

2017–18 NATIONAL POSTSECONDARY STUDENT  
AID STUDY ADMINISTRATIVE COLLECTION  
(NPSAS:18-AC)

Appendix D  
NPSAS:18-AC Institution Contacting Materials

OMB # 1850-0666 v.21

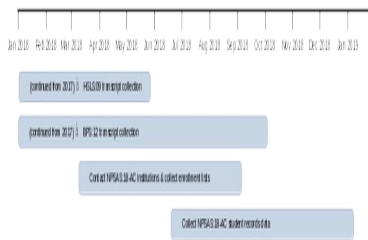
**Submitted by**  
**National Center for Education Statistics**  
**U.S. Department of Education**

**July 2017**  
**revised January 2018**



This appendix includes the contacting materials that will be used for recruiting institutions to participate in the 2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC). The materials below are based on those developed and approved for the 2015–16 National Postsecondary Student Aid Study (NPSAS:16) [OMB #1850-0666] and the 2011–12 Beginning Postsecondary Student Longitudinal Study (BPS:12) student records and transcript collections [OMB #1850-0631].

Overlap with BPS:12 Transcript Collection: While NPSAS:18-AC and the BPS transcript collection are separate studies collecting different types of data, it is important to note that: they will share the same data collection website, the Postsecondary Data Portal (described below); their data collection periods will overlap; and there will be many institutions that are sampled for both studies. When NPSAS:18-AC begins data collection in 2018, BPS:12 transcript collection will already be underway (see timeline graphic below). The NPSAS:18-AC contacting materials for institutions that are sampled for both studies acknowledge the BPS:12 Postsecondary Education Transcript Study (PETS) collection. Two of the letters included in this appendix, one to the chief administrator and one to the coordinator, acknowledge that the BPS:12 transcript collection is also occurring in 2018. HSL:09 PETS was recently extended until May 30, 2018, and thus references to BPS:12 PETS in the materials have been updated to reflect BPS:12/HSL:09 PETS.



The NPSAS:18-AC contact materials are divided by mailing, such that all materials for one mailing packet are included together. Each mailing is separated by a heading, and each section includes a description of the mailing and the materials that are included. These descriptions are located in the gray box, directly below the section heading. Institution contacting flowchart is provided in section B.3 of the Supporting Statement Part B of this submission. If revisions are made to any materials in this appendix based on the results of expert meetings and subcontractor recommendations, they will be submitted to OMB for approval as a change request by January 12, 2018.

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## **Chief Administrator Mailing**

*This mailing is sent to each institution's chief administrator at the start of data collection. The mailing includes a letter requesting the institution's participation in NPSAS:18-AC, a NPSAS:18-AC brochure, and an overview of the NPSAS:18-AC data collection timeline. The letter acknowledges that the BPS:12/HSL:09 transcript collection is also occurring in 2018. The materials for this mailing are included below.*

# Chief Administrator Letter and E-mail

NPSAS

Endorsed by

List of agencies is printed here along the left margin.

(Date)

(Salutation) (FirstName) (LastName)

(Title)

(Institution)

(Address)

(City), (State) (Zip)

Your IPEDS UNITID: (UnitID)

Your password: (Password)

Dear (Salutation) (LastName):

(Institution) has been selected to participate in the 2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC), which is conducted by the U.S. Department of Education's National Center for Education Statistics (NCES) under a congressional mandate to gather information from postsecondary institutions on how students and their families finance postsecondary education.

NPSAS is a very important source of information for Congress, policymakers, states, and institutions in informing the effectiveness of federal, state, and institution financial aid programs. The quality and affordability of postsecondary education are central concerns for students and their families. The data collected from student records provide much-needed information about today's college students as they begin, leave, and reenter postsecondary education; transfer between institutions; and complete programs at all levels of institutions. Additionally, for the first time, NPSAS will collect data to generate state-level financial aid estimates for undergraduate students to inform states' policies and planning. Your participation is vital in ensuring that the data collected accurately represent the undergraduate students in your state.

Recognizing that NCES data collection requests add to the workload of institution staff tasked with providing the data, NCES developed the secure Postsecondary Data Portal (PDP) website which provides a common data reporting platform for NCES' postsecondary data collections, other than the Integrated Postsecondary Education Data System (IPEDS). Currently, NCES is using the PDP to collect the transcripts of participants in the 2011–12 Beginning Postsecondary Students Longitudinal Study (BPS:12) and the High School Longitudinal Study of 2009 (HSL:09), and hopes that having the website will make it easier for your staff to provide data to NCES given that they will not need to learn new reporting processes for each new study. We are also planning to provide a data feedback report to participating institutions that allows staff to see how their institution compares to financial aid estimates at the sector, state, and/or national levels (depending on responses rates).

Please log in to the PDP website, <https://surveys.nces.ed.gov/portal>, and designate a coordinator for your institution. Your login information is included at the bottom of this letter. [*If a coordinator has been named for the BPS:12/HSL:09 transcript collection, fill: Your institution's coordinator for the BPS:12/HSL:09 transcript collection is (BPS/HSL Coordinator Name).*] [*If a coordinator was named in 2017 and is not the same person as the current BPS/HSL coordinator, fill: Your institution's coordinator for the 2017 NCES student records and transcript collections was (fill 2017 SR-PETS Coordinator Name).*]

Once you name a coordinator, that individual will receive an information packet and a follow-up call from RTI International, a nonprofit research organization administering NPSAS:18-AC for NCES. The coordinator will be asked to provide a data file of students enrolled at your institution in 2017–18 from which RTI will select a sample of students. Your coordinator will then be asked to provide additional information about their enrollment status, demographic characteristics, and financial aid.

The enclosed brochure and timeline describe our data collection procedures and provide a summary description of the laws and procedures safeguarding the confidentiality of the collected data.

If you have any questions about the study, please contact Tiffany Mattox, NPSAS:18-AC Records Coordinator, at 1-855-500-1441 or via e-mail at [\[EMAIL ADDRESS\]](#). If you have any comments or general feedback that you would like to provide to NCES about this or any other data collection, please contact Sean Simone, PhD, Project Officer, NPSAS:18-AC, at 202-245-7631 (e-mail address: [Sean.Simone@ed.gov](mailto:Sean.Simone@ed.gov)).

We look forward to your participation in this important study.

Sincerely,

(electronic signature)

NCES staffperson name

NCES staffperson title

<https://surveys.nces.ed.gov/portal>

Your user name: (UnitID)

Your temporary password:

#### Enclosures

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NCES is authorized to conduct the 2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC) by the Education Sciences Reform Act of 2002 (ESRA 2002, 20 U.S.C. §9543) and the Higher Education Opportunity Act of 2008 (HEOA 2008, 20 U.S.C. §1015). The data are being collected for NCES by RTI International, a U.S.-based nonprofit research organization. All of the information you provide may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C. §9573 and 6 U.S.C. §151).

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this voluntary information collection is 1850-0666. The time required to complete this information collection is estimated to average approximately 25 hours per response, including the time to review instructions, search existing data sources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate, suggestions for improving this information collection, or any comments or concerns regarding the status of your individual submission of these data, please write directly to: Postsecondary Data Portal studies, National Center for Education Statistics, PCP, 550 12th St., SW, 4th floor, Washington, DC 20202.

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## **NPSAS:18-AC brochure text**

### **2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC)**

<NCES logo>

National Center for Education Statistics

Institute of Education Sciences

NCES <pub# xxxx-xxx>

#### **About the Study**

The affordability of postsecondary education is a vital concern for students, parents, and policymakers. That is why the information gathered for the 2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC) is so important. Like prior NPSAS collections, this study explores how students and parents finance postsecondary education and provides comprehensive data on the enrollment status, majors, and demographic characteristics of students continuing their education after high school. In addition, the National Center for Education Statistics (NCES) designed NPSAS:18-AC to provide representative samples for each of the U.S. states. This design will allow researchers and other data users to generate state-representative undergraduate financial aid estimates and compare financial aid programs at the state level.

#### **About NCES**

NCES is authorized by federal law to collect, collate, analyze, and report complete statistics on the condition of education in the United States and other nations. Through the Higher Education Opportunity Act of 2008 (20 U.S.C. §1015), Congress requires that NCES collect information from postsecondary institutions about how students and their families finance education beyond high school. NPSAS has collected information from postsecondary students and institutions since 1987.

#### **About NPSAS**

NPSAS compiles comprehensive data about the full range of student financial aid programs, together with demographic and enrollment data. The study provides vital information about the affordability of postsecondary education and the effectiveness of existing financial aid programs.

#### **Importance of NPSAS Data**

NPSAS is the primary source of data used by federal government agencies and higher education associations to analyze the effectiveness of current federal student financial aid programs. It is used by the U.S. Department of Education, the Congressional Budget Office (CBO), the Government Accountability Office (GAO), the Office of Management and Budget (OMB), the American Council on Education (ACE), the National Association of Independent Colleges and Universities (NAICU), the National Association of Student Financial Aid Administrators (NASFAA), and many others to prepare the reports that influence the direction of federal student aid policies.

NPSAS data appear in a wide array of publications and reports, and are cited in journal and newspaper articles and in foundation policy briefs. The published materials focus on a variety of topics, including describing the student population, financial aid use and debt accumulation, and educational outcomes.

## Access to Study Data

There are a variety of tools available for people who want to access NPSAS data through NCES's DataLab site (<https://nces.ed.gov/datalab>). PowerStats and QuickStats, for example, provide users with an interface for creating tables and conducting analyses with prior NPSAS datasets. The College and Career Tables Library offers access to thousands of tables produced for NCES publications.

## Confidentiality of Data

NCES is required to follow strict procedures to protect personal information in the collection, reporting, and publication of data. All of the information provided by individuals or institutions may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C. §9573 and 6 U.S.C. §151).

The Family Educational Rights and Privacy Act of 1974 (FERPA, 20 U.S.C. §1232g) allows for the release of institution record information to the Secretary of Education or her agent without prior consent of survey members (34 CFR §§ 99.31[a][3] and 99.35). RTI International, as the contractor for NCES, has been given the authority to collect information from institution records on behalf of NCES under the provisions of the Higher Education Opportunity Act of 2008 (HEOA 2008, 20 U.S.C. §1015).

### Protection of Electronic Files

All electronic files from institution records will be protected. Computer accounts used to access data will be password protected with multilevel access controls to ensure that only authorized individuals are allowed access to confidential data. For the web-based data collection, proven methods of protection for online sessions and data security over the Internet will be used. Secure Sockets Layer (SSL) protocol will be used to encrypt the data. All the data entry modules on the website will be password protected, which will require the user to log in to the site before accessing confidential data.

### Preparation of Data for Public Release

It will not be possible to identify specific individuals in any data (e.g., statistical tables) released to the public.

For assistance, please contact the Help Desk

or visit the website: <https://surveys.nces.ed.gov/portal>

Postsecondary Data Portal Help Desk

1-855-500-1441

[\[EMAIL ADDRESS\]](#)

**If you have questions or concerns about NPSAS:18-AC,**

**you may contact:**

**RTI International**

Tiffany Mattox  
Institution Records Coordinator  
tmattox@rti.org

Peter Siegel  
Project Director, NPSAS:18-AC  
siegel@rti.org

**1-855-500-1441**

**National Center for Education Statistics (NCES)**

Sean Simone, PhD  
NCES Project Officer, NPSAS:18-AC

[Sean.Simone@ed.gov](mailto:Sean.Simone@ed.gov)

(202) 245-7631

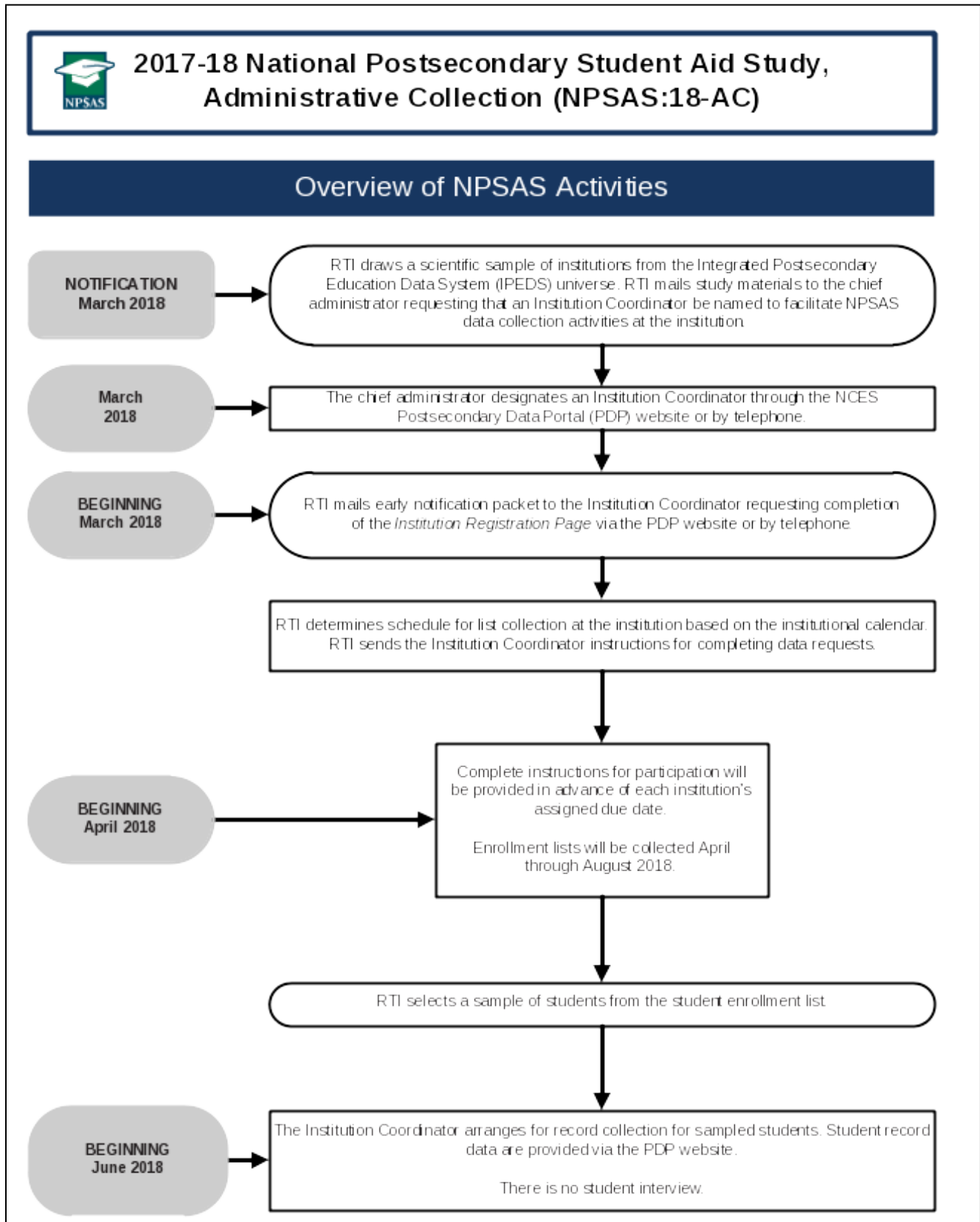
Conducted by

<NCES logo>

U.S. Department of Education  
National Center for Education Statistics, Washington, DC

<http://nces.ed.gov>

# NPSAS:18-AC Study Overview and Timeline



## Coordinator Welcome Mailing

*This mailing is sent to each institution's NPSAS:18-AC coordinator. It includes a letter welcoming the coordinator to NPSAS:18-AC, a NPSAS:18-AC brochure, an overview of the NPSAS:18-AC data collection timeline, a bookmark, and an informational flyer. The letter for this mailing is included below; there are separate versions of the letter for coordinators who are the same individual as the BPS:12/HSLs:09 transcript collection coordinator and for coordinators who are not. The same brochure and study timeline included in the chief administrator mailing are included. The bookmark and flyer are included below.*

## Coordinator Welcome Letter (when coordinator is also the coordinator for BPS:12/HLS:09 transcript collection)

NPSAS

Endorsed by

List of agencies appears here along the left margin.

(Date)

(Salutation) (FirstName) (LastName)

(Title)

(Institution)

(Address)

(City), (State) (Zip)

Dear (Salutation) (LastName):

(Institution) has been selected to participate in the 2017-18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC) conducted by the U.S. Department of Education's National Center for Education Statistics (NCES) under a congressional mandate to gather information from postsecondary institutions on how students and their families finance postsecondary education.

**The chief administrative officer of your institution, <CA name>, has designated you as your institution's coordinator for the NPSAS:18-AC institution data collection.**

NPSAS is a very important source of information for Congress, policymakers, states, and institutions in informing the effectiveness of federal, state, and institution financial aid programs. The quality and affordability of postsecondary education are central concerns for students and their families. The data collected from student records provide much-needed information about today's college students as they begin, leave, and reenter postsecondary education; transfer between institutions; and complete programs at all levels of institutions. Additionally, for the first time, in addition to federal financial aid estimates, NPSAS will collect data to generate state-level financial aid estimates for undergraduate students to inform states' policies and planning. Your participation is vital in ensuring that the data collected accurately represent the undergraduate students in your state.

In addition to being named the NPSAS:18-AC coordinator, our records indicate that you are also the coordinator for another NCES sample studies data collection, the 2011-12 Beginning Postsecondary Students Longitudinal Study (BPS:12) and the High School Longitudinal Study of 2009 (HLS:09) transcript collection. That collection is occurring within the secure Postsecondary Data Portal (PDP) website, a single location through which institutions can provide the student data required by any and all NCES postsecondary sample-based data collections. NPSAS:18-AC will use that same PDP.

As coordinator, you will be asked to:

- Complete the brief Institution Registration Page on the NCES Postsecondary Data Portal (PDP) website or by telephone within the next few weeks. We will schedule data collection for your institution based on the information you provide.
- Oversee the preparation of a list of students enrolled at your institution. The list will be used to draw a sample of students from your institution for NPSAS:18-AC.
- Complete a web-based program (or prepare data files) providing institution records on such information as enrollment status, demographic characteristics, and financial assistance provided for the sampled students.

After the data are collected, we will provide a data feedback report to participating institutions that allows staff to see how their institution compares to financial aid estimates at the sector, state, and/or national levels (depending on responses rates).

As coordinator, you may access the pages of the PDP that contain student-level information. If additional staff at your institution will be providing data, you will need to add them as users. After logging in, click on Manage My Users and follow the instructions to add additional users and send them their login information via e-mail through the PDP website.

The enclosed brochure and project timeline detail our data collection procedures and the laws and procedures safeguarding the confidentiality of the information collected. Another letter or e-mail will be sent to you, requesting a list of enrolled students, before the due date established for your institution. Enrollment lists will be collected April through August 2018. A specific due date will be determined based on your institution's term structure. The NPSAS:18-AC and BPS:12/HSL:09 data collections are being administered for NCES by RTI International, a nonprofit research organization. A project representative will call you in the next few days to ensure that you have received this notification and to answer any questions you may have.

Additional information, including reports based on data from previous NPSAS studies, is available on the PDP website: <https://surveys.nces.ed.gov/portal>. If you have questions about the study or procedures, please contact NPSAS:18-AC Records Coordinator, Tiffany Mattox, at 1-855-500-1441 or via e-mail at [\[EMAIL ADDRESS\]](#). If you have any comments or general feedback that you would like to provide to NCES about this or any other data collection, please contact Sean Simone, PhD, Project Officer, NPSAS:18-AC, at 202-245-7631 (e-mail address: [Sean.Simone@ed.gov](mailto:Sean.Simone@ed.gov)).

We look forward to your participation in this important study.

Sincerely,

(electronic signature)

*Signature block for NCES staff  
National Center for Education Statistics*

<https://surveys.nces.ed.gov/portal>

Your user name: (UserID)  
Your temporary password:

Enclosures

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According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this voluntary information collection is 1850-0666. The time required to complete this information collection is estimated to average approximately 25 hours per response, including the time to review instructions, search existing data sources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate, suggestions for improving this information collection, or any comments or concerns regarding the status of your individual submission of these data, please write directly to: Postsecondary Data Portal studies, National Center for Education Statistics, PCP, 550 12th St., SW, 4th floor, Washington, DC 20202.

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## Coordinator Welcome Letter (when coordinator is not the BPS:12/HSLs:09 transcript collection coordinator)

NPSAS

Endorsed by

List of agencies appears here along the left margin.

(Date)

(Salutation) (FirstName) (LastName)

(Title)

(Institution)

(Address)

(City), (State) (Zip)

Dear (Salutation) (LastName):

(Institution) has been selected to participate in the 2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC) conducted by the U.S. Department of Education's National Center for Education Statistics (NCES) under a congressional mandate to gather information from postsecondary institutions on how students and their families finance postsecondary education.

**The chief administrative officer of your institution, <CA name>, has designated you as your institution's coordinator for the NPSAS:18-AC institution data collection.**

NPSAS is a very important source of information for Congress, policymakers, states, and institutions in informing the effectiveness of federal, state, and institution financial aid programs. The quality and affordability of postsecondary education are central concerns for students and their families. The data collected from student records provide much-needed information about today's college students as they begin, leave, and reenter postsecondary education; transfer between institutions; and complete programs at all levels of institutions. Additionally, for the first time, in addition to federal financial aid estimates, NPSAS will collect data to generate state-level financial aid estimates for undergraduate students to inform states' policies and planning. Your participation is vital in ensuring that the data collected accurately represent the undergraduate students in your state.

Recognizing that NCES data collection requests add to the workload of institution staff tasked with providing the requested data, NCES developed the secure Postsecondary Data Portal (PDP) website, now a single location through which institutions can provide the student data required by any and all NCES postsecondary sample-based data collections. You may be aware, for example, that NCES is currently collecting the transcripts of participants in the 2011–12 Beginning Postsecondary Students Longitudinal Study (BPS:12) and the High School Longitudinal Study of 2009 (HSLs:09) through the PDP. Having the PDP available means institution staff only need to learn the layout and functionality of one system, instead of having to learn a different system for every study.

As coordinator, you will be asked to:

- Complete the brief Institution Registration Page on the NCES Postsecondary Data Portal (PDP) website or by telephone within the next few weeks. We will schedule data collection for your institution based on the information you provide.
- Oversee the preparation of a list of students enrolled at your institution. The list will be used to draw a sample of students from your institution for NPSAS:18-AC.
- Complete a web-based program (or prepare data files) providing institution records on such information as enrollment status, demographic characteristics, and financial assistance provided for the sampled students.



After the data are collected, we will provide a data feedback report to participating institutions that allows staff to see how their institution compares to financial aid estimates at the sector, state, and/or national levels (depending on responses rates).

As coordinator, you may access the pages of the PDP that contain student-level information. If additional staff at your institution will be providing data, you need to add them as users. After logging in, click on Manage My Users and follow the instructions to add additional users and send them their login information via e-mail through the PDP website.

The enclosed brochure and project timeline detail our data collection procedures and the laws and procedures safeguarding the confidentiality of the information collected. Another letter or e-mail will be sent to you, requesting a list of enrolled students, before the due date established for your institution. Enrollment lists will be collected April through August 2018. A specific due date will be determined based on your institution's term structure. The NPSAS:18-AC and BPS:12 data collections are being administered for NCES by RTI International, a nonprofit research organization. A project representative will call you in the next few days to ensure that you have received this notification and to answer any questions you may have.

Additional information, including reports based on data from previous NPSAS studies, is available on the PDP website: <https://surveys.nces.ed.gov/portal>. If you have questions about the study or procedures, please contact the NPSAS:18-AC Institution Records Coordinator, Tiffany Mattox, at 1-855-500-1441 or via e-mail at [\[EMAIL ADDRESS\]](#). If you have any comments or general feedback that you would like to provide to NCES about this or any other data collection, please contact Sean Simone, PhD, Project Officer, NPSAS:18-AC, at 202-245-7631 (e-mail address: [Sean.Simone@ed.gov](mailto:Sean.Simone@ed.gov)).

We look forward to your participation in this important study.

Sincerely,

(electronic signature)

*Signature block for NCES staff  
National Center for Education Statistics*

<https://surveys.nces.ed.gov/portal>

Your user name: (UserID)  
Your temporary password:  
(Password)

Enclosures

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# Postsecondary Data Portal Bookmark

## FRONT

[PDP LOGO] PDP Postsecondary Data Portal

The central hub for NCES sample studies

Provide institution data [w/ data/computer icon]

Learn about NCES sample studies [w/ NPSAS logo]

Explore datasets and analysis tools [w/ DataLab logo]

[surveys.nces.ed.gov/portal](https://surveys.nces.ed.gov/portal)

National Center for Education Statistics (NCES)

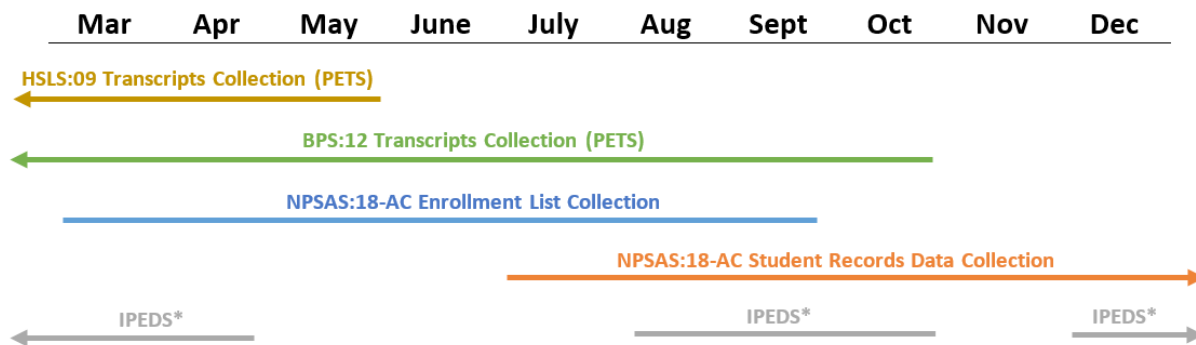
Institute of Education Sciences (IES)

## BACK

[PDP LOGO] PDP Postsecondary Data Portal

2018 Studies and Data Collections

[with calendar similar to this below]



\*IPEDS information is for reference only. IPEDS data are not collected through the PDP.

*[other non-NCES study deadlines relevant to institutions may be added to the bookmark calendar as they are announced so that bookmark can be a helpful reference tool. If space becomes an issue, the bookmark will simply list the collections and dates]*

[surveys.nces.ed.gov/portal](https://surveys.nces.ed.gov/portal)

National Center for Education Statistics (NCES)

Institute of Education Sciences (IES)

## **NPSAS:18-AC Flyer**

### **FRONT**

The Next NPSAS

2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC)

[w/ NPSAS:18-AC logo]

Enhancements include:

- More current national data
- State-level estimates
- Analysis flexibility
- Data feedback reports for participating institutions

NPSAS provides a comprehensive research dataset used to analyze student financial aid and inform public policy.

Learn more at

[nces.ed.gov/surveys/npsas](https://nces.ed.gov/surveys/npsas)

National Center for Education Statistics (NCES)

Institute of Education Sciences (IES)

### **BACK**

- Financial aid
- Completion and degree attainment
- Debt and repayment
- Outcomes and experiences

Important issues need powerful data

NCES postsecondary education studies paint a descriptive portrait of U.S. higher education students and provide a window into their education, professional, and life experiences.

[w/ logos for each below]

National Postsecondary Student Aid Study

Student financial aid and demographics

Beginning Postsecondary Students Longitudinal Study

Persistence and attainment of first-time beginning students

Baccalaureate and Beyond Longitudinal Study

Outcomes and experiences of bachelor's degree recipients

## Postsecondary Education Transcript Studies

Relationships among postsecondary choices, experiences, and outcomes

## DataLab

Powerful yet intuitive analysis tools with more than 30 NCES datasets

Learn more at the Postsecondary Data Portal (PDP):

[surveys.nces.ed.gov/portal](https://surveys.nces.ed.gov/portal)

National Center for Education Statistics (NCES)

Institute of Education Sciences (IES)

## **Coordinator List Request Mailing**

*This mailing is sent to each institution's coordinator after the Institution Registration Page has been completed. It includes a letter that confirms the institution's due date for providing its student enrollment list and a copy of the study timeline. The letter for this mailing is included below; the same study timeline that is included in the chief administrator mailing is also included here.*

# Coordinator Enrollment List Request Letter (and E-mail)

NPSAS

(Date)

Endorsed by

List of agencies appears here along the left margin.

(Salutation) (FirstName) (LastName)

(Title)

(Institution)

(Address)

(City), (State) (Zip)

## Student Enrollment List Due: (Date)

Dear (Salutation) (LastName),

We are pleased that (Institution) has agreed to participate in the 2017-18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC), which is conducted by the U.S. Department of Education's National Center for Education Statistics (NCES). NCES is mandated by Congress to conduct this important study on how students and their families finance their postsecondary education. This NPSAS is different from previous ones, as it will provide both state and federal financial aid estimates. Data collection for this study is being administered for NCES by RTI International.

**As you know from our previous letter, you have been designated as your institution's coordinator for the NPSAS:18-AC.** We look forward to working with you on this important research effort and are available to answer any questions you may have.

As the coordinator, we ask you to oversee completion of the following remaining tasks:

- Oversee the preparation of a list of students enrolled at your institution during the 2017-18 academic year and submit the list by the deadline below. The list of students will be used to draw a sample of students from your institution for participation in the study.
- Provide student records data for the sampled students —such as enrollment status, demographic characteristics, and financial aid—by uploading data files, keying data, or a combination of both through our secure web interface. After your list of enrolled students is submitted, we will contact you to confirm a due date for your student records data.

**The initial due date for providing your student enrollment list is (Date).** Complete specifications for compiling this list and uploading it to the secure website are provided online at the PDP (<https://surveys.nces.ed.gov/portal>). If you are unable to meet your institution's deadline or have any questions about the information requested for the student list, please contact the Help Desk at 1-855-500-1441.

The PDP provides complete instructions for participation, including a description of the laws and procedures safeguarding the confidentiality of information collected. Additional information, including reports based on data from previous NPSAS studies, is also available there. Be assured that all of the information you provide may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C. §9573 and 6 U.S.C. §151).

After the data are collected, we will provide a data feedback report to participating institutions that allows staff to see how their institution compares to financial aid estimates at the sector, state, and/or national levels (depending on responses rates).

If you have questions about the study or procedures, please contact Tiffany Mattox, NPSAS:18-AC Institution Records Coordinator, at 1-855-500-1441 or via e-mail at [\[EMAIL ADDRESS\]](#). If you have any comments or general feedback that you would like to provide to NCES about this or any other data collection, please contact Sean Simone, PhD, Project Officer, NPSAS:18-AC, at 202-245-7631 (e-mail address: [Sean.Simone@ed.gov](mailto:Sean.Simone@ed.gov)).

Thank you for your cooperation.

Sincerely,

(electronic signature)

Peter Siegel  
Director, NPSAS:18-AC  
Center for Education Surveys  
RTI International

(electronic signature)

Sean Simone, PhD.  
NCES Project Officer  
National Center for Education Statistics  
U.S. Department of Education

<https://surveys.nces.ed.gov/portal>

Your user ID: (User ID)  
If you do not recall your password,  
click Forgot Password on the PDP.

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NCES is authorized to conduct the 2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC) by the Education Sciences Reform Act of 2002 (ESRA 2002, 20 U.S.C. §9543) and the Higher Education Opportunity Act of 2008 (HEOA 2008, 20 U.S.C. §1015). The data are being collected for NCES by RTI International, a U.S.-based nonprofit research organization. All of the information you provide may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C. §9573 and 6 U.S.C. §151).

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this voluntary information collection is 1850-0666. The time required to complete this information collection is estimated to average approximately 25 hours per response, including the time to review instructions, search existing data sources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate, suggestions for improving this information collection, or any comments or concerns regarding the status of your individual submission of these data, please write directly to: Postsecondary Data Portal studies, National Center for Education Statistics, PCP, 550 12th St., SW, 4th floor, Washington, DC 20202.

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## **Student Records Request Materials**

*The student records request mailing is sent to each institution's coordinator after the student sample has been selected. It includes a letter requesting that the institution submit student records data for its sampled students and instructions for completing the student records request (the Quick Guide to Providing Student Records Data). The letter and Quick Guide are included below.*



## Student Records Request Letter (and E-mail)

<date>

«salutation» «fname» «lname»

«Title»

«entity\_name»

«phys\_addr1»

«phys\_addr2»

«phys\_city», «phys\_state» «phys\_zip»

**Student Records Data Due: «expected\_Date\_curr»**

Dear «salutation» «lname»,

Thank you for your continued participation in the 2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC). We have finished processing the student enrollment file you provided and have selected a random sample of «**samplesize**» students from your institution to participate in NPSAS. We now ask that you provide student record data for your sampled students, using the secure Postsecondary Data Portal (PDP), on or before «**duedate**».

The PDP is available at <https://surveys.nces.ed.gov/portal>. There you will find the list of your sampled students and details on what data we need to obtain for each. We have enclosed a *Quick Guide to Providing Student Records Data* describing how to access and use the site.

The PDP provides three methods for providing student data:

- Entering data directly into the web-based system;
- Downloading and completing an Excel template, which you then upload to the PDP; and
- Creating and uploading data files following the specifications on the PDP.

You may use just one mode, or a combination. Regardless of the method you choose, you will be able to review the provided data on a screen in the PDP.

Please be assured that the security of your students' data is of the utmost importance to us. All data are secured through password-protected access and Secure Sockets Layer (SSL) encryption. In addition, access to the list of students (and to the forms used to enter their data) will require authentication using a user name and unique password. If you are the coordinator, your username is printed below for your convenience. If you are not the coordinator for this collection, please contact him or her (<fillPCname> at your institution) to receive login credentials.

Soon, staff from RTI International will call you to confirm receipt of this material and the completion date for providing student records data. RTI's Help Desk staff will be available from 9:00 a.m. to 6:00 p.m. ET, Monday through Friday, to answer any questions you may have about the collection or about using the PDP. You may contact the Help Desk at 1-855-500-1441 toll free, or via e-mail at [\[EMAIL ADDRESS\]](#).

After the data are collected, we will provide a data feedback report to participating institutions that allows staff to see how their institution compares to financial aid estimates at the sector, state, and/or national levels (depending on responses rates).

Again, thank you for your continued participation in this important study.

Sincerely,

Sean Simone, PhD  
Project Officer, NPSAS:18-AC  
National Center for Education Statistics

<https://surveys.nces.ed.gov/portal>

Your user name: <fill>

If you do not recall your password,  
click Forgot Password on the PDP.

Enclosures

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NCES is authorized to conduct the 2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC) by the Education Sciences Reform Act of 2002 (ESRA 2002, 20 U.S.C. §9543) and the Higher Education Opportunity Act of 2008 (HEOA 2008, 20 U.S.C. §1015). The data are being collected for NCES by RTI International, a U.S.-based nonprofit research organization. All of the information you provide may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C. §9573 and 6 U.S.C. §151).

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this voluntary information collection is 1850-0666. The time required to complete this information collection is estimated to average approximately 25 hours per response, including the time to review instructions, search existing data sources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate, suggestions for improving this information collection, or any comments or concerns regarding the status of your individual submission of these data, please write directly to: Postsecondary Data Portal studies, National Center for Education Statistics, PCP, 550 12th St., SW, 4th floor, Washington, DC 20202.

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## Quick Guide to Providing Student Records Data

There are three steps for providing student records for the 2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC). To complete them, log in to the secure National Center for Education Statistics' Postsecondary Data Portal (PDP) website at <https://surveys.nces.ed.gov/portal>.

At the  prompt, enter the user name and password printed on the letter or e-mail you received from RTI.

*NOTE: To ensure the security of your student data, you must log off when you are going to be away from your computer and when you are finished with a data entry session.*

### 1. Provide Institution Information for Student Records

Before you begin entering student data, you will need to enter information about your institution.

- From the Task Menu, click [Provide Institution Information for Student Records](#).
- Enter the requested school term information (institutions with continuous enrollment and no terms/periods with defined start and end dates should leave the Terms section blank).
- Select the number of credits awarded for a standard academic course.
- Click the [Submit & Return to Task Menu](#) or the [Submit & Go to Next Section](#) button at the bottom of the page.

After you submit, the information can be viewed but not changed. The link on the Task Menu changes to [View Institution Information for Student Records](#) and the [Save](#) and [Submit](#) buttons on the Institution Information page disappear.

### 2. Review Sampled Student List

Review your list of sampled students and indicate if any are ineligible.

- From the Task Menu, click [Review Sampled Student List](#).
- Review the list, indicate if any students are ineligible for NPSAS, and click the [Submit & Return to Task Menu](#) or the [Submit & Go to Next Section](#) button.

After you submit, the information can be viewed but not changed. The link on the Task Menu changes to [View Sampled Student List](#), and the [Submit](#) buttons on the Sampled Student List page disappear. If you discover that a student is ineligible after submitting, please call the Help Desk.

### 3. Provide Student Record Information

The student-level data we are requesting include contact information as well as budget, enrollment, and financial aid awarded during the study year (July 1, 2017–June 30, 2018).<sup>1</sup>

- From the Task Menu, click [Provide Student Record Information](#).
- On the Mode Selection page, select which mode you will use to provide data.

There are three options available to provide the information: entering data online, entering data offline and then uploading, or uploading data files. Each is described below.

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<sup>1</sup> Further detail on the data requested may be found by logging in to the portal website, clicking [Reference Materials](#), and then viewing the [Student Records Collection Data Elements](#).

### Option 1: Entering Data Online (Web Mode)

- Click [Web Mode](#).
- Select a student and section from the Web Mode Grid and begin keying.
- Save or finalize the section you completed using the buttons at the bottom of the section. [Save](#) allows you to leave and return to complete the section. [Finalize](#) saves and performs error checks and will highlight missing data and data values that are out of the expected range.
- After you have entered data for a section, you can either continue to another section for that student or select another student. To select another student, click the link [Back to Web Mode Grid](#) and click on a new student and section.
- Once you have completed entering all data for a student, click [Finalize \(Student Name\)](#) at the bottom of his or her web page.
- When you are done entering data for all students, or if you want to check on your progress, return to the Web Mode Grid page. The grid contains icons indicating the status of each section: not started, in progress, errors present, or complete.
- After you have entered all available data for all students, click [Finalize All Student Record Information](#) at the bottom of the Web Mode Grid page. You will no longer be able to edit or enter data.

### Option 2: Entering Data Offline and then Uploading (Excel Mode)

You may download an Excel template to key or cut and paste in the requested data and then upload the file.

- Click [Excel Mode](#).
- Check the “I agree” box in Step 1, and click [Download Excel Template](#). Save the file. For institutions with more than 100 sampled students, the template will generate overnight and be available to download the next day.
- For Step 2, complete the worksheets in the template corresponding to the student information, enrollment, budget, and financial aid data for your students.
- When keying is complete, click [Select File](#) in Step 3 and select your saved file to upload. The grid in Step 3 displays a list of each file you have uploaded. When a file is uploaded, it is checked for errors (such as values outside of expected ranges). If errors are detected, a [Show Errors](#) button will appear. Please view and correct any errors before attempting to upload the file again.
- Click [Review Your Data](#) in Step 4 to review your uploaded data in a grid.
- After you have successfully uploaded your file and are ready to submit final data, click [Finalize All Student Record Information](#) in Step 5. You will no longer be able to edit data.

### Option 3: Uploading Data Files (CSV Mode)

You or other staff at your institution can create and upload each of the four required comma-separated values (CSV) data files following our specifications.

- Click [CSV Mode](#).

- Click *Download CSV Specs* to view or save the specifications in Step 1.
- Click *Download List* to view or save the list of sampled students in Step 2.
- When a file is ready for upload, click on the tab for the type of file, such as *Enrollment*, in Step 3. Click *Browse*, select your saved file to upload, and click *Upload File*. The grid in Step 3 displays a list of each file you have uploaded. When a file is uploaded, it is checked for errors (such as values outside of expected ranges). If errors are detected, a *Show Errors* button will appear. Please view and correct any errors before attempting to upload the file again.
- Click *Review Your Data* in Step 4 to review your uploaded data in a grid.
- After you have successfully uploaded your 4 files and are ready to submit final data, click *Finalize All Student Record Information* in Step 5. You will no longer be able to edit data.

Regardless of the mode you select, clicking the *Finalize All Student Record Information* button alerts us that we may begin processing your data and will generate an e-mail to you confirming submission of the data.

Additional information, including answers to frequently asked questions, is available on the PDP website, <https://surveys.nces.ed.gov/portal>. If you need assistance, please contact the Help Desk toll free at 1-855-500-1441 or via e-mail at [EMAIL ADDRESS].

## E-mails – reminder, thank you, due date extension

*E-mails will be sent as needed to remind institution staff to provide data, typically two weeks prior to a deadline. E-mails are also sent to acknowledge receipt of data and to thank the institution staff for providing the data. Also included is an e-mail granting a due date extension.*

### Reminder E-mail 1 for Various Tasks

Dear «salutation» «lname»:

I am writing to remind you about the 2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC), being carried out by RTI International on behalf of the National Center for Education Statistics (NCES). We ask that you please log on to the secure NCES Postsecondary Data Portal (PDP) and [fill: name a coordinator for data collection, complete the institution registration page, provide a list of enrolled students, provide institution record data for sampled students]. The PDP website is located at <https://surveys.nces.ed.gov/portal>. The data your institution provides are very important to the success of the NCES postsecondary sample studies.

After the data are collected, we will provide a data feedback report to participating institutions that allows staff to see how their institution compares to financial aid estimates at the sector, state, and/or national levels (depending on responses rates).

*If sending to the coordinator, fill:*

A user ID and password were provided in earlier materials. Please contact the Help Desk if you need assistance logging in.

*If sending to other staff, fill:*

If you need a user name and password, please contact the coordinator at your institution, <name>.

If you have any questions about the studies or procedures involved, please contact the Help Desk at 1-855-500-1441, or via e-mail at [\[EMAIL ADDRESS\]](#).

Thank you again for your cooperation.

Sincerely,

Peter Siegel  
Director, NPSAS:18-AC

OMB Control Number: 1850-0666

Learn more about our confidentiality procedures at: <https://surveys.nces.ed.gov/portal>.

## Reminder E-mail 2 for Student Records

Dear «salutation» «lname»:

I am writing to remind you about the 2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC). By providing institution record data for the sampled students, you make an important contribution to research that will help legislators and researchers understand how students and their families meet the cost of education beyond high school and the relationship between these costs and persistence and attainment in education.

If you are currently preparing the data, thank you. We need representation of all types of institutions and students to compile the most valuable, accurate data. If you have not begun, please do so at your earliest convenience. After the data are collected, we will provide a data feedback report to participating institutions that allows staff to see how their institution compares to financial aid estimates at the sector, state, and/or national levels (depending on responses rates).

The secure National Center for Education Statistics (NCES) Postsecondary Data Portal (PDP) website is located at <https://surveys.nces.ed.gov/portal>.

*If sending to the coordinator, fill:*

A user ID and password were provided in earlier materials. Please contact the Help Desk if you need assistance logging in.

*If sending to other staff, fill:*

If you need a user name and password, please contact the coordinator at your institution, <name>.

NPSAS:18-AC is being conducted by NCES, part of the U.S. Department of Education, with data being collected by RTI International, a nonprofit research organization.

If you have any questions about the study or procedures involved, please contact the Help Desk at 1-855-500-1441 or via e-mail at [\[EMAIL ADDRESS\]](#).

Thank you again for your cooperation.

Sincerely,

Peter Siegel  
Director, NPSAS:18-AC

OMB Control Number: 1850-0666

Learn more about our confidentiality procedures at <https://surveys.nces.ed.gov/portal>.

## Thank You for Completing the Institution Registration Page E-mail

Dear «salutation» «lname»:

Thank you for completing the Institution Registration Page for the 2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC). We have set the due date for receiving a list of enrolled students from your institution as <date>. Prior to that date, we will send a request for the list to you. Please be on the lookout for that request in <month based on due date above>.

If you have any questions about the studies or procedures involved, please contact the Help Desk at 1-855-500-1441, or via e-mail at [\[EMAIL ADDRESS\]](#).

Thank you so much for your continued support of the National Center for Education Statistics (NCES) sample studies.

Sincerely,

(Help Desk signature block)

OMB Control Number: 1850-0666

Learn more about our confidentiality procedures at <https://surveys.nces.ed.gov/portal>.

## E-mail to Touch Base Between Institution Registration Page Thank You and List Request

Dear «salutation» «lname»:

I wanted to touch base with you about the 2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC), which is conducted by the U.S. Department of Education’s National Center for Education Statistics (NCES). We appreciate the steps you have taken thus far on this important study.

As mentioned in a previous email, we have set the initial due date for receiving a list of enrolled students from your institution as <date>. Prior to that date, we will send you a request for the list. There may be a considerable gap in time between your receiving this e-mail and receiving that request, but please be assured that we have not forgotten you or the need for your institution’s data.

Sincerely,

(Help Desk signature block)

OMB Control Number: 1850-0666

Learn more about our confidentiality procedures at <https://surveys.nces.ed.gov/portal>.



## Thank You for List E-mail

Dear «salutation» «lname»:

Thank you for providing your institution's list of enrolled students to the 2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC). We recognize and appreciate the extra effort required of you and your colleagues to provide these valuable data. A representative from RTI International will contact you if there are any questions regarding your submission.

The next step in the process will be statistical sampling of a group of students from your enrollment list. We will then reach out to you to request student records data for the sampled students. Note that it may be many weeks before you receive that request.

If you have any questions about the studies or procedures involved, please contact the Help Desk at 1-855-500-1441, or via e-mail at [\[EMAIL ADDRESS\]](#).

Thank you so much for your continued support of the National Center for Education Statistics (NCES) sample studies.

Sincerely,

(Help Desk signature block)

OMB Control Number: 1850-0666

Learn more about our confidentiality procedures at <https://surveys.nces.ed.gov/portal>.

## Touch Base Between List and Student Records Email

Dear «salutation» «lname»:

I wanted to touch base with you on the 2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC), which is conducted by the U.S. Department of Education's National Center for Education Statistics (NCES). We appreciate the steps you have taken thus far on this important study.

As mentioned in a previous e-mail, the next step in the process will be statistical sampling of a group of students from your enrollment list. We will then reach out to you to request student records data for the sampled students. Please be on the lookout for that request in <month based on list receipt date>.

If you have any questions about the studies or procedures involved, please contact the Help Desk at 1-855-500-1441, or via e-mail at [\[EMAIL ADDRESS\]](#).

Thank you so much for your continued support of the National Center for Education Statistics (NCES) sample studies.

Sincerely,

(Help Desk signature block)

OMB Control Number: 1850-0666

Learn more about our confidentiality procedures at <https://surveys.nces.ed.gov/portal>.

## E-mail Granting Due Date Extension

Dear «salutation» «lname»:

Thank you for contacting us about an extension. We will gladly extend the due date for <SCHOOL NAME> to submit the 2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC) data until <date>.

We hope this extension will help you to do everything possible to submit the data. The data from your institution are very important to NCES, and the amount of time and hard work it takes on the part of you and your staff to collect and submit this information is very much appreciated.

If you have any questions about the studies or procedures involved, please contact the Help Desk at 1-855-500-1441, or via e-mail at [\[EMAIL ADDRESS\]](#). We will do everything we can to assist you.

We look forward to receiving your institution's data. Thank you again for your contribution to this important research effort.

Sincerely,

(Help Desk signature block)

OMB Control Number: 1850-0666

Learn more about our confidentiality procedures at <https://surveys.nces.ed.gov/portal>.

## Thank You for Student Records Email

Dear «salutation» «lname»:

Thank you for providing record data for students sampled for the 2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC). We recognize and appreciate the substantial extra effort required of you and your colleagues to provide these valuable data. A representative from RTI International will contact you if there are any questions regarding your submission.

After the collection ends, we will provide a data feedback report to participating institutions that allows staff to see how their institution compares to financial aid estimates at the sector, state, and/or national levels (depending on responses rates).

Thank you so much for your continued support of the National Center for Education Statistics (NCES) sample studies.

Sincerely,

Peter Siegel  
Director, NPSAS:18-AC

OMB Control Number: 1850-0666

Learn more about our confidentiality procedures at <https://surveys.nces.ed.gov/portal>.

## **Nonrespondent Follow-Up Materials**

*Nonrespondents will be sent letters and informational flyers with information specific to institution type as needed during data collection. Letters and flyer content are included below. This section also includes a letter to state organizations requesting that they encourage specific non-respondent institutions to participate.*

## Letter to Nonrespondent Institutions

«cname»  
«title»  
«instname»  
«Addr1» «Addr2»  
«City», «st» «zip»

Dear «salutation» «lname»:

You are receiving this letter because we want to encourage your institution's participation in the 2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC). NPSAS:18-AC is conducted by the U.S. Department of Education's National Center for Education Statistics (NCES) under a congressional mandate to gather information from postsecondary institutions on how students and their families finance postsecondary education. Your participation is important to making this study a success.

NPSAS data play a key role in evaluating the effectiveness of federal financial aid programs in meeting the needs of students. The data are also useful to postsecondary institutions and researchers concerned with the needs of postsecondary students. NPSAS data appear in a wide array of publications and reports and are cited in journal and newspaper articles and in foundation policy briefs. The reports focus on a variety of topics, from describing the student population, financial aid use and debt accumulation, and education outcomes. We want to make sure these data accurately represent, and are available to, institutions like yours. After data collection ends, we will provide a data feedback report to participating institutions that allows staff to see how their institution compares to financial aid estimates at the sector, state, and/or national levels (depending on responses rates).

There are a variety of tools available for people who want to access NPSAS data through NCES's DataLab site (<https://nces.ed.gov/datalab>). PowerStats and QuickStats, for example, provide users with an interface for creating tables and conducting analyses with the prior NPSAS datasets. The College and Career Tables Library offers access to thousands of tables produced for NCES publications.

If you have any questions about accessing prior NPSAS data or your participation in NPSAS:18-AC, please contact the NPSAS Project Director, Peter Siegel, at [TOLL FREE NUMBER] or via e-mail at [EMAIL ADDRESS]. If you have any comments or general feedback that you would like to provide to NCES about this or any other data collection, please contact Sean Simone, PhD, Project Officer, NPSAS:18-AC, at 202-245-7631 (e-mail address: [Sean.Simone@ed.gov](mailto:Sean.Simone@ed.gov)).

Thank you again for your cooperation and interest. Let us know how we can assist you.

Sincerely,

(NCES staff person signature block)  
National Center for Education Statistics  
U.S. Department of Education

Enclosure

**Please log on and  
provide data at:**  
[https://surveys.nces.ed.gov/  
portal](https://surveys.nces.ed.gov/portal)  
Your user name: «UnitID»  
If you do not recall your

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NCES is authorized to conduct the 2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC) by the Education Sciences Reform Act of 2002 (ESRA 2002, 20 U.S.C. §9543) and the Higher Education Opportunity Act of 2008 (HEOA 2008, 20 U.S.C. §1015). The data are being collected for NCES by RTI International, a U.S.-based nonprofit research organization. All of the information you provide may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C. §9573 and 6 U.S.C. §151).

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this voluntary information collection is 1850-0666. The time required to complete this information collection is estimated to average approximately 25 hours per response, including the time to review instructions, search existing data sources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate, suggestions for improving this information collection, or any comments or concerns regarding the status of your individual submission of these data, please write directly to: Postsecondary Data Portal studies, National Center for Education Statistics, PCP, 550 12th St., SW, 4th floor, Washington, DC 20202.

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# Text of Flyer for Nonrespondents – Public Institutions

(Page 1)

## 2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC)

About 70 percent of all undergraduates received some form of financial aid in 2011–12: some 57 percent received federal aid, 15 percent received state aid, and 21 percent received institutional aid.

NPSAS is a nationally representative study of how students and their families pay for postsecondary education. It has been conducted since 1987 by the U.S. Department of Education’s National Center for Education Statistics (NCES) and is congressionally mandated through the Higher Education Opportunity Act of 2008 (20 U.S.C. §1015). NPSAS is used by policymakers and researchers to better understand the financial needs of postsecondary students in the United States.

The National Center for Education Statistics (NCES) designed NPSAS:18-AC to provide representative samples for each of the U.S. states, making it different from previous NPSAS studies. This design will allow researchers and other data users to generate state-representative undergraduate financial aid estimates and compare financial aid programs at the state level. Another unique aspect of the study is that NPSAS:18-AC does not administer a student survey.

### Family Educational Rights and Privacy Act of 1974

The data request for NPSAS conforms fully to the requirements of the Family Educational Rights and Privacy Act of 1974 (FERPA) (20 U.S.C. §1232g(b)(1)(D)). Under FERPA’s general consent rule, NCES is authorized to obtain student-level data from institutions for any NPSAS-eligible student, without prior consent, if the disclosure is to an organization conducting studies for, or on behalf of, the Secretary of Education. Student data are subject to strict confidentiality protections that are adhered to by NCES and its contractor organizations.

### Why Should Public Institutions Participate?

We need **your** participation in NPSAS:18-AC to ensure that we have data on students’ financial aid needs and how well they are being met, especially in terms of federal student aid. In NPSAS:12 we saw that:

- the out-of-pocket expenses for the lowest income-independent students were not significantly lower than those of their lower-middle income peers, but they were lower than those of students in the upper-middle and highest income quartiles;
- students in private nonprofit 4-year colleges paid the highest out-of-pocket net price; and
- despite an increase in grant and loan aid between 1999–2000 and 2011–12, out-of-pocket expenses also increased during this period, for full-time, full-year students in all but for-profit institutions.

### Participants Receive a Data Feedback Report

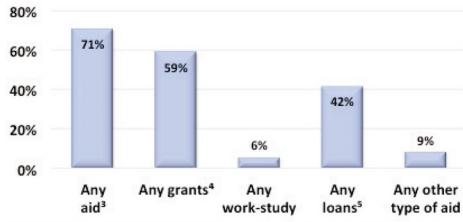
After the data are collected, we will provide a data feedback report to participating institutions that allows staff to see how their institution compares to financial aid estimates at the sector, state, and/or national levels (depending on responses rates).

**FINDINGS FROM PAST NPSAS, BPS, AND B&B STUDIES**

NPSAS spins off two longitudinal studies: the Beginning Postsecondary Students Longitudinal Study (BPS) and the Baccalaureate and Beyond Longitudinal Study (B&B). BPS follows a cohort of first-time beginning students up to six years after entering college. B&B follows a cohort of graduating college seniors up to ten years to learn about their labor market and further education.

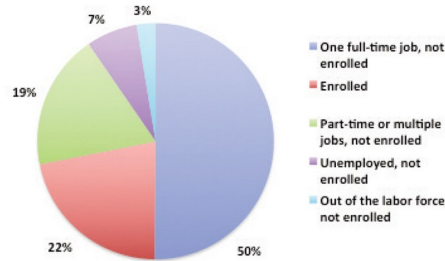
- > **NPSAS:** Three-fifths of undergraduates received grant aid in 2011–12, and 42 percent borrowed money to pay college expenses.
- > **BPS:** Among 2003–04 beginning students who first enrolled in a public 2-year institution, 12 percent had received a bachelor’s degree, and 23 percent had earned a certificate or associate’s degree by 2009. Among their peers who first enrolled in a public 4-year institution, 60 percent had received a bachelor’s degree, and 5 percent had received a certificate or associate’s degree by 2009.
- > **B&B:** One year after completing their degree requirements, 22 percent of 2007–08 bachelor’s degree recipients were enrolled in additional education, 50 percent were employed full time in one job, 19 percent were employed part time or in multiple jobs, 7 percent were unemployed, and 3 percent were out of the labor force.

**Percentage of undergraduates receiving financial aid, by type of aid: 2011–12**



Source: U.S. Department of Education, National Center for Education Statistics, 2011–12 National Postsecondary Student Aid Study (NPSAS:12).

**Employment status of 2007–08 bachelor’s degree recipients: 2009**



Source: U.S. Department of Education, National Center for Education Statistics, 2008 Baccalaureate and Beyond Longitudinal Study, First Follow-up (B&B:08/09).

**DATA RESOURCES**

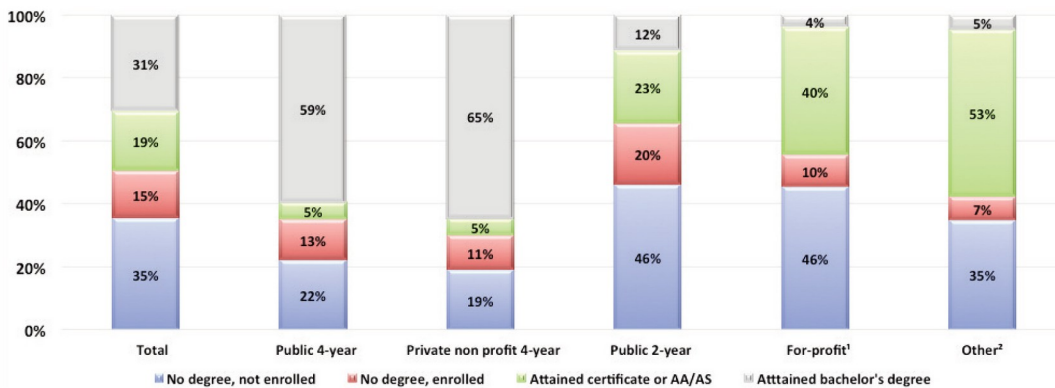
The following tools are available for accessing these datasets through the NCES’s DataLab site (<https://nces.ed.gov/datalab/>)

- > **PowerStats** provides users a drag-and-drop workspace in which they may select from many datasets—including NPSAS, B&B, and BPS—to create complex tables and conduct linear and logistic regression analyses. Users can maintain a library of their work, including variable specifications. A “Learn by Doing” library of step-by-step guides is available from the PowerStats homepage.
- > **QuickStats** supplies an interface for creating simple tables with the most frequently used variables in the available datasets.
- > **The College and Career Tables Library** offers access to over 5,000 tables produced for NCES postsecondary publications on a range of topics.

**FOR MORE INFORMATION ABOUT NPSAS AND ITS RELATED STUDIES, GO TO:**

<http://nces.ed.gov/surveys/npsas/>

**Education attainment of 2003–04 beginning postsecondary students, by type of institution first attended: 2009**



Source: U.S. Department of Education, National Center for Education Statistics, 2003-04 Beginning Postsecondary Students Longitudinal Study, Second Follow-up (BPS:04/09).

<sup>1</sup> For-profit includes for-profit 4-year, 2-year, and less-than-2-year institutions. <sup>2</sup> Other includes private nonprofit 2-year institutions and public and private nonprofit less-than-2-year institutions. <sup>3</sup> Any aid includes all types of financial aid and student loans from any source except parents, friends, or relatives. Includes Direct PLUS Loans to parents. <sup>4</sup> Any grants includes grants, scholarships, or tuition waivers from federal, state, institutional, or private sources, including employers. <sup>5</sup> Any loans includes federal, state, institutional, and private (alternative) loans to students. Does not include Direct PLUS Loans to parents. <sup>6</sup> Graduates were defined as working full time if they worked 35 or more hours per week. <sup>7</sup> Graduates were defined as unemployed if they were not working but looking for work. <sup>8</sup> Graduates were defined as out of the labor force if they were not working and not looking for work.

# Text of Flyer for Nonrespondents – For-Profit Institutions

(Page 1)

## **2017–18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC)**

Seventy-seven percent of students at for-profit institutions are first-generation college students (neither parent earned a bachelor's degree or higher), compared with 48 percent of students at private nonprofit institutions and 62 percent of students at public institutions.

NPSAS is a nationally representative study of how students and their families pay for postsecondary education. It has been conducted since 1987 by the U.S. Department of Education's National Center for Education Statistics (NCES) and is congressionally mandated through the Higher Education Opportunity Act of 2008 (20 U.S.C. §1015). NPSAS is used by policymakers and researchers to better understand the financial needs of postsecondary students in the United States.

The National Center for Education Statistics (NCES) designed NPSAS:18-AC to provide representative samples for each of the U.S. states, making it different from previous NPSAS studies. This design will allow researchers and other data users to generate state-representative undergraduate financial aid estimates and compare financial aid programs at the state level. Another unique aspect of the study is that NPSAS:18-AC does not administer a student survey.

### **Family Educational Rights and Privacy Act of 1974**

The data request for NPSAS conforms fully to the requirements of the Family Educational Rights and Privacy Act of 1974 (FERPA) (20 U.S.C. §1232g(b)(1)(D)). Under FERPA's general consent rule, NCES is authorized to obtain student-level data from institutions for any NPSAS-eligible student, without prior consent, if the disclosure is to an organization conducting studies for, or on behalf of, the Secretary of Education. Student data are subject to strict confidentiality protections that are adhered to by NCES and its contractor organizations.

### **Why Should For-Profit Institutions Participate?**

For-profit institutions serve a more diverse and disadvantaged student population than other institutions.

- Twenty-six percent of students at for-profit institutions are black, compared with 14 percent at private nonprofit institutions and 15 percent at public institutions. Likewise, 19 percent of students at for-profit institutions are Hispanic, compared with 10 percent at private nonprofit institutions and 17 percent at public institutions.
- Among dependent students at for-profit institutions, 32 percent of students' parents earn less than \$20,000 per year, compared with 11 percent of dependent students at private nonprofit institutions and 16 percent of dependents at public institutions.
- The median age of students at for-profit institutions is 28 years old, compared with 21 years old at private nonprofit institutions and 22 years old at public institutions.

We need **your** participation in NPSAS:18-AC to ensure that we have data on these students' financial aid needs and how well they are being met, especially in terms of federal student aid.

### **Participants Receive a Data Feedback Report**

After the data are collected, we will provide a data feedback report to participating institutions that allows staff to see how their institution compares to financial aid estimates at the sector, state, and/or national levels (depending on responses rates).

(Page 2 is the same as public institution flyer.)



# Request to State Organizations to Encourage Institution Participation

NPSAS

Endorsed by

List of agencies is printed here along the left margin.

(Date)

(Salutation) (FirstName) (LastName)

(Title)

(Organization)

(Address)

(City), (State) (Zip)

Dear (Salutation) (LastName):

The National Center of Education Statistics within the U.S. Department of Education is pleased to announce a new study designed to provide state-level estimates on student financial aid. For the first time, State policymakers will have information on how State financial aid programs interact with Federal aid programs, track the State financial aid policies, and benchmark measures against other similar States. In order for this new study to be a success, we need your help!

The 2017-18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC) is currently in progress across institutions in all 50 States, Puerto Rico, and the District of Columbia. In [your State/the [fill the sector name] of your State], we are currently collecting student records from your institutions. However, the response rate from these institutions [is / may] not [be] sufficient to yield a representative sample in [your State/the [sector] of your State] to allow for the types of comparisons that State policymakers need.

Institution participation in NPSAS:18-AC is vital in ensuring that the data collected accurately represent the undergraduate students in (your state/the [fill sector name] sector of your state). Because we have not yet received data from all the institutions within [your State/the [sector] of your State], we are requesting that you reach out to them and encourage their participation. [For State Coordinating bodies or entities for which it is permissible to disclose the sampled institutions and contacts: Please email [EMAIL ADDRESS] if you would like information on which institutions have not yet responded.]

If you have any questions about the study, please contact Tiffany Mattox, NPSAS:18-AC Institution Records Coordinator, at 1-855-500-1441 or via e-mail at [EMAIL ADDRESS]. If you have any comments or general feedback that you would like to provide to NCES about this or any other data collection, please contact Sean Simone, PhD, Project Officer, NPSAS:18-AC, at 202-245-7631 (e-mail address: Sean.Simone@ed.gov).

Thank you in advance for encouraging participation in this important study.

Sincerely,

(electronic signature)

NCES staffperson name

NCES staffperson title

Enclosures

[the words "your State" will be replaced with "Puerto Rico" or "the District of Columbia" as appropriate]

# PDP Website Content – Pre-Login

## (not study specific)

The Postsecondary Data Portal (PDP) is the web-based data collection system for all NCES sample studies that collect data from postsecondary institutions. The sections of the website that are accessible prior to login include generic information about NCES postsecondary studies and the PDP itself, including answers to frequently asked questions. The content of these pages is included below. Study-specific information that is available after login is included in the next section.

## Home page

The study-specific information below, including the list of studies and their OMB numbers and time estimates, the links to the NCES websites for each study, and the list of contact persons will be added or removed as studies that use the Postsecondary Data Portal website begin and end. Before a study is added, planned revisions and additions to the portal's content will be submitted to OMB for review as part of the study's clearance request, then the website will be changed after that request is approved.

IES logo

Login <UserName> <Password>

Welcome to the Postsecondary Data Portal

The central hub for institutions providing data for sample studies conducted by the National Center for Education Statistics (NCES), part of the U.S. Department of Education.

Log in above to get started.

NCES is authorized to conduct these studies by the Education Sciences Reform Act of 2002 (ESRA 2002, 20 U.S.C. §9543) and the Higher Education Opportunity Act of 2008 (HEOA 2008, 20 U.S.C. §1015). The data are being collected for NCES by RTI International, a U.S.-based nonprofit research organization. All of the information you provide may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C. §9573 and 6 U.S.C. §151).

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control numbers for the voluntary information collections using this website are listed below. The time required to complete the information collections is estimated to average the number of minutes or hours listed below per response, including the time to review instructions, search existing data sources, gather the data needed, and complete and review the information collection.

If you have any comments concerning the accuracy of the time estimate, suggestions for improving the collections, or any comments or concerns regarding the status of your individual submission of these data, please write directly to: Postsecondary Data Portal studies, National Center for Education Statistics, PCP, 550 12th St., SW, 4th floor, Washington, DC 20202.

HSLs:09 OMB Clearance No: 1850-0852 Expiration Date 12/31/2018

HSLs:09 Transcript Collection (PETS): 30 minutes, HSLs:09 Student Records: 3.3 hours

BPS:12 Student Records OMB Clearance No: 1850-0631 Expiration Date 01/31/2020

BPS:12 Pilot Postsecondary Education Transcript Study (PETS): 10 minutes

BPS:12 Postsecondary Education Transcript Study (PETS): 1 hour

BPS:12 Student Records: 11.6 hours

NPSAS:18-AC OMB Clearance No: 1850-0666 Expiration Date: xx/xx/xxxx

NPSAS:18-AC List Collection: 2.8 hours, NPSAS:18-AC Student Records Collection: 22 hours

Click below to visit the NCES website and learn more about the studies that use this portal.

<link to NCES website for NPSAS>

<link to NCES website for BPS>

<link to NCES website for B&B>

<link to NCES website for HSL:09>

<link to <http://nces.ed.gov/datalab/>>

(Text below is on footer of every page)

#### Other NCES Links

NCES DataLab

National Center for Education Statistics

#### Contact Info

Help Desk: [\[EMAIL ADDRESS\]](#) or 1-855-500-1441

RTI Institution Records Coordinator [Tiffany Mattox](#) or 1-855-500-1441

NPSAS:18 Administrative Collection

RTI Study Director [Peter Siegel](#) or 1-855-500-1441

NCES Project Officer Sean Simone or (202) 245-7631

BPS:12 Postsecondary Education Transcript Study

RTI Study Director [Mike Bryan](#) or 1-855-500-1441

NCES Project Officer Sean Simone or (202) 245-7631

HSL:09

RTI Project Director [Dan Pratt](#) or 1-855-500-1441

NCES Project Officer [Elise Christopher](#), Ph.D. or (202) 245-7098

BPS:12 Student Records Collection

RTI Study Director [Kristin Dudley](#) or 1-855-500-1441

NCES Project Officer Sean Simone or (202) 245-7631

#### Latest Tweets

<link to NCES Tweeter feed>

OMB Clearance No:1850-0631 Expires xx/xx/xxxx

## About the PDP

### About this website

We developed the Postsecondary Data Portal (PDP) website (<https://surveys.nces.ed.gov/portal>) to improve your user experience. The PDP reduces the time it takes to respond to NCES data requests and makes the data submission process as simple and straightforward as possible.

Benefits of the new PDP include the following:

- **Centralization.** It is a central hub for uploading postsecondary institution data for all NCES sample studies.
- **Personalization and Functionality.** You can choose from three user-friendly modes for providing data, all of which offer intuitive functionality.
- **Continuity.** Future data requests will remain as static as possible, and reports or programs you create to provide the data can be updated minimally to complete future requests.
- **Security.** Data are secured through password-protected access and Secure Sockets Layer (SSL) encryption.
- **Tools at Your Fingertips.** You can access DataLab, our powerful, easy-to-use suite of online data analysis tools that includes more than 30 federal education datasets.

Each of the studies collect data that are used by NCES for reporting and analysis. Any data released to the public will be in aggregate form (e.g., statistical tables, graphs). Review the FAQs or log in to learn more. Background information on each study can be accessed from the Home page.

The Education Sciences Reform Act of 2002 (ESRA 2002, 20 U.S.C. §9543) and the Higher Education Opportunity Act of 2008 (HEOA 2008, 20 U.S.C. §1015) authorize NCES to collect and disseminate information about education in the United States. NCES is the primary federal statistical entity for collecting and analyzing data related to education in the U.S. and other nations. NCES is located within the Institute of Education Sciences of the U.S. Department of Education. NCES fulfills a Congressional mandate to collect, collate, analyze, and report complete statistics on the condition of American education; conduct and publish reports; and review and report on education activities internationally.

NCES has contracted with RTI International to administer the studies included on this website. RTI is an independent, nonprofit contract research organization located in Research Triangle Park, NC, that was established by a joint action of three major universities in North Carolina: University of North Carolina at Chapel Hill, North Carolina State University, and Duke University. RTI began operations in 1958 and has provided contract support to NCES on postsecondary research dating back to 1971.

Annually, NCES also conducts a system of interrelated surveys entitled the Integrated Postsecondary Education Data System (IPEDS) through a different [website](#). IPEDS gathers information from every college, university, and technical and vocational institution that participates in the federal student financial aid programs. These data are made available to students and parents through the [College Navigator](#) college search website and to researchers and others through the IPEDS Data Center.

# Confidentiality and Security

## Confidentiality and Security

All of the information provided as part of NCES sample studies may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C. §9573 and 6 U.S.C. §151). Any student data released to the general public (for example, in statistical tables) are designed so that it is not possible to identify specific individuals.

Specific measures have been taken to protect all responses:

- Data are collected over a secure server and connection, protected by Secure Sockets Layer technology (SSL; 128-bit encryption). All hard-copy records are maintained in locked storage cabinets. A unique study identification variable (not the Social Security number or student ID) are created and maintained for each sampled student to protect against inadvertent disclosure of confidential data.
- All electronic data are secured in protected data files, and personally identifiable information (PII) is stored in files separate from the descriptive information. The data are stored securely on an Enhanced Security Network, which is certified and accredited as a NIST moderate security level network. NCES and RTI employ strict procedures for the transfer of PII; maintenance, storage, and use of direct identifiers; replacement of direct identifiers with internal codes; security of master survey files; and reporting of data security breaches in accord with the U.S. Department of Education Incident Handling Procedures. For more information on NIST security level, please see FIPS Publication 199 at <http://nvlpubs.nist.gov/nistpubs/FIPS/NIST.FIPS.199.pdf>.
- All NCES staff and contractors are subject to severe fines and imprisonment for disclosing individual responses.
- All RTI project staff members have signed Confidentiality Agreements and Affidavits of Nondisclosure and are prohibited by law from using the obtained information for any purposes other than this research study.
- Data security procedures are reviewed and approved by NCES data security staff.

Confidentiality and data security protection procedures have been put in place for the studies accessible through this website to ensure that the contractor and its subcontractors comply with all privacy requirements, including:

1. The statement of work of each contract;
2. *Family Educational Rights and Privacy Act (FERPA) of 1974* (20 U.S.C. §1232(g));
3. *Privacy Act of 1974* (5 U.S.C. §552a);
4. *Privacy Act Regulations* (34 CFR Part 5b);
5. *Computer Security Act of 1987*;
6. *U.S.A. Patriot Act of 2001* (P.L. 107-56);
7. *Education Sciences Reform Act of 2002* (ESRA 2002, 20 U.S.C. §9573);
8. *Confidential Information Protection and Statistical Efficiency Act of 2002*;
9. *E-Government Act of 2002*, Title V, Subtitle A;
10. *Cybersecurity Enhancement Act of 2015* (6 U.S.C. §151);
11. The U.S. Department of Education General Handbook for Information Technology Security General Support Systems and Major Applications Inventory Procedures (March 2005);
12. The U.S. Department of Education Incident Handling Procedures (February 2009);
13. The U.S. Department of Education, ACS Directive OM: 5-101, Contractor Employee Personnel Security Screenings;
14. NCES Statistical Standards; and
15. All new legislation that impacts the data collected through the contract for this study.

The data collection contractor complies with the U.S. Department of Education's IT security policy requirements as set forth in the Handbook for Information Assurance Security Policy and related procedures and guidance, as well as IT security requirements in the Federal Information Security Management Act (FISMA), Federal Information Processing Standards (FIPS) publications, Office of Management and Budget (OMB) Circulars, and the National Institute of Standards and Technology (NIST) standards and guidance. All data products and publications will also adhere to the NCES Statistical Standards, as described at the website: <http://nces.ed.gov/statprog/2012/>.

## Contact Us

### CONTACT US

**Name**


**Email**

**Organization (optional)**

**Phone (optional)**

**Comments**

The contact information you provide in this form will only be used to follow up on your message.

 Telephone contact information can be found at the bottom of each page of this website.

## FAQs - General

*These apply across projects and are available before the user logs in.*

### Who is collecting these data?

The National Center for Education Statistics (NCES), in the United States Department of Education's Institute of Education Sciences, is conducting the studies that use this website, and has contracted with RTI International to collect data for these studies. The studies are listed on the Home page. NCES is the primary federal statistical entity

for collecting and analyzing data related to education in the U.S. and other nations. RTI International is an independent, nonprofit research organization, established as a joint action of the three major universities in North Carolina: University of North Carolina at Chapel Hill, North Carolina State University, and Duke University.

### **By what authority does NCES collect this information?**

The National Center for Education Statistics (NCES), within the U.S. Department of Education's Institute of Education Sciences, has been authorized by Congress to conduct these studies in the Education Sciences Reform Act of 2002 (ESRA 2002, 20 U.S.C. §9543) and the Higher Education Opportunity Act of 2008 (HEOA 2008, 20 U.S.C. §1015). Each study has been approved by the U.S. Office of Management and Budget (OMB).

### **How was my institution selected?**

The National Postsecondary Student Aid Study (NPSAS) scientifically samples institutions from all Title IV institutions included in the [Integrated Postsecondary Education Data System \(IPEDS\)](#). For longitudinal studies such as the Beginning Postsecondary Students Longitudinal Study (BPS), sample members have identified which institutions they attended when completing the student survey; this information may also come from other administrative data sources. Transcripts and student records are collected from the postsecondary institutions attended by sample members.

### **Why is participation important?**

The quality and affordability of postsecondary education are vital concerns for students and their families. The National Postsecondary Student Aid Study (NPSAS) is designed to describe how students meet the cost of education beyond high school and provides comprehensive data on the enrollment status, education goals, employment, and demographic characteristics of postsecondary students across the nation.

The NCES longitudinal sample studies collect data from transcripts, student records, and/or student surveys to provide much-needed information on the course of study of today's college students as they begin, leave, and re-enter postsecondary study, transfer between institutions, and complete programs at all levels of institutions. The combination of transcripts and other data collected through surveys, file matching, and record abstraction, allows for analyses of the undergraduate and graduate paths taken by sample members and for analyses of the important link between academic performance and outcomes over time.

The data collected through the studies on this website appear in a wide array of publications and reports, and are cited in journal and newspaper articles and in foundation policy briefs. They are also widely used for decision making. For example, NPSAS is the primary source of data used by federal government agencies and higher education associations to analyze the effectiveness of current federal student financial aid programs. In addition, many agencies and organizations, including the U.S. Department of Education, the Congressional Budget Office (CBO), the Government Accountability Office (GAO), the Office of Management and Budget (OMB), the American Council on Education (ACE), the National Association of Independent Colleges and Universities (NAICU), and the National Association of Student Financial Aid Administrators (NASFAA) use NPSAS data to prepare reports that influence the direction of federal student aid policies.

The data collected through the studies on this website are used by researchers, policymakers, and administrators to analyze and nationally benchmark information about current postsecondary students; to analyze local and national trends over time; and to inform decisions at the institutional, state, and national levels. Participation of each sampled institution assures that the data thus used accurately represent students attending all different types of postsecondary institutions in the United States. After the data are collected, participating institutions will receive a

data feedback report that allows staff to see how their institution compares to financial aid estimates at the sector, state, and/or national levels (depending on responses rates).

**Do we need to have the student's permission before providing this information?**

No. Student or parental consent is not required for release of student record information for the purposes of these studies. The data request conforms fully to the requirements of the Family Educational Rights and Privacy Act of 1974 (FERPA) (34 CFR Part 99). Under FERPA's general consent rule, NCES is authorized to obtain student level data from institutions for any study-eligible student, without prior consent, if the disclosure is to authorized representatives of the Secretary of Education [34 CFR §§ 99.31(a)(3) and 99.35]. Student data are subject to strict protections that are adhered to by NCES and its contractor organizations. You can review this regulation on the U.S. Department of Education's website at <http://www2.ed.gov/policy/gen/reg/ferpa>.

FERPA regulations also stipulate that all disclosures be reported in the student record, with an indication of who received the information and their legitimate interests in the information. For schools without electronic student record systems, a Disclosure Notice may be printed after log in from the Reference Materials page. These notices fulfill the requirements of 34 CFR 99.32(a) pursuant to the Family Educational Rights and Privacy Act (20 U.S.C. §1232g). Electronic student record systems will require the insertion of a similar statement.

**Are the collected data kept secure and confidential?**

Both NCES and RTI International follow strict procedures to protect study participants' information. For more information on confidentiality and data security see <https://surveys.nces.ed.gov/Portal/Home/Confidentiality>.

**How do we provide the data?**

The data are submitted by upload or keying as well as other methods. After logging in, you will see a list of what is needed on the Postsecondary Data Portal Task Menu. You can click on any active menu option.

**What assistance is available from RTI staff?**

The Help Desk at RTI International is available to answer your questions about the studies and using this website. Please call 1-855-500-1441 or e-mail [\[EMAIL ADDRESS\]](#) with your concerns. Help Desk staff are available from 9:00 a.m. to 6:30 p.m. Eastern time, Monday - Friday.



## PDP Website Content – After Login

*This section includes content of the Postsecondary Data Portal (PDP) that is available to users after logging in to the website. The post-login webpages are customized based on the study or studies for which the institution has been sampled.*

- *The Task Menu and Designate a Coordinator pages are used for all studies that collect data through the PDP.*
- *The remaining pages in this section are specific to the NPSAS:18-AC enrollment list and student records collection. The content of these pages is included below, and was based on the materials developed and approved for NPSAS:16 (OMB #1850-0666).*

### Task Menu

*The Task Menu displays a checklist of items that the institution completes to provide data. The specific items that appear on the Task Menu are customized for each study. The Task Menu below shows an example for an institution that has been selected for both NPSAS:18-AC and the BPS:12 postsecondary transcripts collection. For an institution selected for NPSAS:18-AC only, the transcript collection items will not appear on the Task Menu.*

#### POSTSECONDARY DATA PORTAL TASK MENU

Welcome, it's time to complete the tasks below.

Your participation in studies developed by the National Center for Education Statistics is very important.

The data collected from your institution will provide much-needed information on the academic experiences of today's postsecondary students as they begin, leave, and reenter postsecondary education, transfer between institutions, and complete programs at all types of institutions.

Please complete the tasks indicated by a green arrow.

- Designate a Coordinator
- Complete the Institution Registration Page
- Review Sampled Student List

#### Student Records

- Provide Institution Information for Student Records
- Provide Student Record Information

#### Transcripts

- Provide Transcript Legend Information
- Provide Transcript Data
- Upload Course Catalogs

### Designate a Coordinator page

Please fill in or verify the information below. The coordinator is your institution's primary contact for NCES sample studies institution data collections. He or she will receive all further communication about study procedures and deadlines.

Please note that we need a 'FedEx-friendly' address - no PO Box addresses, please!

## Coordinator

Salutation	
Name	
Title	
Institution	
Address	
Telephone	
Fax	
Email	

## Institution Registration Page (IRP)

1. Our records indicate that the name of your institution is <name>. Is that correct?  
Yes/No. If no, Please enter the correct name of your institution:
2. Will your Student Enrollment List include students enrolled at more than one campus or location for your institution? Yes/No
3. Does your institution offer continuous enrollment for your students, rather than terms with explicit start and end dates? Yes/No.

If no, please specify the start and end dates for the term, enrollment, or payment period that includes the date of April 30, 2018. If your institution has multiple terms (including short sessions of over a month) that include the date of April 30, 2018, please enter the period with the latest starting date.

Start Date:

End Date:

4. When will you be able to provide a list of all students enrolled at any time between July 1, 2017, and April 30, 2018?

Response option 1. (If 3 = missing or yes:) Within 2 weeks of the start of the term that includes the date April 30, 2018. (If 3 = no:) Within 2 weeks of <Item3StartDate>.

Response option 2. We have continuous enrollment and will send the list by May 14, 2018. Note: Because you have continuous enrollment, we would like you to include all students enrolled at the time instead of stopping at April 30th.

Response option 3. Please have a project staff member call us to establish a date.

*Below item 4, there is a call-out text box with a list of important student enrollment list data elements to give the institution staff an overview of what will be requested on the list.*

The Student Enrollment List will include the following information pertaining to each student:

Name and date of birth  
Address, phone number, and email address  
Degree program  
Class level  
Major or CIP code  
High school graduation date and date of first enrollment  
ID and Social Security Number  
Veteran status  
Total number of credits accumulated

## Race/ethnicity and Sex

5. What student records software system does your institution use (e.g., Banner, Peoplesoft)?  
<text field>
6. Will there be a software transition this academic year (e.g., to another type of software or beginning to use a software system for the first time)?  
Yes/No. If yes, <text field>.
7. Are there major obstacles to participation this academic year (e.g., accreditation, merging with another institution, closing/teaching out, etc.)?  
Yes/No. If yes, what are they? <text field>
8. Are there specific months that are better times for your institution to provide data to NCES?  
Yes/No. If yes, what are the months and why? <text field>
9. Are there specific months that are worse times for your institution to provide data to NCES?  
Yes/No. If yes, what are the months and why? <text field>

## Institution Registration Page- version for systems of institutions

*Some institutions may prefer to provide data via a central contact person for several campuses/schools in the NPSAS study. These institutions require a tailored version of the items, shown below.*

1. Do your institutions selected for this study all have the same term structure, with the same start and end dates and/or are all institutions on the same schedule?  
Some institutions have different schedules/All institutions are on the same schedule.
2. Do (any of) your institutions offer continuous enrollment for their students, rather than terms with explicit start and end dates?  
All/Some/None
3. Please specify the start and end dates for the last term, enrollment, or payment period at your institutions/any of your institutions that includes the date of April 30, 2018. If there are multiple terms (including short sessions of over a month) that include the date of April 30, 2018, please enter the period with the latest starting date.  
Start Date:  
  
End Date:
4. When will you be able to provide a list of all students enrolled at any time between July 1, 2017, and April 30, 2018?  
Response option 1.  
  
(If 2 = missing:) Within 2 weeks of the start of the term that includes the date April 30, 2018.  
  
(If 2 = none:) Within 2 weeks of <Item3StartDate>.  
  
(If 2 = all or some:) We have continuous enrollment and will send the list by May 14, 2018. Note: Because you have continuous enrollment, we would like you to include all students enrolled at the time instead of stopping at April 30th.  
  
Response option 2. Please have a project staff member call us to establish a date.

*Below item 4, there is a call-out text box with a list of important student enrollment list data elements to give the institution staff an overview of what will be requested on the list.*

The Student Enrollment List will include the following information pertaining to each student:

Name and date of birth  
Address, phone number, and email address  
Degree program  
Class level  
Major or CIP code  
High school graduation date and date of first enrollment  
ID and Social Security Number  
Veteran status  
Total number of credits accumulated  
Race/ethnicity and Sex

5. What student records software systems do your sampled institutions use (e.g., Banner, Peoplesoft)?  
<text field>
6. Will there be a software transition this academic year (e.g., to another type of software or beginning to use a software system for the first time)?  
Yes/No. If yes, <text field>.
7. Are there major obstacles to participation this academic year (e.g., accreditation, merging with other institutions, closing/teaching out, etc.)?  
Yes/No. If yes, what are they? <text field>
8. Are there specific months that are better times for you to provide institutional data to NCES?  
Yes/No. If yes, what are the months and why? <text field>
9. Are there specific months that are worse times for you to provide institutional data to NCES?  
Yes/No. If yes, what are the months and why? <text field>

(Once you click Submit)

## **THANK YOU**

Thank you. The requested information for your institution has been submitted.

## **What happens next?**

RTI will contact you with a deadline by which to provide the list of enrolled students. After RTI receives your student list, a random sample of students from the list will be selected for participation in NPSAS:18-AC. You will then be asked to provide specific enrollment and financial aid data from those sampled students' records. A web-based application has been developed to assist you in completing the student record collection and securely providing the data to RTI. The online application will be available on the study website once your sample has been selected.

NCES is required to follow strict procedures to protect the confidentiality of persons in the collection, reporting, and publication of data. All of the information you provide may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C. §9573 and 6 U.S.C. §151).

# Enrollment list page

## Provide Your Student Enrollment List

1. Follow these instructions to prepare your student enrollment list.

2. Check your list for errors

Please take the time to review your list for errors, including the following common errors:

- Is the contact information provided associated with the correct name (i.e., make sure that the rows did not shift)?
- Is degree program provided for all students?
- Did you include students from all campuses/schools (e.g., law school, medical school) reported under your IPEDS UNITID?
- Did you exclude students concurrently enrolled in high school or a high school completion program?

3. Provide information about your list

Date your list of students was prepared:

Contact information for staff who prepared the list:

Name

Department

E-mail Address

Telephone number

In the space below, provide any details that will clarify the layout of the enrollment list you are submitting, as well as any information necessary to correctly interpret the data provided. Define any special codes or abbreviations (e.g., major codes, class levels).

Comments:

Exclusion counts

In each of the categories shown below, approximately how many students have been excluded from your institution's enrollment list?

Count of Students	Reason
_____	Did not meet the NPSAS eligibility requirements
_____	Student requested that their information not be provided to external parties
_____	Excluded for any other reason
_____	Total

4. Upload Student Enrollment List

Press the Upload File button to locate the file that contains your student list.

\*(Files must be of type: .txt, .csv, .xls, .xlsx, .doc or .zip. Please zip your file if it is larger than 3MB.)

(Upload File)

## FAQs – Specific to NPSAS

### NPSAS FAQs

This section contains answers to frequently asked questions (FAQs) about the National Postsecondary Student Aid Study (NPSAS). Because institutions have multiple tasks to complete in response to this data collection, the following sets of questions provide both general and specific guidelines for an institution's staff to review.

You can access general FAQs about this website [here](#).

### Background and Purpose of NPSAS

#### Why are institutions contacted before NPSAS data are needed?

Providing institutions with the basic information needed to start planning ahead of the earliest deadline for student enrollment lists provides additional time to develop an action plan to address each of the data collection steps.

#### What is this study all about?

The National Center for Education Statistics (NCES), part of the U.S. Department of Education's Institute of Education Sciences, is authorized by federal law to collect, analyze, and publish statistics and other data related to education in the United States and other nations (ESRA 2002, 20 U.S.C. §9543 and HEOA 2008, 20 U.S.C. §1015). NPSAS is designed to describe how students meet the cost of education beyond high school. Data are obtained from student records. NPSAS will collect information on students' demographics, enrollment, employment, education and living expenses, and (if any) the type of financial assistance received by students (grants, scholarships, loans, awards, and stipends). NPSAS includes students from all types of postsecondary schools—private-for-profit institutions, public and private nonprofit 2- and 4-year colleges and universities, and less-than-2-year institutions in the 50 states, the District of Columbia, and Puerto Rico.

#### How was my institution selected?

Your institution was scientifically sampled from all Title IV institutions. To be eligible for inclusion in the institution sample, an institution must have satisfied the following conditions: (1) offered an education program designed for persons who have completed secondary education; (2) offered an academic, occupational, or vocational program of study lasting at least 3 months or 300 clock hours; (3) offered access to the general public, except for U.S. service academies; and (4) been located in the 50 states, the District of Columbia, or Puerto Rico.

#### What is the purpose of this study, NPSAS:18-AC?

The quality and affordability of postsecondary education are vital concerns for students and their families. That is why the information gathered by NPSAS is so important. The study explores how students pay for education after high school, and provides comprehensive data on the enrollment status, education goals, employment, and demographic characteristics of postsecondary students. The current NPSAS is different from previous ones. For the first time, in addition to federal financial aid estimates, NPSAS:18-AC will be collecting data to generate state-level financial aid estimates to inform states' policy and planning. Also, there is no student survey.

#### What is the role of the coordinator?

The coordinator's role is to serve as a liaison between the institution and the NCES data collection contractor, RTI International, for this study, assisting with all data collection efforts for NPSAS. The coordinator may need to collaborate with staff in other units within the institution to obtain the requested information.

### **What are the steps involved with data collection?**

NPSAS data collection comprises the following steps:

- The institution's chief administrator names a coordinator.
- The coordinator completes the Institution Registration Page on the website.
- The coordinator provides a list of enrolled students.
- A sample of students is selected from the provided list.
- The coordinator provides student records data for the sampled students.

### **How much time will NPSAS take to complete?**

The total time required to complete all the tasks for this study is estimated to average about 25 hours per institution. This estimate includes the time expected to complete the Institution Registration Page (5 minutes), prepare the student enrollment list (2.8 hours), and provide the student record data (22 hours). If you have any comments concerning the accuracy of the time estimate, suggestions for improving the collections, or any comments or concerns regarding the status of your individual submission of these data, please write to: Postsecondary Data Portal studies, National Center for Education Statistics, PCP, 550 12th St., SW, 4th floor, Washington, DC 20202

### **Creating the Student Enrollment List**

#### **How will the sample at my institution be selected?**

The list that you provide to the NCES data collection contractor, RTI International, will be used to select an independent random sample of undergraduates and master's, doctoral, and other graduate students, where appropriate.

#### **How long will it take to create the student enrollment list?**

The time required to create the list of enrolled students is estimated to average 2.8 hours. This includes time for reviewing list preparation instructions and preparing the student enrollment list.

#### **What do I need to do?**

First, complete the Institution Registration Page, which requests specific information about the term/enrollment periods at your institution for the 2017-18 academic year.

Next, create and upload a data file listing all students enrolled at your institution at any time between July 1, 2017, and April 30, 2018. Please review the Student Enrollment List Preparation Instructions for more information.

Refer to the Student Enrollment List Preparation Instructions for more information on the transmittal options and to determine what additional information is needed when uploading or e-mailing your list of enrolled students.

#### **Why do you need students' home addresses and telephone numbers?**

The contact information is not currently needed for this round of NPSAS, but may be needed in the future if the U.S. Department of Education later decides to do a follow up study with some or all of the sampled students.

This information is protected by the Education Sciences Reform Act of 2002 (ESRA). All of the information provided by individuals or institutions may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C. §9573 and 6 U.S.C. §151).

If release of this information requires a special review process at your institution, please contact the Help Desk at 1-855-500-1441 or send an e-mail to [\[EMAIL ADDRESS\]](#). We will supply information about the study and the laws protecting the confidentiality of the data collected to all those involved in the process, and we will work with you to expedite the return of the other data items requested on the list of enrolled students.

### **When do you need the list of enrolled students?**

Enrollment lists will be collected beginning in April 2018. We will send a packet to the assigned coordinator at each institution that will include a due date for the enrollment list along with information on how to access instructions for preparing and transmitting the list. The specific due date for each institution is based on its term structure and is determined after the Institution Registration Page is completed.

Please contact RTI at 1-855-500-1441 or contact us via e-mail at [\[EMAIL ADDRESS\]](#) if you have any questions or concerns about submitting your student enrollment list.

### **My institution uses different student degree program classifications than you request, so how should I provide degree program?**

If possible, please categorize the students using the student degree program categories that we request. However, if this is not possible, then please classify the students as your institution's records indicate and provide us with the definitions of these classifications in an e-mail to [\[EMAIL ADDRESS\]](#).

### **What should I do if I cannot provide students' first names, middle initials, last names, and name suffixes as four separate fields?**

When uploading your file on the website, simply let us know how your file is constructed by providing comments in the text box located on the upload page or send an e-mail to [\[EMAIL ADDRESS\]](#).

### **Why do I need to provide Social Security numbers (SSNs) in addition to student ID numbers?**

We will use the SSNs of the sample students to match against the U.S. Department of Education's Central Processing System (CPS) database, which contains information from the Free Application for Federal Student Aid (FAFSA). For those sample students who applied for federal financial aid, we will use data available from the CPS to reduce the amount of data that institutions will need to provide during student records collection.

The release of this information to NCES without explicit consent is permissible under the Family Educational Rights Privacy Act (FERPA). FERPA explicitly states that an educational institution may disclose personally identifiable information from an education record of a student without prior consent if the disclosure is to authorized representatives of the Secretary of Education (20 U.S.C. §1232g[b][3]), which RTI International is as contractor for NCES. A definition of personally identifiable information can be found in 34 CFR 99.3. Personal identifiers, such as Social Security numbers and student numbers, are included in the definition. You can review this legislation on the U.S. Department of Education's website at <http://www2.ed.gov/policy/gen/reg/ferpa>. For more information on confidentiality and data security see <https://surveys.nces.ed.gov/Portal/Home/Confidentiality>.

### **What if my institution does not have student ID numbers separate from SSNs?**



If your institution does not have separate student ID numbers, then either leave the student ID field blank or put SSN in the student ID field.

### **What will happen to the student list file after you select the sample?**

The U. S. Department of Education has strict requirements to protect personally identifiable information (PII). This data will be stored securely on an Enhanced Security Network, which is certified and accredited as a NIST moderate security level network. The Department of Education and RTI International employ strict procedures for protecting the confidentiality of PII and other sensitive information in all phases of the project. Particular emphasis is placed on guidelines for the transfer of PII; maintenance, storage, and use of direct identifiers; replacement of direct identifiers with internal codes; security of master survey files; and reporting of data security breaches in accord with the U.S. Department of Education Incident Handling Procedures. For more information on NIST security level, please see FIPS Publication 199 at <http://nvlpubs.nist.gov/nistpubs/FIPS/NIST.FIPS.199.pdf>. For more information on confidentiality and data security see <https://surveys.nces.ed.gov/Portal/Home/Confidentiality>.

### **Student Enrollment List: which students to include**

#### **Whom should I include on my student list? Which students at my institution are eligible for NPSAS?**

Your enrollment list should include students from all campuses/schools (e.g., law school, medical school) reported under your IPEDS UNITID. Include students enrolled between July 1, 2017, and April 30, 2018 if they meet all of the following eligibility requirements (this text links to the Student Enrollment List Preparation Instructions).

#### **Whom should I exclude from my student list? Which students at my institution are ineligible for NPSAS?**

Please exclude any student who was not enrolled at your institution between July 1, 2017, and April 30, 2018, or who meets at least one of the following criteria:

- The student was not enrolled in either an academic program, a for-credit course, an occupational or vocational program that requires at least 3 months or 300 clock hours of instruction to receive a degree, certificate, or other formal award, or a noncredit course within a Title IV eligible program.
- The student was enrolled concurrently in high school and your institution during this entire period.
- The student was enrolled in your institution solely for the purpose of earning a general educational development (GED®) credential or finishing another high school completion program during this entire period.
- The student was only enrolled in noncredit courses that were not part of a Title IV eligible program.
- The student dropped out of your institution early enough to receive a full tuition refund.
- The student paid tuition solely to a different institution during this period.

#### **Should I include students at branch or extension campuses on the list?**

The answer to this question depends on how the branch or extension campus is listed in the U.S. Department of Education's Integrated Postsecondary Education Data System (IPEDS). If the branch or extension campus reports to IPEDS through the same IPEDS UNITID as the institution to which we have sent the NPSAS packet (our sampled institution), then include students from the branch or extension campus on the student enrollment list. If the branch or extension campus reports to IPEDS through a different IPEDS UNITID, then do not include the students from the branch or extension campus on the student list.

If you have questions about whether the students enrolled at a particular site should be listed, please call the Help Desk at 1-855-500-1441 or send e-mail to [\[EMAIL ADDRESS\]](#).

**Should I include students enrolled through another registrar's office (e.g., law, dental, medical, or veterinary school) on the list of enrolled students?**

If the other registrar's office reports to the U.S. Department of Education's Integrated Postsecondary Education Data System (IPEDS) through the same IPEDS UNITID as the institution to which we have sent the NPSAS packet (our sampled institution), then students registered by this office should be included. If the other registrar's office reports using a separate IPEDS UNITID, then students registered by this office should not be included.

If you have questions about whether the students enrolled at a particular school should be listed, please call the Help Desk at 1-855-500-1441 or send e-mail to [\[EMAIL ADDRESS\]](#).

**Because this is a financial aid study, should I include on the list of enrolled students those who did not apply for or did not receive financial aid?**

Yes, NPSAS includes aided as well as non-aided students.

**When considering dates of enrollment to determine eligibility, the student needs to have been enrolled between July 1, 2017 and April 30, 2018, but do the student's specific term begin and end dates matter if they fall outside of that range?**

No. If a student was enrolled in a term that began prior to July 1, 2017, then the student is eligible as long as he or she was still enrolled on July 1, 2017. Similarly, a student who was enrolled on April 30, 2018, is eligible even if the term continues past that date.

**Should I include students who enrolled but later withdrew without completing any courses?**

Include all eligible students who enrolled and paid their tuition and fees unless they withdrew early enough to receive a full refund of their tuition and fees. Please refer to the eligibility criteria ([this text links to the Student Enrollment List Preparation Instructions](#)).

**Should I include students taking courses on this campus when this is not the students' home institution?**

No, do not include students on your enrollment list who pay their tuition solely to another institution. We consider students to be enrolled at the institution to which they pay their tuition.

**Should I include on the enrollment list nonmatriculated students, students in nondegree programs, part-time students, postbaccalaureate students, students taking correspondence courses, distance education students, foreign exchange students, continuing education students, extension division students, etc.?**

Yes, include these students on the list if they otherwise satisfy the student eligibility requirements. Note that even students not enrolled in a formal degree program are eligible for the study. For example, someone who is taking a credit-bearing computer programming course to enhance his or her job skills would be considered eligible and should be included on the enrollment list.

**Should I include graduate students on the list of enrolled students if they were enrolled in a graduate program but were only auditing courses (e.g., because they did not need any more credits for graduation)?**

Yes, students enrolled in academic programs are eligible for this study, even if they are no longer enrolled in courses for credit.

**Should I include international students on the student enrollment list?**

Yes. International students should be included on the student enrollment list as long as they meet the other eligibility criteria (this text links to the Student Enrollment List Preparation Instructions).

## FAQs – Student Records (SR) Collection

**Where do I go for the information requested?**

The information needed for each of the sampled students is typically collected from three distinct sources at your institution: the Admissions or Registrar’s Office, the Bursar’s or Business Office, and the Financial Aid Office. If you require assistance after reviewing the *Quick Guide to Providing Student Records Data*, please contact the Help Desk with your questions at 1-855-500-1441 toll free, or via e-mail at [\[EMAIL ADDRESS\]](#).

**How long does it take to collect student records data for NPSAS:18-AC?**

Our estimates indicate that providing the requested student records data will take about 22 hours on average. If you have any concerns about the amount of time it is taking you to provide the requested data, please call the Help Desk at 1-855-500-1441, toll-free. RTI will work with you to successfully complete this data collection effort.

**How do we provide the data?**

There are three methods available for providing the student records data through the PDP website: keying data into the system, filling in and then uploading an Excel template, or uploading data files created by you or an institution programmer.

**What assistance is available from the NCES contractor, RTI International, staff?**

The Help Desk at RTI International is available to answer your questions about the studies and using this website. Please call 1-855-500-1441 or e-mail [\[EMAIL ADDRESS\]](#) with your concerns. Help Desk staff are available from 9:00 a.m. to 6:30 p.m. Eastern time, Monday - Friday.

## NPSAS Reference Materials

- NPSAS:18-AC Brochure
- Endorsements (*provided in Appendix B of this submission*)
- Confidentiality and Data Security Fact Sheet
- Chief Administrator letter
- Coordinator welcome letter
- Overview of NPSAS activities (*included under “NPSAS:18-AC Study Overview and Timeline” on page D-9 above*)
- Coordinator enrollment list request letter
- Student Enrollment List Preparation Instructions
- Disclosure Notice
- Student Records request letter
- Quick Guide to Providing Student Records Data (*included on page D-24 to D-26*)
- Student Records Handbook

- Student records item overview handout
- Student records Excel template codebook (*provided in Appendix E of this submission on pages E-42 to E-50*)

## Reference Materials

*This section includes content that appears in the "Reference Materials" section of the Postsecondary Data Portal (PDP).*

### **Confidentiality and Data Security Fact Sheet**

#### **FACT SHEET: CONFIDENTIALITY LAWS**

and

#### **THE 2017-18 NATIONAL POSTSECONDARY STUDENT AID STUDY, ADMINISTRATIVE COLLECTION**

Your institution has been selected to participate in the 2017-18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC). NPSAS is designed to provide information on how students and their families meet the cost of education beyond high school. Lists of students provided by institutions will be used to select a sample of students who will be asked to complete a questionnaire online.

#### **Data Collected for NPSAS**

The information collected about students includes demographic information used for sampling (such as degree program); contact information; and personal identifiers (such as Social Security numbers and student IDs), which are used to unduplicate lists of students and to match students against federal financial aid records. The security of this information is, of course, of paramount importance to the U.S. Department of Education's National Center for Education Statistics (NCES) and its contractors, as well as to participating institutions and respondents. It is important for each institution sampled to be fully aware of the federal laws that both authorize the release of student information, and protect the confidentiality of record data and survey responses.

#### **Data Collected for NPSAS is Authorized by Congress**

The Education Sciences Reform Act of 2002 (ESRA 2002, 20 U.S.C. §9543) and the Higher Education Opportunity Act of 2008 (HEOA 2008, 20 U.S.C. §1015) authorize NCES to collect, acquire, compile, and disseminate full and complete statistics on the condition and progress of education, including postsecondary education. The provisions of ESRA can be found at the following website: <http://www.ed.gov/policy/rschstat/leg/edpicks.jhtml?src=ln>.

#### **Federal Law Protects the Confidentiality of Data Collected for NPSAS**

Both NCES and its contractor for NPSAS:18-AC, RTI International, follow strict procedures to protect the privacy and confidentiality of study participants. All RTI project staff members have signed confidentiality agreements and affidavits of nondisclosure. Any data are released to the public in aggregate form (e.g., statistical tables, graphs). All of the information provided by individuals and institutions may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C. §9573 and 6 U.S.C. §151). For more information on confidentiality and data security see <https://surveys.nces.ed.gov/Portal/Home/Confidentiality>.

#### **Federal Law Authorizes Release of Personally Identifiable Information to NCES and Its Agents**

NPSAS:18-AC seeks both directory and personally identifiable information on students from institutions. The Education Sciences Reform Act of 2002 (ESRA 2002, 20 U.S.C. §9543) and the Higher Education Opportunity Act of 2008 (HEOA 2008, 20 U.S.C. §1015) authorize NCES and its current contractors (RTI International for NPSAS:18-AC) to gather information from students on pivotal areas of national concern.

The data request for NPSAS fully conforms to the requirements of the Family Educational Rights and Privacy Act of 1974 (FERPA) [20 U.S.C. §1232g; 34 CFR Part 99]. FERPA is designed to protect the privacy rights of students and their families by

providing consistent standards for the release of personally identifiable student and family information. NCES and its data collection agent for NPSAS:18-AC, RTI International, are explicitly authorized under an exception to FERPA's general consent rule (in which the right to consent is held by the student, regardless of age) to obtain student-level data from institutions. This exception provides for the collection of data on any eligible student, without prior consent, if the disclosure is to authorized representatives of the Secretary of Education [34 CFR §§ 99.31(a)(3) and 99.35]. Student data are subject to strict protections that are adhered to by NCES and its contractor organizations. You can review this regulation on the U.S. Department of Education's website at <http://www2.ed.gov/policy/gen/reg/ferpa>. For questions regarding the applicability of FERPA to this study, school officials may contact the Family Policy Compliance Office (FPCO) at this e-mail address: [FERPA@ed.gov](mailto:FERPA@ed.gov).

### **Data Collected Are Stored Securely**

Both NCES and RTI International follow strict procedures to protect study participants' information. These procedures include obtaining signed confidentiality agreements from all personnel who will have access to individual identifiers, personnel training regarding the meaning of confidentiality, and controlled access to computer files.

All electronic data from institution records and students are carefully protected. Computer accounts used to access electronic data are password protected. Only project staff members with clearance from the study database manager are able to log on to these accounts. Personally identifying variables (e.g., name, address, and phone numbers) are kept separate from data variables such as educational experience or financial aid.

All hard-copy records are maintained in locked storage cabinets. A unique study identification variable (not the Social Security number or student ID) are created and maintained for each sampled student to protect against inadvertent disclosure of confidential data. Any data released to the general public (for example, statistical tables) are tailored so that it is not possible to identify specific individuals or institutions.

These confidentiality and data security protection procedures have been put in place to ensure that RTI International and its subcontractors comply with all privacy requirements, including:

1. The statement of work of each contract;
2. Family Educational Rights and Privacy Act (FERPA) of 1974 (20 U.S.C. §1232(g));
3. Privacy Act of 1974 (5 U.S.C. §552a);
4. Privacy Act Regulations (34 CFR Part 5b);
5. Computer Security Act of 1987;
6. U.S.A. Patriot Act of 2001 (P.L. 107-56);
7. Education Sciences Reform Act of 2002 (ESRA 2002, 20 U.S.C. §9573);
8. Confidential Information Protection and Statistical Efficiency Act of 2002;
9. E-Government Act of 2002, Title V, Subtitle A;
10. Cybersecurity Enhancement Act of 2015 (6 U.S.C. §151);
11. The U.S. Department of Education General Handbook for Information Technology Security General Support Systems and Major Applications Inventory Procedures (March 2005);
12. The U.S. Department of Education Incident Handling Procedures (February 2009);
13. The U.S. Department of Education, ACS Directive OM: 5-101, Contractor Employee Personnel Security Screenings;
14. NCES Statistical Standards; and
15. All new legislation that impacts the data collected through the contract for this study.

The data collection contractor, RTI International, complies with the U.S. Department of Education's IT security policy requirements as set forth in the Handbook for Information Assurance Security Policy and related procedures and guidance, as well as IT security requirements in the Federal Information Security Management Act (FISMA), Federal Information Processing Standards (FIPS) publications, Office of Management and Budget (OMB) Circulars, and the National Institute of Standards and Technology (NIST) standards and guidance. All data products and publications will also adhere to the NCES Statistical Standards, as described at the website: <http://nces.ed.gov/statprog/2012/>.

# Student Enrollment List Preparation Instructions

2017–18 National Postsecondary Student Aid Study, Administrative Collection

## Student Enrollment List Preparation Instructions

We realize that postsecondary institutions vary widely in their organizational structures and that some of the criteria presented below may not apply to your institution. Should you have any questions about these instructions, or whether students should be included on the list, we urge you to contact the NPSAS Help Desk:

- Phone: 1-855-500-1441
- E-mail: [EMAIL ADDRESS]

### → Step 1: Determine Which Students to Include

Include all students enrolled at your institution at any time between  
***July 1, 2017 and April 30, 2018***

Your enrollment list should include students from all campuses, colleges, and schools, including graduate schools, reported under your IPEDS UNITID. Include students enrolled at any time between July 1, 2017, and April 30, 2018.

### NPSAS Study Eligibility Requirements

Only include students on the list if they meet all the eligibility requirements listed below.

1. The student must have been enrolled in at least one term or course of instruction that is one of the following:
  - an academic program; *or*
  - a course for credit that could be applied toward fulfilling the requirements for an academic degree; *or*
  - an occupational or vocational program that requires at least 3 months or 300 clock hours of instruction to receive a degree, certificate, or other formal award; *or*
  - noncredit remedial coursework *within a Title IV eligible program* (up to 30 semester or trimester hours, 45 quarter hours, or 900 clock hours). Students who are enrolled solely in a remedial program are not eligible.
2. The student was not enrolled concurrently in high school and your institution for the *entire* time he or she was enrolled at your institution. If the student completes high school and continues to be enrolled in a postsecondary course at your institution any time during the period specified in Step 1, the student is eligible.
3. The student was not enrolled in your institution during this *entire* period solely for the purpose of earning a general educational development (GED) credential or finishing another high school completion program. If the student completes such a program at your institution and then enrolls in another course of instruction there at any time during the above dates, the student is eligible.
4. The student did not drop out of your institution early enough to receive a *full refund* of their tuition.
5. The student did not pay tuition during these dates *solely* to a different institution.

→ **Step 2: Prepare Student Enrollment List**

**Accepted File Types**

You may choose to submit your Student Enrollment List as a comma-separated values (CSV) file or as an Excel spreadsheet. If you use another file type or layout, or codes other than those in table 1, please provide us with a layout of your list and a detailed description of the codes.

Please provide the information listed in the file layout, shown in table 1 below, for each eligible student. You may enter a blank space for missing values.

**Table 1. File Layout for NPSAS Student Enrollment List**

Student data element	Code	Max field length
1. IPEDS UNITID		6
2. First name		100
3. Middle initial		50
4. Last name		100
5. Name suffix (e.g., Jr., Sr., III, etc.)		50
6. Student ID		25
7. Social Security Number (SSN)		9
8. Date of birth month (MM)		2
9. Date of birth day (DD)		2
10. Date of birth year (YYYY)		4
11. Degree program	1=Enrolled in undergraduate courses, not in a degree program 2=Undergraduate certificate or diploma (occupational or technical program) 3=Associate's degree 4=Bachelor's degree 5=Enrolled in graduate courses, not in a degree program 6=Post-baccalaureate certificate program 7=Dual bachelor's/master's degree 8=Master's degree program 9=Post-master's certificate 10=Doctoral degree - research/scholarship 11=Doctoral degree - professional practice 12=Doctoral degree – other -1=Unknown	2



Student data element	Code	Max field length
12. Class level	1=1st Year/Freshman 2=Sophomore 3=Junior 4=Senior 5=5th Year or higher undergraduate 6=Undergraduate (unclassified) 7=Student with bachelor's or advanced degree taking undergraduate courses 8=1st year graduate 9=Beyond 1st year graduate 10=Graduate (unclassified) -1=Unknown	2
13. High school completion date month (MM)		2
14. High school completion date day (DD)		2
15. High school completion date year (YYYY)		4
16. Student was enrolled in high school (or a high school completion program) during 2017-18	1 = Student is or was enrolled in high school between July 1, 2017 and June 30, 2018 0 = Student was not enrolled in high school between July 1, 2017 and June 30, 2018 -1 = Unknown	2
17. Date of first enrollment month (MM)		2
18. Date of first enrollment day (DD)		2
19. Date of first enrollment year (YYYY)		4
20. Student's first major <sup>1</sup>		30
21. Classification of Instructional Programs (CIP) code for student's first major <sup>2</sup>	Format = NNNNNN (no decimal)	6
22. Student's second major		30
23. Classification of Instructional Programs (CIP) code for student's second major	Format = NNNNNN	7
24. Local address 1		100
25. Local address 2		100
26. Local city		100
27. Local state		2
28. Local ZIP code		9
29. Local phone number – area code		3
30. Local phone number – without area code		7
31. Cell phone number – area code		3
32. Cell phone number – without area code		7

Student data element	Code	Max field length
33. Campus e-mail		100
34. Permanent address 1		100
35. Permanent address 2		100
36. Permanent city		100
37. Permanent state		2
38. Permanent country (if not U.S.)		50
39. Permanent ZIP code		25
40. Permanent phone number – country code (if not U.S.)		4
41. Permanent phone number – area code		5
42. Permanent phone number – without area code		25
43. Permanent e-mail		100
44. Veteran status	1 = U.S. military veteran 0 = Not a U.S. military veteran -1 = Unknown	2
45. Ethnicity	0 = Not Hispanic or Latino 1 = Hispanic or Latino -1 = Unknown	2
46. Race: White	1 = Yes 0 = No	1
47. Race: Black or African American	1 = Yes 0 = No	1
48. Race: Asian	1 = Yes 0 = No	1
49. Race: American Indian or Alaska Native	1 = Yes 0 = No	1
50. Race: Native Hawaiian or Pacific Islander	1 = Yes 0 = No	1
51. Sex	0 = Male 1 = Female	1

<sup>1</sup> Please provide an explanation of any abbreviations or codes used for major.

<sup>2</sup> The Classification of Instructional Programs (CIP) provides a taxonomic scheme that supports the accurate tracking and reporting of fields of study and program completions. For more information about the Classification of Instructional Programs, see <http://nces.ed.gov/ipeds/cipcode/>.

### → Step 3: Check for Errors

Please take the time to review your list for errors, including the following common errors:

- Contact information is not associated with the correct name because rows shifted during sorting or keying.
- Degree program (Field 11) is not provided for all students.
- Eligible students are omitted from the list because they attend campus/school other than the main campus (e.g., law school, medical school). These students *should* be included if they are reported under your IPEDS UNITID.
- Students who are or have been concurrently enrolled in high school or a high school completion program are included or omitted erroneously. Students *should* be included if they complete high school or a high school completion program and continue to be enrolled in a postsecondary course at your institution any time during the period between July 1, 2017, and April 30, 2018. Students who remain concurrently enrolled in high school or who completed high school and did not enroll in a postsecondary course at your institution as of April 30, 2018 should be omitted from your list.

### → Step 4: Provide Preparer's Contact Information

When you submit your Student Enrollment List on the secure Postsecondary Data Portal (<https://surveys.nces.ed.gov/portal>), we ask that you provide the following information. (It will expedite the process if you have this information on hand when you log in to submit your list).

1. **Date the list was prepared:** Please record the date your student list was prepared, even if it is not the same as the date you submit the list.
2. **Contact information:** Please provide the **name, department, e-mail address, and phone number** for all individuals responsible for preparing your Student Enrollment List. We will contact them only if we have questions.
3. **Comments:** Provide any details that will clarify the layout of the enrollment list you are submitting, as well as any information necessary to correctly interpret the data provided. Define any special codes or abbreviations (e.g., major codes, class levels).
4. **Exclusion counts:** Provide counts of the students that were excluded from your Student Enrollment List by these categories: student did not meet the NPSAS eligibility requirements, student requested that their information not be provided to external parties, or student was excluded for any other reason.

### → Step 5: Submit Enrollment List

#### Upload to the NCES Postsecondary Data Portal Website

Please upload your completed list to the secure NCES Postsecondary Data Portal website (<https://surveys.nces.ed.gov/portal>). **Uploading is the most secure and most efficient method for submitting your list.**

After logging in, click "Provide Your Student Enrollment List," and follow the on-screen instructions. You will be asked to provide your Student Enrollment List as well as the information you gathered in Step 4.

**If you are unable to upload your list, please contact us to make other arrangements.**

**For assistance, please contact the NPSAS Help Desk at  
1-855-500-1441 or [EMAIL ADDRESS].**

## Disclosure Notice

Information from student records for this individual has been provided to RTI International, a data collection agent for the 2017-18 National Postsecondary Student Aid Study, Administrative Collection (NPSAS:18-AC), conducted by the National Center of Education Statistics (NCES) within the U.S. Department of Education. This disclosure statement fulfills the requirement of 34 CFR 99.32(a) pursuant to the Family Educational Rights and Privacy Act of 1974 (20 U.S.C. 1232g).

Strict protection of all information obtained for NPSAS:18-AC is assured by current federal laws and regulations. All of the information provided may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C. §9573 and 6 U.S.C. §151).

March 2018

NPSAS:18-AC OMB # 1850-0666; Expiration Date: (insert date)

# Student Records Handbook

*This document will provide detailed instructions for providing student records data. It will be available as a PDF file in the Reference Materials section of the PDP.*

## Getting Started

You will use the Postsecondary Data Portal, or PDP, to submit student records data for NPSAS:18-AC. To get started, go to <https://surveys.nces.ed.gov/portal>. Use the credentials you were provided to log in to the PDP. If you need help logging in, please contact the Help Desk.

After your initial log in, you will be prompted to update your password as a security measure.

[IMAGE OF LOG IN BOX]

## The Task Menu

The Task Menu will guide you through the steps of providing student records data. The [black checkmark] symbol will indicate steps you have already completed. The [green arrow] symbol will indicate the step(s) you should complete next.

[IMAGE OF TASK MENU]

## The Institution Information Page (IIP)

The main purpose of the IIP is to collect information about your institution's term structure. This information is important because it will be used later to collect information about students' enrollment statuses (e.g., full-time, half-time) during each term in the academic year.

You will be asked to provide the term name, start date, and end date for each term in the 2017-2018 academic year.

[IMAGE OF TERM ENTRY BOXES]

The terms you enter on the IIP will be filled in on later pages. You will be asked to select the enrollment status for each term for each student.

[IMAGE OF ENROLLMENT STATUS ITEM FROM STUDENT RECORDS INSTRUMENT]

If your institution does not have distinct terms, and instead enrolls students continuously throughout the year, you have the option of reporting enrollment status by month instead of entering terms.

[IMAGE OF "REPORT BY MONTH" OPTION]

Note that the IIP cannot be changed once you submit.

## Selecting a Mode

We offer three modes for providing student records data. You can choose any mode and switch modes at any time.

[IMAGE OF MODE SELECTION BUTTONS]

### Web mode

In web mode, data are entered online, one student at a time, using drop-down and text entry fields. This option is ideal for users who aren't familiar with programming data files and those who prefer not to compile data from

different sources into one file. You can also use web mode to edit data that you have already provided in Excel or CSV mode. Web mode is an ideal mode for institutions with a small number of sampled students.

## Excel mode

In Excel mode, you download a pre-formatted Excel spreadsheet from the Portal website, fill it out offline, and upload the completed file to the Portal. You can hand key data into the Excel fields, or copy and paste data from other reports. Excel mode is recommended for institutions with 20 to 100 sampled students.

## CSV mode

In CSV mode, you will download customized file specifications from the Portal, create your comma separated value (.csv) files offline, and upload the files to the Portal. CSV mode is best for institutions with large student samples, and those with the ability to program data files.

## Web mode

When you select web mode, you will first see the web mode grid. This grid displays a list of your institution's sampled students and your progress providing data for each section. The icons indicate whether each section is not started [icon], in progress [icon], errors present [icon], or complete [icon].

Select a student from the list and click his or her name to begin entering data.

[IMAGE OF WEB MODE GRID]

There are four data sections: General Student Information, Enrollment, Budget, and Financial Aid. To advance to the next section of data entry, click the buttons at the top of the page (1). If you want to jump directly to another student's data entry page, you can enter their name or ID into the search bar (2).

[IMAGE OF DATA ENTRY PAGE]

Many items in the survey also include clarifying help text. When [blue icon] is visible next to an item, that lets you know help text is available for that item, that, when clicked, will appear like the text box below (3).

[IMAGE OF HELP TEXT POP-UP]

When you are done entering data for all students, or if you want to check on your progress, return to the Web Mode Grid page. After you have entered all available data for all students, click Finalize All Student Record Information at the bottom of the Web Mode Grid page. You will no longer be able to edit or enter data.

## Excel mode

The Excel mode page includes numbered steps to guide you through the process of providing data using Excel mode.

Prior to downloading the Excel template, you must check the "I agree" box to indicate that you agree to safeguard the file. Once you check the box, you will be able to click the **Download Excel Template** button and download the file.

Any data that you have previously provided will be pre-filled in the template.

There are six tabs in the file:

- 1) Instructions for uploading the file.
- 2) Item Codebook, which lists all the requested data elements and the valid response options for each item.
- 3-6) Student data sections: General Student Information, Enrollment, Budget, and Financial Aid.

[IMAGE OF TABS]

The file is restricted to prevent edits that could damage the file or misalign data between students. You won't be able to add or remove columns or rows, filter, or sort.

Enter your student record data into the template by keying, selecting drop-down options, or copying and pasting. Always save your file in a secure location.

[IMAGE OF EXCEL TEMPLATE]

When you've finished entering data, return to the Excel mode page on the Portal and upload your completed file. Do not email your template to the help desk! The upload attempts grid displays a list of each file you have uploaded and the status of the upload.

[IMAGE OF UPLOAD ATTEMPTS LIST]

If any errors are detected, error messages will appear in the error log.

[IMAGE OF ERROR LOG]

You can upload as many Excel templates as needed – if your file had errors, revise the file and upload again. The most recent file will overwrite previous uploads.

When you have finished uploading data for all students, click **Finalize All Student Record Data**. Once you finalize, you will no longer be able to make changes.

## CSV mode

The CSV mode page includes numbered steps to guide you through the process of providing data using CSV mode.

You will download two files:

- 1) The list of students sampled from your institution
- 2) CSV file specifications document

Prior to downloading the list of sampled students, you must check the "I agree" box to indicate that you agree to safeguard the file. Once you check the box, you will be able to click the **Download Student List** button and download the file.

The file specifications will provide file layout instructions for creating four CSV files: the General Student Information file, the Enrollment file, the Budget file, and the Financial Aid file. The file specifications indicate the number of fields that are required for each file, the order in which data elements should be submitted, and the valid values for each data element.

[IMAGE OF FILE SPECS]

It is extremely important that you follow the file specifications exactly. If your CSV files don't have the correct number of fields, the data will not be read into the Portal. If you find yourself needing to skip a data element, be sure to include a comma as a placeholder for that data element.

[IMAGE OF SAMPLE CSV FILE]

When you've finished entering data, return to the CSV mode page on the Portal and upload your completed files. Do not email your files to the help desk! There are separate upload areas for each of the four file types; be sure you upload them in the correct section.

[IMAGE OF UPLOAD AREA]

The upload attempts grid displays a list of each file you have uploaded and the status of the upload.

[IMAGE OF UPLOAD ATTEMPTS LIST]

If any errors are detected, error messages will appear in the error log.

[IMAGE OF ERROR LOG]

You can upload as many CSV files as needed – if your file has errors, revise the file and upload again. The most recent file will overwrite previous uploads.

When you have finished uploading data for all students, click **Finalize All Student Record Data**. Once you finalize, you will no longer be able make changes.

## **Need Help?**

Contact the Help Desk toll free at 1-855-500-1441 or via e-mail at [EMAIL ADDRESS].



## Student Records Item Overview Handout

# Overview of Student Records Items

### General Student Information

#### Personal Information

Institute ID (IPEDS ID)  
Study ID  
First Name  
Middle Name  
Last Name  
Suffix  
Social Security Number (SSN)  
Date of Birth  
Sex

#### Marital Status and Spouse Information

Marital Status  
Maiden Name  
Spouse First Name  
Spouse Middle Name  
Spouse Last Name

#### Citizenship Status

Citizenship Status

#### Veteran Status

Veteran of U.S. Armed Forces?

#### High School Information

High School Completion Type  
High School Completion Year

#### Ethnicity and Race (for race, choose one or more)

Ethnicity  
Race: White  
Race: Black or African American  
Race: Asian  
Race: American Indian or Alaska Native  
Race: Native Hawaiian or Other Pacific Islander

#### Permanent Contact Information

Permanent Address  
Is the student a permanent resident of [the state in which your institution is located]?

#### Local Contact Information

Address Line 1  
Address Line 2  
City  
State  
ZIP

#### Phone

Phone 1  
Phone 1 Type  
Phone 2  
Phone 2 Type

#### Email

Campus Email Address  
Personal Email Address

#### Parent Contact Information

Parent's Name  
Parent's Address  
Parent's Email Address  
Parent's Phone  
Parent's Cell Phone  
Parent's International Phone

#### Other Contact Information

Other Contact's Name  
Relationship of other contact to student  
Other Contact's Address  
Other Contact's Email Address  
Other Contact's Phone  
Other Contact's Cell Phone

## Enrollment

### Initial Enrollment

First Enrolled at Institution Date  
Last Enrolled at Institution Date  
First-time Beginning Student?  
Accepted Transfer Credit?  
Remedial Courses Taken?

### Bachelor' Degree

Ever Received Bachelor's Degree?  
Bachelor's Degree Received Date

### Degree Program and Progress

Program/Degree  
Graduate Degree Type  
Class Level  
Degree Completed Date  
Expected to Complete Degree Requirements by 6/30/17?  
Cumulative (Unweighted) GPA

### Major

First Major  
First Major CIP Code  
Second Major  
Second Major CIP Code  
Major Undeclared?

### Test Scores

ACT English Score  
ACT Mathematics Score  
ACT Reading Score  
ACT Science Score  
ACT Composite Score  
SAT Critical Reading Score  
SAT Mathematics Score  
SAT Writing Score

### Clock Hours

Total Number of Clock Hours in Program  
Cumulative Clock Hours Completed

### Credit Hours

Total Number of Credit Hours in Program  
Cumulative Credit Hours Completed

### Tuition Charged

Total Tuition and Required Fees CHARGED  
Residency for Tuition Purposes

### Enrollment by Term

Enrollment Status (for up to 12 terms)  
Credit Units (for up to 12 terms)

## Budget

Budget Period  
Student Residence  
Tuition/Fees  
Room and Board  
Books/Supplies

Transportation  
Computer/Technology  
Health Insurance  
All Other  
Budgeted Total Cost of Attendance

# Financial Aid

## Satisfactory Academic Progress

Placed on Financial Aid Warning  
Placed on Financial Aid Probation  
Financial Aid Ineligibility

## Federal Aid

Student had federal aid for the financial aid year?

Pell Grant  
Subsidized Direct/Stafford Loan  
Unsubsidized Direct/Stafford Loan  
Parent PLUS Loan  
Graduate PLUS Loan  
Federal TEACH Grant  
Perkins Loan  
Federal SEOG Grant  
Federal Work Study AWARDED  
Iraq & Afghanistan Service Grant  
Veterans Benefit

## State Aid (up to 3 awards)

Student had state aid for the financial aid year?  
State Program Name  
State Program Type  
State Program Amount

## Institution Aid (up to 3 awards)

Student had institution aid for the financial aid year?  
Institution Program Name  
Institution Program Type  
Institution Program Amount

## Private Aid or Other Government Aid (up to 3 awards)

Student had private aid or other government aid for the financial aid year?

Private Aid or Other Government Aid Name  
Private Aid or Other Government Aid Type  
Private Aid or Other Government Aid Amount

## Other Aid (up to 3 awards)

Student had other aid for the financial aid year?  
Other Aid Name  
Other Aid Type  
Other Aid Source  
Other Aid Amount