

OMB SUPPORTING STATEMENT

OPM Form 1496A – Application for Deferred Retirement (*Separations On or After October 1, 1956*)

A. Justification

1. The Civil Service Retirement Law (5 USC 8338) and regulations (5 CFR 831.501) require that the Office of Personnel Management (OPM) adjudicate and pay deferred annuities. Deferred annuities are payable to persons who are no longer Federal employees and who have five or more years of creditable civilian service. The individual must be age 62 and must not have withdrawn his or her money from the retirement fund. OPM Form 1496A is needed to administer this provision of the law. The form provides a space for OPM to list the service of record in our files. The applicant can review the record and inform OPM of any additional Federal civilian or military service which should be included in the annuity computation.
2. The information collected is used by OPM to determine whether the applicant is eligible for a deferred annuity and to compute the amount of the annuity. The application provides the information needed to pay out monies; e.g., applicant's signature, current mailing address, signed statement regarding marital status and name of spouse, and whether the applicant is electing a reduced annuity in order to provide a survivor annuity should a spouse or former spouse survive. The Privacy Act Statement (PAS) has been revised due to a general systematic review by our Chief Privacy Officer.
3. The information collected is detailed and can only be obtained from the respondents. New methods of information technology would do little to reduce the burden on the respondents; they must sign the application attesting to its truth, under penalty of law, to the best of their knowledge. However, this form is available in a PDF fillable format on our website and meets our GPEA requirements.
4. Applications are filed individually. There is no similar information available. Duplication is minimized.
5. This information collection request has no impact on small businesses and organizations.
6. The collection of this information is performed as needed to pay eligible persons. Less frequent collection would delay the payment of annuities provided by law. This collection is consistent with the guidelines of 5 CFR 1320.6.
7. There are no special circumstances involved in the collection of this information.
8. On November 3, 2017, a 60 Day Federal Register Notice was published at 82 FR 51306. There were no comments received.
9. No gifts or payments of any kind have been provided to any individuals who are connected to this collection.

10. This information collection is protected by the Privacy Act of 1974 and OPM regulations (5 CFR 831.106). The routine uses of disclosure appear in the *Federal Register* for OPM/Central-1 (73 FR 15013, *et seq.*, March 20, 2008, effective April 21, 2008).
11. This information collection does not include questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.
12. Approximately 2,800 deferred retirements are processed annually. It takes approximately one hour to complete the form. Burden of 2,800 hours is estimated and is not expected to vary substantially.

Form Name	Form Number	No. of Respondents	No. of Responses per Respondent	Average Burden per Response (in hours)	Total Annual Burden (in hours)	Average Hourly Wage Rate	Total Annual Respondent Cost
Application for Deferred Retirement (for Persons Separated on or after October 1, 1956)	OPM 1496A	2,800	1	1 hour	2,800	\$0	\$0

There is no cost to the respondents.

13. There is no change in the respondent burden.
14. The annualized cost to the Federal government is \$323,000. This cost includes employee salary hours devoted to the program, forms cost, and overhead.
15. N/A
16. No information collected from the form will be published.
17. The Retirement Services program office is the lone processor of the data collected on these ICRs from approximately 2.8 million customers. The substance of each information

collection does not substantively change at each OMB renewal cycle, but according to changes in law and regulation. These forms are printed and published (internet, intranet and on-board systems) through various agencies for distribution to and implementation by Government customers. Pursuant to title 5 CFR 1320.8(b)(1), it would not be appropriate to display the OMB clearance expiration date where the form will not be revised for the foreseeable future (e.g., because it is used to collect applicant, annuitant, or beneficiary information required by long-standing statutory provisions), where use of

the paper form is prevalent, and where, accordingly, it will be expensive and burdensome to restock the paper forms inventory with a new version. Last year, under current practice, Retirement Services printed approximately 2 million documents subject to OMB clearance at a cost of approximately \$85,000. Our costs would rise substantially if additional revision cycles are added. Lastly, by adding the OMB clearance expiration date to the existing format, the end users of OPM's ICRs may erroneously assume that the expiration date affects the validity of the information collection when it is the OMB clearance expiration date and not reflective of the substance. This may lead to additional submissions by customers, possible litigation and increasing pressures on our Operations workloads. Therefore, we seek approval to **not** display the OMB clearance expiration date on the forms and to communicate version changes to the public via the revision date.

18. There are no exceptions to the certification statement.