**This Crosswalk identifies changes that the new National Ombudsman Reporting System (NORS) guidance makes to each Part in the current NORS. It:**

* **Identifies in red font data that no longer is required in the Ombudsman program ’s annual report to ACL;**
* **Identifies and highlights in green data required under the new NORS guidance that Ombudsman programs must include in the Older American’s Act Performance System (OAAPS) ;**
* **Identifies existing NORS Parts that have been modified; and**
* **Explains the changes and modifications and tells Ombudsmen where to look for additional guidance.**

| NORS –Current  | Status in New NORSOAAPS, | Explanation/ Guidance |
| --- | --- | --- |
| **Part 1-A**. Cases Opened | Discontinued | * The date a case is opened and the case number will be included in the case record data that you report to ACL. ACL will no longer require that you report the total number of cases opened during the reporting period.
* See Table 1: Part A, CA-01
* See Table 1: Part A, CA-02
 |
| **Part 1-B.** Complainants/Cases closed  | Modified | * The date a case is closed will be included in the case record data that you report to ACL.
* Some complainant types are redefined.
* Some are combined, reducing the number of types from nine to eight.
* See Table 1: Part A, CA-03
* See Table 1: Part A, CA-05
 |
| **Part 1-C.** Total Complaints for all cases closed during reporting year by facility type | Modified | * The complaint number, the date the complaint is opened and the date the complaint is closed will be included in the case record data that you report to ACL.
* **Definition of Board & Care is revised and renamed “Residential Care Community. “**
* “Other Settings” complaints can now be associated to a specific complaint code.
* See Table 1: Part A, CD-01
* See Table 1: Part A, CD-02

See Table 1: Part A, CD-03 See Table 1: Part A, CD-04See Table 1: Part C, CA-04 (99) |
| **Part 1-D.**Types of complaints  | Modified | * The number of complaint codes is reduced from A-Q to A-L.
* See Table 2
 |
| **Part 1-D.** **A: Abuse, Gross Neglect, Exploitation** | Modified and replaced with New Elements | * Ombudsmen must identify the perpetrator: (1) Facility staff, (2) another resident (3) resident representative, family, friend or (4) other.
* Associating the perpetrator with the specific abuse complaint neglect reduces duplicate codes and eliminates coding confusion.
* Three codes are eliminated: **A6**-resident to resident abuse, **P117** (abuse by non-facility person) and **P121** (financial exploitation not affiliated with facility.)
* See Table1: Part B, CD-05
* See Table 2: Category A, A01-A05
 |
| **Part 1-D.****B: Access to information** | Modified | * Codes are combined, reducing the number from seven to three.
* See Table 2: Category B, B01-B03
 |
| **Part 1-D.****C: Admission, transfer, discharge, eviction**  | Modified | * Codes are combined, reducing the number from seven to four.
* See Table 2: Category B, B01-B02.
 |
| **Part 1-D.****D: Autonomy, choice**  | Modified | * Codes are combined, reducing the number of codes from 11 to nine.
* See Table 2: Category D, D01-D09
 |
| **Part 1-D.****E: Financial, property** | Modified | * Codes are combined, reducing the number from three to two.
* See Table 2: Category E, E01, E02.
 |
| **Part 1-D.****F: Care** | Modified | * Current complaint categories G (Rehabilitation) and H (Restraints) are now included in the Care category.
* Other Care codes have combined reducing the number from 12 to 11.
* See Table 2: Parts F, F01-F12.
 |
| **Part 1-D.****G: Rehabilitation**  | Discontinued | * The seven NORS Rehabilitation codes are subsumed in the Care category.
* See Table 2: Category F, F01-F12.
 |
| **Part 1-D.****H: Restraints** | Discontinued | * The two NORS Restraint codes are subsumed in the Care category.
* See Tables 2: Category F, F01-F12.
 |
| **Part 1-D.****I: Activities and Social Services** | Modified | * **Now is category G in OAAPS.**
* See Table 2: Parts G, G-01-G03.
 |
| **Part 1-D.****J: Dietary**  | Modified  | * **Now is category H in OAAPS**.
* Codes are combined, reducing the number from seven to three.
* See Table 2: Category H, H-01-H-03.
 |
| **Part 1-D.****K: Environment/Safety** | Modified | * **Now is category I in OAAPS.**
* Codes are combined, reducing the number from 10 to five.
* See Table 2: Category I, I01-I05.
 |
| **Part 1-D.****L: Policies, procedures**  | Modified | * **Now is category J in OAAPS.**
* **Renamed: Facility Policies, Procedures and Practices.**
* Includes NORS codes M (Staffing) category.
* NORS Codes are combined and simplified, reducing the number from eight to three.
* See Table 2: Category J, J01-J02.
 |
| **Part 1-D.****M: Staffing** | Discontinued  | * This category is eliminated and subsumed in category **J** (Facility Policies, Procedures and Practices).
* See Table 2: Category J, J-03.
 |
| **Part 1-D.****Complaints about Outside Agency (non-facility)** | Modified | * **This is now a specific complaint category, category K.**
* Includes six codes
* Includes codes in NORS N (Regulatory System) and O (Medicaid) categories.
* See Table 2: Category K, K-01-K-06
 |
| **Part 1-D.****N: Certification/Licensing agency** | Discontinued  | * The seven NORS codes in this category are

subsumed in new category **K.*** See Table 2: Category K, K01-K-06.
 |
| **Part 1-D.****O: State Medicaid agency** | Discontinued  | * The five NORS codes in this category are subsumed in the new category **K.**
* See Table 2: Category K, K-01-K-06.
 |
| **Part 1-D.****P: System/Others**  | Modified | * **Now is category L in OAAPS.**
* **Renamed: System: Others (non-facility).**
* Some codes were discontinued because of lack of use, such as P118 (bed shortage) or were incorporated into other complaint definitions.
* The number of NORS codes is reduced from 12 to three.
* See Table 2: Category L, L-01-L-03
 |
| Part 1-D.Q: Services other than LTC facility settings  | Discontinued  | * Ombudsman programs that respond to complaints in other settings (home care, hospital, etc.) may choose to report these complaints to ACL.
* They should select “other setting “ as the facility type and choose the appropriate complaint code.
* See Table 1: Part A, CA-04 (99)
 |
| **Part 1 E.** Action on Complaints |  |  |
| **Part 1 E 1.** Verification  | No change  | * See Table 1: Part B CD-06.
 |
| **Part 1 E 2.** Disposition |  | * The number of disposition codes is reduced from seven to three.
* See Table 1: Part B, CD-08
 |
| a. For which government policy or regulatory change or legislative action is required  | Discontinued |  |
| b. Which were not resolved to satisfaction of resident or complainant | No Change |  |
| c. Which were withdrawn by the resident or complainant or resident died before final outcome of complaint investigation | Modified | * Combined with code e. The new code is: Withdrawn or no action needed by the resident, resident representative or complainant.
* See Table 1: Part B, CD-08 (02).
 |
| d. Which were referred to other agency for resolution and:1) report of final disposition was not obtained2) other agency failed to act on complaint3) agency did not substantiate complaint | Discontinued/ Replaced with New Element | * Instead of using code d., Ombudsmen must indicate the type of agency or agencies to which a complaint was referred as part of the plan of action for complaint resolution.
* See Table 1: Part B, CD-06.
 |  |
| e. For which no action was needed or appropriate | Modified | * Combined with code c. The new code is: Withdrawn or no action needed by the resident, resident representative or complainant.
* See Table 1: Part B, CD-08 (02).
 |
| f. Which were partially resolved but some problem remained | Modified | * Combined with code g. The new code is: Partially or fully resolved to the satisfaction of the resident, resident representative or complainant.
* See Table 1: Part B, CD-08 (01).
 |
| g. Which were resolved to the satisfaction of resident or complainant | Modified | * Combined with code f. The new code is: Partially or fully resolved to the satisfaction of the resident, resident representative or complainant
* See Table 1: Part B, CD-08 (01).
 |
| 3. Discussion of Legal Assistance/ Remedies (Optional) | Discontinued |  |
| **Part I F. Complaint Description (Optional)** | Modified | * **Two examples are required. A third is optional.**
* Ombudsmen must include specific complaint codes, verification and disposition with each example.
* See Table 3: Part A, S-01 – S-06.
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| **Part II – A.** **Major Long-Term** Care Issues | Modified  | * Ombudsmen must include specific systems issues topics, dispositions and strategies used in addition to narratives.
* See Table 3: Part B, S-07 – S-11.
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| **Part III-A.** **Facilities and Beds** | Modified | * Term “Board & Care” replaced by “Residential Care Community”
* See Table 1: Part C, CA-04.
 |
| **Total number of beds for which the facilities are licensed, certified or registered (when known)** | Modified | * Ombudsmen will have the option of selecting no maximum or minimum specified.
* See Table 3: Part G, S-41, S-42
* See Table 3: Part G, S-47, S-47.1
 |
| **Part III B. 1.** **Designated local entities hosted by:** | Modified/ New Elements | * Each type of location for local ombudsmen entities is assigned a specific code.
* The number of locations is reduced from five to six.
* Ombudsmen must indicate the number of ombudsmen entities in each location.
* See Table 3: Part C, S-15, S-16.
 |
| -Area agency on aging | No change |  |
| -Other local government entity | Discontinued |  |
| -Legal services provider | No change |  |
| -Social services non-profit agency | No change |  |
| -Free-standing ombudsman program | No change |  |
| -Regional office of State ombudsman program | Discontinued |  |
| -Other; specify: | No change |  |
| **Part III B.2.** Staff and volunteers- State & local | Modified/ New Elements  | * Ombudsmen will report the number of all paid staff, including clerical (full and part time) at the state and local level.
* See Table 3: Part D, S-17 - S-21 (State Office)
* See Table 3: Part D, S-22 - S-26 (local)
 |
| Full-time Equivalents (FTE’s) –  | No change | * See Table 3: Part C S-18 (State Office).
* See Table 3: Part C S-23 (local).
 |
| Number people working **full-time** on ombudsman program | Discontinued |  |
| Paid clerical staff | Discontinued |  |
| Number of volunteers certified (designated) | No change | * See Table 3: Part C, S-19 (State Office).
* See Table 3: Part, S-24 (local).
 |
| Number of Volunteer hours donated | No change | * See Table 3: Part C, S-20 (State) Office).
* See Table 3: Part C, S-25 (local)
 |
| Other volunteers | No Change | * See Table 3: Part C, S-21 (State Office)
* See Table 3: Part C, S-26 (local)
 |
| **Part III B.3.** Organizational conflicts of interest | No change |  OAAPS will offer drop down menu of conflicts, and text fields to describe remedies, and will allow rolling over entries from the previous year.* See Table 3: Part E, S-27-S-29
 |
| **Part III C.** Program Funding | Modified | * See Table 3: Part F, S-30 – S-40.
 |
| OAA Title VII, Ch. 2 | No change | * See Table 3: Part F, S-30
 |
| OAA Title VII, Ch. 3 | No change | * See Table 3: Part F, S-31
 |
| OAA Title III –State  | No change | * See Table 3: Part F, S-32
 |
| OAA Title III-AAA level | No change | * See Table 3: Part F, S-33
 |
| Other federal | Modified | * Ombudsmen will choose the federal funding source from a drop down menu.
* See Table 3: Part F, S-34.
* See Table 3: Part F, S-35.
 |
| State funds | Modified | * Ombudsmen will choose the state funding source from a drop down menu.
* See Table 3: Part F, S-36
* See Table 3: Part F, S-37
 |
| Local | Modified | * Ombudsmen will choose the local funding source from a drop down menu.
* See Table 3: Part F, S-38
* See Table 3: Part F, S-39
 |
| Total Program Funding |  | * See Table 3: Part F, S-40
 |
|  |  |  |
| **Part III D. Other Ombudsman Activities** | Modified  | * See Table 3: Part H
 |
| **Part III D.1. Training for staff/volunteers** | Discontinued/Replaced with New Elements  | * Ombudsmen will report the minimum number of hours required for certification training for purposes of designation and continuing education training.
* Ombudsmen will report the minimum number of hours required for certification training for purposes of designation and continuing education training. See Table 3: Part G, S-48 & S-49
* Ombudsmen will report the number of people who completed certification training. See Table 3: Part H, S-50
 |
| Number of sessions | Discontinued |  |
| Number of hours | Discontinued |  |
| Number of trainees | Discontinued |  |
| **Part III D. 2. Technical assistance to local staff/volunteers -estimated percentage of total staff time** | Discontinued |  |
| **Part III D.3.Training for facility staff** | Modified with New Element | * Ombudsmen must indicate if training is provided to nursing facility or residential care community.
* See Table 3: Part H, S-51 & S-52.
 |
| Number of Sessions Provided | No change |  |
| Three Most Frequent Training Topics | Discontinued |  |
| **Part III D. 4. Consultation to facilities** | Modified with New element  | * Renamed Now “information and assistance.”
* Ombudsmen must indicate if consultation is provided to a nursing facility or residential care community.
* See Table 3: Part H, S-53 & S-54.
 |
| Number of consultations | No change | * See Table 3: Part H, S-53 & S-54.
 |
| Three Most Frequent Training Topics | Discontinued |  |
| **Part III D. 5. Information and consultation to individuals**  | Modified | * See Table 3: Part H, S-55.
 |
| Number of consultations | No change |  |
| Three most frequent requests/needs | Discontinued |  |
| **Part III D. 6. Facility Coverage****Number of facilities (unduplicated count) covered on a regular basis, not in response to a complaint** | No change | * See Table 3: Part H, S-58 & S-61.
 |
| **Number of facilities visited, both nursing facility and residential care community** | New | * This is a new element related to facility coverage
* See Table 3: Part H, S-56 & S-59
 |
| **Number of visits, regardless of purpose, both nursing facility and residential care community** | New | * This is a new element related to facility coverage
* See Table 3: Part H, S-57 & S-60.
 |
| **Part III D.7. Participation in Facility Surveys** | Modified  | * Ombudsmen must include the facility type.
* See Table3: Part H, S-62 & S-63.
 |
| **Part III D.8. Work with Resident Councils** | Modified | * Ombudsmen must include the facility type.
* See Table3: Part H, S-64 & S-65.
 |
| **Part III D. 9. Work with family councils** | Modified | * Ombudsmen must include the facility type.
* See Table 3: Part H, S-66 & S-67.
 |
| 10. Community Education | No change | * See Table 3: Part H, S-68.
 |
| 11. Work with media-# topics, # of interviews, # of press releases | Discontinued |  |
| 12. Monitoring/work on laws, regulations, government policies and actions-estimated percentage of staff time | Discontinued |  |
| **State and local level coordination activities** | New | * Ombudsmen will select from a drop down list the agencies or programs that have state or local level coordination activities led by the Ombudsman program.
* See Table 3: Part H, S-69.
 |