data Element 6.14	Review Results:	
3.a		Data Element 6.15	Review Results:	
S.a		Data Element 6.15	Review Results.	
3.a		Data Element 6.16	Review Results:	
3.a		Data Element 6.17	Review Results:	
		D . 51 . 640		
3.a		Data Element 6.18	Review Results:	
3.a		Data Element 6.19	Review Results:	
_				
3.a		Data Element 6.20	Review Results:	
3.a		Data Element 6.21	Review Results:	
3.0				
3.a		 Data Element 6.22	Review Results:	
	I			

3.a		Data Element 6.23	Review Results:	
3.a		Data Element 6.24	Review Results:	
3.a		Data Element 6.25	Review Results:	
5.d		Data Element 6.25	review results:	
2 -		Data Flavorat C 2C	Parities Parelles	
3.a		Data Element 6.26	Review Results:	
3.a		Data Element 6.27	Review Results:	
3.a		Data Element 6.28	Review Results:	
3.a		Data Element 6.29	Review Results:	
3.a		Data Element 6.30	Review Results:	
3.a		Data Element 6.31	Review Results:	
3.a		Data Element 6.32	Review Results:	
1				
1				
1				
1				
L_		 		
3.a		Data Element 6.33	Review Results:	
•	-			

3.a	Data Element 6.34	Review Results:
3.a	Data Element 6.35	Review Results:
3.a	Data Liement 0.33	Review Results.
3.b	All source, intermediate, and final stage data sets and other outputs relied upon to enter data	Review Results:
	into CMS systems are archived.	
4	Organization implements policies and procedures for periodic data system updates (e.g., changes	Review Results:
	in enrollment, provider/pharmacy status, claims adjustments).	
5	Organization implements policies and procedures for archiving and restoring data in each data system (e.g., disaster recovery plan).	Review Results:
	cyclosis (cogy, mostless vectors), princy,	
6	If organization's data systems underwent any changes during the reporting period (e.g., as a result of a merger, acquisition, or upgrade): Organization provided documentation on the data	Review Results:
	system changes and, upon review, there were no issues that adversely impacted data reported.	
7	If data collection and/or reporting for this reporting section is delegated to another entity:	Review Results:
	Organization regularly monitors the quality and timeliness of the data collected and/or reported	
	by the delegated entity or first tier/ downstream contractor.	

Grievances (Part C) 2017

Organization Name:	
Contract Number:	
Reporting Section:	Grievances (Part C) 2017
Last Updated:	MM/DD/YYYY
Date of Site Visit:	
Name of Reviewer:	Last name, First name
Name of Peer Reviewer:	Last name, First name

1) In the "Data Sources and Review Results:" column, enter the review results and/or data sources used for each standard or sub-standard.

2) Enter "Y" if the requirements for the standard or sub-standard have been completely met. If any requirement for the standard or sub-standard has not been met, enter "N". If any standard or sub-standard does not apply, enter "N/A".

3) For standards 1c, 1d, 1e, 1g, 1h, and 2e, enter 'Findings' as follows based on the five-point scale: Select "1" if plan data has more than 20% error, select "2" if plan data has between 15.1% - 20.0% error, select "3" if plan data has between 10.1% - 15.0% error, select "4" if plan data has between 5.1% - 10.0% error, select "5" if plan data has less than or equal to a 5% error. Enter "N/A" if standard does not apply.

Standard/ Sub-standard ID	Standard/Sub-standard Description	Data Sources and Review Results: Enter review results and/or data sources	Enter 'Findings' using the applicable choice in the appropriate cells. Cells marked with an '*' should not be edited.
1	A review of source documents (e.g., programming code, spreadsheet formulas, analysis plans, saved data queries, file layouts, process flows) indicates that all source documents accurately capture required data fields and are properly documented.	Data Sources:	*
1.a	Source documents are properly secured so that source documents can be retrieved at any time to validate the information submitted to CMS via CMS systems.	Review Results:	
1.b	Source documents create all required data fields for reporting requirements.	Review Results:	
1.c	Source documents are error-free (e.g., programming code and spreadsheet formulas have no messages or warnings indicating errors, use correct fields, have appropriate data selection, etc.).	Review Results:	
1.d	All data fields have meaningful, consistent labels (e.g., label field for patient ID as Patient_ID, rather than Field1 and maintain the same field name across data sets).	Review Results:	
1.e	Data file locations are referenced correctly.	Review Results:	
1.f	If used, macros are properly documented.	Review Results:	
1.g	Source documents are clearly and adequately documented.	Review Results:	
1.h	Titles and footnotes on reports and tables are accurate.	Review Results:	

 		-			
1.i		Version control of source documents is appropriately applied.		Review Results:	
2		A review of source documents (e.g., programming code, spreadsheet form saved data queries, file layouts, process flows) and census or sample data applicable, indicates that data elements for each reporting section are acceprocessed, and calculated.	, whichever is	Data Sources:	*
2.a	RSC-1	The appropriate date range(s) for the reporting period(s) is captured. Organization reports data based on the periods of 1/1 through 3/31, 4/1 through 9/30, and 10/1 through 12/31.	through 6/30, 7/1	Review Results:	
2.b	RSC-2	Data are assigned at the applicable level (e.g., plan benefit package or con Organization properly assigns data to the applicable CMS contract.	itract level).	Review Results:	
2.c	RSC-3	Appropriate deadlines are met for reporting data (e.g., quarterly). Organization meets deadlines for reporting data to CMS by 2/5/2018. [Note to reviewer: If the organization has, for any reason, re-submitted its data to CMS for this reporting section, the reviewer should verify that the organization's original data submissions met the CMS deadline in order to have a finding of "yes" for this reporting section criterion. However, if the organization re-submits data for any reason and if the re-submission was completed by 3/31 of the data validation year, the reviewer should use the organization's corrected data submission(s) for the rest of the reporting section criteria for this reporting		Review Results:	
2.d	RSC-4	section.] Terms used are properly defined per CMS regulations, guidance and Reporting Requirements Technical Specifications. Organization properly defines the term "Grievance" in accordance with 42 CFR §422.564 and the Medicare Managed Care Manual Chapter 13, Sections 10 and 20. This includes applying all relevant guidance properly when performing its calculations and categorizations. Requests for organization determinations or appeals are not improperly categorized as grievances.		Review Results:	
2.e	RSC-5	Organization data passes data integrity checks listed below:		Data Sources:	*
2.e	RSC-5.a	RSC-5.a: Total grievances (Data Element A) is equal to the sum of grievances by reason (Data Element F + Data Element H + Data Element J + Data Element L + Data Element N + Data Element P + Data Element R + Data Element T+ Data Element V).	Data Elements A, F, H, J, L, N, P, R, T, V	Review Results:	
2.e	RSC-5.b	RSC-5.b: Total grievances in which timely notification was given (Data Element B) is equal to the sum of grievances in which timely notification was given by reason (Data Element G + Data Element I + Data Element K + Data Element M + Data Element O + Data Element Q + Data Element S + Data Element U + Data Element W).	Data Elements B, G, I, K, M, O, Q, S, U, W	Review Results:	
2.e	RSC-5.c	RSC-5.c: Number of expedited grievances (Data Element C) does not exceed total grievances. [Data Element A] [Data Element C]	Data Element C	Review Results:	
2.e	RSC-5.d	RSC-5.d: Number of expedited grievances in which timely notification was given (Data Element D) does not exceed total grievances (Data Element A).	Data Element D	Review Results:	

2.e	RSC-5.e	RSC-5.e: Number of dismissed grievances (Data Element E)	Data Element E	Review Results:	
2.e	RSC-5.f	RSC-5.f: Number of enrollment/disenrollment grievances in which timely	Data Element G	Review Results:	
		notification was given (Data Element G) does not exceed total enrollment/disenrollment grievances (Data Element F). [Data			
		Element G]			
2.e	RSC-5.g	RSC-5.g: Number of benefit package grievances in which timely	Data Element I	Review Results:	
		notification was given (Data Element I) does not exceed total benefit package grievances (Data Element H). [Data Element I]			
		puckage grievances (Data Element I). [Data Element I]			
2.e	RSC-5.h	RSC-5.h: Number of access grievances in which timely notification was given (Data Element K) does not exceed total access grievances (Data	Data Element K	Review Results:	
		Element J). [Data Element K]			
2.e	RSC-5.i	RSC-5.i: Number of marketing grievances in which timely notification	Data Element M	Review Results:	
z.e	KSC-5.1	was given (Data Element M) does not exceed total marketing grievances	Data Element IVI	Review Results:	
		(Data Element L). [Data Element M]			
	DCC 5 :	DCC 5 is November of contrary and in order to red in the bin of	Data Flamout O	Parities Parelles	
2.e	RSC-5.j	RSC-5.j: Number of customer service grievances in which timely notification was given (Data Element O) does not exceed total customer	Data Element O	Review Results:	
		service grievances (Data Element N). [Data Element O]			
2.e	RSC-5.k	RSC-5.k: Number of organization determination and reconsideration	Data Element Q	Review Results:	
2.0	NSC-S.K	process grievances in which timely notification was given (Data Element	Data Liement Q	Neview Results.	
		Q) does not exceed total organization determination and			
		reconsideration process grievances (Data Element P). [Data			
		Element Q]			
2.e	RSC-5.I	RSC-5.I: Number of quality of care grievances in which timely	Data Element S	Review Results:	
		notification was given (Data Element S) does not exceed total quality of			
		care grievances (Data Element R). [Data Element S]			
2.e	RSC-5.m	RSC-5.m: Number of CMS issue grievances in which timely notification	Data Element U	Review Results:	
		was given (Data Element U) does not exceed total CMS issue grievances			
		(Data Element T). [Data Element U]			
2.e	RSC-5.n	RSC-5.n: Number of other grievances in which timely notification was	Data Element W	Review Results:	
		given (Data Element W) does not exceed total other grievances (Data			
		Element V). [Data Element W]			
2.e	RSC-5.o	If the organization received a CMS outlier/data integrity notice validate whether or not an internal procedure change was warranted or	Data Elements A-W	Review Results:	
		resubmission through HPMS.			
I	1	L	I	1	

2.e	RSC-6	The number of expected counts (e.g., number of members, claims, grievances, procedures) are verified; ranges of data fields are verified; all calculations (e.g., derived data fields) are verified; missing data has been properly addressed; reporting output matches corresponding source documents (e.g., programming code, saved queries, analysis plans); version control of reported data elements is appropriately applied; QA checks/thresholds are applied to detect outlier or erroneous data prior to data submission. Applicable Reporting Section Criteria:		Data Sources:	*
		RSC-6: Organization accurately calculates the total number of grievances criteria:	, including the following		
2.e	RSC-6.a		Data Element A	Review Results:	
2.e	RSC-6.a		Data Element F	Review Results:	
2.e	RSC-6.a		Data Element H	Review Results:	
2.e	RSC-6.a		Data Element J	Review Results:	
2.e	RSC-6.a		Data Element L	Review Results:	
2.e	RSC-6.a		Data Element N	Review Results:	
2.e	RSC-6.a		Data Element P	Review Results:	
2.e	RSC-6.a		Data Element R	Review Results:	
2.e	RSC-6.a		Data Element T	Review Results:	
2.e	RSC-6.a		Data Element V	Review Results:	
		•		-	

2.e	RSC-6.b	PSC 6 hi Includes all griovances reported by or on hebalf of members who is	wore proviously	Data Sources:	*
2.e	N3C-0.0	RSC-6.b: Includes all grievances reported by or on behalf of members who were previously eligible, regardless of whether the member was eligible on the date that the grievance was		Data Sources.	
	reported to the organization.				
		[Data Elements A, F, H, J, L, N, P, R, T, V]			
2.e	RSC-6.b	lo lo	ata Element A	Review Results:	
z.e	K3C-0.0		ata Element A	Review Results:	
2.e	RSC-6.b	D	ata Element F	Review Results:	
2.e	RSC-6.b	D	ata Element H	Review Results:	
2.e	RSC-6.b	D	ata Element J	Review Results:	
2.e	RSC-6.b	D	ata Element L	Review Results:	
2.e	RSC-6.b	D	ata Element N	Review Results:	
2.e	RSC-6.b	lp.	ata Element P	Review Results:	
	1.000.0				
2.e	RSC-6.b		ata Element R	Review Results:	
۷.۳	1,30-0.0		ata Liciniciit N	neview negatio.	
	DCC C1		oto Flores : + T	Paviau Pasulta	
2.e	RSC-6.b		ata Element T	Review Results:	
2.e	RSC-6.b	D	ata Element V	Review Results:	
		_			

	T 500 6	Tagas is a second secon			*
2.e	RSC-6.c RSC-6.c: If a grievance contains multiple issues filed under a single complaint, each issue is calculated as a separate grievance.		int, each issue is	Data Sources:	*
		[Data Elements A, F, H, J, L, N, P, R, T, V]			
2.e	RSC-6.c		Data Element A	Review Results:	
2.e	RSC-6.c		Data Element F	Review Results:	
	202.5			- · · · ·	
2.e	RSC-6.c		Data Element H	Review Results:	
2.e	RSC-6.c		Data Element J	Review Results:	
2.0	1130 0.0		Data Liement	101010 11004110	
2.e	RSC-6.c		Data Element L	Review Results:	
2.e	RSC-6.c	ļ!	Data Element N	Review Results:	
2.0	DCC 6 o		Data Flament D	Review Results:	
2.e	RSC-6.c		Data Element P	Review Results:	
2.e	RSC-6.c	 	Data Element R	Review Results:	
2.e	RSC-6.c		Data Element T	Review Results:	
				- · · · ·	
2.e	RSC-6.c		Data Element V	Review Results:	
ı	l	L			<u>ı</u>

2.e	RSC-6.d	RSC-6.d: If a member files a grievance and then files a subsequent grievance prior to the organization's decision or the deadline for decision notification earlier), then the issue is counted as one grievance. [Data Elements A, F, H, J, L, N, P, R, T, V]		Data Sources:	*
2.e	RSC-6.d	Da	ata Element A	Review Results:	
2.e	RSC-6.d	Da	ata Element F	Review Results:	
2.e	RSC-6.d	Da	ata Element H	Review Results:	
2.e	RSC-6.d	Da	ata Element J	Review Results:	
2.e	RSC-6.d	Da	ata Element L	Review Results:	
2.e	RSC-6.d	Da	ata Element N	Review Results:	
2.e	RSC-6.d	Da	ata Element P	Review Results:	
2.e	RSC-6.d	Da	ata Element R	Review Results:	
2.e	RSC-6.d	Da	ata Element T	Review Results:	
2.e	RSC-6.d	Di	ata Element V	Review Results:	

2.e	RSC-6.e	RSC-6.e: If a member files a grievance and then files a subsequent grievance of	on the same issue	Data Sources:	*
		after the organization's decision or deadline for decision notification (whichever is earlier), then the issue is counted as a separate grievance.			
		[Data Elements A, F, H, J, L, N, P, R, T, V]			
2.e	RSC-6.e	Data	a Element A	Review Results:	
2.e	RSC-6.e	Data	a Element F	Review Results:	
2.e	RSC-6.e	Data	a Element H	Review Results:	
2.e	RSC-6.e	Data	a Element J	Review Results:	
2.	DCC C -		- Florenti	Davidous Bassaltas	
2.e	RSC-6.e	Date	a Element L	Review Results:	
2.e	RSC-6.e	Dat	a Element N	Review Results:	
2.6	NSC-0.E		a Liement IV	Neview Nesuits.	
2.e	RSC-6.e	Data	ta Element P	Review Results:	
2.e	RSC-6.e	Data	a Element R	Review Results:	
2.e	RSC-6.e	Data	a Element T	Review Results:	
2.e	RSC-6.e	Data	a Element V	Review Results:	

	DCC C f	DCC C file shade all weather de effectives are secretated as telephone letter f	in	Parks Comment	*
2.e	RSC-6.f	RSC-6.f: Includes all methods of grievance receipt (e.g., telephone, letter, for [Data Elements A, F, H, J, L, N, P, R, T, V]	ax, in-person.	Data Sources:	
2.e	RSC-6.f		Data Element A	Review Results:	
2.e	RSC-6.f		Data Element F	Review Results:	
2.e	RSC-6.f	C	Data Element H	Review Results:	
2.e	RSC-6.f		Data Element J	Review Results:	
2.e	RSC-6.f	C	Data Element L	Review Results:	
2.e	RSC-6.f	C	Data Element N	Review Results:	
2.e	RSC-6.f	C	Data Element P	Review Results:	
2.e	RSC-6.f		Data Element R	Review Results:	
2.e	RSC-6.f	C	Data Element T	Review Results:	
2.e	RSC-6.f	C	Data Element V	Review Results:	
•	ı				1

2.e	RSC-6.g	RSC-6.g: Includes all grievances regardless of who filed the grievance (e.g.,	, member or	Data Sources:	*
		appointed representative).			
		[Data Elements A, F, H, J, L, N, P, R, T, V]			
	DCC C	1	D 1 51 1 A		
2.e	RSC-6.g		Data Element A	Review Results:	
2.e	RSC-6.g		Data Element F	Review Results:	
2.e	RSC-6.g		Data Element H	Review Results:	
2.e	RSC-6.g	 	Data Element J	Review Results:	
		į lietuvi ir karalininininininininininininininininininin			
			_		
2.e	RSC-6.g		Data Element L	Review Results:	
2.e	RSC-6.g		Data Element N	Review Results:	
2.e	RSC-6.g		Data Element P	Review Results:	
2.e	RSC-6.g	 	Data Element R	Review Results:	
2.6	50 0.5	l l	- 200 Element IV		
2.e	RSC-6.g		Data Element T	Review Results:	
2.e	RSC-6.g		Data Element V	Review Results:	
۷.6	1.30 0.5	l l	- ata Element V		

2.e	RSC-6.h	RSC-6.h: Includes only grievances that are filed directly with the organization (e.g., excludes all complaints that are only forwarded to the organization from the CMS Complaint Tracking Module (CTM) and not filed directly with the organization). If a member files the same complaint both directly with the organization and via the CTM, the organization includes only the grievance that was filed directly with the organization and excludes the identical CTM complaint. [Data Elements A, F, H, J, L, N, P, R, T, V]		Data Sources:	*
2.e	RSC-6.h	D	Pata Element A	Review Results:	
2.e	RSC-6.h	D	Oata Element F	Review Results:	
2.e	RSC-6.h	D	Pata Element H	Review Results:	
2.e	RSC-6.h	D	Pata Element J	Review Results:	
2.e	RSC-6.h	D	Data Element L	Review Results:	
2.e	RSC-6.h	D	Pata Element N	Review Results:	
2.e	RSC-6.h	D	Pata Element P	Review Results:	
2.e	RSC-6.h	D	Pata Element R	Review Results:	
2.e	RSC-6.h	D	Pata Element T	Review Results:	
2.e	RSC-6.h	D	Pata Element V	Review Results:	

		T · · · ·	I		
2.e	RSC-6.i	RSC-6.i: For MA-PD contracts: Includes only grievances that apply to the Part C benefit (If a clear distinction cannot be made for an MA-PD, cases are reported as Part C grievances). [Data Elements A, F, H, J, L, N, P, R, T, V]		Review Results:	
2.e	RSC-6.i		Data Element F	Review Results:	
2.e	RSC-6.i		Data Element H	Review Results:	
2.e	RSC-6.i		Data Element J	Review Results:	
2.e	RSC-6.i		Data Element L	Review Results:	
2.e	RSC-6.i		Data Element N	Review Results:	
2.e	RSC-6.i		Data Element P	Review Results:	
2.e	RSC-6.i		Data Element R	Review Results:	
2.e	RSC-6.i		Data Element T	Review Results:	
2.e	RSC-6.i		Data Element V	Review Results:	
	DCC C :	DCC C is Freducia a with decree	Data Flore	Daview Perultu	
2.e	RSC-6.j	RSC-6.j: Excludes withdrawn grievances. [Data Elements A, F, H, J, L, N, P, R, T, V]	Data Element A	Review Results:	
ı		I			

2.0	DCC C:	I _c	ata Floment F	Paviow Poculte:	1
2.e	RSC-6.j	Da Da	ata Element F	Review Results:	
2 -	DCC C :		-t- Flourant II	Paris Parelle	
2.e	RSC-6.j	Da	ata Element H	Review Results:	
2.e	RSC-6.j	Da	ata Element J	Review Results:	
2.e	RSC-6.j	Da	ata Element L	Review Results:	
2.e	RSC-6.j	Da	ata Element N	Review Results:	
2.e	RSC-6.j	Da	ata Element P	Review Results:	
2.e	RSC-6.j	Da	ata Element R	Review Results:	
2.e	RSC-6.j	Da	ata Element T	Review Results:	
2.e	RSC-6.j	Da	ata Element V	Review Results:	
2.e	RSC-7	The number of expected counts (e.g., number of members, claims, grievance		Data Sources:	*
		verified; ranges of data fields are verified; all calculations (e.g., derived data missing data has been properly addressed; reporting output matches corres			
		documents (e.g., programming code, saved queries, analysis plans); version			
		data elements is appropriately applied; QA checks/thresholds are applied to			
		erroneous data prior to data submission.			
		Applicable Deposition Continue Culturia			
		Applicable Reporting Section Criteria: Organization accurately calculates the number of grievances by category, inc	cluding the following		
		criteria:			
2.e	RSC-7.a	RSC-7.a: Properly sorts the total number of grievances by grievance Da	ata Element F	Review Results:	
		category: Enrollment/Disenrollment; Benefit Package; Access;			
		Marketing; Customer Service; Organization Determination and Reconsideration Process; Quality of Care; and "CMS Issues."			
		[Data Elements F, H, J, L, N, P, R, T, V]			
			_		_

	I	T	I		
2.e	RSC-7.a		Data Element H	Review Results:	
2.e	RSC-7.a		Data Element J	Review Results:	
2.e	RSC-7.a		Data Element L	Review Results:	
2.e	RSC-7.a		Data Element N	Review Results:	
					<u> </u>
2.e	RSC-7.a		Data Element P	Review Results:	
2.e	RSC-7.a		Data Element R	Review Results:	
2.e	RSC-7.a		Data Element T	Review Results:	
2.e	RSC-7.a		Data Element V	Review Results:	
	<u> </u>				<u> </u>
2.e	RSC-7.b	RSC-7.b: Grievances not falling in a specific listed category are properly a	ssigned to "Other	Data Sources:	*
		Grievances."			
		[Data Elements V]			
2.e	RSC-7.b		Data Element V	Review Results:	
2.e	RSC-8	Organization accurately calculates the number of grievances for which it	provided timely	Data Sources:	*
		notification of the decision, including the following criteria:			
		RSC-8a: Includes only grievances for which the member is notified of the	decision according to		
		the following timelines:			
•					

2.5	DCC 0 - :	DCC 0 a i For standard evicuraceau as laterather 20 days 6	Data Florent D	Poviou Posulte:	
2.e	RSC-8.a.i	RSC-8.a.i. For standard grievances: no later than 30 days after receipt of grievance.	Data cigilialit R	Review Results:	
		[Data Elements B, G, I, K, M, O, Q, S, U, W]			
2.e	RSC-8.a.i		Data Element G	Review Results:	
2.e	RSC-8.a.i		Data Element I	Review Results:	
2.e	RSC-8.a.i		Data Element K	Review Results:	
2.6	N3C-8.a.1		Data Liement K	Neview Nesults.	
2.e	RSC-8.a.i		Data Element M	Review Results:	
2.e	RSC-8.a.i		Data Element O	Review Results:	
2.e	RSC-8.a.i		Data Element Q	Review Results:	
2.6	N3C-8.a.1		Data Liement Q	Neview Nesults.	
2.e	RSC-8.a.i		Data Element S	Review Results:	
2.e	RSC-8.a.i		Data Element U	Review Results:	
1					
2.e	RSC-8.a.i	<u> </u>	Data Element W	Review Results:	
1					
<u> </u>			<u></u>		
2.e	RSC-8.a.ii	RSC-8.a.ii. For standard grievances with an extension taken: no later than	n 44 days atter receipt	Data Sources:	*
		of grievance. [Data Elements B, G, I, K, M, O, Q, S, U, W]			
		[200 2:0::::::::::::::::::::::::::::::::			
1					
•	•	'	ı		

	1				1
2.e	RSC-8.a.ii		Data Element B	Review Results:	
2.e	RSC-8.a.ii		Data Element G	Review Results:	
2.e	RSC-8.a.ii		Data Element I	Review Results:	
2.e	RSC-8.a.ii		Data Element K	Review Results:	
2.e	RSC-8.a.ii		Data Element M	Review Results:	
2.e	RSC-8.a.ii		Data Element O	Review Results:	
2.e	RSC-8.a.ii		Data Element Q	Review Results:	
2.e	RSC-8.a.ii		Data Element S	Review Results:	
2.e	RSC-8.a.ii		Data Element U	Review Results:	
2.e	RSC-8.a.ii		Data Element W	Review Results:	
z.e	NOC-0.d.II		Data Elellielli W	NEVIEW NEGUILS.	
			<u> </u>		
2.e	RSC-8.a.iii	RSC-8.a.iii: For expedited grievances: no later than 24 hours after receipt	t of grievance.	Data Sources:	*
		[Data Elements B, D, G, I, K, M, O, Q, S, U, W]			
•	•	•	l		

	1				
2.e	RSC-8.a.iii		Data Element B	Review Results:	
2.e	RSC-8.a.iii		Data Element D	Review Results:	
2.e	RSC-8.a.iii		Data Element G	Review Results:	
2.e	RSC-8.a.iii		Data Element I	Review Results:	
			<u>L</u> _		
2.e	RSC-8.a.iii		Data Element K	Review Results:	
2.e	RSC-8.a.iii		Data Element M	Review Results:	
2.e	RSC-8.a.iii		Data Element O	Review Results:	
2.e	RSC-8.a.iii		Data Element Q	Review Results:	
	555		<u> </u>		
2.e	RSC-8.a.iii		Data Element S	Review Results:	
2.e	RSC-8.a.iii		Data Element U	Review Results:	
۷.6	1.5C-0.a.iii		Data Liement U	NETICAL MEGALICS.	
2.e	RSC-8.a.iii		Data Element W	Review Results:	
- !	-	•		-	

_	1				*
3		Organization implements policies and procedures for data submission, in	nciuaing the following:	Data Sources:	*
3.a		Data elements are accurately entered/uploaded into CMS systems and	Data Element A	Review Results:	
J.u		entries match corresponding source documents.	- Lu Liement A		
3.a			Data Element B	Review Results:	
3.a			Data Element C	Review Results:	
3.a			Data Element D	Review Results:	
3.a			Data Element E	Review Results:	
			D . 51 5		
3.a			Data Element F	Review Results:	
3.a			Data Element G	Review Results:	+
J.a			Sata Element G		
3.a			Data Element H	Review Results:	
3.0				- ·	
3.a			Data Element I	Review Results:	
3.a			Data Element J	Review Results:	
1	I	L	_1		ı

		 		_
3.a		Data Element K	Review Results:	
3.a		Data Element L	Review Results:	
		5 . 5		
3.a		Data Element M	Review Results:	
3.a		Data Element N	Review Results:	
1				
1				
1				
1				
	ļ	<u> </u>		
3.a		Data Element O	Review Results:	
3.a		Data Element P	Review Results:	
J.u		Duta Element 1	neview negation	
3.a		Data Element Q	Review Results:	
3.a		Data Element R	Review Results:	
J.u		Buta Element K	neview negation	
1				
1				
1				
1				
1				
<u>L</u>		 		
3.a		 Data Element S	Review Results:	
1				
1				
1				
1				
1				
1				
2.5		Data Floment T	Paviow Paculter	
3.a		Data Element T	Review Results:	
1				
1				
1				
1				
1				
1				
3.a		Data Element U	Review Results:	
1				
	1	1		Ī

-	 			
3.a		ata Element V	Review Results:	
3.a	C	ata Element W	Review Results:	
3.b	All source, intermediate, and final stage data sets and other outputs relied	upon to enter data	Review Results:	
	into CMS systems are archived.			
4	Organization implements policies and procedures for periodic data system	updates (e.g.,	Review Results:	
	changes in enrollment, provider/pharmacy status, claims adjustments).			
5	Organization implements policies and procedures for archiving and restorion	ng data in each data	Review Results:	
	system (e.g., disaster recovery plan).			
6	If organization's data systems underwent any changes during the reporting		Review Results:	
	result of a merger, acquisition, or upgrade): Organization provided docume	entation on the data		
	system changes and, upon review, there were no issues that adversely imp			
	The state of the s	sata reportedi		
7	If data collection and/or reporting for this reporting section is delegated to	another entity:	Review Results:	
	Organization regularly monitors the quality and timeliness of the data colle			
	by the delegated entity or first tier/ downstream contractor.	,		
	by the delegated entity of first tier/ downstream contractor.			

Special Needs Plans (SNPs) Care Management 2017

	· · ·
Organization Name:	
Contract Number:	
Reporting Section:	Special Needs Plans (SNPs) Care Management 2017
Last Updated:	MM/DD/YYYY
Date of Site Visit:	
Name of Reviewer:	Last name, First name
Name of Peer Reviewer:	Last name, First name

1) In the "Data Sources and Review Results:" column, enter the review results and/or data sources used for each standard or sub-standard.

2) Enter "Y" if the requirements for the standard or sub-standard have been completely met. If any requirement for the standard or sub-standard has not been met, enter "N". If any standard or sub-standard does not apply, enter "N/A".

3) For standards 1c, 1d, 1e, 1g, 1h, and 2e, enter 'Findings' as follows based on the five-point scale: Select "1" if plan data has more than 20% error, select "2" if plan data has between 15.1% - 20.0% error, select "3" if plan data has between 10.1% - 15.0% error, select "4" if plan data has between 5.1% - 10.0% error, select "5" if plan data has less than or equal to a 5% error. Enter "N/A" if standard does not apply.

Standard/ Sub-standard ID	Redding Serior	Standard/Sub-standard Description	Data Sources and Review Results: Enter review results and/or data sources	Enter 'Findings' using the applicable choice in the appropriate cells. Cells marked with an '*' should not be edited.
1		A review of source documents (e.g., programming code, spreadsheet formulas, analysis plans, saved data queries, file layouts, process flows) indicates that all source documents accurately capture required data fields and are properly documented.	Data Sources:	*
1.a		Source documents are properly secured so that source documents can be retrieved at any time to validate the information submitted to CMS via CMS systems.	Review Results:	
1.b		Source documents create all required data fields for reporting requirements.	Review Results:	
1.c		Source documents are error-free (e.g., programming code and spreadsheet formulas have no messages or warnings indicating errors, use correct fields, have appropriate data selection, etc.).	Review Results:	
1.d		All data fields have meaningful, consistent labels (e.g., label field for patient ID as Patient_ID, rather than Field1 and maintain the same field name across data sets).	Review Results:	
1.e		Data file locations are referenced correctly.	Review Results:	
1.f		If used, macros are properly documented.	Review Results:	
1.g		Source documents are clearly and adequately documented.	Review Results:	
1.h		Titles and footnotes on reports and tables are accurate.	Review Results:	

Secure Manada. 2						
and compress, the typonic present placed and control c	1.i		Version control of source documents is appropriately applied.		Review Results:	
and compress, the typonic present placed and control c						
discourses, Teleproper, general final and consent receptly for office, protected, and consisted. 2.6 IRC-1 The appropriate date couple) for the reporting period () is required. Operation reports date about 6 on the registed reporting period of 1/1 cromph 13/11. 2.6 IRC-2 Coupling in the eight date level by cyfer. Investigating landing and another through the coupling of 1/1 cromph 13/11. 2.6 IRC-2 Coupling in the eight date level by cyfer. Investigating landing and the coupling of 1/1 cromph 13/11. 2.6 IRC-2 Coupling in the eight date level by cyfer. Investigating landing and the coupling of 1/1 cromph 13/11. 2.6 IRC-2 Coupling in the eight date level by cyfer. Investigating landing and the coupling of 1/1 cromph 13/11. 2.6 IRC-2 Coupling in the eight date level by cyfer. Investigating landing and the coupling of 1/1 cromph 13/11. 2.6 IRC-3 Coupling in the eight date level by cyfer. Investigating landing and the coupling of 1/1 cromph 13/11. 3.6 IRC-3 Coupling in the eight date level by cyfer. Investigating landing and the coupling of 1/1 cromph 13/11. 3.6 IRC-3 IRC-3 Coupling in the eight date level by cyfer landing and the coupling of 1/1 cromph 13/11. 3.6 IRC-3 IRC-3 Coupling in the eight date level by cyfer landing and the coupling of 1/1 cromph 13/11. 3.6 IRC-3 IRC-3 Coupling in the eight date level by cyfer landing and the coupling of 1/1 cromph 13/11. 3.6 IRC-3 IRC-3 Coupling in the eight date level by cyfer landing and the coupling of 1/1 cromph 13/11. 3.6 IRC-3 IRC-3 IRC-3 Coupling in the coupling in the cyfer of the coupling of 1/1 cromph 13/11. 3.6 IRC-3 IRC-3 IRC-3 Coupling in the cyfer of the cyfer of the coupling of 1/1 cromph 13/11. 3.7 IRC-3 IRC-3 IRC-3 Coupling in the cyfer of 1/1 cromph 13/11. 3.8 IRC-3 IR						
and compress, the typonic present placed and control c						
and compress, the typonic present placed and control c						
and compress, the typonic present placed and control c						
discourses, Teleproper, general final and consent receptly for office, protected, and consisted. 2.6 IRC-1 The appropriate date couple) for the reporting period () is required. Operation reports date about 6 on the registed reporting period of 1/1 cromph 13/11. 2.6 IRC-2 Coupling in the eight date level by cyfer. Investigating landing and another through the coupling of 1/1 cromph 13/11. 2.6 IRC-2 Coupling in the eight date level by cyfer. Investigating landing and the coupling of 1/1 cromph 13/11. 2.6 IRC-2 Coupling in the eight date level by cyfer. Investigating landing and the coupling of 1/1 cromph 13/11. 2.6 IRC-2 Coupling in the eight date level by cyfer. Investigating landing and the coupling of 1/1 cromph 13/11. 2.6 IRC-2 Coupling in the eight date level by cyfer. Investigating landing and the coupling of 1/1 cromph 13/11. 2.6 IRC-3 Coupling in the eight date level by cyfer. Investigating landing and the coupling of 1/1 cromph 13/11. 3.6 IRC-3 Coupling in the eight date level by cyfer. Investigating landing and the coupling of 1/1 cromph 13/11. 3.6 IRC-3 IRC-3 Coupling in the eight date level by cyfer landing and the coupling of 1/1 cromph 13/11. 3.6 IRC-3 IRC-3 Coupling in the eight date level by cyfer landing and the coupling of 1/1 cromph 13/11. 3.6 IRC-3 IRC-3 Coupling in the eight date level by cyfer landing and the coupling of 1/1 cromph 13/11. 3.6 IRC-3 IRC-3 Coupling in the eight date level by cyfer landing and the coupling of 1/1 cromph 13/11. 3.6 IRC-3 IRC-3 IRC-3 Coupling in the coupling in the cyfer of the coupling of 1/1 cromph 13/11. 3.6 IRC-3 IRC-3 IRC-3 Coupling in the cyfer of the cyfer of the coupling of 1/1 cromph 13/11. 3.7 IRC-3 IRC-3 IRC-3 Coupling in the cyfer of 1/1 cromph 13/11. 3.8 IRC-3 IR						
and compress, the typonic present placed and control c	2		A review of source documents (e.g., programming code, spreadsheet formulas, and	alvsis plans, saved	Data Sources:	*
### Decided and programme claim in the programme of the responsing personal	_					
Transferration reports data based on the recurred reporting period of \$2\frac{1}{2}\$ through \$12\frac{1}{2}\$. 10						
Transferration reports data based on the recurred reporting period of \$2\frac{1}{2}\$ through \$12\frac{1}{2}\$. 10						
Diganisation reports data based on the recurred reporting period of 1/2 through 12/31. 2.2 BSC 2 Cast are adapted at the applicable local (p.g., plot benefit package or contract local). Diganisation properly addigendate in the applicable Colf plan benefit package. 2.2 BSC 3 Appropriate deadline for regulation gradients (p.g., quantity). Diganisation invents deadline for regulation gradients (p.g., quantity). Diganisation invents deadline for regulating annual date in (DAS for (p.) 278/DOS). (Nate to review and the interpretage casts (p.g., quantity)). Diganisation invents deadline for regulating annual date in (DAS for (p.) 278/DOS). (Nate to review and the properties and propertie						
Transferration reports data based on the recurred reporting period of \$2\frac{1}{2}\$ through \$12\frac{1}{2}\$. 10						
Transferration reports data based on the recurred reporting period of \$2\frac{1}{2}\$ through \$12\frac{1}{2}\$. 10						
Transferration reports data based on the recurred reporting period of \$2\frac{1}{2}\$ through \$12\frac{1}{2}\$. 10						
2.4 BSC 2 Date are assigned at the explications bord (e.g., plan benefit) package or contract locals. Organization properly except alias to the applicable (MS plan benefit) package. 1 (MSC) Appropriate the filters, we want for reporting date (e.g., quanterly). Urganization meet coding for reporting around data to CND by 2/2/2/2018. (both to treatment of the applications, the part store), or protection data 15 CND by 2/2/2/2018. (both to treatment of the applications, the part store), or protection data 15 CND by 2/2/2/2018. (both to treatment of the applications of the applications (e.g., data to CND by 2/2/2/2018.) (both to treatment of the applications of the applications (e.g., data to the applications) or advantage of the applications or advantage of the reporting section of the applications or advantage of the applications or advantage of the reporting section of the applications or advantage of the reporting section of the applications or advantage of the applications of the applications or advantage of the reporting section of the applications or advantage of the protecting section of the applications or advantage of the reporting section of the applications or advantage of the reporting section of the applications or advantage of the reporting section of the applications or advantage of the reporting section of the applications or advantage of the reporting section of the applications or advantage of the reporting section of the applications or advantage of the reporting section of the applications or advantage of the reporting section of the applications or advantage of the applications or advantage of the applications of the section of the applications or advantage of the applications of the section of the applications or advantage or adv	2.a	RSC-1	The appropriate date range(s) for the reporting period(s) is captured.		Review Results:	
2.4 BSC 2 Date are assigned at the explications bord (e.g., plan benefit) package or contract locals. Organization properly except alias to the applicable (MS plan benefit) package. 1 (MSC) Appropriate the filters, we want for reporting date (e.g., quanterly). Urganization meet coding for reporting around data to CND by 2/2/2/2018. (both to treatment of the applications, the part store), or protection data 15 CND by 2/2/2/2018. (both to treatment of the applications, the part store), or protection data 15 CND by 2/2/2/2018. (both to treatment of the applications of the applications (e.g., data to CND by 2/2/2/2018.) (both to treatment of the applications of the applications (e.g., data to the applications) or advantage of the applications or advantage of the reporting section of the applications or advantage of the applications or advantage of the reporting section of the applications or advantage of the reporting section of the applications or advantage of the applications of the applications or advantage of the reporting section of the applications or advantage of the protecting section of the applications or advantage of the reporting section of the applications or advantage of the reporting section of the applications or advantage of the reporting section of the applications or advantage of the reporting section of the applications or advantage of the reporting section of the applications or advantage of the reporting section of the applications or advantage of the reporting section of the applications or advantage of the reporting section of the applications or advantage of the applications or advantage of the applications of the section of the applications or advantage of the applications of the section of the applications or advantage or adv			Organization reports data based on the required reporting period of 1/1 through 1	2/31		
Organization properly essigns data to the applicable CMS plan benefit package. 2.c NOS-2 Autoronize deatilines are metifor reporting data (e.g., quantaris). Disparation on continue to reporting annual data to CMS by 2736/2018. Note to provide the death of the properting annual data to CMS by 2736/2018. Note to provide the death of the provide the death of the provide provide data submission met the CMS death or order to have a featuring of the first provide greative relative, however, if the organization revolution data for the provide data submission method to death or order to have a featuring of the first provide greative relative, however, if the organization revolution data for the provide data submission data and the provide provide data submission data submission data submission data and provided data submission data su			Organization reports data based on the required reporting period of 1/1 timodgif 1	.2/51.		
Organization properly essigns data to the applicable CMS plan benefit package. 2.c NOS-2 Autoronize deatilines are metifor reporting data (e.g., quantaris). Disparation on continue to reporting annual data to CMS by 2736/2018. Note to provide the death of the properting annual data to CMS by 2736/2018. Note to provide the death of the provide the death of the provide provide data submission met the CMS death or order to have a featuring of the first provide greative relative, however, if the organization revolution data for the provide data submission method to death or order to have a featuring of the first provide greative relative, however, if the organization revolution data for the provide data submission data and the provide provide data submission data submission data submission data and provided data submission data su						
Organization prosperty easymodate to the applicable CMS plan benefit package. Organization prosperty easymodate (and the properting about (e.g., quantum). Organization nucleic decidings are met for reporting data (e.g., quantum). Organization has, for any reason, re-admitted data in the CMS by 2736/2018. [Note the province of the properting and the control of the properting properting properting and the control of the properting properting properting properting properting properting properting properties and foliation of the Properting properting properties and the control of the properting properties and the properting properties of the properting properties and the properties properties properties and the properties properties properties and the properties properties and the properties properties and the properties properties and the properties and the properties properties properties properties properties and the properties properties and the properties						
Organization properly essigns data to the applicable CMS plan benefit package. 2.c NOS-2 Autoronize deatilines are metifor reporting data (e.g., quantaris). Disparation on continue to reporting annual data to CMS by 2736/2018. Note to provide the death of the properting annual data to CMS by 2736/2018. Note to provide the death of the provide the death of the provide provide data submission met the CMS death or order to have a featuring of the first provide greative relative, however, if the organization revolution data for the provide data submission method to death or order to have a featuring of the first provide greative relative, however, if the organization revolution data for the provide data submission data and the provide provide data submission data submission data submission data and provided data submission data su						
Organization properly essigns data to the applicable CMS plan benefit package. 2.c NOS-2 Autoronize deatilines are metifor reporting data (e.g., quantaris). Disparation on continue to reporting annual data to CMS by 2736/2018. Note to provide the death of the properting annual data to CMS by 2736/2018. Note to provide the death of the provide the death of the provide provide data submission met the CMS death or order to have a featuring of the first provide greative relative, however, if the organization revolution data for the provide data submission method to death or order to have a featuring of the first provide greative relative, however, if the organization revolution data for the provide data submission data and the provide provide data submission data submission data submission data and provided data submission data su						
2.c NSC 8 Appropriate decisions are median reporting data (e.g., quanterly). Department on record datafiles for reporting annual data to CNG by 27/2/2018. Note to revolver: if the currant and more buy to report the control of the	2.b	RSC-2	Data are assigned at the applicable level (e.g., plan benefit package or contract leve	el).	Review Results:	
2.c NSC 8 Appropriate decisions are median reporting data (e.g., quanterly). Department on record datafiles for reporting annual data to CNG by 27/2/2018. Note to revolver: if the currant and more buy to report the control of the						
Organization meets deadline for reporting annual data to CMS for the reporting errors, the reserver should very high the organization has, for any ressor, or submitted the data to CMS for the reporting section, the reserver should very high the organization or signal data buildines made the to have a section of the reporting section or the reserver should very find the reporting organization organization decided in sold or high very sold or set of the reporting section or the section of the reserver should very sold or the reserver should very sold or the reserver should very sold organization 2.e			Organization properly assigns data to the applicable CMS plan benefit package.			
Organization meets deadline for reporting annual data to CMS for the reporting errors, the reserver should very high the organization has, for any ressor, or submitted the data to CMS for the reporting section, the reserver should very high the organization or signal data buildines made the to have a section of the reporting section or the reserver should very find the reporting organization organization decided in sold or high very sold or set of the reporting section or the section of the reserver should very sold or the reserver should very sold or the reserver should very sold organization 2.e						
Organization meets deadline for reporting annual data to CMS for the reporting errors, the reserver should very high the organization has, for any ressor, or submitted the data to CMS for the reporting section, the reserver should very high the organization or signal data buildines made the to have a section of the reporting section or the reserver should very find the reporting organization organization decided in sold or high very sold or set of the reporting section or the section of the reserver should very sold or the reserver should very sold or the reserver should very sold organization 2.e						
Organization meets deadline for reporting annual data to CMS for the reporting errors, the reserver should very high the organization has, for any ressor, or submitted the data to CMS for the reporting section, the reserver should very high the organization or signal data buildines made the to have a section of the reporting section or the reserver should very find the reporting organization organization decided in sold or high very sold or set of the reporting section or the section of the reserver should very sold or the reserver should very sold or the reserver should very sold organization 2.e						
Organization meets deedline for reporting annual data to CMS for V2/6/2018. [Note to reviewer: If the organization has, for any recoon, irrespecting senting to this representation of the process of the organization has for any recoon, irrespecting senting to the reviewer should very their the organization's reginal data subtainessment the CMS detailed in order to be been should see the organization's corrected data submission(s) for the rest of the reporting section criteria for the reporting data process of the organization's corrected data submission(s) for the rest of the reporting section criteria for the reporting defended per CMS regulations, guidance and Reporting Requirements 7.d. Terminated are properly defended per CMS regulations, guidance and Reporting Requirements Technical Specifications. 7.d. The number of exsected counts (e.g., number of members, claims, guineance, proceeding section criteria for the reporting data has been properly defensed; reporting declarations of pay, demonstration of the rest of th						
Organization meets deedline for reporting annual data to CMS for V2/6/2018. [Note to reviewer: If the organization has, for any recoon, irrespecting senting to this representation of the process of the organization has for any recoon, irrespecting senting to the reviewer should very their the organization's reginal data subtainessment the CMS detailed in order to be been should see the organization's corrected data submission(s) for the rest of the reporting section criteria for the reporting data process of the organization's corrected data submission(s) for the rest of the reporting section criteria for the reporting defended per CMS regulations, guidance and Reporting Requirements 7.d. Terminated are properly defended per CMS regulations, guidance and Reporting Requirements Technical Specifications. 7.d. The number of exsected counts (e.g., number of members, claims, guineance, proceeding section criteria for the reporting data has been properly defensed; reporting declarations of pay, demonstration of the rest of th	2.0	DCC 2	Appropriate deadlines are met for reporting data to g. guarterly!		Review Results	
organization has, for any reason, re-aluminated in data to CMS of this reporting section, the reviewer should verify hat the enginization should seem that the state of the section of the	۷.۱	N3C-3	Appropriate deadines are met for reporting data (e.g., quarterry).		NEVIEW NEGUILS.	
organization has, for any reason, re-aluminated in data to CMS of this reporting section, the reviewer should verify hat the enginization should seem that the state of the section of the			Organization meets deadline for reporting annual data to CMS by 2/26/2018. [Not	te to reviewer: If the		
should verify that the operatorion's original data submissions are the CMS deadline in order to have a finding of "ves" to this exciton section section. However, if the origination re-adultisate for any reason and if the re-admission was considered by 3/3 of the data validation varies, the reviewer should us the oppreciator's contrected data submossion [16] for the root for re-proving section. The residence of the reporting section of the reporting section of the reporting section. The residence of the reporting section of the reporting section. 2.4 SSC-4 The number of expected counts (e.g., number of members, claims, greatances, procedures) are verified; array or data fields are verified; and submossion are verified; and submossion of the residence of the verified transport of data fields are verified; missing data has been properly addressed; reporting output matches corresponding source documents (e.g., preparamotic, goal, every depreciation) and the submossion of the residence of the resid				II		
any reason and if the re-submission was completed by \$43 of the data validation syste, the reviewer should use the organizations corrected data submission(s) for the rest of the reporting section criteria for this reporting section.] 2.d Term used are properly defined per CMS regulations, guidance and Reporting Requirements Technical Specifications. Review Results: 2.e RSC-4 The number of expected counts (e.g., number of members, claims, grievances, procedures) are verified; ranges of data fields are verified; all calculations (e.g., derived data fields) are verified; missing data has been properly addressed, regiming unplum tratterise corresponding source; which is submission. Applicable Reporting Section Criteria: 2.e RSC-4. RSC-4. Includes all reasons who may have entrolled during the measurement. BSC-4. Includes all new remembers who excelled during the measurement. BSC-4. In critical sall new remembers who excelled during the measurement. BSC-4. In the year in which the effective enrollment data falls. [Data Element 13.1] Applicable Reporting Section Criteria: 2.e RSC-4. In critical sall new remembers who have considered eligible for an initial HRA for the year in which the effective enrollment data falls. [Data Element 13.1] Are effective Results: Review Results:			should verify that the organization's original data submissions met the CMS deadling	ne in order to have		
should use the organization's corrected data submission(s) for the rest of the reporting section criteria for this reporting section. 2.d Terms used are properly defined per CMS regulations, guidance and Reporting Requirements Technical Specifications. 2.e 85C.4 The number of expected counts (e.g., number of internitives, daines, griceances, procedures) are verified; ranges of data fields are vanified; all calculations (e.g., demed data fields are verified; missing data has been properly addressed, reporting output matches corresponding source documents (e.g., programming code, saved queries, salpsky) lansily, various control of Proported data elements is appropriately applied; DA checks/thresholds are applied to detect outlier or erroneous data prior to data solitions with or may have conciled using the measurement year. Includes those members who may have conciled using the measurement year. Includes those members who may have conciled using the measurement to the effective enrollment data as they will be considered elipible for an initial HRA for the year in which the effective enrollment data fails. [Data Element 13.1] 2.e RSC-4.b: RSC-4.b: Includes members who have enrolled that data fails. [Data Element 13.1] Review Results:				II		
Terms used are properly defined per CMS regulations, guidance and Reporting Requirements						
Termis used are properly defined per CMS regulations, guidance and Reporting Requirements Technical Specifications. 2.e SSC-4 In enumber of expected counts (e.g., number of members, claims, prievances, procedures) are well-reading and the second data fields are verified; and calculations (e.g., derived data fields) are verified; missing data has been properly addressed; reporting output matches corresponding source documents (e.g., programming code, seed quiner, samples) peals; verificated from members (e.g., programming code), seed quiner, samples) peals; verificated from members (e.g., derived data fields) are verified; all calculations (e.g., derived data fields) are verified; missing data has been properly addressed; reporting output matches corresponding source documents (e.g., programming code), seed quiner, samples plans/y verifications are applied to detect outlier or erroneous data elements so appropriately applied. On checks/thresholds are applied to detect outlier or erroneous data principles are reported that elements as propriated and the part of the second during the measurement part of the type of the part of the second during the measurement part of the type of the part of the pervious year. Review Results: Review Results: Review Results: Review Results: 2.e RSC-4.b RSC-4.b RsC-4.d RsC-4.d Review remeters with all documented initial part of the part of the part of the pervious year. These members, and their RsS, should be counted as each in the pervious year. These members, and their RsS, should be counted as each of the pervious year. These members, and their RsS, should be counted as each of the pervious year. These members, and their RsS, should be counted as each of the pervious year.				rting section criteria		
Technical Specifications. 2 e RSC-4 The number of expected counts (e.g., number of members, (taims, grievances, procedures) are worlined; and calculation (e.g., derived data fields) are verified; all calculation (e.g., derived data fields) are verified; misting data has been propriety addressed, perching output matches corresponding burde documents (e.g., programming code, sawed queries, analysis plans); version control of reported data elements is appropriety planted; Oct Access/thresholds are applied to detect outlier or erroneous data prior to data submission. Applicable Reporting Section Criteria: 2 e RSC-4.a RSC-4.a RSC-4.a RSC-4.a RSC-4.b RSC-4.c Includes a fire we members who may have enrolled during the measurement year, includes those members who may have enrolled fairs. [Data Element 13.1] Review Results: 2 e RSC-4.b RS						
2.e RSC-4.2 The number of expected counts (e.g., number of members, claims, grievances, procedures) are verified; ranges of data fields are verified; missing data has been properly addressed; reporting output matches corresponding source documents (e.g., programing code, aswayd queries, analysis plant), version control of reported data elements is appropriately applied (). Achecky/hresholds are applied to detect outlier or erroneous data prior to data submission. Applicable Reporting Section Criteria: 2.e RSC-4.3: RSC-4.3: Includes all new members who enrolled during the measurement victor in the effective enrollment date a striy as 90 days prior to the effective enrollment date as they will be considered eligible for an initial IRR for the year in which the effective enrollment date rate. [BSC-4.5: Includes members who have enrolled in the plan after dis-enrolling for the year of the plant of the process of the plant of the previous year. These members, and their RRAs, should be counted as new the previous year. These members, and their RRAs, should be counted as new the previous year. These members, and their RRAs, should be counted as new the previous year. These members, and their RRAs and their RRAs and their previous year. These members, and their RRAs and their RRAs and their previous year. These members, and their RRAs and their previous year. These members, and their RRAs and their previous year. These members, and their RRAs and their previous year. These members, and their RRAs and their	2.d			uirements	Review Results:	
verified; ranges of data fields are verified; all calculations (e.g., derived data fields) are verified; missing data has been properly addressed; reporting output matches corresponding source documents (e.g., programming code, swed queries, analysis plans); version control of reported data elements is appropriately applied; QA checks/thresholds are applied to detect outlier or erroneous data prior to data submission. Applicable Reporting Section Criteria: 2.e RSC-4.a Includes all new members who enrolled during the measurement to the effective errollment data as they will be considered eligible for an initial HAR for the year in which the effective enrollment data falls. [Data Element 13.1] 2.e RSC-4.b RSC-4.b: Includes members who have enrolled in the plan after dis-enrolling from another plan (different sponsor or organization). Data Element 13.1 2.e RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HAR was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. Data Element 13.1 Review Results: Review Results: Review Results: Review Results: Review Results:			Technical Specifications.			
verified; ranges of data fields are verified; all calculations (e.g., derived data fields) are verified; missing data has been properly addressed; reporting output matches corresponding source documents (e.g., programming code, swed queries, analysis plans); version control of reported data elements is appropriately applied; QA checks/thresholds are applied to detect outlier or erroneous data prior to data submission. Applicable Reporting Section Criteria: 2.e RSC-4.a Includes all new members who enrolled during the measurement to the effective errollment data as they will be considered eligible for an initial HAR for the year in which the effective enrollment data falls. [Data Element 13.1] 2.e RSC-4.b RSC-4.b: Includes members who have enrolled in the plan after dis-enrolling from another plan (different sponsor or organization). Data Element 13.1 2.e RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HAR was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. Data Element 13.1 Review Results: Review Results: Review Results: Review Results: Review Results:						
verified; ranges of data fields are verified; all calculations (e.g., derived data fields) are verified; missing data has been properly addressed; reporting output matches corresponding source documents (e.g., programming code, swed queries, analysis plans); version control of reported data elements is appropriately applied; QA checks/thresholds are applied to detect outlier or erroneous data prior to data submission. Applicable Reporting Section Criteria: 2.e RSC-4.a Includes all new members who enrolled during the measurement to the effective errollment data as they will be considered eligible for an initial HAR for the year in which the effective enrollment data falls. [Data Element 13.1] 2.e RSC-4.b RSC-4.b: Includes members who have enrolled in the plan after dis-enrolling from another plan (different sponsor or organization). Data Element 13.1 2.e RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HAR was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. Data Element 13.1 Review Results: Review Results: Review Results: Review Results: Review Results:						
verified; ranges of data fields are verified; all calculations (e.g., derived data fields) are verified; missing data has been properly addressed; reporting output matches corresponding source documents (e.g., programming code, swed queries, analysis plans); version control of reported data elements is appropriately applied; QA checks/thresholds are applied to detect outlier or erroneous data prior to data submission. Applicable Reporting Section Criteria: 2.e RSC-4.a Includes all new members who enrolled during the measurement to the effective errollment data as they will be considered eligible for an initial HAR for the year in which the effective enrollment data falls. [Data Element 13.1] 2.e RSC-4.b RSC-4.b: Includes members who have enrolled in the plan after dis-enrolling from another plan (different sponsor or organization). Data Element 13.1 2.e RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HAR was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. Data Element 13.1 Review Results: Review Results: Review Results: Review Results: Review Results:						
verified; ranges of data fields are verified; all calculations (e.g., derived data fields) are verified; missing data has been properly addressed; reporting output matches corresponding source documents (e.g., programming code, swed queries, analysis plans); version control of reported data elements is appropriately applied; QA checks/thresholds are applied to detect outlier or erroneous data prior to data submission. Applicable Reporting Section Criteria: 2.e RSC-4.a Includes all new members who enrolled during the measurement to the effective errollment data as they will be considered eligible for an initial HAR for the year in which the effective enrollment data falls. [Data Element 13.1] 2.e RSC-4.b RSC-4.b: Includes members who have enrolled in the plan after dis-enrolling from another plan (different sponsor or organization). Data Element 13.1 2.e RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HAR was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. Data Element 13.1 Review Results: Review Results: Review Results: Review Results: Review Results:						
verified; ranges of data fields are verified; all calculations (e.g., derived data fields) are verified; missing data has been properly addressed; reporting output matches corresponding source documents (e.g., programming code, swed queries, analysis plans); version control of reported data elements is appropriately applied; QA checks/thresholds are applied to detect outlier or erroneous data prior to data submission. Applicable Reporting Section Criteria: 2.e RSC-4.a Includes all new members who enrolled during the measurement to the effective errollment data as they will be considered eligible for an initial HAR for the year in which the effective enrollment data falls. [Data Element 13.1] 2.e RSC-4.b RSC-4.b: Includes members who have enrolled in the plan after dis-enrolling from another plan (different sponsor or organization). Data Element 13.1 2.e RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HAR was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. Data Element 13.1 Review Results: Review Results: Review Results: Review Results: Review Results:						
verified; ranges of data fields are verified; all calculations (e.g., derived data fields) are verified; missing data has been properly addressed; reporting output matches corresponding source documents (e.g., programming code, swed queries, analysis plans); version control of reported data elements is appropriately applied; QA checks/thresholds are applied to detect outlier or erroneous data prior to data submission. Applicable Reporting Section Criteria: 2.e RSC-4.a Includes all new members who enrolled during the measurement to the effective errollment data as they will be considered eligible for an initial HAR for the year in which the effective enrollment data falls. [Data Element 13.1] 2.e RSC-4.b RSC-4.b: Includes members who have enrolled in the plan after dis-enrolling from another plan (different sponsor or organization). Data Element 13.1 2.e RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HAR was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. Data Element 13.1 Review Results: Review Results: Review Results: Review Results: Review Results:	2.e	RSC-4	The number of expected counts (e.g., number of members, claims, grievances, proc	cedures) are	Data Sources:	*
documents (e.g., programming code, saved queries, analysis plans), version control of reported data elements is appropriately applied, (D. A. Checks/thresholds are applied to detect outlier or erroneous data prior to data submission. Applicable Reporting Section Criteria: 2.e RSC-4.a. Includes all new members who enrolled during the measurement year. Includes toose members who may have enrolled as early as 90 days prior to the effective enrollment date as they will be considered eligible for an initial HRA for the year in which the effective enrollment date falls. [Data Element 13.1] 2.e RSC-4.b. RSC-4.b. Includes members who have enrolled in the plan after dis-enrolling from another plan (eliferent sponsor or organization). [Data Element 13.1] 2.e RSC-4.c. RSC-4.c. Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HRA was not performed prior to dis-enrollment and calculates the member's eligibility date starring from the date of re-enrollment. [Data Element 13.1] 2.e RSC-4.d. RSC-4.d. Excludes continuously enrolled members with a documented initial HAA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year.						
elements is appropriately applied; QA checks/thresholds are applied to detect outlier or erroneous data prior to data submission. Applicable Reporting Section Criteria: 2.e RSC-4.a: RSC-4.a: Includes all new members who enrolled during the measurement year. Includes those members who may have enrolled as early as 90 days prior to the effective enrollment date as they will be condicted eligible for an initial HRA for the year in which the effective enrollment date falls. [Data Element 13.1] 2.e RSC-4.b RSC-4.b: Includes members who have enrolled in the plan after dis-enrolling from another plan (different sponsor or organization). [Data Element 13.1] 2.e RSC-4.c RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HRA was not performed prior to dis-enrollment. [Data Element 13.1] 2.e RSC-4.c RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HRA was not performed prior to dis-enrollment. [Data Element 13.1] Review Results: Review Results: Review Results: Review Results: Review Results:						
data prior to data submission. Applicable Reporting Section Criteria: 2.e RSC-4.a RSC-4.a: Includes all new members who enrolled during the measurement year. Includes those members who may have enrolled as early as 90 days prior to the effective enrollment date as they will be considered eligible for an initial HRA for the year in which the effective enrollment date falls. [Data Element 13.1] 2.e RSC-4.b RSC-4.b: Includes members who have enrolled in the plan after dis-enrolling from another plan (different sponsor or organization). [Data Element 13.1] 2.e RSC-4.c RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial RAA was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. [Data Element 13.1] Review Results:						
Applicable Reporting Section Criteria: 2.e RSC-4.a RSC-4.a: Includes all new members who enrolled during the measurement year. Includes those members who may have enrolled as early as 90 days prior to the effective enrollment das as they will be considered eligible for an initial HRA for the year in which the effective enrollment date falls. [Data Element 13.1] 2.e RSC-4.b: Includes members who have enrolled in the plan after dis-enrolling from another plan (different sponsor or organization). [Data Element 13.1] 2.e RSC-4.c RSC-4.c: RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HRA was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. [Data Element 13.1] Review Results: Review Results: Review Results: Review Results: Review Results:				ier or erroneous		
2.e RSC-4.a: Includes all new members who enrolled during the measurement year. Includes those members who may have enrolled as early as 90 days prioral to the effective enrollment date as they will be considered eligible for an initial HRA for the year in which the effective enrollment date falls. [Data Element 13.1] 2.e RSC-4.b: RSC-4.b: Includes members who have enrolled in the plan after dis-enrolling from another plan (different sponsor or organization). [Data Element 13.1] 2.e RSC-4.c: RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HRA was not performed prior to dis-enrollment accludates the member's eligibility date starting from the date of re-enrollment. [Data Element 13.1] 2.e RSC-4.d: RSC-4.d: Excludes continuously enrolled members with a documented initial HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year. These members, and their HRAs, should be counted as new in the previous year. These members.			data prior to data submission.			
2.e RSC-4.a: Includes all new members who enrolled during the measurement year. Includes those members who may have enrolled as early as 90 days prioral to the effective enrollment date as they will be considered eligible for an initial HRA for the year in which the effective enrollment date falls. [Data Element 13.1] 2.e RSC-4.b: RSC-4.b: Includes members who have enrolled in the plan after dis-enrolling from another plan (different sponsor or organization). [Data Element 13.1] 2.e RSC-4.c: RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HRA was not performed prior to dis-enrollment accludates the member's eligibility date starting from the date of re-enrollment. [Data Element 13.1] 2.e RSC-4.d: RSC-4.d: Excludes continuously enrolled members with a documented initial HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year. These members, and their HRAs, should be counted as new in the previous year. These members.			Applicable Reporting Section Criteria:			
year, Includes those members who may have enrolled as early as 90 days prior to the effective enrollment date as they will be considered eligible for an initial HRA for the year in which the effective enrollment date falls. [Data Element 13.1] 2.e RSC-4.b: Includes members who have enrolled in the plan after dis-enrolling from another plan (different sponsor or organization). [Data Element 13.1] 2.e RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HRA was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. [Data Element 13.1] 2.e RSC-4.d: RSC-4.d: Excludes continuously enrolled members with a documented initial HRA was not uperformed prior to dis-enrollment. [Data Element 13.1] Associated to the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year. Review Results: Review Results: Review Results:	2.0	PSC 4.2		ta Floment 12 1	Poviou Poculto	
to the effective enrollment date as they will be considered eligible for an initial HRA for the year in which the effective enrollment date falls. [Data Element 13.1] 2.e RSC-4.b RSC-4.b RSC-4.c: Includes members who have enrolled in the plan after dis-enrolling from another plan (different sponsor or organization). [Data Element 13.1] 2.e RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HRA was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. [Data Element 13.1] 2.e RSC-4.d RSC-4.d: Excludes continuously enrolled members with a documented initial HRA was not performed prior to dis-enrollment. [Data Element 13.1] 2.e RSC-4.d RSC-4.d: Excludes continuously enrolled members with a documented initial HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year.	z.e	K3C-4.a		ita Element 13.1	Review Results:	
HRA for the year in which the effective enrollment date falls. [Data Element 13.1] 2.e RSC-4.b: Includes members who have enrolled in the plan after dis-enrolling from another plan (different sponsor or organization). [Data Element 13.1] 2.e RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HRA was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. [Data Element 13.1] 2.e RSC-4.d RSC-4.d: Excludes continuously enrolled members with a documented initial HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year.			l l			
2.e RSC-4.b RSC-4.c: Includes members who have enrolled in the plan after dis-enrolling from another plan (different sponsor or organization). 2.e RSC-4.c: RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HRA was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. (Data Element 13.1) 2.e RSC-4.d: RSC-4.d: Excludes continuously enrolled members with a documented initial HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year.						
from another plan (different sponsor or organization). [Data Element 13.1] 2.e RSC-4.c RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HRA was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. [Data Element 13.1] 2.e RSC-4.d RSC-4.d: Excludes continuously enrolled members with a documented initial HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year.						
from another plan (different sponsor or organization). [Data Element 13.1] 2.e RSC-4.c RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HRA was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. [Data Element 13.1] 2.e RSC-4.d RSC-4.d: Excludes continuously enrolled members with a documented initial HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year.						
from another plan (different sponsor or organization). [Data Element 13.1] 2.e RSC-4.c RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HRA was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. [Data Element 13.1] 2.e RSC-4.d RSC-4.d: Excludes continuously enrolled members with a documented initial HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year.						
from another plan (different sponsor or organization). [Data Element 13.1] 2.e RSC-4.c RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HRA was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. [Data Element 13.1] 2.e RSC-4.d RSC-4.d: Excludes continuously enrolled members with a documented initial HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year.						
[Data Element 13.1] 2.e RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HRA was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. [Data Element 13.1] 2.e RSC-4.d RSC-4.d: Excludes continuously enrolled members with a documented initial HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year.	2.e	RSC-4.b	- I	ta Element 13.1	Review Results:	
2.e RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HRA was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. [Data Element 13.1] 2.e RSC-4.d: Excludes continuously enrolled members with a documented initial HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year.						
same plan if an initial HRA was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. [Data Element 13.1] 2.e RSC-4.d RSC-4.d: Excludes continuously enrolled members with a documented initial HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year.			[Data Element 13.1]			
same plan if an initial HRA was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. [Data Element 13.1] 2.e RSC-4.d RSC-4.d: Excludes continuously enrolled members with a documented initial HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year.						
same plan if an initial HRA was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. [Data Element 13.1] 2.e RSC-4.d RSC-4.d: Excludes continuously enrolled members with a documented initial HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year.						
same plan if an initial HRA was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. [Data Element 13.1] 2.e RSC-4.d RSC-4.d: Excludes continuously enrolled members with a documented initial HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year.						
same plan if an initial HRA was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. [Data Element 13.1] 2.e RSC-4.d RSC-4.d: Excludes continuously enrolled members with a documented initial HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year.						
same plan if an initial HRA was not performed prior to dis-enrollment and calculates the member's eligibility date starting from the date of re-enrollment. [Data Element 13.1] 2.e RSC-4.d RSC-4.d: Excludes continuously enrolled members with a documented initial HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year.	2.e	RSC-4.c	RSC-4.c: Includes members who dis-enrolled from and re-enrolled into the Da	ta Element 13.1	Review Results:	
2.e RSC-4.d RSC-4.d: Excludes continuously enrolled members with a documented initial HRAs, should be counted as new in the previous year. Data Element 13.1 Review Results: Review Results:				-		
2.e RSC-4.d RSC-4.d: Excludes continuously enrolled members with a documented initial HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year.						
HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year.			[Data Element 13.1]			
HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year.						
HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year.						
HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year.						
HRA that occurred under the plan during the previous year. These members, and their HRAs, should be counted as new in the previous year.		505 : :	PSC Adv Evolved	- Fl	Perform Permit	
and their HRAs, should be counted as new in the previous year.	2.e	RSC-4.d	l ·	ta Element 13.1	Keview Kesults:	
· · · · · · · · · · · · · · · · · · ·						
	•	1	·			

2.e	RSC-4.e	RSC-4.e: Excludes members who received an initial HRA but were subsequently deemed ineligible because they were never enrolled in the plan. [Data Element 13.1]	Data Element 13.1	Review Results:	
2.e	RSC-5	RSC-5: Organization data passes data integrity checks listed below:		Data Sources:	*
2.e	RSC-5.a	RSC-5.a: The number of initial HRAs performed on new enrollees (Data Element 13.3) does not exceed the number of new enrollees (Data Element 13.1). [Data Element 13.3]	Data Element 13.3	Review Results:	
2.e	RSC-5.b	RSC-5.b: The number of annual re-assessments performed (Data Element 13.6) does not exceed number of enrollees eligible for annual HRA (Data Element 13.2). [Data Element 13.6]	Data Element 13.6	Review Results:	
2.e	RSC-5.c	RSC-5.c: Number of initial HRAs refusals (Data Element 13.4) does not exceed number of new enrollees (Data Element 13.1). [Data Element 13.4]	Data Element 13.4	Review Results:	
2.e	RSC-5.d	RSC-5.d: Number of annual reassessment refusals (Data Element 13.7) does not exceed the number of enrollees eligible for an annual reassessment HRA (Data Element 13.2). [Data Element 13.7]	Data Element 13.7	Review Results:	
2.e	RSC-5.e	RSC-5.e: Number of initial HRAs where SNP is unable to reach enrollees (Data Element 13.5) does not exceed number of new enrollees (Data Element 13.1). [Data Element 13.5]	Data Element 13.5	Review Results:	
2.e	RSC-5.f	RSC-5.f: Number of annual reassessments where SNP is unable to reach enrollee (Data Element 13.8) does not exceed number of enrollees eligible for annual HRA (Data Element 13.2). [Data Element 13.8]	Data Element 13.8	Review Results:	
2.e	RSC-5.g	validate whether or not an internal procedure change was warranted or resubmission through HPMS.	Data Element 13.1 - 13.8	Review Results:	
2.e	RSC-6	RSC-6: Organization accurately calculates the number of members eligible for an reassessment during the reporting period, including the following criteria:		Data Sources:	*
2.e	RSC-6.a	RSC-6.a: Includes members who were enrolled in the same plan for more than 90 days after the effective date of enrollment in the same plan without receiving an initial HRA. [Data Element 13.2]	Data Element 13.2	Review Results:	

2.e	RSC-6.b	RSC-6.b: Includes members who remained continuously enrolled in the same plan for 365 days, starting from the initial day of enrollment if no initial HRA had been performed, or from the date of their previous HRA. [Data Element 13.2]	Data Element 13.2	Review Results:	
2.e	RSC-6.c	RSC-6.c: Includes members who received a reassessment during the measurement year within 365 days after their last HRA. [Data Element 13.2]	Data Element 13.2	Review Results:	
2.e	RSC-6.d	RSC-6.d: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HRA was performed within 90 days of re-enrollment and the member has continuously enrolled in the same plan for up to 365 days since the initial HRA. [Data Element 13.2]	Data Element 13.2	Review Results:	
2.e	RSC-6.e	RSC-6.e: Includes members who dis-enrolled from and re-enrolled into the same plan if an initial HRA or reassessment was not performed within 90 days of re-enrollment. The enrollee becomes eligible for a reassessment HRA the day after the 90-day initial period expires. [Data Element 13.2]	Data Element 13.2	Review Results:	
2.e	RSC-6.f	RSC-6.f. Excludes members who received a reassessment but were subsequently deemed ineligible because they were never enrolled in the plan. [Data Element 13.2]	Data Element 13.2	Review Results:	
2.e	RSC-6.g	RSC-6.g: Excludes members who were not continuously enrolled in their same health plan for 365 days after their last HRA and did not receive a reassessment HRA. [Data Element 13.2]	Data Element 13.2	Review Results:	
2.e	RSC-7	RSC-7: Organization accurately calculates the number of initial health risk assess new members, including the following criteria [Note to reviewer: CMS has not identified a standard tool that SNPs must use to annual health risk assessments. Reviewer should confirm that the SNP maintain each reported assessment.]:	complete initial and	Data Sources:	*
2.e	RSC-7.a	RSC-7.a: Includes only initial HRAs performed on new members within 90 days before or after the effective date of enrollment/re-enrollment. [Data Element 13.3]	Data Element 13.3	Review Results:	
2.e	RSC-7.b	enrollment occurred. For members who dis-enrolled from and re-enrolled into the same plan, excludes any HRAs (initial or reassessment) performed during their previous enrollment unless the re-enrollment occurred the day after the disenrollment. [Data Element 13.3]	Data Element 13.3	Review Results:	
2.e	RSC-7.c	plan, excludes any HRAs (initial or reassessment) performed during their previous enrollment unless the re-enrollment occurred the day after the disenrollment. [Data Element 13.3]	Data Element 13.3	Review Results:	
2.e	RSC-7.d	RSC-7.d: Counts only one HRA for members who have multiple HRAs within 90 days before or after the effective date of enrollment. [Data Element 13.3]	Data Element 13.3	Review Results:	

2.e	RSC-7.e	RSC-7.e: Excludes HRAs completed for members who were subsequently deemed ineligible because they were never enrolled in the plan. [Data Element 13.3]	nt 13.3 Review Results:
2.e	RSC-8	Organization accurately calculates the number of initial health risk assessments refusals, inc following criteria:	Data Sources: *
2.e	RSC-8.a	RSC-8.a: Includes only initial HRAs that were not performed within 90 days before or after the effective date of enrollment/re-enrollment due to enrollee refusal. [Data Element 13.4]	Review Results:
2.e	RSC-8.b	RSC-8.b: Includes only initial HRA refusals for which the SNP has Data Element documentation of enrollee refusal. [Data Element 13.4]	Review Results:
2.e	RSC-9	Organization accurately calculates the number of initial health risk assessments not perform SNP not being able to reach the enrollee, including the following criteria:	ed due to Data Sources: *
2.e	RSC-9.a	RSC-9.a: Includes only initial HRAs not performed for which the SNP has documentation showing that enrollee did not respond to the SNP's attempts to reach him/her. Documentation must show that the SNP made at least 3 phone calls and sent a follow-up letter in its attempts to reach the enrollee. [Data Element 13.5]	nt 13.5 Review Results:
2.e	RSC-9.b	RSC-9.b: Includes only those initial HRAs not performed where the SNP made an attempt to reach the enrollee, at least within 90 days (before or after) of the effective enrollment date. [Data Element 13.5]	Review Results:
2.e	RSC-10	RSC-10: Organization accurately calculates the number of annual health risk reassessments on members eligible for a reassessment, including the following criteria. [Note to reviewer: CMS has not identified a standard tool that SNPs must use to complete in annual health risk assessments. Reviewer should confirm that the SNP maintained document each reported assessment.]:	itial and
2.e	RSC-10.a	RSC-10.a: Includes annual HRA reassessments that were completed within 365 days of the member becoming eligible for a reassessment [Data Element 13.6]	Review Results:
2.e	RSC-10.b	RSC-10.b: Includes annual HRA reassessments within 365 days of the member's initial date of enrollment if the member did not receive an initial HRA within 90 days before or after the effective date of enrollment. [Data Element 13.6]	Review Results:
2.e	RSC-10.c	RSC-10.c: Includes only HRAs that were performed between 1/1 and 12/31 of the measurement year. [Data Element 13.6]	Review Results:

2.e	RSC-10.d	RSC-10.d: Counts only one HRA for members who have multiple reassessments within 365 days of becoming eligible for a reassessment. [Data Element 13.6]	Data Element 13.6	Review Results:	
2.e	RSC-10.e	RSC-10.e: Excludes HRAs completed for members who were subsequently deemed ineligible because they were never enrolled in the plan. [Data Element 13.6]	Data Element 13.6	Review Results:	
2.e	RSC-11	Organization accurately calculates the number of annual health risk reassessme members eligible for a reassessment due to enrollee refusal.	ents not performed on	Data Sources:	*
2.e	RSC-11.a	RSC-11.a: Only includes annual reassessments not performed due to enrollee refusal. [Data Element 13.7]	Data Element 13.7	Review Results:	
2.e	RSC-11.b	RSC-11.b: Includes only annual reassessments refusals for which the SNP has documentation of enrollee refusal. [Data Element 13.7]	Data Element 13.7	Review Results:	
2.e	RSC-12	Organization accurately calculates the number of annual health risk reassessme members eligible for a reassessment due to SNP not being able to reach enrolle		Data Sources:	*
2.e	RSC-12.a	RSC-12.a: Only includes annual reassessments not performed for which the SNP has documentation showing that the enrollee did not respond to the plan's attempts to reach him/her. Documentation must show that the SNP made at least 3 phone calls and sent a follow-up letter in its attempts to reach the enrollee. [Data Element 13.8]	Data Element 13.8	Review Results:	
3		Organization implements policies and procedures for data submission, includin		Data Sources:	*
3.a		Data elements are accurately entered/uploaded into CMS systems and entries match corresponding source documents.		Review Results:	
3.a			Data Element 13.2	Review Results:	
3.a			Data Element 13.3	Review Results:	

3.a		Data Elen	ement 13.4	Review Results:	
3.a		Data Elen	ement 13.5	Review Results:	
3.a		Data Flan	ement 13.6	Review Results:	
5.d			ement 15.0	Review Results.	
3.a		Data Elen	ement 13.7	Review Results:	
3.a		Data Elen	ement 13.8	Review Results:	
3.b		All source, intermediate, and final stage data sets and other outputs relied upon to enter	ur data into	Review Results:	
3.0		CMS systems are archived.	i uata iiito	neview nesults.	
4		Organization implements policies and procedures for periodic data system updates (e.g.,	, changes in	Review Results:	
		enrollment, provider/pharmacy status, claims adjustments).			
	<u> </u>				
5		Organization implements policies and procedures for archiving and restoring data in each	ch data system	Review Results:	
		(e.g., disaster recovery plan).			
6		If organization's data systems underwent any changes during the reporting period (e.g., a	as a result of a	Review Results:	
		merger, acquisition, or upgrade): Organization provided documentation on the data system			
		and, upon review, there were no issues that adversely impacted data reported.			
		· · · · · · · · · · · · · · · · · · ·			
7		If data collection and/or reporting for this reporting section is delegated to another entity		Review Results:	
		Organization regularly monitors the quality and timeliness of the data collected and/or re			
		the delegated entity or first tier/ downstream contractor.			
	· •		•		

Medication Therapy Management (MTM) Programs (Part D) 2017

Organization Name:	
Contract Number:	
Reporting Section:	Medication Therapy Management (MTM) Programs (Part D) 2017
Last Updated:	MM/DD/YYYY
Date of Site Visit:	
Name of Reviewer:	Last name, First name
Name of Peer Reviewer:	Last name, First name

1) In the "Data Sources and Review Results:" column, enter the review results and/or data sources used for each standard or sub-standard.

2) Enter "Y" if the requirements for the standard or sub-standard have been completely met. If any requirement for the standard or sub-standard has not been met, enter "N". If any standard or sub-standard does not apply, enter "N/A".

3) For standards 1c, 1d, 1e, 1g, 1h, and 2e, enter 'Findings' as follows based on the five-point scale: Select "1" if plan data has more than 20% error, select "2" if plan data has between 15.1% - 20.0% error, select "3" if plan data has between 10.1% - 15.0% error, select "4" if plan data has between 5.1% - 10.0% error, select "5" if plan data has less than or equal to a 5% error. Enter "N/A" if standard does not apply.

Standard/ Sub-standard ID	Redo Lite is 10	Standard/Sub-standard Description	Data Sources and Review Results: Enter review results and/or data sources	Enter 'Findings' using the applicable choice in the appropriate cells. Cells marked with an '*' should not be edited.
Note to reviewe	r: If the Part D sរុ	ponsor has no MTM members, then it is not required to report this data and data validat	tion is not required for this reporting section.	
1		A review of source documents (e.g., programming code, spreadsheet formulas, analysis plans, saved data queries, file layouts, process flows) indicates that all source documents accurately capture required data fields and are properly documented.	Data Sources:	*
1.a		Source documents are properly secured so that source documents can be retrieved at any time to validate the information submitted to CMS via CMS systems.	Review Results:	
1.b		Source documents create all required data fields for reporting requirements.	Review Results:	
1.c		Source documents are error-free (e.g., programming code and spreadsheet formulas have no messages or warnings indicating errors, use correct fields, have appropriate data selection, etc.).	Review Results:	
1.d		All data fields have meaningful, consistent labels (e.g., label field for patient ID as Patient ID, rather than Field1 and maintain the same field name across data sets).	Review Results:	
1.e		Data file locations are referenced correctly.	Review Results:	
1.f		If used, macros are properly documented.	Review Results:	
1.g		Source documents are clearly and adequately documented.	Review Results:	

			·
1.h		Titles and footnotes on reports and tables are accurate.	Review Results:
1.i		Version control of source documents is appropriately applied.	Review Results:
2		A review of source documents (e.g., programming code, spreadsheet formulas, analysis plans, saved data queries, file layouts, process flows) and census or sample data, whichever is applicable, indicates that data elements for each reporting section are accurately identified, processed, and calculated.	Data Sources: *
2.a	RSC-1	The appropriate date range(s) for the reporting period(s) is captured. Organization reports data based on the required reporting period of 1/1 through 12/31.	Review Results:
2.b	RSC-2	Data are assigned at the applicable level (e.g., plan benefit package or contract level). Organization properly assigns data to the applicable CMS contract.	Review Results:
2.c	RSC-3	Appropriate deadlines are met for reporting data (e.g., quarterly). Organization meets deadline for reporting annual data to CMS by 2/26/2018. [Note to reviewer: If the organization has, for any reason, re-submitted its data to CMS for this reporting section, the reviewer should verify that the organization's original data submissions met the CMS deadline in order to have a finding of "yes" for this reporting section criterion. However, if the organization re-submits data for any reason and if the re-submission was completed by 3/31 of the data validation year, the reviewer should use the organization's corrected data submission(s) for the rest of the reporting section criteria for this reporting section.]	Review Results:
2.d	RSC-4	Terms used are properly defined per CMS regulations, guidance and Reporting Requirements Technical Specifications. Organization properly defines the MTM program services per CMS definitions, such as Comprehensive Medication Review (CMR) with written summary and Targeted Medication Review (TMR) in accordance with the annual MTM Program Guidance and Submission memo posted on the CMS MTM web page. This includes applying all relevant guidance properly when performing its calculations and categorizations.	Review Results:
2.e	RSC-5	Organization data passes data integrity checks listed below:	Data Sources: *
2.e	RSC-5.a	RSC-5.a: Date of MTM program enrollment (Data Element I) is within the reporting period (between 1/1/2017 and 12/31/2017).	Review Results:
2.e	RSC-5.b	RSC-5.b: One record is entered for each unique beneficiary Data Element B i.e. only one record exists for a unique HICN or RRB number.	Review Results:

2.e	RSC-5.c	RSC-5.c: Only reports beneficiaries enrolled in the contract during the reporting period, i.e. HICN or RRB Number (Data Element B) maps to a beneficiary enrolled at any point during the reporting year for the given Contract Number (Data Element A).	Data Element B	Review Results:
2.e	RSC-5.d	RSC-5.d: CMR received date (Data Element Q) is within the beneficiary's MTM enrollment period.	Data Element Q	Review Results:
2.e	RSC-5.e	RSC - 5.e: If the beneficiary was identified as cognitively impaired at time of CMS offer or delivery (Data Element H = Yes), the beneficiary should have been offered a CMR (Data Element M = Yes).	Data Element M	Review Results:
2.e	RSC-5.f	RSC-5.f: If beneficiary was offered or received a CMR (Data Element M = Yes or Data Element O = Yes), the contract should report if beneficiary was cognitively impaired at time of CMR offer or delivery (Data Element H ≠ missing).	Data Element H	Review Results:
2.e	RSC-5.g	RSC-5.g: If beneficiary met the specified targeting criteria per CMS-Part D Requirements (Data Element G = Yes), then the contract should report the date the beneficiary met the specified targeting criteria (Data Element J ≠ missing).	Data Element J	Review Results:
2.e	RSC-5.h	RSC-5.h: If beneficiary did not meet the specified targeting criteria per CMS-Part D Requirements (Data Element G = No), then the field for 'date meets the specified targeting criteria' (Data Element J) should be missing.	Data Element J	Review Results:
2.e	RSC-5.i	RSC-5.i: If contract reports beneficiaries that were not eligible according to CMS-Part D Requirements (Data Element G = No), then Contract's MTM program submission information should indicate that contract uses expanded eligibility (Targeting Criteria for Eligibility in the MTMP ≠ Only enrollees who meet the specified targeting criteria per CMS requirements).	Data Element G	Review Results:
2.e	RSC-5.j	RSC-5.j: If beneficiary opted out (Data Element K ≠ missing) then contract should provide an opt-out reason (Data Element L should not be missing).	Data Element L	Review Results:
2.e	RSC-5.k	RSC-5.k: If the beneficiary did not opt-out (Data Element K = missing), the field for opt-out reason should be missing (Data Element L = missing).	Data Element L	Review Results:
2.e	RSC-5.l	RSC-5.I: Date of MTM program opt-out (Data Element K) should not be before the date of MTM program enrollment (Data Element I).	Data Element K	Review Results:

2.e	RSC-5.m	RSC-5.m: Date of (initial) CMR offer (Data Element N) should Data Element N either be between the beneficiary's MTM enrollment date (Data Element I) and 12/31/2017 or the beneficiary's opt out date (Data Element K).	Review Results:
2.e	RSC-5.n	RSC-5.n: If a CMR was offered (Data Element M = Yes), there Data Element N is also a reported offer date (Data Element N ≠ missing).	Review Results:
2.e	RSC-5.o	RSC-5.o: If a CMR was not offered (Data Element M = No), Data Element N there is no reported offer date (Data Element N = missing).	Review Results:
2.e	RSC-5.p	RSC-5.p: If a CMR was received (Data Element O = Yes), Data Element P there is a reported number of CMRs (Data Element P ≠ missing or > 0).	Review Results:
2.e	RSC-5.q	RSC-5.q: If no CMRs were received (Data Element O = No), Data Element P there are no reported number for CMRs (Data Element P = missing or 0).	Review Results:
2.e	RSC-5.r	RSC-5.r: If a CMR was received (Data Element O = Yes), there Data Element Q is a reported delivery date(s) (Data Element Q ≠ missing)	Review Results:
2.e	RSC-5.s	RSC-5.s: If a CMR was not received (Data Element O = No), Data Element Q there are no reported delivery date(s) (Data Element Q = missing).	Review Results:
2.e	RSC-5.t	RSC-5.t: If a CMR was received, then the Number of CMRs received (Data Element P) aligns with number of reported dates of CMRs (Data Element Q) [ex: If Data Element P = 4 then Data Element Q reports 2 CMR dates].	Review Results:
2.e	RSC-5.u	RSC-5.u: If records indicate that beneficiary received CMR Data Element M (Data Element O = Yes), then indicator for CMR offered (Data element M \neq No).	Review Results:
2.e	RSC-5.v	RSC-5.v: CMR offer date (Data Element N) is before the CMR Data Element N received date (Data Element Q).	Review Results:

	1			¬
2.e	RSC-5.w	RSC-5.w: If a CMR was received (Data Element O = Yes), there is a reported method of delivery (Data Element R ≠ missing).	Data Element R	Review Results:
2.e	RSC-5.x	RSC-5.x: If a CMR was not received (Data Element O = No), there is no reported method of CMR delivery (Data Element R = missing).	Data Element R	Review Results:
2.e	RSC-5.y	RSC-5.y: If a CMR was received Data Element (Data Element O = Yes), there is a reported provider who performed the CMR (Data Element S ≠ missing).	Data Element S	Review Results:
2.e	RSC-5.z	RSC-5.z: If a CMR was not received (Data Element O = No), there is no reported provider who performed the CMR (Data Element S = missing).	Data Element S	Review Results:
2.e	RSC-5.aa	RSC-5.aa: If a CMR was received (Data Element O = Yes), there is reported recipient of CMR (Data Element T ≠ missing).	Data Element T	Review Results:
2.e	RSC-5.bb	RSC-5.bb: If a CMR was not received (Data Element O = No), there is no reported recipient of CMR (Data Element T = missing).	Data Element T	Review Results:
2.e	RSC-5.cc	RSC-5.cc: If the organization received a CMS outlier/data integrity notice validate whether or not an internal procedure change was warranted or resubmission through HPMS.	Data Element A-T	Review Results:
2.e	RSC-6	The number of expected counts (e.g., number of members, or procedures) are verified; ranges of data fields are verified; a derived data fields) are verified; missing data has been propreporting output matches corresponding source documents code, saved queries, analysis plans); version control of reportant appropriately applied; QA checks/thresholds are applied to derroneous data prior to data submission.	Il calculations (e.g., erly addressed; (e.g., programming rted data elements is	Data Sources: *
2.e	RSC-6.a	RSC-6.a: Properly identifies and includes members who either met the specified targeting criteria per CMS Part D requirements or other expanded plan-specific targeting criteria at any time during the reporting period. [Data Elements B, C, D, E, F, G, H, I, J]	Data Element B	Review Results:
2.e	RSC-6.a		Data Element C	Review Results:

2.e	RSC-6.a		Data Element D	Review Results:	
2.e	RSC-6.a		Data Element E	Review Results:	
2.e	RSC-6.a		Data Element F	Review Results:	
2.0	11.50 0.0		Data Element	nerion results	
2.e	RSC-6.a		Data Element G	Review Results:	
۷.۲	∩3C-0.d		Data Elemient G	NEVIEW NESUILS.	
2.e	RSC-6.a		Data Element H	Review Results:	
2.e	RSC-6.a		Data Element I	Review Results:	
2.e	RSC-6.a		Data Element J	Review Results:	
1					
2.e	RSC-6.b	RSC-6.b: Includes the ingredient cost, dispensing fee, sales	Data Element G	Review Results:	
1		tax, and the vaccine administration fee (if applicable) when			
1		determining if the total annual cost of a member's covered			
		Part D drugs is likely to equal or exceed the specified annual			
		cost threshold for MTM program eligibility. [Data Element			
		G]			
2.e	RSC-6.c	RSC-6.c: Includes continuing MTM program members as well	Data Element R	Review Results:	
		as members who were newly identified and auto-enrolled in			
		the MTM program at any time during the reporting period.			
1		Deta Clements D. C. D. C. C. C. L. L.			
1		[Data Elements B, C, D, E, F, G, H, I, J]			
1					
1					
2.e	RSC-6.c		Data Element C	Review Results:	
۷.٠	1.50 0.0		Jaca Element C		
1					
1					
1					
1					
I	I				

2.e	RSC-6.c		Data Elements D	Review Results:	
2.e	RSC-6.c		Data Elements E	Review Results:	
z.e	RSC-b.C		Data Elements E	Review Results:	
2.e	RSC-6.c		Data Elements F	Review Results:	
2.e	RSC-6.c		Data Element G	Review Results:	
۷.۵	1,30-0.0		Data Liciniciit U	NETICAL MEDIUM.	
2.e	RSC-6.c		Data Element H	Review Results:	
2.e	RSC-6.c		Data Element I	Review Results:	
2.0	1136 0.6		Data Element 1	Neview Results.	
2.e	RSC-6.c		Data Element J	Review Results:	
			<u> </u>		
2.e	RSC-6.d	RSC-6.d: Includes and reports each targeted member,	Data Element B	Review Results:	
		reported once per contract year per contract file, based on			
		the member's most current HICN. [Data			
		Elements B, C, D, E, F, G, H, I, J]			
2.e	RSC-6.d	<u> </u>	Data Element C	Review Results:	
۷.٠٠	N3C-0.U		Data LICITICITE	INCAIGNA INGOMICO.	
	1				
			•		
					_
2.e	RSC-6.d		Data Element D	Review Results:	
2.e	RSC-6.d		Data Element D	Review Results:	
2.e	RSC-6.d		Data Element D	Review Results:	
2.e	RSC-6.d		Data Element D	Review Results:	
2.e	RSC-6.d		Data Element D	Review Results:	
2.e	RSC-6.d		Data Element D	Review Results:	
2.e	RSC-6.d		Data Element D	Review Results:	
2.e	RSC-6.d		Data Element D	Review Results:	
2.e	RSC-6.d		Data Element D	Review Results:	

2.e	RSC-6.d		Data Element E	Review Results:	
2.e	RSC-6.d		Data Element F	Review Results:	
2.e	RSC-6.d		Data Element G	Review Results:	
2.6	N3C-0.u		Data Element G	Review Results.	
2.e	RSC-6.d		Data Element H	Review Results:	
	1.50 0.0				
2.e	RSC-6.d		Data Element I	Review Results:	
2.e	RSC-6.d		Data Element J	Review Results:	
2.e	RSC-6.e	RSC-6.e: Excludes members deceased prior to their MTM	Data Element B	Review Results:	
		eligibility date. [Data Elements B, C, D, E, F, G, H, I, J]			
2.e	RSC-6.e		Data Element C	Review Results:	
۷.۲	N3C-0.e		Data Element C	REVIEW RESUITS.	
2.e	RSC-6.e		Data Element D	Review Results:	
	2.5.5				
	<u>L_</u> _				
2.e	RSC-6.e		Data Element E	Review Results:	

2.e	RSC-6.e		Data Element F	Review Results:	
2.e	RSC-6.e		Data Element G	Review Results:	
2.e	RSC-6.e		Data Element H	Review Results:	
2.0	NSC-0.E		Data Element II	Neview Nesures.	
2.e	RSC-6.e		Data Element I	Review Results:	
2.e	RSC-6.e		Data Element J	Review Results:	
2.e	RSC-6.f	RSC-6.f: Includes members who receive MTM services based	Data Floment P	Review Results:	
2.6	1.30-0.1	on plan-specific MTM criteria defined by the plan. [Data	Data Liement B	Neview Results.	
		Elements B, C, D, E, F, G, H, I, J]			
2.e	RSC-6.f		Data Element C	Review Results:	
2.e	RSC-6.f		Data Element D	Review Results:	
2.e	RSC-6.f		Data Element E	Review Results:	
-					
2.e	RSC-6.f		Data Element F	Review Results:	
		1			

2.e RSC-6.f Data Element G Review Results: 2.e RSC-6.f Data Element H Review Results:	
2.e RSC-6.f Data Element H Review Results:	
2.e RSC-6.f Data Element H Review Results:	
2.e RSC-6.f Data Element H Review Results:	
2.e RSC-6.f Data Element H Review Results:	
2.e RSC-6.f Data Element H Review Results:	
2.e RSC-6.f Data Element H Review Results:	
2.e RSC-6.f Data Element H Review Results:	
2.e RSC-6.f Data Element H Review Results:	
2.e RSC-6.f Data Element H Review Results:	
2.e RSC-6.f Data Element I Review Results:	
Data Element 1 Review Results.	
2.e RSC-6.f Data Element J Review Results:	
2.e RSC-6.g RSC-6.g: Properly identifies and includes members' date of Data Element I Review Results:	
MTM program enrollment (i.e., date they were	
automatically enrolled) that occurs within the reporting	
period. [Data Element I]	
2.e RSC-6.h RSC-6.h: For those members who met the specified Data Element J Review Results:	
targeting criteria per CMS Part D requirements, properly	
identifies the date the member met the specified targeting	
criteria. [Data Element J]	
2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	
2.e RSC-6.i: Includes members who moved between contracts in Data Element B Review Results:	
each corresponding file uploaded to Gentran. Dates of	
enrollment, disenrollment elements, and other elements	
(e.g., TMR/CMR data) are specific to the activity that	
occurred for the member within each contract. [Data	
Elements B, C, D, E, F, G, H, I, J]	
2.e RSC-6.i Data Element C Review Results:	
Data Liellielit C Neview Results.	
2.e RSC-6.i Data Element D Review Results:	
Lie nee on pata Element D neview Negation	
Lie Medica Results.	
2.5 Neview Results.	
Lie Not on Review Results.	
Local Review Results.	
The second secon	
The state of the s	<u> </u>
The second secon	
2.e RSC-6.i Data Element E Review Results:	

2.e	RSC-6.i		Data Element F	Review Results:	
2.e	RSC-6.i		Data Element G	Review Results:	
2.6	N3C-0.1		Data Element G	Review Results.	
2.e	RSC-6.i		Data Element H	Review Results:	
2.e	RSC-6.i		Data Element I	Review Results:	7
2.e	RSC-6.i		Data Element J	Review Results:	
	DCC C :		5 . 5		
2.e	RSC-6.j	RSC-6.j: Counts each member who disenrolls from and re-	Data Element B	Review Results:	
2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element B	Review Results:	
2.e	RSC-6.j		Data Element B	Review Results:	
2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element B	Review Results:	
2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element B	Review Results:	
2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element B	Review Results:	
2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element B	Review Results:	
2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element B	Review Results:	
		enrolls in the same contract once. [Data			
2.e 2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element B Data Element C	Review Results: Review Results:	
		enrolls in the same contract once. [Data			
		enrolls in the same contract once. [Data			
		enrolls in the same contract once. [Data			
		enrolls in the same contract once. [Data			
		enrolls in the same contract once. [Data			
		enrolls in the same contract once. [Data			
		enrolls in the same contract once. [Data			
2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element C	Review Results:	
		enrolls in the same contract once. [Data			
2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element C	Review Results:	
2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element C	Review Results:	
2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element C	Review Results:	
2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element C	Review Results:	
2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element C	Review Results:	
2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element C	Review Results:	
2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element C	Review Results:	
2.e 2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element C Data Element D	Review Results: Review Results:	
2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element C	Review Results:	
2.e 2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element C Data Element D	Review Results: Review Results:	
2.e 2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element C Data Element D	Review Results: Review Results:	
2.e 2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element C Data Element D	Review Results: Review Results:	
2.e 2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element C Data Element D	Review Results: Review Results:	
2.e 2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element C Data Element D	Review Results: Review Results:	
2.e 2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element C Data Element D	Review Results: Review Results:	
2.e 2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element C Data Element D	Review Results: Review Results:	
2.e 2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element C Data Element D	Review Results: Review Results:	
2.e 2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element D Data Element E	Review Results: Review Results:	
2.e 2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element C Data Element D	Review Results: Review Results:	
2.e 2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element D Data Element E	Review Results: Review Results:	
2.e 2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element D Data Element E	Review Results: Review Results:	
2.e 2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element D Data Element E	Review Results: Review Results:	
2.e 2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element D Data Element E	Review Results: Review Results:	
2.e 2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element D Data Element E	Review Results: Review Results:	
2.e 2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element D Data Element E	Review Results: Review Results:	
2.e 2.e	RSC-6.j	enrolls in the same contract once. [Data	Data Element D Data Element E	Review Results: Review Results:	

				. <u> </u>	
2.e	RSC-6.j		Data Element G	Review Results:	
	DCC C :		D . El		
2.e	RSC-6.j		Data Element H	Review Results:	
2.e	RSC-6.j		Data Element I	Review Results:	
2.e	DCC E:		Data Element J	Review Results:	
۷.e	RSC-6.j		Pata Element J	NEVIEW NESUILS.	
2.e	RSC-7	Organization accurately identifies MTM eligible who are cogr	nitively impaired at the	Data Sources:	*
		time of CMR offer or delivery of CMR and uploads it into Gen			
		following criteria:	,		
		Tonowing arterial			
2.0	DSC 7 o	DCC 7 as Dranarly identifies and includes whether each	Data Flament II	Paviau Pagulta	
2.e	RSC-7.a		Data Element H	Review Results:	
2.e	RSC-7.a	member was cognitively impaired and reports this status as	Data Element H	Review Results:	
2.e	RSC-7.a	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data	Data Element H	Review Results:	
2.e	RSC-7.a	member was cognitively impaired and reports this status as	Data Element H	Review Results:	
2.e	RSC-7.a	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data	Data Element H	Review Results:	
2.e	RSC-7.a	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data	Data Element H	Review Results:	
2.e	RSC-7.a	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data	Data Element H	Review Results:	
2.e	RSC-7.a	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data	Data Element H	Review Results:	
		member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H]			
2.e 2.e	RSC-7.a	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data		Review Results: Data Sources:	*
		member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members w	ho opted-out of	Data Sources:	*
		member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran	ho opted-out of	Data Sources:	*
		member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members w	ho opted-out of	Data Sources:	*
		member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran	ho opted-out of	Data Sources:	*
		member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran	ho opted-out of	Data Sources:	*
		member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran	ho opted-out of	Data Sources:	*
		member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran	ho opted-out of	Data Sources:	*
		member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran	ho opted-out of	Data Sources:	*
2.e	RSC-8	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria:	tho opted-out of including the following	Data Sources:	*
		member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of	ho opted-out of	Data Sources:	*
2.e	RSC-8	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting	tho opted-out of including the following	Data Sources:	*
2.e	RSC-8	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of	tho opted-out of including the following	Data Sources:	*
2.e	RSC-8	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting	tho opted-out of including the following	Data Sources:	*
2.e	RSC-8	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting	tho opted-out of including the following	Data Sources:	*
2.e	RSC-8	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting	tho opted-out of including the following	Data Sources:	*
2.e	RSC-8	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting	tho opted-out of including the following	Data Sources:	*
2.e	RSC-8	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting	tho opted-out of including the following	Data Sources:	*
2.e 2.e	RSC-8	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting period, but prior to 12/31. [Data Element K]	pho opted-out of an including the following Data Element K	Data Sources: Review Results:	*
2.e	RSC-8	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting period, but prior to 12/31. [Data Element K]	tho opted-out of including the following	Data Sources:	*
2.e 2.e	RSC-8	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting period, but prior to 12/31. [Data Element K] RSC-8.b: Properly identifies and includes the reason participant opted-out of the MTM program for every	pho opted-out of an including the following Data Element K	Data Sources: Review Results:	*
2.e 2.e	RSC-8	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting period, but prior to 12/31. [Data Element K]	pho opted-out of an including the following Data Element K	Data Sources: Review Results:	*
2.e 2.e	RSC-8	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting period, but prior to 12/31. [Data Element K] RSC-8.b: Properly identifies and includes the reason participant opted-out of the MTM program for every applicable member with an opt-out date completed (death,	pho opted-out of an including the following Data Element K	Data Sources: Review Results:	*
2.e 2.e	RSC-8	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting period, but prior to 12/31. [Data Element K] RSC-8.b: Properly identifies and includes the reason participant opted-out of the MTM program for every applicable member with an opt-out date completed (death, disenrollment, request by member, other reason). [Data	pho opted-out of an including the following Data Element K	Data Sources: Review Results:	*
2.e 2.e	RSC-8	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting period, but prior to 12/31. [Data Element K] RSC-8.b: Properly identifies and includes the reason participant opted-out of the MTM program for every applicable member with an opt-out date completed (death,	pho opted-out of an including the following Data Element K	Data Sources: Review Results:	*
2.e 2.e	RSC-8	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting period, but prior to 12/31. [Data Element K] RSC-8.b: Properly identifies and includes the reason participant opted-out of the MTM program for every applicable member with an opt-out date completed (death, disenrollment, request by member, other reason). [Data	pho opted-out of an including the following Data Element K	Data Sources: Review Results:	*
2.e 2.e	RSC-8	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting period, but prior to 12/31. [Data Element K] RSC-8.b: Properly identifies and includes the reason participant opted-out of the MTM program for every applicable member with an opt-out date completed (death, disenrollment, request by member, other reason). [Data	pho opted-out of an including the following Data Element K	Data Sources: Review Results:	*
2.e 2.e	RSC-8	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting period, but prior to 12/31. [Data Element K] RSC-8.b: Properly identifies and includes the reason participant opted-out of the MTM program for every applicable member with an opt-out date completed (death, disenrollment, request by member, other reason). [Data	pho opted-out of an including the following Data Element K	Data Sources: Review Results:	*
2.e 2.e	RSC-8.a	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting period, but prior to 12/31. [Data Element K] RSC-8.b: Properly identifies and includes the reason participant opted-out of the MTM program for every applicable member with an opt-out date completed (death, disenrollment, request by member, other reason). [Data Element L]	Data Element L	Data Sources: Review Results: Review Results:	*
2.e 2.e	RSC-8	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting period, but prior to 12/31. [Data Element K] RSC-8.b: Properly identifies and includes the reason participant opted-out of the MTM program for every applicable member with an opt-out date completed (death, disenrollment, request by member, other reason). [Data Element L]	pho opted-out of an including the following Data Element K	Data Sources: Review Results:	*
2.e 2.e	RSC-8.a	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting period, but prior to 12/31. [Data Element K] RSC-8.b: Properly identifies and includes the reason participant opted-out of the MTM program for every applicable member with an opt-out date completed (death, disenrollment, request by member, other reason). [Data Element L] RSC-8.c: Excludes members who refuse or decline individual services without opting-out (disenrolling) from the MTM	Data Element L	Data Sources: Review Results: Review Results:	*
2.e 2.e	RSC-8.a	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting period, but prior to 12/31. [Data Element K] RSC-8.b: Properly identifies and includes the reason participant opted-out of the MTM program for every applicable member with an opt-out date completed (death, disenrollment, request by member, other reason). [Data Element L]	Data Element L	Data Sources: Review Results: Review Results:	*
2.e 2.e	RSC-8.a	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting period, but prior to 12/31. [Data Element K] RSC-8.b: Properly identifies and includes the reason participant opted-out of the MTM program for every applicable member with an opt-out date completed (death, disenrollment, request by member, other reason). [Data Element L] RSC-8.c: Excludes members who refuse or decline individual services without opting-out (disenrolling) from the MTM	Data Element L	Data Sources: Review Results: Review Results:	*
2.e 2.e	RSC-8.a	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting period, but prior to 12/31. [Data Element K] RSC-8.b: Properly identifies and includes the reason participant opted-out of the MTM program for every applicable member with an opt-out date completed (death, disenrollment, request by member, other reason). [Data Element L] RSC-8.c: Excludes members who refuse or decline individual services without opting-out (disenrolling) from the MTM	Data Element L	Data Sources: Review Results: Review Results:	*
2.e 2.e	RSC-8.a	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting period, but prior to 12/31. [Data Element K] RSC-8.b: Properly identifies and includes the reason participant opted-out of the MTM program for every applicable member with an opt-out date completed (death, disenrollment, request by member, other reason). [Data Element L] RSC-8.c: Excludes members who refuse or decline individual services without opting-out (disenrolling) from the MTM	Data Element L	Data Sources: Review Results: Review Results:	*
2.e 2.e	RSC-8.a	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting period, but prior to 12/31. [Data Element K] RSC-8.b: Properly identifies and includes the reason participant opted-out of the MTM program for every applicable member with an opt-out date completed (death, disenrollment, request by member, other reason). [Data Element L] RSC-8.c: Excludes members who refuse or decline individual services without opting-out (disenrolling) from the MTM	Data Element L	Data Sources: Review Results: Review Results:	*
2.e 2.e	RSC-8.a	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting period, but prior to 12/31. [Data Element K] RSC-8.b: Properly identifies and includes the reason participant opted-out of the MTM program for every applicable member with an opt-out date completed (death, disenrollment, request by member, other reason). [Data Element L] RSC-8.c: Excludes members who refuse or decline individual services without opting-out (disenrolling) from the MTM	Data Element L	Data Sources: Review Results: Review Results:	*
2.e 2.e	RSC-8.a	member was cognitively impaired and reports this status as of the date of the CMR offer or delivery of CMR. [Data Element H] RSC-8: Organization accurately identifies data on members we enrollment in the MTM program and uploads it into Gentran criteria: RSC-8.a: Properly identifies and includes members' date of MTM program opt-out that occurs within the reporting period, but prior to 12/31. [Data Element K] RSC-8.b: Properly identifies and includes the reason participant opted-out of the MTM program for every applicable member with an opt-out date completed (death, disenrollment, request by member, other reason). [Data Element L] RSC-8.c: Excludes members who refuse or decline individual services without opting-out (disenrolling) from the MTM	Data Element L	Data Sources: Review Results: Review Results:	*

			_		
2.e	RSC-8.c		Data Elements L	Review Results:	
2.e	RSC-8.d	RSC-8.d: Excludes members who disenroll from and re-enroll	Data Flements K	Review Results:	
2.0	1.50 0.0	in the same contract regardless of the duration of if the gap	Data Elements K	Neview Nesults.	
		of MTM program enrollment [Data Elements K,L]			
		or write program emoniment [Data Liements K,L]			
2.e	RSC-8.d		Data Elements L	Review Results:	
2.e	RSC-9	RSC-9: Organization accurately identifies data on CMR offers	and uploads it into	Data Sources:	*
		Gentran, including the following criteria:			
2.0	DSC 0 a	BSC 0 as Branarly identifies and includes MTM program	Data Element M	Poviou Poculto	
2.e	RSC-9.a		Data Element IVI	Review Results:	
		members who were offered a CMR per CMS Part D			
		requirements during the reporting period. [Data Element			
		M]			
2.e	RSC-9.b		Data Element N	Review Results:	
		initial offer of a CMR that occurs within the reporting period.			
		[Data Element N]			
2.e	RSC-10	RSC-10 Organization accurately identifies data on CMR dates	and uploads it into	Data Sources:	*
		Gentran, including the following criteria:	·		
		, 3			
2.e	RSC-10.a	RSC-10.a: Properly identifies and includes the number of	Data Elements O	Review Results:	
۷.۲	NOC-TU.d	CMRs the member received, if applicable, with written	Pata Liements U	NEVIEW NESULES.	
		summary in CMS standardized format. [Data			
		Elements O, P]			
2.e	RSC-10.a		Data Elements P	Review Results:	
2.e	RSC-10.b	RSC-10.b: Properly identifies and includes the date(s) (up to	Data Element Q	Review Results:	
		two) the member received a CMR, if applicable. The date			
		occurs within the reporting period, is completed for every			
		member with a "Y" entered for Field Name "Received annual			
		CMR with written summary in CMS standardized format,"			
		and if more than one comprehensive medication review			
		occurred, includes the date of the first CMR and last CMR.			
1		[Data Element Q]			
		,	Ī		

2.e	RSC-10.c	RSC-10.c: Properly identifies and includes the method of delivery for the initial CMR received by the member; if more than one CMR is received, the method of delivery for only the initial CMR is reported. The method of delivery must be reported as one of the following: Face-to-Face, Telephone, Telehealth Consultation, or Other. [Data Element R]	Data Element R	Review Results:	
2.e	RSC-10.d	RSC-10.d: Properly identifies and includes the qualified provider who performed the initial CMR; if more than one CMR is received, the qualified provider for only the initial CMR is reported. The qualified provider must be reported as one of the following: Physician, Registered Nurse, Licensed Practical Nurse, Nurse Practitioner, Physician's Assistant, Local Pharmacist, LTC Consultant Pharmacist, Plan Sponsor Pharmacist, Plan Benefit Manager (PBM) _Pharmacist, MTM Vendor Local Pharmacist, MTM Vendor In-house Pharmacist, Hospital Pharmacist, Pharmacist — Other, or Other. [Data Element S]		Review Results:	
2.e	RSC-10.e		Data Element T	Review Results:	
2.e	RSC-11	RSC-11: Organization accurately identifies data on MTM drug recommendations and uploads it into Gentran, including the		Data Sources:	*
2.e	RSC-11.a	RSC-11.a: Properly identifies and includes all targeted medication reviews within the reporting period for each applicable member. [Data Element U]	Data Element U	Review Results:	
2.e	RSC-11.b	RSC-11.b: Properly identifies and includes the number of drug therapy problem recommendations made to beneficiary's prescriber(s) as a result of MTM services within the reporting period for each applicable member, regardless of the success or result of the recommendations, and counts these recommendations based on the number of unique recommendations made to prescribers (e.g., the number is not equal to the total number of prescribers that received drug therapy problem recommendations from the organization). Organization counts each individual drug therapy problem identified per prescriber recommendation (e.g., if the organization sent a prescriber a fax identifying 3 drug therapy problems for a member, this is reported as 3 recommendations). [Data Element V]	Data Element V	Review Results:	
2.e	RSC-11.c	drug therapy problem resolutions resulting from recommendations made to beneficiary's prescriber(s) as a result of MTM program services within the reporting period for each applicable member. For reporting purposes, a resolution is defined as a change or variation from the beneficiary's previous drug therapy. Examples include, but is not limited to Initiate drug, change drug (such as product in different therapeutic class, dose, dosage form, quantity, or interval), discontinue or substitute drug (such as discontinue drug, generic substitution, or formulary substitution), and Medication compliance/adherence. [Note to reviewer: If the resolution was observed in the calendar year after the current reporting period, but was the result of an MTM recommendation made within the current reporting period, the resolution may be reported for the current reporting period. However, this resolution cannot be reported again in the following reporting period.	Data Element W	Review Results:	
3		IData Element W1 Organization implements policies and procedures for data su following:	bmission, including the	Data Sources:	*

	T	_	1	
3.a		Data Element A	Review Results:	
3.a		Data Element B	Review Results:	
3.a		Data Element C	Review Results:	
			<u> </u>	
3.a		 Data Element D	Review Results:	
3.a		Data Element E	Review Results:	
3.a		Data Element F	Review Results:	
		5 . 5		
3.a		Data Element G	Review Results:	
2.5	1	Data Flore and 11	Poviou Posulto	
3.a		Data Element H	Review Results:	
3.a		Data Element I	Review Results:	
J.d		Pata LICIIICIIL I	INCAICAN INCOMITS.	
3.a		Data Element J	Review Results:	
]				
		 <u> </u>	<u></u>	
	·			

2			
3.a Data Hernant M Review Results: 3.a Data Clement N Review Results: 3.a Data Clement O Review Results: 3.a Data Planant C Review Results: 3.a Data Planant C Review Results: 5.a Data Clement N Review Results: 8.a Data Clement N Review Results: 8.a Data Clement N Review Results:	3.a	Data Element K	Review Results:
3.a Data Demons N Review Results: Data Demons N Review Results:			
3.a Data Demons N Review Results: Data Demons N Review Results:			
3.a Data Demons N Review Results: Data Demons N Review Results:			
3.a Data Demons N Review Results: Data Demons N Review Results:			
3.a Data Demons N Review Results: Data Demons N Review Results:			
3.a Data Demons N Review Results: Data Demons N Review Results:			
3.a Data Demons N Review Results: Data Demons N Review Results:			
3.a Data Demons N Review Results: Data Demons N Review Results:			
S.a. Carla Florecost M. Review Results: S.a. Carla Florecost O. Review Results: A.a. Corla Libraria 4 Review Results: A.a. Corla Libraria 4 Review Results: A.a. Corla Libraria 4 Review Results: A.a. Corla Libraria 5 Review Results: Data Clare et 8 Review Results:	3.a	Data Element L	Review Results:
3.a Data Elament O Review Results: 3.a Data Elament O Review Results: 3.a Data Elament P Review Results: 3.a Data Elament P Review Results: 3.a Data Elament P Review Results: 8 Review Results: 8 Review Results: 9 Data Elament R Review Results: 1.a Data Elament R Review Results: 8 Review Results: 1.a Data Elament R Review Results:			
3.a Data Elament O Review Results: 3.a Data Elament O Review Results: 3.a Data Elament P Review Results: 3.a Data Elament P Review Results: 3.a Data Elament P Review Results: 8 Review Results: 8 Review Results: 9 Data Elament R Review Results: 1.a Data Elament R Review Results: 8 Review Results: 1.a Data Elament R Review Results:			
3.a Data Elament O Review Results: 3.a Data Elament O Review Results: 3.a Data Elament P Review Results: 3.a Data Elament P Review Results: 3.a Data Elament P Review Results: 8 Review Results: 8 Review Results: 9 Data Elament R Review Results: 1.a Data Elament R Review Results: 8 Review Results: 1.a Data Elament R Review Results:			
3.a Data Elament O Review Results: 3.a Data Elament O Review Results: 3.a Data Elament P Review Results: 3.a Data Elament P Review Results: 3.a Data Elament P Review Results: 8 Review Results: 8 Review Results: 9 Data Elament R Review Results: 1.a Data Elament R Review Results: 8 Review Results: 1.a Data Elament R Review Results:			
3.a Data Elament O Review Results: 3.a Data Elament O Review Results: 3.a Data Elament P Review Results: 3.a Data Elament P Review Results: 3.a Data Elament P Review Results: 8 Review Results: 8 Review Results: 9 Data Elament R Review Results: 1.a Data Elament R Review Results: 8 Review Results: 1.a Data Elament R Review Results:			
3.a Data Elament O Review Results: 3.a Data Elament O Review Results: 3.a Data Elament P Review Results: 3.a Data Elament P Review Results: 3.a Data Elament P Review Results: 8 Review Results: 8 Review Results: 9 Data Elament R Review Results: 1.a Data Elament R Review Results: 8 Review Results: 1.a Data Elament R Review Results:			
3.a Data Elament O Review Results: 3.a Data Elament O Review Results: 3.a Data Elament P Review Results: 3.a Data Elament P Review Results: 3.a Data Elament P Review Results: 8 Review Results: 8 Review Results: 9 Data Elament R Review Results: 1.a Data Elament R Review Results: 8 Review Results: 1.a Data Elament R Review Results:			
3.a Data Elament O Review Results: 3.a Data Elament O Review Results: 3.a Data Elament P Review Results: 3.a Data Elament P Review Results: 3.a Data Elament P Review Results: 8 Review Results: 8 Review Results: 9 Data Elament R Review Results: 1.a Data Elament R Review Results: 8 Review Results: 1.a Data Elament R Review Results:			
3.a Data Elament O Review Results: 3.a Data Elament O Review Results: 3.a Data Elament P Review Results: 3.a Data Elament P Review Results: 3.a Data Elament P Review Results: 8 Review Results: 8 Review Results: 9 Data Elament R Review Results: 1.a Data Elament R Review Results: 8 Review Results: 1.a Data Elament R Review Results:	3.a	Data Element M	Review Results:
3.a Dola Element P Review Results: 3.a Dola Element P Review Results: 3.a Dola Element R Review Results: 3.a Dola Element R Review Results: 3.a Dola Element R Review Results:			
3.a Dola Element P Review Results: 3.a Dola Element P Review Results: 3.a Dola Element R Review Results: 3.a Dola Element R Review Results: 3.a Dola Element R Review Results:			
3.a Dola Element P Review Results: 3.a Dola Element P Review Results: 3.a Dola Element R Review Results: 3.a Dola Element R Review Results: 3.a Dola Element R Review Results:			
3.a Dola Element P Review Results: 3.a Dola Element P Review Results: 3.a Dola Element R Review Results: 3.a Dola Element R Review Results: 3.a Dola Element R Review Results:			
3.a Dola Element P Review Results: 3.a Dola Element P Review Results: 3.a Dola Element R Review Results: 3.a Dola Element R Review Results: 3.a Dola Element R Review Results:			
3.a Dola Element P Review Results: 3.a Dola Element P Review Results: 3.a Dola Element R Review Results: 3.a Dola Element R Review Results: 3.a Dola Element R Review Results:			
3.a Dola Element P Review Results: 3.a Dola Element P Review Results: 3.a Dola Element R Review Results: 3.a Dola Element R Review Results: 3.a Dola Element R Review Results:			
3.a Dola Element P Review Results: 3.a Dola Element P Review Results: 3.a Dola Element R Review Results: 3.a Dola Element R Review Results: 3.a Dola Element R Review Results:			
3.a Dola Element P Review Results: 3.a Dola Element P Review Results: 3.a Dola Element R Review Results: 3.a Dola Element R Review Results: 3.a Dola Element R Review Results:	3.a	Data Element N	Review Results:
3.a Oata Element Q Review Results: Oata Element Q Review Results: Oata Element R Review Results: Review Results: Review Results: Review Results:			
3.a Oata Element Q Review Results: Oata Element Q Review Results: Oata Element R Review Results: Review Results: Review Results: Review Results:			
3.a Oata Element Q Review Results: Oata Element Q Review Results: Oata Element R Review Results: Review Results: Review Results: Review Results:			
3.a Oata Element Q Review Results: Oata Element Q Review Results: Oata Element R Review Results: Review Results: Review Results: Review Results:			
3.a Oata Element Q Review Results: Oata Element Q Review Results: Oata Element R Review Results: Review Results: Review Results: Review Results:			
3.a Oata Element Q Review Results: Oata Element Q Review Results: Oata Element R Review Results: Review Results: Review Results: Review Results:			
3.a Oata Element Q Review Results: Oata Element Q Review Results: Oata Element R Review Results: Review Results: Review Results: Review Results:			
3.a Oata Element Q Review Results: Oata Element Q Review Results: Oata Element R Review Results: Review Results: Review Results: Review Results:			
3.a Oata Element Q Review Results: Oata Element Q Review Results: Oata Element R Review Results: Review Results: Review Results: Review Results:	3.a	Data Element O	Review Results:
3.a Data Element Q Review Results: 3.a Data Element R Review Results: Data Element R Review Results:			
3.a Data Element Q Review Results: 3.a Data Element R Review Results: Data Element R Review Results:			
3.a Data Element Q Review Results: 3.a Data Element R Review Results: Data Element R Review Results:			
3.a Data Element Q Review Results: 3.a Data Element R Review Results: Data Element R Review Results:			
3.a Data Element Q Review Results: 3.a Data Element R Review Results: Data Element R Review Results:			
3.a Data Element Q Review Results: 3.a Data Element R Review Results: Data Element R Review Results:			
3.a Data Element Q Review Results: 3.a Data Element R Review Results: Data Element R Review Results:			
3.a Data Element Q Review Results: 3.a Data Element R Review Results: Data Element R Review Results:			
3.a Data Element R Review Results: 3.a Data Element S Review Results:	3.a	Data Element P	Review Results:
3.a Data Element R Review Results: 3.a Data Element S Review Results:			
3.a Data Element R Review Results: 3.a Data Element S Review Results:			
3.a Data Element R Review Results: 3.a Data Element S Review Results:			
3.a Data Element R Review Results: 3.a Data Element S Review Results:			
3.a Data Element R Review Results: 3.a Data Element S Review Results:			
3.a Data Element R Review Results: 3.a Data Element S Review Results:			
3.a Data Element R Review Results: 3.a Data Element S Review Results:			
3.a Data Element R Review Results: 3.a Data Element S Review Results:	2.0	Data Flament O	Povious Popultos
3.a Data Element S Review Results:	3.d	Data Eleffient Q	Review Results.
3.a Data Element S Review Results:			
3.a Data Element S Review Results:			
3.a Data Element S Review Results:			
3.a Data Element S Review Results:			
3.a Data Element S Review Results:			
3.a Data Element S Review Results:			
3.a Data Element S Review Results:			
3.a Data Element S Review Results:	2 2	Data Flement D	Raview Results:
	J.a	Data LICHICHT N	NEVICVY NEGATIO.
	3.a	Data Element S	Review Results:
3.a Data Element T Review Results:			
3.a Data Element T Review Results:			
3.a Data Element T Review Results:			
3.a Data Element T Review Results:			
3.a Data Element T Review Results:			
3.a Data Element T Review Results:			
3.a Data Element T Review Results:			
3.a Data Element T Review Results:			
	3.a	Data Element T	Review Results:
	[

3.a	Data Eleme	Review Results:
3.a	Data Eleme	t ∨ Review Results:
3.a	Data Eleme	t W Review Results:
3.b	All source, intermediate, and final stage data sets and other outputs relie enter data into CMS systems are archived.	upon to Review Results:
4	Organization implements policies and procedures for periodic data syster (e.g., changes in enrollment, provider/pharmacy status, and claims adjust	
5	Organization implements policies and procedures for archiving and restore each data system (e.g., disaster recovery plan).	Review Results:
6	If organization's data systems underwent any changes during the reportir (e.g., as a result of a merger, acquisition, or upgrade): Organization provid documentation on the data system changes and, upon review, there were that adversely impacted data reported.	ed
7	If data collection and/or reporting for this reporting section is delegated to entity: Organization regularly monitors the quality and timeliness of the collected and/or reported by the delegated entity or first tier/ downstrea contractor.	nta l

Grievances (Part D) 2017

· · · · · · · · · · · · · · · · · · ·	·
Organization Name:	
Contract Number:	
Reporting Section:	Grievances (Part D) 2017
Last Updated:	MM/DD/YYYY
Date of Site Visit:	
Name of Reviewer:	Last name, First name
Name of Peer Reviewer:	Last name, First name

 In the "Data Sources and Review Results:" column, enter the review results and/or data sources used for each standard or sub-standard.

2) Enter "Y" if the requirements for the standard or sub-standard have been completely met. If any requirement for the standard or sub-standard has not been met, enter "N". If any standard or sub-standard does not apply, enter "N/A".

3) For standards 1c, 1d, 1e, 1g, 1h, and 2e, enter 'Findings' as follows based on the five-point scale: Select "1" if plan data has more than 20% error, select "2" if plan data has between 15.1% - 20.0% error, select "3" if plan data has between 10.1% - 15.0% error, select "4" if plan data has between 5.1% - 10.0% error, select "5" if plan data has less than or equal to a 5% error. Enter "N/A" if standard does not apply.

Standard/ Sub-standard ID	Redo Lite io	Standard/Sub-standard Description	Data Sources and Review Results: Enter review results and/or data sources	Enter 'Findings' using the applicable choice in the appropriate cells. Cells marked with an '*' should not be edited.
1		A review of source documents (e.g., programming code, spreadsheet formulas, analysis plans, saved data queries, file layouts, process flows) indicates that all source documents accurately capture required data fields and are properly documented.	Data Sources:	*
1.a		Source documents are properly secured so that source documents can be retrieved at any time to validate the information submitted to CMS via CMS systems.	Review Results:	
1.b		Source documents create all required data fields for reporting requirements.	Review Results:	
1.c		Source documents are error-free (e.g., programming code and spreadsheet formulas have no messages or warnings indicating errors, use correct fields, have appropriate data selection, etc.).	Review Results:	
1.d		All data fields have meaningful, consistent labels (e.g., label field for patient ID as Patient_ID, rather than Field1 and maintain the same field name across data sets).	Review Results:	
1.e		Data file locations are referenced correctly.	Review Results:	
1.f		If used, macros are properly documented.	Review Results:	
1.g		Source documents are clearly and adequately documented.	Review Results:	

		T	1
1.h		Titles and footnotes on reports and tables are accurate.	Review Results:
1.i		Version control of source documents is appropriately applied.	Review Results:
2		A review of source documents (e.g., programming code, spreadsheet formulas, analysis plans, saved data queries, file layouts, process flows) and census or sample data, whichever is applicable, indicates that data elements for each reporting section are accurately identified, processed, and calculated.	Data Sources: *
2.a	RSC-1	The appropriate date range(s) for the reporting period(s) is captured. Organization reports data based on the periods of 1/1 through 3/31, 4/1 through 6/30, 7/1 through 9/30, and 10/1 through 12/31.	Review Results:
2.b	RSC-2	Data are assigned at the applicable level (e.g., plan benefit package or contract level). Organization properly assigns data to the applicable CMS contract.	Review Results:
2.c	RSC-3	Appropriate deadlines are met for reporting data (e.g., quarterly). Organization meets deadlines for reporting data to CMS by 2/5/2018. [Note to reviewer: If the organization has, for any reason, re-submitted its data to CMS for this reporting section, the reviewer should verify that the organization's original data submissions met the CMS deadline in order to have a finding of "yes" for this reporting section criterion. However, if the organization re-submits data for any reason and if the re-submission was completed by 3/31 of the data validation year, the reviewer should use the organization's corrected data submission(s) for the rest of the reporting section criteria for this reporting section.]	Review Results:
2.d	RSC-4	Terms used are properly defined per CMS regulations, guidance and Reporting Requirements Technical Specifications. Organization properly defines the term "Grievance" in accordance with 42 CFR §423.564 and the Prescription Drug Benefit Manual Chapter 18, Sections 10 and 20. This includes applying all relevant guidance properly when performing its calculations and categorizations. Requests for coverage determinations, exceptions, or redeterminations are not categorized as grievances.	Review Results:
2.e	RSC-5	Organization data passes data integrity checks listed below:	Data Sources: *
2.e	RSC-5.a	RSC-5.a: Total grievances (Data Element A) is equal to the sum of grievances by reason (Data Element F + Data Element H F, H, J, L, N, P, R, T, + Data lement J + Data Element L + Data Element N + Data V Element P + Data Element R + Data Element T + Data Element V).	Review Results:
2.e	RSC-5.b	RSC-5.b: Total grievances in which timely notification was given (Data Element B) is equal to the sum of grievances in G, I, K, M, O, Q, S, which timely notification was given by reason (Data Element G + Data Element I + Data Element K + Data Element M + Data Element O + Data Element Q + Data Element S + Data Element U + Data Element W).	Review Results:

2.e	RSC-5.c	RSC-5.c: Number of expedited grievances (Data Element C) does not exceed total grievances (Data Element A).	Data Element C	Review Results:
2.e	RSC-5.d	RSC-5.d: Number of expedited grievances in which timely notification was given (Data Element D) does not exceed total expedited grievances (Data Element C).	Data Element D	Review Results:
2.e	RSC-5.e	RSC-5.e: Number of dismissed grievances (Data Element E).	Data Element E	Review Results:
2.e	RSC-5.f	RSC-5.f: Number of enrollment/disenrollment grievances in which timely notification was given (Data Element G) does not exceed total enrollment/disenrollment grievances (Data Element F).	Data Element G	Review Results:
2.e	RSC-5.g	RSC-5.g: Number of plan benefit grievances in which timely notification was given (Data Element I) does not exceed total plan benefit grievances (Data Element H).	Data Element I	Review Results:
2.e	RSC-5.h	RSC-5.h: Number of pharmacy access grievances in which timely notification was given (Data Element K) does not exceed total pharmacy access grievances (Data Element J).	Data Element K	Review Results:
2.e	RSC-5.i	RSC-5.i: Number of marketing grievances in which timely notification was given (Data Element M) does not exceed total marketing grievances (Data Element L).	Data Element M	Review Results:
2.e	RSC-5.j	RSC-5.j: Number of customer service grievances in which timely notification was given (Data Element O) does not exceed total customer service grievances (Data Element N).	Data Element O	Review Results:
2.e	RSC-5.k	RSC-5.k: Number of coverage determination and redetermination process grievances in which timely notification was given (Data Element Q) does not exceed total coverage determination and redetermination process grievances (Data Element P).	Data Element Q	Review Results:
2.e	RSC-5.I	RSC-5.l: Number of quality of care grievances in which timely notification was given (Data Element S) does not exceed total quality of care grievances (Data Element R).	Data Element S	Review Results:

2.e	RSC-5.m	RSC-5.m: Number of CMS issue grievances in which timely notification was given (Data Element U) does not exceed total CMS issue grievances (Data Element T).	Data Element U	Review Results:	
2.e	RSC-5.n	RSC-5.n: Number of other grievances in which timely notification was given (Data Element W) does not exceed total other grievances (Data Element V).	Data Element W	Review Results:	
2.e	RSC-5.o	RSC-5.0: If the organization received a CMS outlier/data integrity notice validate whether or not an internal procedure change was warranted or resubmission through HPMS.	Data Elements A- W	Review Results:	
2.e	RSC-6	The number of expected counts (e.g., number of members, clair procedures) are verified; ranges of data fields are verified; all conderived data fields) are verified; missing data has been properly reporting output matches corresponding source documents (e.g. code, saved queries, analysis plans); version control of reported appropriately applied; QA checks/thresholds are applied to determine data prior to data submission. RSC-5: Organization accurately calculates the total number of g	alculations (e.g., y addressed; g., programming d data elements is ect outlier or	Data Sources:	*
2.e	RSC-6.a	RSC-6.a: Includes all grievances with a date of decision that occurs during the reporting period, regardless of when the grievance was received or completed (i.e., organization notified member of its decision). [Data Elements A-W]	Data Element A	Review Results:	
2.e	RSC-6.a		Data Element B	Review Results:	
2.e	RSC-6.a		Data Element C	Review Results:	
2.e	RSC-6.a		Data Element D	Review Results:	
2.e	RSC-6.a		Data Element E	Review Results:	
2.e	RSC-6.a		Data Element F	Review Results:	

2.e	RSC-6.a		Data Element G	Review Results:	
2.e	RSC-6.a		Data Element H	Review Results:	
z.e	NSC-0.a		Data Element n	Review Results.	
2.e	RSC-6.a		Data Element I	Review Results:	
_	DCC -		D		
2.e	RSC-6.a		Data Element J	Review Results:	
2.e	RSC-6.a		Data Element K	Review Results:	
2.6	NSC-0.a		Data Liement K	Review Results.	
2.e	RSC-6.a		Data Element L	Review Results:	
2.0	DCC C a		Data Flamout M	Pavian Pavilta	
2.e	RSC-6.a		Data Element M	Review Results:	
2.e	RSC-6.a		Data Element N	Review Results:	
2.5	DCC C -		Data Florent O	Review Results:	
2.e	RSC-6.a		Data Element O	neview nesuits.	
	<u></u>		<u> </u>		
2.e	RSC-6.a		Data Element P	Review Results:	
		I			

·					
2.e	RSC-6.a		Data Element Q	Review Results:	
2.e	RSC-6.a		Data Element R	Review Results:	
2.0	NSC 0.4		Data Element K	neview results.	
				·	
2.e	RSC-6.a		Data Element S	Review Results:	
2.e	RSC-6.a		Data Element T	Review Results:	
	22 3.0			-	
	DSG 6		D : 51		
2.e	RSC-6.a		Data Element U	Review Results:	
2.e	RSC-6.a		Data Element V	Review Results:	
2.e	RSC-6.a		Data Element W	Review Results:	
	DCC C !	DCC C hulf a military and a second se	Data Flat	Pavilary Pavilary	
2.e	RSC-6.b	RSC-6.b: If a grievance contains multiple issues filed by a single	Data Element A	Review Results:	
		complainant, each issue is calculated as a separate grievance.			
		[Data Elements A-W]			
			<u> </u>		
2.e	RSC-6.b		Data Element B	Review Results:	
2.e	RSC-6.b		Data Element C	Review Results:	
i l		I			

2.e	RSC-6.b		Data Element D	Review Results:	
2 -	DCC C l-		Data Flamout F	Parities Parelles	
2.e	RSC-6.b		Data Element E	Review Results:	
2.e	RSC-6.b		Data Element F	Review Results:	
2.0	1.50 0.5		Butu Element I	Nesters Results.	
2.e	RSC-6.b		Data Element G	Review Results:	
2.e	RSC-6.b		Data Element H	Review Results:	
	DCC C I		D . El	2 . 2 .	
2.e	RSC-6.b		Data Element I	Review Results:	
2.e	RSC-6.b		Data Element J	Review Results:	
2.0	1136 0.5		Data Element 3	Neview Nesures.	
	<u> </u>				
2.e	RSC-6.b		Data Element K	Review Results:	
2.e	RSC-6.b		Data Element L	Review Results:	
2.e	RSC-6.b		Data Element M	Review Results:	
2.e	N3C-0.D		Data Elelliellt IVI	neview nesults.	
Ī					
		1	1		

2.e	RSC-6.b		Data Element N	Review Results:	
2.e	RSC-6.b		Data Element O	Review Results:	
z.e	K3C-0.D		Data Element O	Review Results.	
2.e	RSC-6.b		Data Element P	Review Results:	
2.e	RSC-6.b		Data Element Q	Review Results:	
2 -	DCC C I		Data Flamout D	Parties Parelles	
2.e	RSC-6.b		Data Element R	Review Results:	
2.e	RSC-6.b		Data Element S	Review Results:	
2.0	1.00 0.0		Data Element 5	neven nesans.	
2.e	RSC-6.b		Data Element T	Review Results:	
	DCC C1		Data Flama 111	Paviary Pavyltar	
2.e	RSC-6.b		Data Element U	Review Results:	
2.e	RSC-6.b		Data Element V	Review Results:	
	, <u></u>				
2.e	RSC-6.b		Data Element W	Review Results:	
I		I			

2.e	RSC-6.c	RSC-6.c: If a member files a grievance and then files a subsequent grievance on the same issue prior to the organization's decision or deadline for decision notification (whichever is earlier), then the issue is counted as one grievance. [Data Elements A-W]	Data Element A	Review Results:
2.e	RSC-6.c		Data Element B	Review Results:
2.e	RSC-6.c		Data Element C	Review Results:
2.e	RSC-6.c		Data Element D	Review Results:
2.e	RSC-6.c		Data Element E	Review Results:
2.e	RSC-6.c		Data Element F	Review Results:
2.e	RSC-6.c		Data Element G	Review Results:
2.e	RSC-6.c		Data Element H	Review Results:
2.e	RSC-6.c		Data Element I	Review Results:
2.e	RSC-6.c		Data Element J	Review Results:

2.e	RSC-6.c	Data Element K	Review Results:	
2.e	RSC-6.c	Data Element L	Review Results:	
		2 4 4 2 5 1 1 2 1 2		
2.e	RSC-6.c	Data Element M	Review Results:	
2.0	11.50 0.0	Data Element W	Review Results.	
1				
2.e	RSC-6.c	Data Element N	Review Results:	
2.0	1.50 0.0	Jaka Liciliciit IV		
1				
2.e	RSC-6.c	Data Element O	Review Results:	
2.6	1.30-0.0	Data Liement O	Neview Nesults.	
2.e	RSC-6.c	Data Element P	Review Results:	
z.e	KSC-0.C	Data Element P	Review Results:	
2.0	RSC-6.c	Data Flament O	Daview Deculter	
2.e	NSC-0.C	Data Element Q	Review Results:	
1				
1				
2.e	RSC-6.c	Data Element R	Review Results:	
۷.۲	N3C-0.C	Para FIGURELLE V	NCAICAN INCOMITO	
1				
1				
2.e	RSC-6.c	Data Element S	Review Results:	
z.e	N3C-0.C	Pata Elelliellf 2	MEAIEAN MESMITS.	
1				
1				
2 -	DCC C =	Data Flores at T	Povious Poculto:	
2.e	RSC-6.c	Data Element T	Review Results:	
1				
1				
1				
	1			
I	1			

·					
2.e	RSC-6.c		Data Element U	Review Results:	
2.e	RSC-6.c		Data Element V	Review Results:	
2.6	N3C-0.C		Data Element v	Review Results.	
2.e	RSC-6.c		Data Element W	Review Results:	
2.e	RSC-6.d	=	Data Element A	Review Results:	
		subsequent grievance on the same issue after the			
		organization's decision or deadline for decision notification			
		(whichever is earlier), then the issue is counted as a separate			
		grievance. [Data Elements A-W]			
2.0	שנכ כ א		Data Flament D	Davieus Desultes	
2.e	RSC-6.d		Data Element B	Review Results:	
2.e	RSC-6.d		Data Element C	Review Results:	
2.0	1130 0.0		Data Liement C	Neview Nesalts.	
2.e	RSC-6.d		Data Element D	Review Results:	
	DCC C :		Data El =	Position Parade	
2.e	RSC-6.d		Data Element E	Review Results:	
[
2.e	RSC-6.d		Data Element F	Review Results:	
2.e	RSC-6.d		Data Element G	Review Results:	
I I		I			

2.e	RSC-6.d	Data Element H	Review Results:	
2.e	RSC-6.d	Data Element I	Review Results:	
z.e	K3C-0.u	Data Element I	Review Results.	
2.e	RSC-6.d	Data Element J	Review Results:	
2 :	DCC C '	Data Flament "	Paviau Papulto	
2.e	RSC-6.d	Data Element K	Review Results:	
2.e	RSC-6.d	Data Element L	Review Results:	
2.e	RSC-6.d	Data Element M	Review Results:	
2.e	RSC-6.d	Data Element N	Review Results:	
_	25.5	D		
2.e	RSC-6.d	Data Element O	Review Results:	
2.e	RSC-6.d	Data Element P	Review Results:	
2 :	DCC C '	Data Flammer 2	Paviau Papulte:	
2.e	RSC-6.d	Data Element Q	Review Results:	
<u> </u>				

2.e	RSC-6.d		Data Element R	Review Results:	
2.e	RSC-6.d		Data Element S	Review Results:	
2.e	KSC-6.u		Data Element 3	Review Results.	
2.e	RSC-6.d		Data Element T	Review Results:	
	DCC - :		D 1 51		
2.e	RSC-6.d		Data Element U	Review Results:	
2.e	RSC-6.d		Data Element V	Review Results:	
2.6	K3C-0.u		Data Element v	neview results.	
2.e	RSC-6.d		Data Element W	Review Results:	
2.e	RSC-6.e		Data Element A	Review Results:	
		telephone, letter, fax, and in-person). [Data			
		Elements A-W]			
2.e	RSC-6.e		Data Element B	Review Results:	
۷.۰	1.30-0.6		Sata Liciniciit B		
	<u> </u>		<u> </u>		
2.e	RSC-6.e		Data Element C	Review Results:	
	DSC 5		Data Ela : 5	Paviary Pavilte:	
2.e	RSC-6.e		Data Element D	Review Results:	
	1				

2.e	RSC-6.e	Data Element E	Review Results:	
2.e	RSC-6.e	Data Element F	Review Results:	
2.e	KSC-6.e	Data Element F	Review Results.	
2.e	RSC-6.e	Data Element G	Review Results:	
2.5	DCC C -	Data Florent II	Poviou Poculto	
2.e	RSC-6.e	Data Element H	Review Results:	
2.e	RSC-6.e	Data Element I	Review Results:	
2.e	RSC-6.e	Data Element J	Review Results:	
2.e	RSC-6.e	Data Element K	Review Results:	
2.e	RSC-6.e	Data Element L	Paviow Poculto	
z.e	N3C-0.8	Data ciennent L	Review Results:	
2.e	RSC-6.e	 Data Element M	Review Results:	
2.e	RSC-6.e	Data Element N	Review Results:	
۷.e	N3C-0.8	Data Elelliell IV	NEVIEW NESULES.	

2.e	RSC-6.e		Data Element O	Review Results:	
2.e	RSC-6.e		Data Element P	Review Results:	
	1.50 5.5				
2.e	RSC-6.e		Data Element Q	Review Results:	
2.0	1.50 0.0		Data Element Q	Review Results.	
1					
2.e	RSC-6.e		Data Element R	Review Results:	
2.6	1.50 0.6		Data Licinciit IV		
1					
2.0	DSC 6 o		Data Element S	Pavious Pacultos	
2.e	RSC-6.e		Data Element 3	Review Results:	
2.e	RSC-6.e		Data Element T	Review Results:	
2.6	N3C-0.E		Data Element 1	neview results.	
2.e	RSC-6.e		Data Element U	Review Results:	
2.6	N3C-0.E		Data Liement O	Review Results.	
1					
2.e	RSC-6.e		Data Element V	Review Results:	
2.6	1.50 0.6		Data Liciniciit V		
2.e	RSC-6.e		Data Element W	Review Results:	
۷.5	1,30-0.6		Data Liciliciit VV	TOTICAL INCOMES.	
2.e	RSC-6.f	RSC-6.f: Includes all grievances regardless of who filed the	Data Element A	Review Results:	
2.6	N3C-0.1		Data Liciliciil A	WCALCAN WESMITS!	
		grievance (e.g., member or appointed representative).			
		[Data Elements A-W]			
1					
I	Ī	ı		-	1

2.e	RSC-6.f	Data Element B	Review Results:	
2.e	RSC-6.f	Data Element C	Review Results:	
2.0	RSC-6.f	Data Flament D	Review Results:	
2.e	KSC-0.1	Data Element D	Review Results:	
		<u> </u>	·	
2.e	RSC-6.f	Data Element E	Review Results:	
2.e	RSC-6.f	Data Element F	Review Results:	
2.0	1.50 0.1	Data Element	nevicus nessures.	
	DCC C (D . 51		
2.e	RSC-6.f	Data Element G	Review Results:	
2.e	RSC-6.f	Data Element H	Review Results:	
	<u> </u>	 <u> </u>		
2.e	RSC-6.f	Data Element I	Review Results:	
2.e	RSC-6.f	Data Element J	Review Results:	
			-	
1				
Ī				
2 -	DCC C t	Data Florent 1/	Paviou Posulte:	
2.e	RSC-6.f	Data Element K	Review Results:	
1				
Ī				
	I			

2.e	RSC-6.f	Data Element L	Review Results:	
2.e	RSC-6.f	Data Floment M	Review Results:	
z.e	KSC-0.1	Data Element M	Review Results:	
2.e	RSC-6.f	Data Element N	Review Results:	
2.e	RSC-6.f	Data Element O	Review Results:	
2.0	DCC C f	Data Flament D	Paview Parulta	
2.e	RSC-6.f	Data Element P	Review Results:	
2.e	RSC-6.f	Data Element Q	Review Results:	
2.e	RSC-6.f	Data Element R	Review Results:	
2.e	RSC-6.f	Data Element S	Review Results:	
۷.۵	N3C-0.1	Sata Licinicitt 3		
2.e	RSC-6.f	 Data Element T	Review Results:	
	DCC C (Data Els	Paviana Pavalta	
2.e	RSC-6.f	Data Element U	Review Results:	
1				
		i e		

2.e	RSC-6.f		Data Element V	Review Results:	7
2.e	RSC-6.f		Data Element W	Review Results:	
2.0	1130 0.1		Data Element W	neview results.	
2.e	RSC-6.g	RSC-6.g: Excludes complaints received only by 1-800 Medicare	Data Element A	Review Results:	
		or recorded only in the CMS Complaint Tracking Module			
		(CTM); however, complaints filed separately as grievances with)		
		the organization are included. [Data Elements A-W]			
2.e	RSC-6.g		Data Element B	Review Results:	
2.e	RSC-6.g		Data Element C	Review Results:	
2.e	RSC-6.g		Data Element D	Review Results:	
2.e	RSC-6.g		Data Element E	Review Results:	
2.0	1130 0.5		Data Element E	Neview Nesures.	
	505 -		D E :=		
2.e	RSC-6.g		Data Element F	Review Results:	
2.e	RSC-6.g		Data Element G	Review Results:	
2.e	RSC-6.g		Data Element H	Review Results:	
2.0	1.5C 0.8		Jaca Element II		

2.e	RSC-6.g	Data Element I	Review Results:	
2.e	DSC 6 a	Data Element J	Review Results:	
2.e	RSC-6.g	Data Element 1	Review Results.	
2.e	RSC-6.g	Data Element K	Review Results:	
<u> </u>		 		
2.e	RSC-6.g	Data Element L	Review Results:	
2.e	RSC-6.g	Data Element M	Review Results:	
2.6	N3C-0.g	Data Element IVI	Review Results.	
2.e	RSC-6.g	Data Element N	Review Results:	
	500.6	5 . 5		
2.e	RSC-6.g	Data Element O	Review Results:	
2.e	RSC-6.g	Data Element P	Review Results:	
2.e	RSC-6.g	Data Element Q	Review Results:	
2.e	RSC-6.g	Data Element R	Review Results:	
2.6	113C-0.g	Julia Elefficial IV		
Ī				

2.e	RSC-6.g		Data Element S	Review Results:	
2.e	RSC-6.g		Data Element T	Review Results:	
2.e	RSC-6.g		Data Element U	Review Results:	
2.6	N3C-0.g		Data Element O	neview results.	
2.e	RSC-6.g		Data Element V	Review Results:	
2.0	1.3C-0.g		Sata Licinciit V		
2.e	RSC-6.g		Data Element W	Review Results:	
	G				
2.e	DCC C b	000 01 5 1 1 31 1 0 10 3			
2.0	RSC-6.h	RSC-6.h: Excludes withdrawn Part D grievances.		Data Sources:	*
2.0	K3C-0.11	[Data Elements A-W]		Data Sources:	*
2.0	K3C-6.11	[Data Elements A-W]		Data Sources:	*
2.0	K3C-6.II	[Data Elements A-W]		Data Sources:	*
2.0	K3C-0.II	[Data Elements A-W]		Data Sources:	*
2.0	K3C-6.II	[Data Elements A-W]		Data Sources:	*
2.0	K3C-6.II	[Data Elements A-W]		Data Sources:	*
		[Data Elements A-W]	Data Flament A		*
2.e	RSC-6.h	[Data Elements A-W]	Data Element A	Review Results:	*
		[Data Elements A-W]	Data Element A		*
		[Data Elements A-W]	Data Element A		*
		[Data Elements A-W]	Data Element A		*
		[Data Elements A-W]	Data Element A		*
		[Data Elements A-W]	Data Element A		*
		[Data Elements A-W]	Data Element A		*
2.e	RSC-6.h	[Data Elements A-W]		Review Results:	*
		[Data Elements A-W]	Data Element A Data Element B		*
2.e	RSC-6.h	[Data Elements A-W]		Review Results:	*
2.e	RSC-6.h	[Data Elements A-W]		Review Results:	*
2.e	RSC-6.h	[Data Elements A-W]		Review Results:	*
2.e	RSC-6.h	[Data Elements A-W]		Review Results:	*
2.e	RSC-6.h	[Data Elements A-W]		Review Results:	*
2.e	RSC-6.h	RSC-6.n: Excludes withdrawn Part D grievances. [Data Elements A-W]		Review Results:	*
2.e 2.e	RSC-6.h RSC-6.h	RSC-6.n: Excludes withdrawn Part D grievances. [Data Elements A-W]	Data Element B	Review Results: Review Results:	*
2.e	RSC-6.h	RSC-6.n: Excludes withdrawn Part D grievances. [Data Elements A-W]		Review Results:	*
2.e 2.e	RSC-6.h RSC-6.h	RSC-6.n: Excludes withdrawn Part D grievances. [Data Elements A-W]	Data Element B	Review Results: Review Results:	*
2.e 2.e	RSC-6.h RSC-6.h	RSC-6.n: Excludes withdrawn Part D grievances. [Data Elements A-W]	Data Element B	Review Results: Review Results:	*
2.e 2.e	RSC-6.h RSC-6.h	RSC-6.n: Excludes withdrawn Part D grievances. [Data Elements A-W]	Data Element B	Review Results: Review Results:	*
2.e 2.e	RSC-6.h RSC-6.h	RSC-6.n: Excludes withdrawn Part D grievances. [Data Elements A-W]	Data Element B	Review Results: Review Results:	*
2.e 2.e	RSC-6.h RSC-6.h	[Data Elements A-W]	Data Element B	Review Results: Review Results:	*
2.e 2.e	RSC-6.h RSC-6.h	RSC-6.h: Excludes withdrawn Part D grievances. [Data Elements A-W]	Data Element B	Review Results: Review Results:	*
2.e 2.e	RSC-6.h RSC-6.h	RSC-6.h: Excludes withdrawn Part D grievances. [Data Elements A-W]	Data Element B Data Element C	Review Results: Review Results:	*
2.e 2.e	RSC-6.h RSC-6.h	[Data Elements A-W]	Data Element B	Review Results: Review Results:	*
2.e 2.e	RSC-6.h RSC-6.h	[Data Elements A-W]	Data Element B Data Element C	Review Results: Review Results:	*
2.e 2.e	RSC-6.h RSC-6.h	[Data Elements A-W]	Data Element B Data Element C	Review Results: Review Results:	*
2.e 2.e	RSC-6.h RSC-6.h	[Data Elements A-W]	Data Element B Data Element C	Review Results: Review Results:	*
2.e 2.e	RSC-6.h RSC-6.h	[Data Elements A-W]	Data Element B Data Element C	Review Results: Review Results:	*
2.e 2.e	RSC-6.h RSC-6.h	[Data Elements A-W]	Data Element B Data Element C	Review Results: Review Results:	*
2.e 2.e	RSC-6.h RSC-6.h	[Data Elements A-W]	Data Element B Data Element C	Review Results: Review Results:	*
2.e 2.e	RSC-6.h RSC-6.h	[Data Elements A-W]	Data Element B Data Element C	Review Results: Review Results:	*

2.e	RSC-6.h	Data Element E	Review Results:	
2.e	RSC-6.h	Data Element F	Review Results:	
z.e	K3C-0.11	Data Element F	Review Results.	
2.e	RSC-6.h	Data Element G	Review Results:	
2.e	RSC-6.h	Data Element H	Review Results:	
۷.5	1.30-0.11	Data Licilient II	neview negates.	
2.e	RSC-6.h	Data Element I	Review Results:	
2.e	RSC-6.h	Data Element J	Review Results:	
	200.01	5 . 5		
2.e	RSC-6.h	Data Element K	Review Results:	
		 _		
2.e	RSC-6.h	Data Element L	Review Results:	
2.e	RSC-6.h	Data Element M	Review Results:	
<u> </u>		<u> </u>		
2.e	RSC-6.h	Data Element N	Review Results:	

2.e	RSC-6.h		Data Element O	Review Results:	
2.e	RSC-6.h		Data Element P	Review Results:	
2.6	K3C-0.11		Data Element P	Review Results.	
2.e	RSC-6.h		Data Element Q	Review Results:	
			<u> </u>		
2.e	RSC-6.h		Data Element R	Review Results:	
2.e	RSC-6.h		Data Element S	Review Results:	
2.6	1.30-0.11		Data Liement 3	Neview Nesults.	
			<u> </u>		
2.e	RSC-6.h		Data Element T	Review Results:	
2.e	RSC-6.h		Data Element U	Review Results:	
2.e	RSC-6.h		Data Element V	Review Results:	
]		_		
2.e	RSC-6.h		Data Element W	Review Results:	
2.6	1.55-0.11		Data Liciniciit VV		
1					
	BCC 5 :	DCC C is Fare MAN DC	<u> </u>	Parts Courses	
2.e	RSC-6.i	RSC-6.i: For MA-PD contracts: Includes only grievances that app	ply to the Part D	Data Sources:	*
1		benefit and were processed through the Part D grievance proce			
		distinction cannot be made for an MA-PD, cases are calculated	as Part C grievances.		
1		[Data Elements A-W]			
1		·			
1					
	[
			•		

2.e	RSC-6.i	Data Element A	Review Results:	
2.e	RSC-6.i	Data Element B	Review Results:	
2.e	RSC-6.i	Data Element C	Review Results:	
2.6	1.30-0.1	Data Liement C	neview nesuits.	
1				
		<u> </u>		
2.e	RSC-6.i	 Data Element D	Review Results:	
1				
1				
1				
1				
1				
2.e	RSC-6.i	Data Element E	Review Results:	
2.e	RSC-6.i	Data Element F	Review Results:	
2.e	RSC-6.i	Data Element G	Review Results:	
2.6	N3C-0.1	Data Element G	neview nesuits.	
1				
1				
1				
	<u> </u>	<u>l</u>		
2.e	RSC-6.i	Data Element H	Review Results:	
1				
1				
1				
1				
 		<u> </u>		
2.e	RSC-6.i	Data Element I	Review Results:	
1				
1				
1				
1				
1				
1				
1				
<u> </u>	B22 - :	D		
2.e	RSC-6.i	Data Element J	Review Results:	
1				
1				
1				
1				
	ĺ			

2.e	RSC-6.i		Data Element K	Review Results:	
2.e	RSC-6.i		Data Element L	Review Results:	
	1.00 0				
2 -	DCC C:		Data Flament M	Paviana Parades	
2.e	RSC-6.i		Data Element M	Review Results:	
1					
	ļ		<u> </u>		
2.e	RSC-6.i		Data Element N	Review Results:	
2.e	RSC-6.i		Data Element O	Review Results:	
2.0	RSC-6.i		Data Floment D	Pavious Pocultos	
2.e	K5C-6.1		Data Element P	Review Results:	
2.e	RSC-6.i		Data Element Q	Review Results:	
1					
1					
			 		
2.e	RSC-6.i		Data Element R	Review Results:	
1					
1					
1					
1					
1					
	ļ		 		
2.e	RSC-6.i		Data Element S	Review Results:	
1					
1					
1					
1					
2.e	RSC-6.i		Data Element T	Review Results:	
	1.55 5.1		2.0	- · · · · · · · · · · · · · · · · · · ·	
1					
1					
1					
1					
1					
=	1	Ī	L	-	1
1					

2.e	RSC-6.i		Data Element U	Review Results:	
2.e	RSC-6.i		Data Element V	Review Results:	
2.e	RSC-6.i		Data Element W	Review Results:	
2.e	RSC-6.j	RSC-6.j: Counts grievances for the contract to which the member	er belongs at the time	Data Sources:	*
]		the grievance was filed, even if the beneficiary enrolled in a new			
		grievance is resolved (e.g., if a grievance is resolved within the			
		member that has disenrolled from a plan and enrolled in a new			
		member's previous plan is still responsible for investigating, res			
		the grievance). [Data Elements A-W]			
2.e	RSC-6.j		Data Element A	Review Results:	
2.e	RSC-6.j		Data Element B	Review Results:	
2.0	1130 0.5		Data Licinchi B	neview results.	
2.e	RSC-6.j		Data Element C	Review Results:	
2.e	RSC-6.j		Data Element D	Review Results:	
]]		
2.e	RSC-6.j		Data Element E	Review Results:	
2.e	RSC-6.j		Data Element F	Review Results:	
]					
l		1			

2.e	RSC-6.j	Data Element G	Review Results:	
2.e	DCC 6 i	Data Floment H	Review Results:	
z.e	RSC-6.j	Data Element H	Review Results:	
2.e	RSC-6.j	Data Element I	Review Results:	
2.e	RSC-6.j	Data Element J	Review Results:	
2.e	RSC-6.j	Data Element K	Review Results:	
2.e	RSC-6.j	Data Element L	Review Results:	
2.6	N3C-0.j	Data Element L	Review Results.	
2.e	RSC-6.j	Data Element M	Review Results:	
		<u> </u>		
2.e	RSC-6.j	Data Element N	Review Results:	
2.e	RSC-6.j	Data Element O	Review Results:	
۷.5	1.50-0.j	Data Liciniciit U	neview negates.	
<u>L</u>				
2.e	RSC-6.j	Data Element P	Review Results:	
I				
		I		

2.e	RSC-6.j		Data Element Q	Review Results:	
2.e	RSC-6.j		Data Element R	Review Results:	
2.e	RSC-6.j		Data Element S	Review Results:	
2.e	RSC-6.j		Data Element T	Review Results:	
2.e	RSC-6.j		Data Element U	Review Results:	
2.e	RSC-6.j		Data Element V	Review Results:	
2.e	RSC-6.j		Data Element W	Review Results:	
	2007		1 6 .	1	*
2.e	RSC-7	Organization accurately calculates and uploads into HPMS the	number of grievances	Data Sources:	*
		by category, including the following criteria:			
1					
2.e	RSC-7.a	RSC-7.a: Properly sorts the total number of grievances by	Data Element F	Review Results:	
2.6	NOC-7.d		Data Elellielit F	NEVIEW NEGUILS.	
		grievance category: Enrollment/Disenrollment; Plan Benefit;			
		Pharmacy Access; Marketing; Customer Service; Coverage			
		Determination and Redetermination Process (e.g.; untimely			
		coverage decisions); Quality of Care; CMS Issues (which			
		includes grievances related to issues outside of the			
1		organization's direct control); and other grievances that do not			
		properly fit into the other listed categories. [Data Elements			
		F, H, J, L, N, P, R, T, V]			
	DCC 7		Data Flama 111	Parian Parulta	
2.e	RSC-7.a		Data Element H	Review Results:	
1					
1					
ı	I	I	<u> </u>		

2.e	RSC-7.a	D	ata Element J	Review Results:	
2.e	RSC-7.a	D	ata Element L	Review Results:	
2.e	RSC-7.a	 	Pata Element N	Review Results:	
2.6	NSC-7.a	ا	ata Liement N	Review Results.	
2.0	DSC 7 a		Nata Flament D	Review Results:	
2.e	RSC-7.a	ا ا	ata Element P	NEVIEW NESUILS.	
2 -	DCC 7 -)-t- []	Parities Parella.	
2.e	RSC-7.a	P	ata Element R	Review Results:	
2.e	RSC-7.a	D	Pata Element T	Review Results:	
2.0	1.50 7.0		ata Element 1	Nevicia Nesalisi	
2.e	RSC-7.a	D	ata Element V	Review Results:	
2.e	RSC-7.b	RSC-7.b: Assigns all additional categories tracked by	Pata Element V	Review Results:	
1		organization that are not listed above as Other.			
		[Data Element V]			
		[_ata_cientent v]			
1					
2.e	RSC-8	Organization accurately calculates the number of grievances whic	ch the Part D	Data Sources:	*
1		sponsor provided timely notification of the decision, including the	following criteria.		
		The decision in the decision, moraling the			
		RSC-8.a: Includes only grievances for which the member is notified	d of decision		
			טו טבטאטוו		
1		according to the following timelines:			
2.e	RSC-8.a.i	RSC-8.a.i. For standard grievances: no later than 30 days after D	Data Element B	Review Results:	
		receipt of grievance. [Data Elements B, G, I, K, M, O, Q, S, U,			
		W]			
		l,			
	I				
		<u>.</u>			

2.e	RSC-8.a.i		Data Element G	Review Results:	
2.0	DCC 0 a i		Data Floment I	Povious Possiltes	
2.e	RSC-8.a.i		Data Element I	Review Results:	
2.e	RSC-8.a.i		Data Element K	Review Results:	
2.e	RSC-8.a.i		Data Element M	Review Results:	
	2 0.0				
2.e	RSC-8.a.i		Data Element O	Review Results:	
2.e	RSC-8.a.i		Data Element Q	Review Results:	
2.e	RSC-8.a.i		Data Element S	Review Results:	
2.6	NSC-8.a.i		Data Liement 3	Neview Results.	
	500 5		D 1 51		
2.e	RSC-8.a.i		Data Element U	Review Results:	
2.e	RSC-8.a.i		Data Element W	Review Results:	
2.e	RSC-8.aii	RSC-8.aii: For standard grievances with an extension taken: no	Data Flement R	Review Results:	
2.0	0.411	later than 44 days after receipt of grievance.	- ata Element D		
		[Data Elements B, G, I, K, M, O, Q, S, U, W]			
1			<u></u>		

2.e	RSC-8.aii		Data Element G	Review Results:	
2.e	RSC-8.aii		Data Element I	Review Results:	
			2 4 4 4 1 5 1 1 6 1 6 1		
2.e	RSC-8.aii		Data Element K	Review Results:	
2.6	NSC-0.all		Data Liement K	Review Results.	
1					
1					
2.e	RSC-8.aii		Data Element M	Review Results:	
۷.৮	NOC-0.dll		שמנמ בופווופווג ועו	NEVIEW NEGUILS.	
1					
1					
1					
	2000 "		D		
2.e	RSC-8.aii		Data Element O	Review Results:	
_					
2.e	RSC-8.aii		Data Element Q	Review Results:	
_					
2.e	RSC-8.aii		Data Element S	Review Results:	
1					
—	DCC 2 "		D-t- El	Paritima Paradas	
2.e	RSC-8.aii		Data Element U	Review Results:	
1					
1					
1					
1					
1					
1					
1					
<u> </u>	DCC 0 ::		D-t- El	Paritima Parada	
2.e	RSC-8.aii		Data Element W	Review Results:	
1					
1					
1					
1					
1					
1					
		200 0 111 5	5 . 5' -		
2.e	RSC-8.aiii	RSC-8.aiii: For expedited grievances: no later than 24 hours	Data Element B	Review Results:	
1		after receipt of grievance. [Data Elements B,			
1		D, G, I, K, M, O, Q, S, U, W]			
1		. , , , , , -4-, -1 -1			
1					
1					
1					
1					
1				,	
•					

2.e RSC-8.aiii Data Element D Review Results: 2.e RSC-8.aiii Data Element G Review Results: 2.e RSC-8.aiii Data Element G Review Results: 2.e RSC-8.aiii Data Element I Review Results:	
2.e RSC-8.aiii Data Element G Review Results:	
2.e RSC-8.aiii Data Element I Review Results:	
2.e RSC-8.aiii Data Element I Review Results:	
2.e RSC-8.aiii Data Element I Review Results:	
2.e RSC-8.aiii Data Element I Review Results:	
2.e RSC-8.aiii Data Element I Review Results:	
2.e RSC-8.aiii Data Element I Review Results:	
2.e RSC-8.aiii Data Element I Review Results:	
2.e RSC-8.aiii Data Element I Review Results:	
2.e RSC-8.aiii Data Element I Review Results:	
2.e RSC-8.aiii Data Element K Review Results:	
Lie ciement in the second in t	
2.e RSC-8.aiii Data Element M Review Results:	
Z.e NGC-6.aiii Pata Element W Review Results.	
2.e RSC-8.aiii Data Element O Review Results:	
Z.e N3C-6.alli Data Element O Review Results.	
2.e RSC-8.aiii Data Element Q Review Results:	
Z.e Roc-o.am Data Element Q Review Results.	
	_
2.e RSC-8.aiii Data Flement S Review Results:	
2.e RSC-8.aiii Data Element S Review Results:	
2.e RSC-8.aiii Data Element S Review Results:	
2.e RSC-8.aiii Data Element S Review Results:	
2.e RSC-8.aiii Data Element S Review Results:	
2.e RSC-8.aiii Data Element S Review Results:	
2.e RSC-8.aiii Data Element S Review Results:	
2.e RSC-8.aiiii Data Element S Review Results:	
2.e RSC-8.aiii Data Element S Review Results:	
2.e RSC-8.aiii Data Element S Review Results:	
2.e RSC-8.aiii Data Element S Review Results: 2.e RSC-8.aiii Data Element U Review Results:	
2.e RSC-8.aiii Data Element U Review Results:	
2.e RSC-8.aiii Data Element U Review Results:	
2.e RSC-8.aiii Data Element U Review Results:	
2.e RSC-8.aiii Data Element U Review Results:	
2.e RSC-8.aiii Data Element U Review Results:	
2.e RSC-8.aiii Data Element U Review Results:	
2.e RSC-8.aiii Data Element U Review Results:	
2.e RSC-8.aiii Data Element U Review Results:	
2.e RSC-8.aiii Data Element U Review Results:	

2.e	RSC-8.b	RSC-8.b: Each number calculated is a subset of the total number of grievances received for the applicable category. [Data Elements B, D, G, I, K, M, O, Q, S,U, W]	Data Element B	Review Results:
2.e	RSC-8.b		Data Element D	Review Results:
2.e	RSC-8.b		Data Element G	Review Results:
2.e	RSC-8.b		Data Element I	Review Results:
2.e	RSC-8.b		Data Element K	Review Results:
2.e	RSC-8.b		Data Element M	Review Results:
2.e	RSC-8.b		Data Element O	Review Results:
2.e	RSC-8.b		Data Element Q	Review Results:
2.e	RSC-8.b		Data Element S	Review Results:
2.e	RSC-8.b		Data Element U	Review Results:

2.0	RSC-8.b		Data Flament W	Review Results:	1
2.e	N3C-0.D		Data Element W	Review Results.	
3		Organization implements policies and procedures for data subr	nission, including the	Data Sources:	*
		following:	, , , , , , , , ,		
3.a		Data elements are accurately entered/uploaded into CMS	Data Element A	Review Results:	
		systems and entries match corresponding source documents.			
2 -			Data Flores et D	Poviny Popults:	
3.a			Data Element B	Review Results:	
3.a			Data Element C	Review Results:	
			D . 51		
3.a			Data Element D	Review Results:	
3.a			Data Element E	Review Results:	
3.a			Data Element F	Review Results:	
5.a			Data Element F	Neview Results.	
3.a			Data Element G	Review Results:	
3.a			Data Element H	Review Results:	
5.a			Jaka Element II		
			<u> </u>	. <u> </u>	

3.a		Data Element I	Review Results:	
3.a		Data Element J	Review Results:	
3.a		Data Element K	Review Results:	
3.a	 	Data Element L	Review Results:	
J.u				
3.a		Data Element M	Review Results:	
2 -		Data Flammant N	Poston Possillo	
3.a		Data Element N	Review Results:	
3.a		Data Element O	Review Results:	
		 		
3.a		Data Element P	Review Results:	
3.a	 	Data Element Q	Review Results:	
J.u				
		 <u> </u>		
3.a		 Data Element R	Review Results:	
		<u> </u>		

3.a		Da	ata Element S	Review Results:	
3.a		Da	ata Element T	Review Results:	
3.a		Da	ata Element U	Review Results:	
3.a		Da	ata Element V	Review Results:	
3.a		Da	ata Element W	Review Results:	
3.b		All source, intermediate, and final stage data sets and other outpu	uts relied upon to	Review Results:	
3.5		enter data into CMS systems are archived.	nts renea apon to	neview nesaris.	
4		Organization implements policies and procedures for periodic data		Review Results:	
		(e.g., changes in enrollment, provider/pharmacy status, and claim	is adjustments).		
5		Organization implements policies and procedures for archiving and	d restoring data in	Review Results:	
		each data system (e.g., disaster recovery plan).	a restorms data III		
		, , ,			
6		If organization's data systems underwent any changes during the r		Review Results:	
		(e.g., as a result of a merger, acquisition, or upgrade): Organization			
		documentation on the data system changes and, upon review, the that adversely impacted data reported.	rie were no issues		
		The state of the s			
7		If data collection and/or reporting for this reporting a satisfactor is data	agated to another	Review Results:	
7		If data collection and/or reporting for this reporting section is dele entity: Organization regularly monitors the quality and timeliness of		neview nesults:	
		collected and/or reported by the delegated entity or first tier/dow			
		contractor.			
	1	1			

Coverage Determinations and Redeterminations (Part D) 2017

	·
Organization Name:	
Contract Number:	
Reporting Section:	Coverage Determinations and Redeterminations (Part D) 2017
Last Updated:	MM/DD/YYYY
Date of Site Visit:	
Name of Reviewer:	Last name, First name
Name of Peer Reviewer:	Last name, First name

1) In the "Data Sources and Review Results:" column, enter the review results and/or data sources used for each standard or sub-standard. 2) Enter "Y" if the requirements for the standard or sub-standard have been completely met. If any requirement for the standard or sub-standard has not been met, enter "N". If

any standard or sub-standard does not apply, enter "N/A".

3) For standards 1c, 1d, 1e, 1g, 1h, and 2e, enter 'Findings' as follows based on the five-point scale: Select "1" if plan data has more than 20% error, select "2" if plan data has between 15.1% - 20.0% error, select "3" if plan data has between 10.1% - 15.0% error, select "4" if plan data has between 5.1% - 10.0% error, select "5" if plan data has less than or equal to a 5% error. Enter "N/A" if standard does not apply.

Standard/ Sub-standard ID	Red Citation	Standard/Sub-standard Description	Data Sources and Review Results: Enter review results and/or data sources	Enter 'Findings' using the applicable choice in the appropriate cells. Cells marked with an '*' should not be edited.
1		A review of source documents (e.g., programming code, spreadsheet formulas, analysis plans, saved data queries, file layouts, process flows) indicates that all source documents accurately capture required data fields and are properly documented.	Data Sources:	*
1.a		Source documents are properly secured so that source documents can be retrieved at any time to validate the information submitted to CMS via CMS systems.	Review Results:	
1.b		Source documents create all required data fields for reporting requirements.	Review Results:	
1.c		Source documents are error-free (e.g., programming code and spreadsheet formulas have no messages or warnings indicating errors, use correct fields, have appropriate data selection, etc.).	Review Results:	
1.d		All data fields have meaningful, consistent labels (e.g., label field for patient ID as Patient ID, rather than Field1 and maintain the same field name across data sets).	Review Results:	
1.e		Data file locations are referenced correctly.	Review Results:	
1.f		If used, macros are properly documented.	Review Results:	
1.g		Source documents are clearly and adequately documented.	Review Results:	
1.h		Titles and footnotes on reports and tables are accurate.	Review Results:	

	1.i		Version control of source documents is appropriately applied.	Review Results:	
	2		A review of source documents (e.g., programming code, spreadsheet formulas, analysis plans, saved data queries, file layouts, process flows) and census or sample data, whichever is applicable, indicates that data elements for each reporting section are accurately identified, processed, and calculated.	Data Sources:	*
<u> </u>		200.4			
	2.a	RSC-1	The appropriate date range(s) for the reporting period(s) is captured. Organization reports data based on the required reporting periods 1/1 through 3/31, 4/1 through 6/30, 7/1 through 9/30, and 10/1 through 12/31.	Review Results:	
	2.b	RSC-2	Data are assigned at the applicable level (e.g., plan benefit package or contract level). Organization properly assigns data to the applicable CMS contract.	Review Results:	
	2.c	RSC-3	Appropriate deadlines are met for reporting data (e.g., quarterly). Organization meets deadlines for reporting data to CMS by 2/26/2018 [Note to reviewer: If the organization has, for any reason, re-submitted its data to CMS for this reporting section, the reviewer should verify that the organization's original data submissions met the CMS deadline in order to have a finding of "yes" for this reporting section criterion. However, if the organization re-submits data for any reason and if the re-submission was completed by 3/31 of the data validation year, the reviewer should use the organization's corrected data submission(s) for the rest of the reporting section criteria for this reporting section.]	Review Results:	
	2.d	RSC-4	Terms used are properly defined per CMS regulations, guidance and Reporting Requirements Technical Specifications. 1. Organization properly determines whether a request is subject to the coverage determinations or the exceptions process in accordance with 42 CFR §423.566, §423.578, and the Prescription Drug Benefit Manual Chapter 18, Sections 10 and 30. This includes applying all relevant guidance properly when performing its calculations and categorizations for the above-mentioned regulations in addition to 42 CFR §423.568, §423.570, §423.572, §423.576 and the Prescription Drug Benefit Manual Chapter 18, Sections 40, 50, and 130. 2. Organization properly defines the term "Redetermination" in accordance with Title 42, Part 423, Subpart M §423.560, §423.580, §423.582, §423.584, and §423.590 and the Prescription Drug Benefit Manual Chapter 18, Section 10, 70, and 130. This includes applying all relevant guidance properly when performing its calculations and categorizations. 3. Refer to 42 CFR §423.1978-1986 and Chapter 18, section 120 of the Medicare Prescription Drug Benefit Manual for additional information and CMS requirements related to reopenings.	Review Results:	
	2.e	RSC-5	Organization data passes data integrity checks listed below:	Data Sources:	*
	2.e	RSC-5.a	RSC-5.a: The following numbers do not exceed the total number of pharmacy transactions (Data Element 1.A):	Data Sources:	*
	2.e	RSC-5.ai	RSC-5.ai: Number of pharmacy transactions rejected due to non-formulary Data Element 1.B status (Data Element 1.B).	Review Results:	

2.e	RSC-5.aii	RSC-5.aii: Number of pharmacy transactions rejected due to PA requirements (Data Element 1.C).	Data Element 1.C	Review Results:	
2.e	RSC-5.aiii	RSC-5.aiii: Number of pharmacy transactions rejected due to step therapy requirements (Data Element 1.D).	Data Element 1.D	Review Results:	
2.e	RSC-5.aiv	RSC-5.aiv: Number of pharmacy transactions rejected due to QL requirements (Data Element 1.E).	Data Element 1.E	Review Results:	
2.e	RSC-5.b	RSC-5.b: If a plan reported high cost edits in place for non-compounds (Data Element 1.F = Yes), then corresponding cost threshold (Data Element 1.F) is greater than 0.	Data Element 1.F	Review Results:	
2.e	RSC-5.c	RSC-5.c: If no high cost edits are reported for non-compounds (Data Element 1.F = No), the plan did not report a corresponding cost threshold (Data Element 1.F = blank).	Data Element 1.F	Review Results:	
2.e	RSC-5.d	RSC-5.d: If the plan did not have high cost edits in place for non-compounds (Data Element 1.F = No), then the plan did not report claims rejected due to high cost edits for non-compounds (Data Element 1.G = 0).	Data Element 1.G	Review Results:	
2.e	RSC-5.e	RSC-5.e: The following numbers do not exceed the total number of pharmacy (Data Element 1.A):	transactions rejected	Data Sources:	*
2.e	RSC-5.e.i	RSC-5.e.i: Number of claims rejected due to high cost edits for non-compounds (Data Element 1.G).	Data Element 1.G	Review Results:	
2.e	RSC-5.f	RSC-5.f: The following numbers do not exceed the total number of coverage do including exceptions (Data Element 2.A):	eterminations	Data Sources:	*
2.e	RSC-5.fi	RSC-5.fi: Number of exception decisions made in the reporting period Data Element 2.J-2.AA).	ata Element 2.J-2.AA	Review Results:	
2.e	RSC-5.f.ii	RSC-5.f.ii: Number of coverage determination decisions processed timely (Data Element 2.B).	Data Element 2.B	Review Results:	

2.e	RSC-5.f.iii	RSC-5.f.iii: Number of coverage determinations decisions by outcome (Data Element 2.E + Data Element 2.F + Data Element 2.G + Data Element 2.H + Data Element 2.I) is equal to total number of coverage determinations (Data Element 2.A).	Data Element 2.A	Review Results:
2.e	RSC-5.f.iv	RSC-5.f.iv: Number of coverage determination decisions not processed timely (Data Element 2.C + Data Element 2.D)	Data Elements 2.C, 2.D	Review Results:
2.e	RSC-5.g	RSC-5.g: Number of redeterminations by outcome (Data Element 3.E + Data Element 3.F + Data Element 3.G + Data Element H + Data Element I) is equal to total number of redeterminations (Data Element 3.A).	Data Elements 3.A, 3.E, 3.F, 3.G, 3.H, 3.I	Review Results:
2.e	RSC-5.h	RSC-5.h: Number of redeterminations processed timely (Data Element 3.B) does not exceed the total number of redeterminations made during the reporting period (Data Element 3.A):	Data Element 3.B	Review Results:
2.e	RSC-5.i	RSC-5.i: Number of redetermination decisions not processed timely (Data Element 3.C + Data Element 3.D)	Data Elements 3.C, 3.D	Review Results:
2.e	RSC-5.j	RSC-5.j: Total number of reopened (revised) decisions (Data Element 4.A) is equal to the number of records reported in data file.	Data Element 4.A	Review Results:
2.e	RSC-5.k	RSC-5.k: Verify that the date of each reopening disposition (Data Element 4.B.11) is in the reporting quarter.) is in the reporting quarter.	Data Element 4.B.11	Review Results:
2.e	RSC-5.I	RSC-5.I: Verify that the date of disposition for each reopening (Data Element 4.B.11) is after the date of original disposition (Data Element 4.B.5).	Data Element 4.B.11	Review Results:
2.e	RSC-5.m	RSC-5.m: Verify that the date of each reopening disposition (Data Element 4.B.11) is after the date that the case was reopened (Data Element 4.B.9).	Data Element 4.B.11	Review Results:
2.e	RSC-5.n	RSC-5.n: Verify that the date each case was reopened (Data Element 4.B.9) is after the date of original disposition (Data Element 4.B.5).	Data Element 4.B.9	Review Results:
2.e	RSC-5.o	RSC-5.o: If the organization received a CMS outlier/data integrity notice validate whether or not an internal procedure change was warranted or resubmission through HPMS.	Data Elements 1.A – 1.G, 2.A – 2.AA, 3.A- 3.I 4.A – 4.B.9	Review Results:

2.e	RSC-6	The number of expected counts (e.g., number of members, claims, grievances, procedures) are verified; ranges of data fields are verified; all calculations (e.g., derived data fields) are verified; missing data has been properly addressed; reporting output matches corresponding source documents (e.g., programming code, saved queries, analysis plans); version control of reported data elements is appropriately applied; QA checks/thresholds are applied to detect outlier or erroneous data prior to data submission. Applicable Reporting Section Criteria:		Data Sources:	*
2.e	RSC-6.a	RSC-6.a: Includes pharmacy transactions for Part D drugs with a fill date (not batch date) that falls within the reporting period. [Data Element 1.A]	Data Element 1.A	Review Results:	
2.e	RSC-6.b	RSC-6.b: Includes transactions with a final disposition of reversed. [Data Element 1.A]	Data Element 1.A	Review Results:	
2.e	RSC-6.c	RSC-6.c: Excludes pharmacy transactions for drugs assigned to an excluded drug category. [Data Element 1.A]	Data Element 1.A	Review Results:	
2.e	RSC-6.d	RSC-6.d: If a prescription drug claim contains multiple transactions, each transaction is calculated as a separate pharmacy transaction. [Data Element 1.A]	Data Element 1.A	Review Results:	
2.e	RSC-7	RSC-7: Organization accurately calculates the number of pharmacy transact formulary restrictions, including the following criteria:	ions rejected due to	Data Sources:	*
2.e	RSC-7.a	RSC-7.a: Excludes rejections due to early refill requests. [Data Element 1.B]	Data Element 1.B	Review Results:	
2.e	RSC-7.b	RSC-7.b: If a prescription drug claim contains multiple rejections, each rejection is calculated as a separate pharmacy transaction. [Data Element 1.B]	Data Element 1.B	Review Results:	
2.e	RSC-8	RSC-8: Organization accurately calculates the number of pharmacy transact prior authorization (PA) requirements, including the following criteria:		Data Sources:	*
2.e	RSC-8.a	RSC-8.a: Excludes rejections due to early refill requests. [Data Element 1.C]	Data Element 1.C	Review Results:	
2.e	RSC-8.b	RSC-8.b: If a prescription drug claim contains multiple rejections, each rejection is calculated as a separate pharmacy transaction. [Data Element 1.C]	Data Element 1.C	Review Results:	

2.e	RSC-9	RSC-9: Organization accurately calculates the number of pharmacy transactions rejected due to step therapy requirements, including the following criteria:	Data Sources:
		step therapy requirements, including the following chteria.	
2.e	RSC-9.a	RSC-9.a: Excludes rejections due to early refill requests. [Data Element 1.D	Review Results:
		[1.D]	
2.e	RSC-9.b	RSC-9.b: If a prescription drug claim contains multiple rejections, each Data Element 1.D	Review Results:
		rejection is calculated as a separate pharmacy transaction. [Data Element 1.D]	
2.e	RSC-10	RSC-10: Organization accurately calculates the number of pharmacy transactions rejected due to	Data Sources:
		quantity limits (QL) requirements, including the following criteria:	
2.0	DCC 10 -	Details and and analysis of the section of the sect	Parian Paralta
2.e	RSC-10.a	RSC-10.a: Excludes rejections due to safety edits and early refill requests. [Data Element 1.E]	Review Results:
2.e	RSC-10.b	RSC-10.b: Includes all types of QL rejects, including but not limited to claim Data Element 1.E	Review Results:
		rejections due to quantity limits or time rejections (e.g., a claim is submitted for 20 tablets/10 days, but is only approved for 10 tablets/5	
		days). [Data Element 1.E]	
2.e	RSC-10.c	RSC-10.c: If a prescription drug claim contains multiple rejections, each Data Element 1.E	Review Results:
		rejection is calculated as a separate pharmacy transaction. [Data Element 1.E]	
2.e	RSC-11	RSC-11: Organization accurately reports data on high cost edits, including the following criteria:	Data Sources:
2.e	RSC-11.a	RSC-11.a: Indicates whether or not high cost edits for non-compounds Data Element 1.F	Review Results:
2.0	NJC-11.d	were in place during the reporting period. [Data Elements 1.F - 1.G]	neview results.
	DCC 11		Paviau Paviltu
2.e	RSC-11.a	Data Element 1.G	Review Results:
2.e	RSC-11.b	RSC-11.b: If high cost edits for non-compounds were in place during the	Review Results:
		reporting period, reports the cost threshold used. [Data Elements 1.F - 1.G]	
- I		·	- · · · · · · · · · · · · · · · · · · ·

2.e	RSC-11.b		Data Element 1.G	Review Results:	
2.e	RSC-11.c	RSC-11.c: Includes the number of claims rejected due to high cost edits for	Data Element 1.F	Review Results:	
		non-compounds. [Data Elements 1.F - 1.G]			
2.e	RSC-11.c		Data Element 1.G	Review Results:	
L			<u> </u>		
2.e	RSC-11.d	RSC-11.d: If a prescription drug claim contains multiple rejections, each	Data Element 1.F	Review Results:	
		rejection is calculated as a separate pharmacy transaction. [Data Elements			
]	1.F - 1.G]			
]				
L_	<u> </u>		<u> </u>		
2.e	RSC-11.d		Data Element 1.G	Review Results:	
2.e	RSC-12	RSC-12: Organization accurately calculates the number of coverage determi	nations (Part D only)	Data Sources:	*
		decisions made in the reporting period, including the following criteria:	` "		
		1 01 / 0			
2.e	RSC-12.a	RSC-12.a: Includes all coverage determinations (including exceptions) with	Data Element 2.A	Review Results:	
		a date of decision that occurs during the reporting period, regardless of			
		when the request for coverage determination was received. [Data Element			
		2.A]			
		•			
2.e	RSC-12.b		Data Element 2.A	Review Results:	
]	Element 2.A]]		
]]		
2.e	RSC-12.c	. , •	Data Element 2.A	Review Results:	
]	person).]		
		[Data Element 2.A]			
1					
1					
	DCC 15 '	DCC 42 de la declarate de la companya de la company	D-4- El	Paris Parell	
2.e	RSC-12.d		Data Element 2.A	Review Results:	
1		regardless of who filed the request (e.g., member, appointed			
1		representative, or prescribing physician).			
1		[Data Element 2.A]			
]]		
1					
1					
2.e	RSC-12.e	RSC-12.e: Includes coverage determinations (including exceptions) from	Data Element 2.A	Review Results:	
z.e	\rac-12.6	, , ,	Data CIEITIEIT Z.A	neview nesults:	
1		delegated entities. [Data Element 2.A]			
1					
1					
1					
I					
			I		

2.e	RSC-12.f	RSC-12.f: Includes both standard and expedited coverage determinations (including exceptions). [Data Element 2.A]	Data Element 2.A	Review Results:
2.e	RSC-12.g	RSC-12.g: Includes requests for coverage determinations (including exceptions) that are withdrawn or dismissed. [Data Element 2.A	Data Element 2.A	Review Results:
2.e	RSC-12.h	RSC-12.h: Includes each distinct dispute (i.e., multiple drugs) contained in one coverage determination request as a separate coverage determination request. [Data Element 2.A]	Data Element 2.A	Review Results:
2.e	RSC-12.i	RSC-12.i: Includes adverse coverage determination cases that were forwarded to the Independent Review Entity (IRE) because the organization made an untimely decision. [Data Element 2.A]	Data Element 2.A	Review Results:
2.e	RSC-12.j	RSC-12.j: Includes all coverage determination decisions that relate to Part B versus Part D coverage (drugs covered under Part B are considered adverse decisions under Part D). [Data Element 2.A] i. Point of Sale (POS) claims adjudications (e.g., a rejected claim for a drug indicating a B v. D PA is required) are not included unless the plan subsequently processed a coverage determination.	Data Element 2.A	Review Results:
2.e	RSC-12.k		Data Elements 2.J, 2.P, 2.V	Review Results:
2.e	RSC-12.l	RSC-12.I: Excludes coverage determinations (including exceptions) regarding drugs assigned to an excluded drug category. [Data Element 2.A]	Data Element 2.A	Review Results:
2.e	RSC-12.m	RSC-12.m: Excludes members who have UM requirements waived based on an exception decision made in a previous plan year or reporting period. [Data Element 2.A]	Data Element 2.A	Review Results:
2.e	RSC-12.n	RSC-12.n: Confirm that a coverage determination was denied for lack of medical necessity based on review by a physician or other appropriate health care professional. [Data Element 2.A]	Data Element 2.A	Review Results:
2.e	RSC-13	RSC-13: Organization accurately calculates the total number of UM, Formula decisions made in the reporting period, including the following criteria:	ary, and Tier exceptions	Data Sources:
2.e	RSC-13.a		Data Elements 2.J, 2.P, 2.V	Review Results:

				T	
2.e	RSC-13.b	· · · - · ·	Data Elements 2.J, 2.P, 2.V	Review Results:	
2.e	RSC-13.c	RSC-13.c: Includes exception requests that were forwarded to the Independent Review Entity (IRE) because the organization failed to make a timely decision. [Data Elements 2.J, 2.P, 2.V]	Data Elements 2.J, 2.P, 2.V	Review Results:	
2.e	RSC-13.d		Data Elements 2.J, 2.P, 2.V	Review Results:	
2.e	RSC-13.e		Data Elements 2.J, 2.P, 2.V	Review Results:	
2.e	RSC-13.f		Data Elements 2.J, 2.P, 2.V	Review Results:	
2.e	RSC-13.g		Data Elements 2.J, 2.P, 2.V	Review Results:	
2.e	RSC-13.h	RSC-13.h: Excludes members who have UM requirements waived based on an exception decision made in a previous plan year or reporting period. [Data Elements 2.J, 2.P, 2.V]	Data Elements 2.J, 2.P, 2.V	Review Results:	
2.e	RSC-14	RSC-14: Organization accurately calculates the number of coverage determi processed timely or not timely, including the following criteria:	nations decisions	Data Sources:	*
2.e	RSC-14.ai	RSC-14.ai:Included both standard coverage determinations and expedited coverage determinations. Includes only coverage determinations (including exceptions) for which the member is notified of the decision according to the following timelines.		Review Results:	
		i. For standard coverage determinations: as expeditiously as the enrollee's health condition requires, but no later than 72 hours after receipt of the request. Confirm that records that did not meet the timeliness criteria are not included in the count for the number of standard coverage determinations decisions processed timely.			
2.e	RSC-14.aii	RSC-14.aii: Included both standard coverage determinations and	Data Elements 2.B, 2.C, 2.D	Review Results:	
		enrollee's health condition requires, but no later than 24 hours after receipt of the request.			
2.e	RSC-14.aiii	RSC-14.aiii: Included both standard coverage determinations and expedited coverage determinations. Includes only coverage determinations (including exceptions) for which the member is notified of the decision according to the following timelines. [Data Elements 2.B, 2.C, 2.D]	Data Elements 2.B, 2.C, 2.D	Review Results:	
		iii. For reimbursement requests: as expeditiously as the enrollee's health condition requires, but no later than 14 days after receipt of the request.			

			,	<u>, </u>	
2.e	RSC-14.bi	RSC-14.bi: Excludes favorable determinations in which the organization did not authorize or provide the benefit or payment under dispute according to the following timelines: [Data Element 2.B, 2.C, 2.D]	Data Elements 2.B, 2.C, 2.D	Review Results:	
		i. For standard coverage determinations: as expeditiously as the enrollee's health condition requires, but no later than 72 hours after receipt of the			
2.e	RSC-14.bii	RSC-14.bii: Excludes favorable determinations in which the organization did not authorize or provide the benefit or payment under dispute according to the following timelines: [Data Elements 2.B, 2.C, 2.D]	Data Elements 2.B, 2.C, 2.D	Review Results:	
		ii. For expedited coverage determinations: as expeditiously as the enrollee's health condition requires, but no later than 24 hours after			
2.e	RSC-14.biii	RSC-14.biii: For reimbursement requests: as expeditiously as the enrollee's health condition requires, but no later than 14 days after receipt of the request. [Data Elements 2.B, 2.C, 2.D]	Data Elements 2.B, 2.C, 2.D	Review Results:	
2	DCC 4.4 leier	DCC 44 his standard falls for each land standard falls falls for each land standard falls falls for each land standard falls for each land standard falls falls for each land standard falls fa	Data Flamoute 2.D. 2.C	Parities Parelles	
2.e	RSC-14.biv	RSC-14.biv: Includes fully favorable determinations where the enrollee was notified untimely but within 24 hours of the expiration of the adjudication timeframe and thus not auto-forwarded to the IRE. [Data Elements 2.B, 2.C, 2.D]		Review Results:	
2.e	RSC-14.c	RSC-14.c: Reflects if untimely cases were auto-forwarded to the IRE, or not.	Data Elements 2.B, 2.C,	Review Results:	
		. [Data Elements 2.B, 2.C, 2.D]	2.D		
2.e	RSC-15	RSC-15: Organization accurately calculates the number of coverage determi	nations decisions made	Data Sources:	*
		by final decision, including the following criteria:			
2.e	RSC-15.a	RSC-15.a: Properly categorizes the number of coverage determinations (including exceptions) by final decision: fully favorable, partially favorable, or adverse. Verify that all cases included in the count for the total number of coverage determinations made in the reporting period are identified as one of the accepted disposition types. [Data Elements 2.E., 2.F., 2.G.]	Data Element 2.E	Review Results:	
2.e	RSC-15.a		Data Element 2.F	Review Results:	
2.e	RSC-15.a		Data Element 2.G	Review Results:	
2.e	RSC-15.b	RSC-15.b: Includes untimely coverage determinations decisions, regardless if they were auto-forwarded to the IRE. [Data Elements.2.E., 2.F., 2.G.]	Data Element 2.E	Review Results:	
2.e	RSC-15.b		Data Element 2.F	Review Results:	
2.e	RSC-15.b		Data Element 2.G	Review Results:	
i l			L		

2.e	RSC-16	RSC-16: Organization accurately calculates the number of coverage determin withdrawn or dismissed, including the following criteria:	ations that were	Data Sources:	*
2.e	RSC-16.a	RSC-16.a: Includes all withdrawals and dismissals on requests for coverage determinations (including exceptions). This includes expedited coverage determinations and exceptions that were withdrawn or dismissed for any reason. [Data Elements 2.H, 2.I]	Data Element 2.H	Review Results:	
2.e	RSC-16.a		Data Element 2.I	Review Results:	
2.e	RSC-16.b	RSC-16.b: Includes dismissals that are made where the procedural requirements for a valid request are not met within the stipulated timeframe. The plan should issue a dismissal only when the required documentation was not received within a reasonable amount of time. [Data Elements 2.H, 2.I]	Data Element 2.H	Review Results:	
2.e	RSC-16.b		Data Element 2.I	Review Results:	
2.e	RSC-17	RSC-17: Organization accurately calculates the total number of redeterminat including the following criteria:	ions (Part D only),	Data Sources:	*
2.e	RSC-17.a	RSC-17.a: Includes all redetermination decisions for Part D drugs with a date of final decision that occurs during the reporting period, regardless of when the request for redetermination was received or when the member was notified of the decision. [Data Element 3.A]	Data Element 3.A	Review Results:	
2.e	RSC-17.b	RSC-17.b: Includes all redetermination decisions, including fully favorable, partially favorable, and unfavorable decisions. [Data Element 3.A]	Data Element 3.A	Review Results:	
2.e	RSC-17.c	RSC-17.c: Includes redetermination requests that were forwarded to the IRE because the organization failed to make a timely decision. Verify that all redetermination records from Universe 9 that are within the reporting period and classified as auto-forwarded to the IRE are included in the count for the total number of redeterminations [Data Element 3.A]	Data Element 3.A	Review Results:	
2.e	RSC-17.d	RSC-17.d: Includes both standard and expedited redeterminations. [Data Element 3.A]	Data Element 3.A	Review Results:	
2.e	RSC-17.e	RSC-17.e: Includes all methods of receipt (e.g., telephone, letter, fax, inperson). [Data Element 3.A]	Data Element 3.A	Review Results:	

2.e	RSC-17.f	RSC-17.f: Includes all redeterminations regardless of who filed the request (e.g., member, appointed representative, or prescribing physician). [Data Element 3.A]	Data Element 3.A	Review Results:	
2.e	RSC-17.g	RSC-17.g: Includes Direct Member Reimbursements (DMRs) part of the total number of redeterminations if the plan processed the request under the tiering or formulary exceptions process. Verify that all DMRs regardless of request disposition type that were processed under the tiering or formulary exception process should be included in the count of the total number of redeterminations decisions made in the reporting period. [Data Element 3.A]		Review Results:	
2.e	RSC-17.h	RSC-17.h: Includes all redetermination decisions that relate to Part B versus Part D coverage (drugs covered under Part B are considered adverse decisions under Part D). [Data Element 3.A]	Data Element 3.A	Review Results:	
2.e	RSC-17.i	RSC-17.i: Includes multiple distinct disputes contained in one redetermination request (i.e., multiple drugs), as a separate redetermination request. [Data Element 3.A]	Data Element 3.A	Review Results:	
2.e	RSC-17.j	RSC-17.j: Includes dismissals and withdrawals. Verify that redetermination requests that are withdrawn or dismissed are included in the count of total redeterminations. [Data Element 3.A]		Review Results:	
2.e	RSC-17.k	RSC-17.k: Excludes IRE decisions, as they are considered to be the second level of appeal. [Data Element 3.A]	Data Element 3.A	Review Results:	
2.e	RSC-17.I	RSC-17.l: Excludes redeterminations regarding excluded drugs. [Data Element 3.A]	Data Element 3.A	Review Results:	
2.e	RSC-17.m	Element 3.A]	Data Element 3.A	Review Results:	
2.e	RSC-17.n	RSC-17.n: Includes untimely redeterminations decisions, regardless if they were auto-forwarded to the IRE. [Data Element 3.A]		Review Results:	
2.e	RSC-18	RSC-18: Organization accurately calculates the number of redeterminations sponsor processed timely, including the following criteria:	for which the Part D	Data Sources:	*
2.e	RSC-18.a	RSC-18.a: Includes only redeterminations for which the member is notified according to the following timelines:	of the decision	Data Sources:	*

2.e	RSC-18.a.i	notified of the decision according to the following timelines	Data Elements 3.B-3.D	Review Results:	
		i. i. For standard redeterminations: no later than 7 calendar days after receipt of the request. [Data Elements 3.B-3.D]			
2.e	RSC-18.a.ii	RSC-18.a.ii: Includes only redeterminations for which the member is notified of the decision according to the following timelines:	Data Elements 3.B-3.D	Review Results:	
		ii. For expedited redeterminations: no later than 72 hours after receipt of the request. [Data Elements 3.B-3.D]			
2.e	RSC-18.a.iii	notified of the decision according to the following timelines:	Data Element 3.B-3.D	Review Results:	
		iii. For reimbursement requests: no later than 14 days after receipt of the request. [Data Element 3.B-3.D]			
2.e	RSC-18.b	RSC-18.b: Excludes approvals in which the sponsor did not authorize or provi	ide the henefit or	Data Sources:	*
2.6	N3C-18.D	payment under dispute according to the following timelines:	ide the benefit of	Data Sources.	
2.e	RSC-18.b.i	RSC-18.b.i: Excludes approvals in which the sponsor did not authorize or provide the benefit or payment under dispute according to the following timelines:	Data Elements 3.B-3.D	Review Results:	
		i. For standard redeterminations: no later than 7 calendar days after receipt of the request. [Data Elements 3.B-3.D]			
2.e	RSC-18.b.ii	RSC-18.b.ii: Excludes approvals in which the sponsor did not authorize or provide the benefit or payment under dispute according to the following timelines:	Data Elements 3.B-3.D	Review Results:	
		ii. For expedited redeterminations: no later than 72 hours after receipt of the request. [Data Elements 3.B-3.D]			
	200 40 1 111				
2.e	RSC-18.b.iii	RSC-18.b.iii: Excludes approvals in which the sponsor did not authorize or provide the benefit or payment under dispute according to the following timelines:	Data Elements 3.B-3.D	Review Results:	
		iii. For reimbursement requests: no later than 14 days after receipt of the request. [Data Elements 3.B-3.D]			
2.e	RSC-18.c	RSC-18.c: Includes untimely redeterminations, regardless if they were auto-I forwarded to the IRE. [Data Elements 3.B-3.D]	Data Elements 3.8-3.D	Review Results:	
2.0	DCC 10	DCC 10. Over risetian accounts by calculates the record or of redeterminations has	ou final decision	Data Courses	*
2.e	RSC-19	RSC-19: Organization accurately calculates the number of redeterminations be including the following criteria:	by final decision,	Data Sources:	·
2.e	RSC-19.a	RSC-19.a: Properly categorizes the total number of redeterminations by final decision: fully favorable (e.g., fully favorable decision reversing the original coverage determination, partially favorable (e.g., denial with a	Data Elements 3.E-3.G	Review Results:	
		"part" that has been approved) and adverse (e.g., the original coverage determination decision was upheld). [Data Elements 3.E-3.G]			
2.e	RSC-19.b	RSC-19.b: Excludes redetermination decisions made by the IRE. [Data Elements 3.E-3.G]	Data Elements 3.E-3.G	Review Results:	
. '		. L			

2.e	RSC-20	RSC-20: Organization accurately calculates the number of redeterminations	that were withdrawn or	Data Sources:	*
		dismissed, including the following criteria:			
2.e	RSC-20.a	RSC-20.a: Includes all withdrawals and dismissals on requests for	Data Element 3.H	Review Results:	
		redeterminations. This includes redeterminations that were withdrawn or			
		dismissed for any reason. [Data Elements 3.H and 3.I]			
2.e	RSC-20.a		Data Element 3.I	Review Results:	
2.e	RSC-20.b	RSC-20.b: Includes dismissals that are made when the procedural	Data Element 3.H	Review Results:	
		requirements for a valid request are not met within the stipulated			
		timeframe. The plan should issue a dismissal only when the required			
		documentation has not been received within a reasonable amount of time.			
		[Data Elements 3.H and 3.I]			
2.e	RSC-20.b		Data Element 3.I	Review Results:	
	55 25.5				
2.e	RSC-20.c	RSC-20.c: Each number calculated for requests for redeterminations that	Data Element 3.H	Review Results:	
2.e	K3C-20.C	were withdrawn (Data Element 3.H) and requests for redeterminations	Data Element 3.H	neview results.	
		that were dismissed (Data Element 3.I) is a subset of the number of			
		redeterminations decisions made (Data Element 3.A). [Data Elements			
		3.H and 3.I]			
2.e	RSC-20.c		Data Element 3.I	Review Results:	
2.6	N3C-20.0		Data Liement 3.1	Neview Nesures.	
2.e	RSC-21	Organization accurately calculates the total number of reopened decisions a	according to the	Data Sources:	*
2.e	K3C-21	following criteria:	according to the	Data sources.	
	000.01	DCC 24 as leaded as a result by the second s	Data Fla	Pavian Parity	
2.e	RSC-21.a	RSC-21.a: Includes a remedial action taken to change a final determination or decision even though the determination or decision was correct based	vata Element 4.A	Review Results:	
		or decision even though the determination or decision was correct based on the evidence of record. [Data Element 4.A]			
		[Data Element 177]			
2.e	RSC-22	Organization accurately reports the following information for each redetern	nination.	Data Sources:	*
2.e	RSC-22.a	RSC-22.a: Contract Number [Data Element 4.B.1]	Data Element 4.B.1	Review Results:	_
				<u> </u>	
	•				

2.e	RSC-22.b	RSC-22.b: Plan ID [Data Element 4.B.2]	Data Element 4.B.2	Review Results:
2.e	RSC-22.c	RSC-22.c: Case ID [Data Element 4.B.3]	Data Element 4.B.3	Review Results:
2.e	RSC-22.d	RSC-22.d:Case level (Coverage Determination or Redetermination) [Data	Data Flement 4 B 4	Review Results:
2.0	1130 22.0	Element 4.B.4]	Duta Element 4.D.4	never nesures.
		Element 4.5.4		
2.e	RSC-22.e	RSC-22.e: Date of original disposition [Data Element 4.B.5]	Data Element 4.B.5	Review Results:
	200 25 1	PCC 22 & Oxistral III	D-4- El	Pariting Parenther
2.e	RSC-22.f		Data Element 4.B.6	Review Results:
		Adverse) [Data Element 4.B.6]		
2.e	RSC-22.g	RSC-22.g: Was case processed under expedited timeframe (Y/N) [Data	Data Element 4.B.7	Review Results:
2.0	1130 22.8	Element 4.B.7]	Data Element 4.5.7	never nesures.
		Element 4.5.7		
			_	
2.e	RSC-22.h	RSC-22.h: Case type (Pre-Service; Payment) [Data Element 4.B.8]	Data Element 4.B.8	Review Results:
2 -	DCC 22 :	DCC 22 : D-t [D-t- 5] 4 D C]	Data Flancast A D O	Desire Desire
2.e	RSC-22.i	RSC-22.i: Date case was reopened [Data Element 4.B.9]	Data Element 4.B.9	Review Results:
2.e	RSC-22.j	RSC-22.j: Reason (s) for reopening (Clerical Error, Other Error, New and	Data Element 4.B.10	Review Results:
_		Material Evidence, Fraud or Similar Fault, or Other) [Data Element 4.B.10]		
		, , , , , , , , , , , , , , , , , , , ,		
1				
1				
			<u> </u>	
2.e	RSC-22.k		Data Element 4.B.11	Review Results:
		4.B.11]		
1				
2.e	RSC-22.l	RSC-22.l Reopening disposition (Fully Favorable; Partially Favorable;	Data Element 4.B.12	Review Results:
		Adverse, or Pending). [Data Element 4.B.12]		
		, 6, [
1				
			<u> </u>	
			-	

	<u> </u>				
3		Organization implements policies and procedures for data submission, inc	uding the following:	Data Sources:	*
3.a		Data elements are accurately entered/uploaded into CMS systems and	Data Element 1.A	Review Results:	
3.0		entries match corresponding source documents.	Data Element 1.71	neren nesans	
3.a			Data Element 1.B	Review Results:	
3.a			Data Element 1.C	Review Results:	
			<u> </u>		
3.a			Data Element 1.D	Review Results:	
2.0			Data Flament 1 F	Paviau Pavilta	
3.a			Data Element 1.E	Review Results:	
3.a			Data Element 1.F	Review Results:	
3.a			Data Element 1.G	Review Results:	
3.a			Data Element 2.A	Review Results:	
			D-1- 51	Paris Paris	
3.a			Data Element 2.B	Review Results:	
3.a			Data Element 2.C	Review Results:	
J.a			Saca Element 2.0		
1	Ī	<u> </u>	1		Ī

3.a		Data Element 2.D	Review Results:	
3.a		Data Element 2.E	Review Results:	
		D		
3.a		Data Element 2.F	Review Results:	
3.a		Data Element 2.G	Review Results:	
3.a		Data Element 2.H	Review Results:	
J.a		Jaka LICHICHI Z.A	nesiew nesuits.	
3.a		Data Element 2.I	Review Results:	
3.a		Data Element 2.J	Review Results:	
		D		
3.a		Data Element 2.K	Review Results:	
3.a		Data Element 2.L	Review Results:	
3.a		Data Element 2.M	Review Results:	
J.a		Data LICITICITE Z.IVI	nesiew nesuits.	
3.a		Data Element 2.N	Review Results:	
i l	L		L	

3.a			Data Element 2.0	Review Results:	
3.a			Data Element 2.P	Review Results:	
3.a			Data Floment 2.0	Review Results:	
5.a			Data Element 2.Q	neview results.	
3.a			Data Element 2.R	Review Results:	
3.a			Data Element 2.S	Review Results:	
3.a			Data Element 2.T	Review Results:	
5.a			Data Element 2.1	neview results.	
3.a			Data Element 2.U	Review Results:	
3.a			Data Element 2.V	Review Results:	
3.a			Data Element 2.W	Review Results:	
J.u					
			Data Flance 12.1	Paridous Parasities	
3.a			Data Element 2.X	Review Results:	
3.a			Data Element 2.Y	Review Results:	
1	ı	.	<u> </u>		

	1		<u></u>	
3.a		Data Element 2.Z	Review Results:	
3.a		Data Element 2.AA	Review Results:	
3.a		Data Element 3.A	Review Results:	
5.a		Data Element 3.A	neview results.	
	<u></u>			
3.a		Data Element 3.B	Review Results:	
1				
1				
3.a	 	Data Element 3.C	Review Results:	
3.d		Data Element 5.C	INCAIGM MESUITS.	
3.a		Data Element 3.D	Review Results:	
3.a		Data Element 3.E	Review Results:	
3.a		Data Element 3.F	Review Results:	
1				
1				
1				
3.a		Data Element 3.G	Review Results:	
1				
1				
1				
1				
1				
3.a	 	Data Element 3.H	Review Results:	
1				
1				
1				
1				
1				
1				
3.a		Data Element 3.I	Review Results:	
1				
1				
I	I	<u> </u>		

	1			
3.a		Data Element 4.A	Review Results:	
3.a		Data Element 4.B.1	Review Results:	
3.a	-	Data Element 4.B.2	Review Results:	
3.a		Data Element 4.b.2	neview results.	
3.a		Data Element 4.B.3	Review Results:	
1				
3.a	 	Data Element 4.B.4	Review Results:	
3.d		Data Element 4.5.4	Neview Nesults.	
3.a		Data Element 4.B.5	Review Results:	
3.a		Data Element 4.B.6	Review Results:	
1				
1				
3.a		Data Element 4.B.7	Review Results:	
1				
1				
3.a		Data Element 4.B.8	Review Results:	
1				
1				
1				
1				
1				
1				
3.a	 	Data Element 4.B.9	Review Results:	
1				
1				
1				
1				
3.a		Data Element 4.B.10	Review Results:	
1				
1				
1				
1	I	<u> </u>		

_		I	- · · · · ·	
3.a		Data Element 4.B.11	Review Results:	
3.a		Data Element 4.B.12	Review Results:	
3.b	All source, intermediate, and final stage data sets and other outputs relied to CMS systems are archived.	All source, intermediate, and final stage data sets and other outputs relied upon to enter data into CMS systems are archived.		
4	Organization implements policies and procedures for periodic data system unencollment, provider/pharmacy status, and claims adjustments).	Organization implements policies and procedures for periodic data system updates (e.g., changes in enrollment, provider/pharmacy status, and claims adjustments).		
5	Organization implements policies and procedures for archiving and restoring system (e.g., disaster recovery plan).	g data in each data	Review Results:	
6	of a merger, acquisition, or upgrade): Organization provided documentation	If organization's data systems underwent any changes during the reporting period (e.g., as a result of a merger, acquisition, or upgrade): Organization provided documentation on the data system changes and, upon review, there were no issues that adversely impacted data reported.		
7	If data collection and/or reporting for this reporting section is delegated to a Organization regularly monitors the quality and timeliness of the data collect the delegated entity or first tier/ downstream contractor.		Review Results:	

Improving	Drug I	Utilization	Review	Controls (Part D	2017

improving brug offize	dion Review Controls (Fart D) 2017	
Organization Name:		
Contract Number:		
Reporting Section:	Improving Drug Utilization Review Controls (Part D) 2017	
Last Updated:	MM/DD/YYYY	
Date of Site Visit:		
Name of Reviewer:	Last name, First name	
Name of Peer Reviewer:	Last name, First name	

1) In the "Data Sources and Review Results:" column, enter the review results and/or data sources used for each standard or sub-standard.

2) Enter "Y" if the requirements for the standard or sub-standard have been completely met. If any requirement for the standard or sub-standard has not been met, enter "N". If any standard or sub-standard does not apply, enter "N/A".

3) For standards 1c, 1d, 1e, 1g, 1h, and 2e, enter 'Findings' as follows based on the five-point scale: Select "1" if plan data has more than 20% error, select "2" if plan data has between 15.1% - 20.0% error, select "3" if plan data has between 10.1% - 15.0% error, select "4" if plan data has between 5.1% - 10.0% error, select "5" if plan data has less than or equal to a 5% error. Enter "N/A" if standard does not apply.

Standard/ Sub-standard ID	Redding of D	Standard/Sub-standard Description	Data Sources and Review Results: Enter review results and/or data sources	Enter 'Findings' using the applicable choice in the appropriate cells. Cells marked with an '*' should not be edited.
edit POS, then po	ertaining parts of this	responds to the CY 2017 Part D Improving Drug Utilization Review Controls File Record Layout in s reporting section is not required for data validation. If the Part D sponsor did not have a hard fation. CMS recommends that data sources are a documented on the FDCF.		
1		A review of source documents (e.g., programming code, spreadsheet formulas, analysis plans, saved data queries, file layouts, process flows) indicates that all source documents accurately capture required data fields and are properly documented.	Data Sources:	*
1.a		Source documents are properly secured so that source documents can be retrieved at any time to validate the information submitted to CMS via CMS systems.	Review Results:	
1.b		Source documents create all required data fields for reporting requirements.	Review Results:	
1.c		Source documents are error-free (e.g., programming code and spreadsheet formulas have no messages or warnings indicating errors, use correct fields, have appropriate data selection, etc.).	Review Results:	
1.d		All data fields have meaningful, consistent labels (e.g., label field for patient ID as Patient ID, rather than Field1 and maintain the same field name across data sets).	Review Results:	
1.e		Data file locations are referenced correctly.	Review Results:	
1.f		If used, macros are properly documented.	Review Results:	
1.g		Source documents are clearly and adequately documented.	Review Results:	

		<u></u>	,
1.h		Titles and footnotes on reports and tables are accurate.	Review Results:
1.i		Version control of source documents is appropriately applied.	Review Results:
2		A review of source documents (e.g., programming code, spreadsheet formulas, analysis plans, saved data queries, file layouts, process flows) and census or sample data, whichever is applicable, indicates that data elements for each reporting section are accurately identified, processed, and calculated.	Data Sources: *
2.a	RSC-1	The appropriate date range(s) for the reporting period(s) is captured. Organization reports data based on the required reporting period of 1/1 through 12/31.	Review Results:
2.b	RSC-2	Data are assigned at the applicable level (e.g., plan benefit package or contract level). Organization properly assigns data to the applicable CMS contract.	Review Results:
2.c	RSC-3	Appropriate deadlines are met for reporting data (e.g., quarterly). Organization meets deadline for reporting annual data to CMS by 2/26/2018. [Note to reviewer: If the organization has, for any reason, re-submitted its data to CMS for this reporting section, the reviewer should verify that the organization's original data submissions met the CMS deadline in order to have a finding of "yes" for this reporting section criterion. However, if the organization re-submits data for any reason and if the resubmission was completed by 3/31 of the data validation year, the reviewer should use the organization's corrected data submission(s) for the rest of the reporting section criteria for this reporting section.]	Review Results:
2.d	RSC-4	Terms used are properly defined per CMS regulations, guidance and Reporting Requirements Technical Specifications. Organization complies with drug utilization management (DUM) requirements of 42 C.F.R §423.153 et seq. to prevent overutilization of opioids as well as other DUM requirements according to guidelines specified by CMS. This includes but is not limited to: applying all relevant guidance to properly establish and implement a soft and/or hard formulary-level cumulative opioid morphine equivalent dose (MED) threshold edit at point of sale, organization provides documentation that its soft and/or hard formulary-level cumulative opioid MED POS edit was properly tested and validated prior to its implementation date, etc	Review Results:
2.e	RSC-5	Organization data passes data integrity checks listed below:	Data Sources: *
2.e	RSC-5.a	RSC-5.a: The organization has either a soft and/or hard formulary cumulative opioid MED POS edit in place (At least one of Data and/or I Elements A and I must be 1 (Yes)).	Review Results:
2.e	RSC-5.b	RSC-5.b: If the organization has a soft formulary cumulative opioid MED POS edit (Data Element A = 1), the following is true.	Data Sources: *

2.e	RSC-5.bi	RSC-5.bi: The number of soft edit claim rejections overridden by the pharmacist at the pharmacy (G) does not exceed the number of claims rejected due to the soft formulary-level cumulative opioid MED edit at POS (E).	Data Element G	Review Results:
2.e	RSC-5.bii	RSC-5.bii: The cumulative MED threshold, the number of claims rejected due to soft edits, and the number of unique beneficiary rejected due to the soft formulary edits must be reported (Elements B, E, F \neq blank).	Data Elements B,E,F	Review Results:
2.e	RSC-5.biii	RSC-5.biii: The number of unique beneficiaries with at least one soft edit claim rejection overridden by the pharmacist at the pharmacy (H) does not exceed the number of unique beneficiaries with at least one claim rejected due to the soft formulary-level cumulative opioid MED edit at POS (F).	Data Element H	Review Results:
2.e	RSC-5.biv	RSC-5.biv: The number of unique beneficiaries with at least one claim rejected due to the soft formulary-level cumulative opioid MED edit at POS (data element F) is a value less than or equal to the number of unique claims rejected (data element E).	Data Element F	Review Results:
2.e	RSC-5.c	RSC-5.c: If the organization does not have soft formulary cumulative opioid MED POS edits (Data Element A = 2), data elements B, C, D, E, F, G, and H should equal 0.	Data Elements: B, C, D, E, F, G, H	Review Results:
2.e	RSC-5.d	RSC-5.d: If the organization had a hard formulary cumulative opioid M Element I = 1), the following is true:	ED POS edit (Data	Data Sources: *
2.e	RSC-5.di	RSC-5.di: The number of unique beneficiaries with coverage determinations from hard edit rejections (Data Element O) does not exceed the number of unique beneficiaries with hard edit rejections (Data Element N).	Data Element O	Review Results:
2.e	RSC-5.dii	RSC-5.dii: The number of unique beneficiaries that had a claim successfully processed (paid) (Data Element P) does not exceed the number of unique beneficiaries with hard edit rejections (Data Element N).	Data Element P	Review Results:
2.e	RSC-5.diii	RSC-5.diii: The cumulative MED threshold, the number of claims rejected due to hard edit, and the number of claims rejected to due to hard edits, and the number of unique beneficiary rejected due to the hard formulary edit must be reported (Elements J, M, N ≠ blank).	Data ElementS: J, M, N	Review Results:
2.e	RSC-5.div	RSC-5.div: The number of unique beneficiaries with at least one claim rejected due to the hard formulary-level cumulative opioid MED edit at POS (data element N) is a value less than or equal to the number of claims rejected due to the hard formulary-level cumulative opioid MED edit at POS (data element M).	Data Element N	Review Results:
2.e	RSC-5.dv	RSC-5.dv: The number of unique beneficiaries with at least one claim rejected that also had a claim successfully processed (paid) for an opioid drug subject to the hard opioid MED edit such as, but not limited to, through favorable coverage determination (data element P) is a value less than or equal to the number of unique beneficiaries with at least one hard edit claim rejection that also had a coverage determination request (data element O).	Data Element: P	Review Results:

2.e	RSC-5.e		Data ElementS: J, K, L, M, N, O, P	Review Results:	
2.e	RSC-5.f	. ,	Data Elements: B-H and J-P	Review Results:	
2.e	RSC-6	RSC-6: Organization can accurately identify and create a Part D data set related to its soft and/or hard formulary-level cumulative opioid morph (MED) edit(s) and correctly calculate and report counts to CMS via HPN following criteria:	nine equivalent dose	Data Sources:	*
2.e	RSC-6.a	RSC-6.a: Properly identifies and counts the number of POS rejects trigged beneficiaries by the established soft formulary-level cumulative MED the applicable, a provider and pharmacy criterion		Data Sources:	*
2.e	RSC-6.ai	RSC-6.ai: Includes pharmacy transactions for Part D opioid drugs with a fill date (not batch date) that falls within the reporting period.	Data Element E	Review Results:	
2.e	RSC-6.ai	RSC-6.ai: Includes pharmacy transactions for Part D opioid drugs with a fill date (not batch date) that falls within the reporting period.	Data Element F	Review Results:	
2.e	RSC-6.aii	RSC-6.aii: The rejected opioid claim due to the soft formulary-level cumulative opioid MED POS edit is not associated with an early refill rejection transaction.	Data Element E	Review Results:	
2.e	RSC-6.aii	RSC-6.aii: The rejected opioid claim due to the soft formulary-level cumulative opioid MED POS edit is not associated with an early refill rejection transaction.	Data Element F	Review Results:	
2.e	RSC-6.aiii	RSC-6.aiii: Rejected opioid claims are counted at the unique contract, beneficiary, prescriber, pharmacy, drug (strength and dosage form), quantity, and date of service (DOS).	Data Element E	Review Results:	
2.e	RSC-6.aiii	RSC-6.aiii: Rejected opioid claims are counted at the unique contract, beneficiary, prescriber, pharmacy, drug (strength and dosage form), quantity, and date of service (DOS).	Data Element F	Review Results:	
2.e	RSC-6.aiv	RSC-6.aiv: Properly counts the number of unique beneficiaries by contract that triggered the established soft formulary-level cumulative MED threshold and if applicable, a provider and pharmacy criterion.	Data Element E	Review Results:	

2.e	RSC-6.aiv	RSC-6.aiv: Properly counts the number of unique beneficiaries by Contract that triggered the established soft formulary-level Cumulative MED threshold and if applicable, a provider and pharmacy criterion.	Review Results:
2.e	RSC-6.b	RSC-6.b: Properly identifies and counts the number of POS rejects triggered and unique beneficiaries by the established hard formulary-level cumulative MED threshold and if applicable, a provider and pharmacy criterion.	Data Sources: *
2.e	RSC-6.bi	RSC-6.bi: Includes pharmacy transactions for Part D opioid drugs Data Element M with a fill date (not batch date) that falls within the reporting period.	Review Results:
2.e	RSC-6.bi	RSC-6.bi: Includes pharmacy transactions for Part D opioid drugs Data Element N with a fill date (not batch date) that falls within the reporting period.	Review Results:
2.e	RSC-6.bii	RSC-6.bii: The rejected opioid claim due to the hard formulary-level Data Element M cumulative opioid MED POS edit is not associated with an early refill rejection transaction.	Review Results:
2.e	RSC-6.bii	RSC-6.bii: The rejected opioid claim due to the hard formulary-level Data Element N cumulative opioid MED POS edit is not associated with an early refill rejection transaction.	Review Results:
2.e	RSC-6.biii	RSC-6.biii: Rejected opioid claims are counted at the unique Data Element M contract, beneficiary, prescriber, pharmacy, drug (strength and dosage form), quantity, and date of service (DOS).	Review Results:
2.e	RSC-6.biii	RSC-6.biii: Rejected opioid claims are counted at the unique Data Element N contract, beneficiary, prescriber, pharmacy, drug (strength and dosage form), quantity, and date of service (DOS).	Review Results:
2.e	RSC-6.biv	RSC-6.biv: Properly counts the number of unique beneficiaries by Data Element M contract that triggered the established hard formulary-level cumulative MED threshold and if applicable, a provider and pharmacy criterion.	Review Results:
2.e	RSC-6.biv	RSC-6.biv: Properly counts the number of unique beneficiaries by contract that triggered the established hard formulary-level cumulative MED threshold and if applicable, a provider and pharmacy criterion.	Review Results:
2.e	RSC-7	RSC-7 From the data set of POS rejects (RSC 6a) related to the soft formulary-level cumulative opioid MED edit the organization accurately identifies and counts the number of overridden rejected claims and correctly uploads the counts into HPMS, including the following criteria:	Data Sources:

		,			
2.e	RSC-7.a	RSC-7.a: Properly identifies and counts the number of pharmacist overric level cumulative opioid MED edit POS rejected claims.	dden soft formulary-	Data Sources:	*
		level cumulative opiolu ivieb edit ros rejected claims.			
2.e	RSC-7.ai	RSC-7.ai: If a prescription drug claim contains multiple POS Dat	I ta Element G	Review Results:	
		rejections, each rejection is considered as a separate pharmacy			
		transaction and included in the data set.			
2.e	RSC-7.ai	· · · · · · · · · · · · · · · · · · ·	ta Element H	Review Results:	
		rejections, each rejection is considered as a separate pharmacy transaction and included in the data set.			
2.e	RSC-7.b	RSC-7.b: Properly identifies and counts the number of unique beneficiari	es per contract with	Data Sources:	*
		at least one claim rejection due to its soft formulary-level cumulative opi	oid MED POS edit		
		and a pharmacist overridden soft formulary-level cumulative opioid MED	POS edit rejected		
		claim (RSC 6a).			
2.e	RSC-7.bi		ta Element G	Review Results:	
		rejections, each rejection is considered as a separate pharmacy transaction and included in the data set.			
2.e	RSC-7.bi	RSC-7.bi: If a prescription drug claim contains multiple POS Dat	ta Element H	Review Results:	
	1.00 / 1.0.	rejections, each rejection is considered as a separate pharmacy			
		transaction and included in the data set.			
2.e	RSC-8	RSC-8: From the data set of POS rejects (RSC 6b) related to the hard form		Data Sources:	*
		cumulative opioid morphine equivalent doses (MED) edits, the organizat identifies claims leading to a coverage determination and correctly uploa			
		HPMS including the following criteria:	ids the count into		
2.e	RSC-8.a	RSC-8.a: If a prescription drug claim contains multiple POS Dat	ta Element O	Review Results:	
	1.50 0.4	rejections, each rejection is considered as a separate pharmacy			
		transaction.			
2.e	RSC-8.b	· · ·	ta Elements O	Review Results:	
		(e.g., telephone, letter, fax, in-person).			
2.e	RSC-8.c	RSC-8.c: Includes all coverage determinations (fully favorable, Dat	ta Elements O	Review Results:	
2.0	1130 0.0	partially favorable, and adverse).	tu Liements o	nesters nessates.	
L					
2.e	RSC-9	RSC-9 From the subset of POS rejects (RSC 6b) related to the hard formula		Data Sources:	*
		cumulative opioid morphine equivalent doses (MED) POS edits, the organ			
		identifies the number of unique beneficiaries with at least one hard edit to its hard formulary-level cumulative opioid MED POS edit that also had			
		processed (paid) for an opioid drug subject to the hard opioid MED edit s			
		limited to, through a favorable coverage determination or process and co	orrectly uploads the		
		count, if the data set of POS rejects includes the complete reporting perior including the following criteria:	od, into HPMS		
1					

	1				
2.e	RSC-9.a		Data Element P	Review Results:	
		element O.			
3		Organization implements policies and procedures for data submission	n, including the	Data Sources:	*
		following:			
3.a			Data Element Zero	Review Results:	
			Enrollment		
3.a			Data Element A	Review Results:	
3.a			Data Element B	Review Results:	
1					
3.a			Data Element C	Review Results:	
3.a			Data Element D	Review Results:	
3.a			Data Element E	Review Results:	
	<u></u>				
3.a			Data Element F	Review Results:	
1					
3.a			Data Element G	Review Results:	
1					
1					
1					
3.a			Data Element H	Review Results:	
1					
1					
1					
1					
1					
1					

3.a	T	I I	Data Element I	Review Results:	
5.a			Jata Liement i	neview results.	
3.a			Data Element J	Review Results:	
3.u			Julu Element J	Neview Nesures.	
3.a			Data Element K	Review Results:	
5.a			Jala Element K	neview results.	
			>		
3.a			Data Element L	Review Results:	
3.a			Data Element M	Review Results:	
3.a			Data Element N	Review Results:	
3.a			Data Element O	Review Results:	
3.a			Data Element P	Review Results:	
3.b		All source, intermediate, and final stage data sets and other outputs re	elied upon to enter	Review Results:	
		data into CMS systems are archived.			
4		Organization implements policies and procedures for periodic data sys	stem updates (e.g.,	Review Results:	
		changes in enrollment, provider/pharmacy status, and claims adjustment	ents).		
5		Organization implements policies and procedures for archiving and res	storing data in each	Review Results:	
		data system (e.g., disaster recovery plan).			
I	I	l .		1	

6	If organization's data systems underwent any changes during the reporting period (e.g., as a result of a merger, acquisition, or upgrade): Organization provided documentation on the data system changes and, upon review, there were no issues that adversely impacted data reported.	Review Results:	
7	If data collection and/or reporting for this reporting section is delegated to another entity: Organization regularly monitors the quality and timeliness of the data collected and/or reported by the delegated entity or first tier/ downstream contractor.	Review Results:	