

Securities and Exchange Commission  
Philadelphia Regional Office  
Mid-Atlantic Regional Conference Feedback Survey

- 1) Name of your agency (can be left blank if you prefer).  
(Response: TEXT BOX)

For the sessions you attended, please rate the relevance of the topic and quality of information provided. A rating of “1” represents the **lowest** level of relevance and information quality and a rating of “5” represents the **highest** level of relevance and information quality.

- 2) Keynote Speaker Andrew J. Bowden  
1      2      3      4      5      **Did not attend**
- 3) Virtual Currencies  
1      2      3      4      5      **Did not attend**
- 4) OCIE Hot Topics  
1      2      3      4      5      **Did not attend**
- 5) Emerging Trends in Criminal Cases  
1      2      3      4      5      **Did not attend**
- 6) Current Developments in State Regulation  
1      2      3      4      5      **Did not attend**
- 7) High Frequency Trading and Dark Pools  
1      2      3      4      5      **Did not attend**
- 8) Senior Fraud/TSP Initiative/Affinity Fraud  
1      2      3      4      5      **Did not attend**
- 9) Keynote Speaker Andrew Ceresney  
1      2      3      4      5      **Did not attend**
- 10) Anti-Money Laundering Initiative  
1      2      3      4      5      **Did not attend**
- 11) Microcap Fraud  
1      2      3      4      5      **Did not attend**
- 12) Overall, what is your opinion of the length of time allotted for the conference?  
(Response options: Too long, The right length, Too brief.)
- 13) Please take a moment to type your suggestions to improve the content, scope or presentations of next year’s conference, or suggestions for additional invitees from other regulatory agencies.  
(Response options: TEXT BOX)

Thank you for your feedback.