

A. Justification: Notice of Funding Opportunity: Collections Assessment for Preservation Program, OMB Control Number 3137-XXXX

1. Necessity of the Information Collection

The Institute of Museum and Library Services (IMLS) requests a new clearance for the Collections Assessment for Preservation Program (CAP), formerly the Conservation Assessment Program (CAP), separating it from other agency's information collection requests (excluding surveys, evaluations, and data calls used for policy-making purposes) for its grant program processes under the Paperwork Reduction Act. The information collections in this package include one of the Notices of Funding Opportunities and instructions necessary to apply for IMLS support as part of the agency's grant programs. The Collections Assessment for Preservation Program (CAP), formerly Conservation Assessment Program, is supported through a cooperative agreement between the Institute of Museum and Library Services and the Foundation of the American Institute for Conservation of Historic and Artistic Works, Inc. (FAIC).

Background: On 5/28/2015, IMLS requested, and the Office of Management and Budget (OMB) approved, a reinstatement with change of a previously approved collection for the General Clearance Grant Application and Post-Award Processes general clearance (3137-0029) through 7/31/2018. IMLS has submitted non-substantive changes, relevant to changing priorities and potential applicant input, which were subsequently approved by OMB. Within the previous approvals there were multiple documents included in one package. IMLS is working toward parsing out the documentation for like programs in order to implement a new process for renewal and updates on like Notice of Funding Opportunities (NOFO) rather than a bulk submission of all NOFOs. At this time IMLS would like a new OMB clearance number issued for this collection, Collections Assessment for Preservation Program, maintaining the same expiration date of 7/31/2018, as the General Clearance Grant Application and Post-Award Processes general clearance. Once the separation requests are completed, the groupings with new numbers will be as listed below:

- National Leadership Grant - Library and Laura Bush 21st century (3137-0091)
- Native American Enhancement and Native American Basic library
- National Leadership Grant - Museum and Museums For America
- African American History & Culture, Sparks - Museums, and Native American Native Hawaiian – Museums
- Sparks – Libraries
- Collections Assessment for Preservation Program
- Museum Assessment Program
- National Medals for Museum and Library Service form and cover sheet
- Native Hawaiian Library Services

Application Notices of Funding Opportunities: IMLS uses an iterative review process for each set of Notices of Funding Opportunities in every fiscal year. The process is defined in IMLS's *Grants Administration Manual* and it is designed to ensure that key stakeholders and agency officials review and authorize proposed Notices of Funding Opportunities. In FY 2007, text common to all of IMLS grant program applications was rewritten to provide consistent information and language across all these program documents. Beginning in FY2013, IMLS complied with the Plain Writing Act of 2010 to provide "clear government communication that the public can understand and use." We at the Institute of Museum and Library Services are committed to writing new documents in plain language, using the [Federal Plain Language Guidelines](#).

2. Purposes and Uses of the Data

The information collected by IMLS is used by the agency to carry out its grant programs. The information is used by IMLS staff to validate applicant eligibility, identify and assign peer reviewers, manage grant competitions, award discretionary and formula-based grants, and administer and monitor its grants. It is also used by the agency for strategic planning, performance analysis, and to provide information to the Administration and to Congress. Data elements subject to FOIA also provide information about IMLS's grant applications and programs to individuals outside the agency, and may be used by applicants to validate their project ideas or application strategies, to follow trends in their fields, and to identify effective practice that can be widely adapted to improve the quality of museum and library services in the United States. IMLS intends to make final grant reports available via its website and so informs grantees.

3. Use of Information Technology

IMLS is committed to the use of improved information technology to improve the efficiency and effectiveness of its programs, while reducing burden on potential applicants. Applicants can download the Conservation Assessment Program application instructions online. Detailed information will be available at <http://www.conservation-us.org/> and <https://www.imls.gov/>.

4. Efforts to Identify Duplication

All IMLS grant application Notices of Funding Opportunities and publications are annually reviewed through an internal clearance process, which requires review by several different offices within the agency, including the program offices, the Office of General Counsel, and the Grants Administration Office. This annual review process protects against duplication.

5. Method Used to Minimize Burden on Small Businesses

Participation is entirely voluntary. No small businesses are impacted, but some applicants for IMLS funding are small non-profit organizations. Every effort has been made to streamline processes and instructions and to simplify the application and reporting processes. The agency's internal clearance process ensures that no undue burden is placed on any applicant for IMLS funding.

6. Consequences of Less Frequent Data Collection

These collections of information are essential to IMLS in meeting its statutory mission to award Federal financial assistance under the Museum and Library Act (20 U.S.C. Section 9101 *et seq.*), and its obligation to identify needs and trends in museum, library and information services. The information collections included in this package have two separate deadlines in a grant year.

7. Special Circumstances

None of the listed conditions applies.

8. Consultations Outside the Agency

The 60-day notice for the General Clearance Grant Application and Post-Award Processes (3137-0029) and IMLS Grant Program Application and Post-Award Report Forms (3137-0071) general clearance collections was published in the Federal Register Vol. 80, no. 25 February 6, 2015), pages 6771-6772. One public comment was received. A 30-day notice requesting comment for the generic clearances was published in the Federal Register: Vol. 80, No. 99 (May 22, 2015), pages 29752.

IMLS uses several different mechanisms to consult with persons outside the agency. Contact information for program officers is widely distributed and easily accessible from the IMLS website, and grantees and potential applicants are encouraged to communicate frequently with these experts. In addition, program officers convene nationwide conference calls to answer questions and take input. IMLS program staff also travel to national, regional, and local meetings of potential applicants to discuss program requirements. Finally, agency staff consults informally with its communities throughout the year, including regular meetings with the Chiefs of State Library Administrative Agencies, semiannual information meetings hosted for representatives of key professional associations, and IMLS convenings for other purposes.

During the grant application review process, outside experts are asked to review applications and discuss the agency's forms, instructions, and process. These experts are consulted by IMLS staff regarding the clarity and value of the Notices of Funding Opportunities and informally comment on the burden of response required by applicants.

IMLS notes and evaluates suggestions for revising Notices of Funding Opportunities and reporting forms received from applicants responding to the invitation for comments found in the burden statement contained in each information collection. Efforts are continually made to shorten and simplify application instructions and forms in response to suggestions made by respondents.

9. Payments or Gifts to Respondents

No payments or gifts are provided to any of the respondents.

10. Assurance of Confidentiality

No assurance of confidentiality is provided. Grant files are subject to FOIA request and are handled on a case-by-case basis. IMLS intends to make final grant reports available via its website and so informs grantees.

11. Justification for Sensitive Questions

There are no questions of a sensitive nature.

12. Estimate of Hour Burden to Respondents

The estimated number of respondents is 62. This number was estimated based on an assessment of the number of applicants for IMLS grants in FY 2015, the most recent year for which data is available. The number of annual burden hours is estimated to be 434, based on estimates of the average number of hours an applicant will need to review instructions, search existing data sources, gather and maintain the data needed, and complete and review the narrative components of the application.

13. Estimate of Cost Burden to Respondents

The estimated cost to applicants is \$11,891.60. The average cost per hour is based on \$27.40, the Bureau of Labor Statistics average mean hourly wage of a museum curators. The estimated burden hour cost per application is 7 hours for the Conservation Assessment Program (7 hours x \$27.40).

Note: the cost for completion of forms associated with applications is accounted for in the ICR for clearance for the general grant program application and post-award report form clearance.

The two cost components for total capital/start-up and operation/maintenance/purchase of services are

not applicable.

14. Estimate of Costs to Federal Government

The annualized cost to the federal government is estimated at \$275,000. This amount is based on the FY 2014 cooperative agreement between the Institute of Museum and Library Services and the Foundation of the American Institute for Conservation of Historic and Artistic Works, Inc. (FAIC).

15. Explanation of Change in Burden

There is no change in burden.

16. Statistical Usage

Not applicable.

17. Request to Not Display Expiration Date

Not applicable. The expiration date will be displayed.

18. Exception to Certification Statement

Not applicable.