

**INDIAN CHILD WELFARE QUARTERLY AND ANNUAL REPORT**

Directions: Tribes must only fill out the section(s) (Part A and/or Part B) below that pertains to their tribal program(s).

**PART A - INDIAN CHILD WELFARE ACT (ICWA) DATA** ICWA Contract/Grant No. \_\_\_\_\_

Name of Program \_\_\_\_\_

1. Do you have any Tribal/State Agreements, i.e. Title IV-E Agreements, etc. involving child welfare/assistance?  
 \_\_\_ Yes or \_\_\_ No (Check One) Please explain: \_\_\_\_\_

\_\_\_\_\_

2. ICWA Notifications	Q1	Q2	Q3	Q4	TOTAL
A. Total Number New Received					
B. Total Number Acted On					
1. Participated in State Court Hearing					
2. Participated in Case Planning					
3. Transferred to Tribal Court					
4. Placement with Relative					
5. Placement in Indian Foster Home					
6. Placement in non-Indian Foster Home					
C. Total Number New Acted On (Non-Tribal)					
3. Placement Funding Source	Q1	Q2	Q3	Q4	TOTAL
A. Title IV-E					
B. BIA Child Assistance					
C. Other, Please specify					

**PART B - TRIBAL CHILD ABUSE AND NEGLECT DATA** (Only those Tribes/Grantees that operate a child protection programs should complete Part B. If the Tribe's child protection program is carried out by the State, then Part B of this form is not required.)

Tribal Child Abuse and Neglect Data	Q1	Q2	Q3	Q4	TOTAL
Total Reports/Referrals Received					
Substantiated					
Unsubstantiated					
Sexual Abuse					
Physical Abuse					
Neglect					
Alcohol Involved					
Drugs and/or Inhalants					
Recurring Cases					
Cases of Siblings Involved					
Placements Out of Home					
Petition to Tribal Court					
Referral to Tribal Social Services					
Domestic Violence Involved					
Total Number of Child Protection Workers					

Name of Tribe:	
Fiscal Year:	Date:
Preparer's Signature & Title:	

Preparer's Name (Please Print):

**INSTRUCTIONS**

Indian Child Welfare Quarterly and Annual Report

The Tribe/Grantee should complete the Indian Child Welfare Quarterly and Annual Report on a quarterly basis, in accordance with the following schedule:

Reporting by Fiscal Year	
For reporting period:	Please submit your report by:
Q1: First Quarter (October 1 - December 31)	30 days after the end of Q1
Q2: Second Quarter (January 1 - March 31)	30 days after the end of Q2
Q3: Third Quarter (April 1 – June 30)	30 days after the end of Q3
Q4: Fourth Quarter (July 1 – September 30)	45 days after the end of Q4
End of the Year Total	

**Reporting Requirements**

There are two parts to the Indian Child Welfare Quarterly and Annual Report: Part A – Indian Child Welfare Act (ICWA) Data, and Part B – Child Abuse and Neglect Data.

**Part A:** All Tribes/Grantees should complete Part A.

**Part B:** Only those Tribes/Grantees that operate a child protection programs should complete Part B. If the Tribe's child protection program is carried out by the State, then Part B of this form is not required.

**Explanation of Reporting Indicators**

**Note:** All quarterly entries should be “new” for each quarter. Do not “carryover” quarterly entries. The End of Year (EOY) total should be the sum of all four quarters.

*For example:* For Q1, there were two *new* children placed in foster homes;  
 In Q2, there were no *new* children placed;  
 In Q3, there was one *new* child placed;  
 In Q4, there were no *new* children placed.  
 The total for EOY = 3 children placed in foster homes during the fiscal year.

**PART A – INDIAN CHILD WELFARE ACT (ICWA) DATA**

Identify the ICWA Contract/Grant No. and the Name of the Program.

**1. Do you have any Tribal/State Agreements regarding child welfare/assistance?**

Please indicate whether the Tribe has a Tribal/State Agreement regarding child welfare/assistance by checking the appropriate response (“yes” or “no”).

Next, please explain the different types of agreements your Tribe has in place with the State (if any). *For example:* Title IV-E agreements, Title IV-E contracts, Joint Power Agreements, and so forth. If your Tribe has no Agreements in place, please write “none”.

## 2. ICWA Notifications

- A. **Total Number Received** – Enter the number of new ICWA notifications your Tribe received during the quarter.
- B. **Total Number Acted On** – Enter the number of new ICWA notifications the Tribe acted on during the quarter. “Acted on” means action taken by the Tribe after receiving and processing the ICWA notification.
  - 1. **Participated in State Hearing** – Enter the number of new ICWA cases in which your Tribe participated in a state court hearing.
  - 2. **Participated in Case Planning** – Enter the number of new ICWA cases in which your Tribe participated in case planning.
  - 3. **Transferred to Tribal Court** – Enter the number of new ICWA cases transferred from a State Court to Tribal Court.
  - 4. **Placement with Relative** – Enter the number of new children placed with the Indian child’s relative as of the end of the quarter.
  - 5. **Placement in Indian Foster Home** – Enter the number of new children placed in Indian/Tribal foster homes as of the end of the quarter.
  - 6. **Placement in non-Indian Foster Home** – Enter the number of new children placed in non-Indian/non-Tribal foster homes as of the end of the quarter.
- C. **Total Acted On (Non-Tribal)**: Enter the number of new ICWA notifications regarding non-tribal members the Tribe acted on during the quarter. “Acted on” means action taken by the Tribe after receiving and processing the ICWA notification. “Non-tribal” means a case when the child or parents are not members of a Federally recognized tribe.

## 3. Placement Funding Source

- A. **Title IV-E** – Enter the number of new child placements for the quarter that are paid through Title IV-E funds under the Child Welfare and Adoption Assistance Act of 1980 (P.L. 96-272).
- B. **BIA Child Assistance** – Enter the number of new child placements for the quarters that were paid through BIA Child Care Assistance.
- C. **Other, Please specify** – Enter the number of new child placements for each quarter that are paid through sources *other than* IV-E or BIA Child Care Assistance. Please explain/provide examples of the other sources in each applicable quarter.

### PART B – TRIBAL CHILD ABUSE AND NEGLECT DATA

#### 1. Tribal Child Abuse and Neglect Data

- A. **Total Reports/Referrals Received** – Enter the number of child abuse and neglect reports your Tribe received during the quarter.
  - 1. **Substantiated** – Enter the number of child abuse and neglect reports substantiated during the quarter.
  - 2. **Unsubstantiated** – Enter the number of child abuse and neglect reports unsubstantiated during the quarter.
  - 3. **Sexual Abuse** – Enter the number of child abuse and neglect reports involving sexual abuse.
  - 4. **Physical Abuse** – Enter the number of child abuse and neglect reports involving physical abuse
  - 5. **Neglect** – Enter the number of child abuse and neglect reports involving neglect.
  - 6. **Alcohol Involved** – Enter the number of child abuse and neglect reports involving alcohol.

7. **Drugs and/or Inhalants Involved** – Enter the number of child abuse and neglect reports involving drugs and/or inhalants.
8. **Recurring Cases** – Enter the number of child abuse and neglect reports in which the child and/family has had previous reports made
9. **Cases of Siblings Involved** – Enter the number of child abuse and neglect reports involving siblings.
  
10. **Placements Out of Home** – Enter the number of child abuse and neglect reports resulting in the child’s placement out of the home.
11. **Petition to Tribal Court** – Enter the number of child abuse and neglect reports that result in a petition to tribal court.
12. **Referral to Tribal Social Services** – Enter the number of child abuse and neglect reports referred to Tribal Social Services.
13. **Domestic Violence Involved** – Enter the number of child abuse and neglect reports involving domestic violence.
14. **Total No. Of Child Protection Workers** – Enter the number of child protection workers in the tribe’s child protection system. Fractions can be entered. For example, if the tribe has one worker who spends 20 hours during a work week doing child protection, ½ or .5 may be entered. **For this category, no yearly total is applicable.**

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The preparer (*i.e.*, Tribe/Grantee) must sign and date the form, and send it to their appropriate BIA Regional ICWA contact the due dates listed on the first page (after each quarter). **The ICWA contact must make a copy of the report for their files, and forward the original to the following Central Office contact:**

Evangeline Campbell, Division of Human Services Chief  
Department of Interior – BIA, Office of Indian Services  
1849 C Street, NW (MS-4513-MIB)  
Washington, DC 20240

**Please direct any questions on this form  
to the Bureau of Indian Affairs (BIA) ICWA Coordinator for the appropriate BIA Region.**

**PAPERWORK REDUCTION ACT STATEMENT**

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