

# Application and Permit for Permanent Exportation of Firearms (National Firearms Act)

*(Chapter 53, Title 26, United States Code) (Submit in Quadruplicate. See Instructions on Reverse.)*

ATF Control Number	Internal Control Number
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**To: Director, Bureau of Alcohol, Tobacco, Firearms and Explosives, 244 Needy Road, Martinsburg, WV 25405**

**Part 1 - Application. The undersigned transferor hereby makes application to export the firearm(s) described herein. The application is supported by the attached certified copy of written order or contract of sale of such firearm(s) to consignee.**

1. Name and Address of Foreign Consignee	2. Intended Port of Exportation <i>(Including air freight)</i>	3. Number of Firearms Included in this Application
4. Name of Exporter <i>(And trade name if any)</i>	5. Address <i>(Number, street, city, county, State, zip code)</i>	
6. Federal Firearms License <i>(If any) (Give complete 15-digit number)</i>	7. Employer Identification Number	8. Export License Number for this Shipment <i>(If any)</i>

9. Description of Firearm(s) *(If additional space is needed, continue on a separate sheet using the format below.)*

Line No.	Type <i>(Machine gun, destructive device, short barreled shotgun or rifle, etc.)</i> (a)	Caliber, Gauge or Size (b)	Model (c)	Length of Barrel(s) (d)	Overall Length (e)	Serial Number (f)
1						
2						
3						
4						
5						

**Under penalties of perjury, I certify that I am the lawful possessor of the firearm(s) described on this form and any accompanying sheets, and that I have examined this application and, to the best of my knowledge and belief, it is true, correct and complete.**

10. Signature and Title <i>(Owner or authorized official) (See instruction 1c.)</i>	11. Date of Application
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**Part 2 - Permit *(This portion to be completed by Bureau of Alcohol, Tobacco, Firearms and Explosives)***

12. This Application is:  <input type="checkbox"/> Approved <input type="checkbox"/> Disapproved: <i>(Reason)</i>	13. Assessment of Tax Incurred by this Transfer Will Be Made Unless Proper Evidence of Exportation is Received on or Before:
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14. Authorized ATF Official	15. Date
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**Part 3 - Certification by Customs**

**I hereby certify that the described merchandise, covered by a shipper's export declaration on file in this office, was laden and cleared as described below:**

Laden In <i>(Name and/or type of carrier)</i>	Date Cleared	Foreign Destination
Signature of Customs Official	Port Of	Date

**Part 4 - Certification of Mailing by Parcel Post/Exportation**

**I certify that there has (have) been posted at this office today, parcel(s) addressed as indicated in Item 1, Part 1 of this application, declared to be firearms by the transferor named in Item 5, Part 1, or the transferor's authorized agent, who has waived the right to withdraw same from the mails.**

Post Office Name or Stamp	Signature of Postmaster, By	Date Posted
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### Instructions (See 27 CFR Part 479)

1. Any person desiring to permanently export a firearm without payment of the transfer tax must submit ATF Form 9 (5320.9), in to the Director, Bureau of Alcohol, Tobacco, Firearms and Explosives, NFA Branch, 244 Needy Road, Martinsburg, WV 25405, for a permit providing for deferment of the transfer tax. No shipment may be made until that permit is received from the Director.
  - a. ATF Form 9 (5320.9) must not be submitted until a license for the exportation, if any, has been issued by the Department of State or Commerce. If no license is needed, please attach a statement to that effect.
  - b. Part 1 of ATF Form 9 (5320.9) must be completed by the applicant and submitted to ATF with a certified copy of a written order, contract of sale, or other evidence showing the firearm is to be shipped to a foreign destination. The exporter's written certification attesting to that fact must appear on the documentation. If the applicant wishes to transfer the firearm(s) tax free to another person who will export the firearm, the applicant must submit ATF Form 9 (5320.9) with documentation that the transfer is a part of the exportation process.
  - c. The form must be completed by typewriter or pen and ink; penciled entries are unacceptable. The signature in item 10 must be entered in ink on all four copies. Photostatic, facsimile or carbon copy signatures are not acceptable. Form 9 is available in a fillable format on the ATF website ([www.atf.gov](http://www.atf.gov)) and may be downloaded and printed from that website. Form 9 may also be filed electronically via ATF's eForms system ([www.atfonline.gov](http://www.atfonline.gov)) by a person who registers and is qualified.
  - d. Applications approved by ATF will serve as the permit to export the firearm(s) described on the application.
  - e. In the event exportation is not effected, all copies of the form must be immediately returned to ATF for cancellation: (27 CFR 479.116).
2. When the firearms are to be exported by other than parcel post, two copies each of the permit and the Shipper's Export Declaration (*Commerce Form 7525-1*), and a copy of the State or Commerce Department license, must be submitted to the District Director of U.S. Customs and Border Patrol at the port of exportation, and must precede or accompany the shipment in order to permit appropriate inspection prior to lading. The Customs official, after execution of Part 3, will retain one copy of the permit and return one copy to the Director, Bureau of Alcohol, Tobacco, Firearms and Explosives, NFA Branch, 244 Needy Road, Martinsburg, WV 25405, as provided in Customs Manual Supplement No. 3284.02 dated March 12, 1979.
3. When the firearms are to be exported by parcel post, one copy of the permit must be presented to the postmaster at the office receiving the parcel. The postmaster will execute Part 4 of ATF Form 9 (5320.9) and return the permit to the exporter.
4. Proof of exportation must be furnished by the exporter to ATF within a 6-month period from date of issuance of the permit by ATF. Satisfactory evidence of exportation would be:
  - a. The certificate of exportation executed by a Customs official.
  - b. The certificate of mailing by parcel post executed by a postmaster.
  - c. A certificate of lading executed by a Customs official of the foreign country to which the firearm is exported.
  - d. A sworn statement of the foreign consignee covering the receipt of the firearm.
  - e. A return receipt or photostatic copy, signed by the addressee or an authorized agent of the addressee, if the shipment of a firearm was made by insured or registered parcel post.

NOTE: ATF receipt of the required documentation that the firearm(s) has/have been exported will relieve the exporter from the transfer tax liability. IF SATISFACTORY EVIDENCE IS NOT FURNISHED WITHIN THE STATED PERIOD, THE TRANSFER TAX WILL BE ASSESSED.

5. ATF Control Number box is only to be completed by ATF Personnel.
6. Internal Control Number box can be completed by the person desiring to permanently export the firearm. Any pertinent control information that person wants to annotate in this box pertaining to this exportation is allowed.

### Action by U.S. Customs and Border Patrol

Upon receipt of an approved ATF Form 9 (5320.9), in duplicate, the Customs official may order such inspection as deemed necessary prior to lading of the merchandise. If satisfied that the shipment is proper and the information contained in the permit to export is in agreement with the information shown in the shipper's export declaration, the Customs official will, after the merchandise has been exported, execute Part 3 of ATF Form 9 (5320.9). One copy will be retained with the shipper's export declaration. Customs will forward the remaining copy to the Bureau of Alcohol, Tobacco, Firearms and Explosives, NFA Branch, 244 Needy Road, Martinsburg, WV 25405.

### Privacy Act Information

The following information is provided pursuant to Section 3 of the Privacy Act of 1974 (5 U.S.C. 552a(e)(3)):

1. **Authority.** Solicitation of this information is made pursuant to the National Firearms Act (26 U.S.C. 5854). Disclosure of this information is mandatory for the exportation of a firearm exempt from transfer tax.
2. **Purpose.** To determine whether the proposed transfer qualifies as an exportation exempt from tax.
3. **Routine Uses.** The information will be used by ATF to make the determination set forth in paragraph 2. In addition, ATF will use the information to annotate the National Firearms Registration and Transfer Record. No information obtained from an application, registration, or records required to be submitted by a natural person in order to comply with any provision of the National Firearms Act, or regulations issued thereunder, shall, except in connection with prosecution, or other action, for furnishing false information, be used, directly or indirectly, as evidence against that person in any criminal proceeding with respect to a violation of law occurring prior to or concurrently with the filing of the application. The information from this application may only be disclosed to Federal authorities as provided in Section 6103, 26 U.S.C. (*as amended by the Tax Reform Act of 1979*).
4. **Effects of Not Supplying Information Requested.** Failure to supply complete information will delay processing and may cause denial of the application.

### Paperwork Reduction Act Notice

This request is in accordance with the Paperwork Reduction Act of 1995. The information you provide is used to verify exportation of a firearm and justify removal of the firearm from the National Firearms Registration and Transfer Record (NFRTR). The information is required to show satisfactory proof that a firearm may be exported without payment of the transfer tax in a manner as prescribed by the Secretary of the Treasury. The furnishing of this information is mandatory (26 U.S.C. 5854).

The estimated average burden associated with this collection of information is 18 minutes per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestion for reducing this burden should be addressed to Reports Management Officer, Document Services, Bureau of Alcohol, Tobacco, Firearms and Explosives, Washington, DC 20226.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.