Revised: **03/15/2018** OMB Control No. **0648-0633**

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# ANNUAL

**AMENDMENT 91/CHINOOK EDR AFA POLLOCK FISHERY**

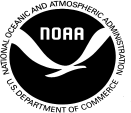
# CHINOOK PSC ALLOCATION IN-SEASON

**COMPENSATED TRANSFER REPORT**

# CALENDAR YEAR 2017

## EXAMPLE ONLY. SUBMIT EDR ONLINE AT

[https://chinookedr.psmfc.org](http://www.alaskafisheries.noaa.gov/)



***PUBLIC REPORTING BURDEN STATEMENT***

Public reporting burden for this collection of information is estimated to average 40 hours per response, including time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden to Assistant Regional Administrator, Sustainable Fisheries Division, NOAA National Marine Fisheries Service, P.O. Box 21668, Juneau, AK 99802-1668.

***ADDITIONAL INFORMATION***

Before completing this form, please note the following: 1) Notwithstanding any other provision of law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is mandatory and is required to manage commercial fishing efforts for groundfish under 50 CFR part 679 and under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, et seq.) as amended in 2006; 3) Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act. They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.

***PRIVACY ACT STATEMENT***

**Authority:** The collection of this information is authorized under the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C 1801 et seq.

**Purpose:** In order to manage U.S. fisheries, the NOAA National Marine Fisheries Service uses economic data reports (EDRs) under the Amendment 91 Bering Sea Chinook Salmon Bycatch Management EDR Program to collect baseline information to inform the North Pacific Fishery Management Council (NPFMC) when making conservation and management decisions. The primary purpose for requesting costs and revenues from each participant is to develop baseline information on harvesters, processors, and communities. NOAA and the NPFMC will use this information in aggregate form.

**Routine Uses:** The Department will use this information to identify fishery participants and assess management impacts on harvesters, processors, and the surrounding communities. Disclosure of this information is permitted under the Privacy Act of 1974 (5 U.S.C. Section 552a**)**, to be shared within NMFS offices, in order to coordinate monitoring and management of sustainability of fisheries and protected resources, as well as with the applicable State or Regional Marine Fisheries Commissions and International Organizations. Disclosure of this information is also subject to all of the published routine uses as identified in the Privacy Act System of Records Notice COMMERCE/NOAA-16, Economic Data Reports for Alaska Federally Regulated Fisheries off the Coast of Alaska.

**Disclosure:** Furnishing this information is required to retain federal fishing permits or federal processing permits; failure to provide complete and accurate information will result in NMFS revoking these permits.

The Chinook Salmon Economic Data Report (EDR) Program provides additional data to assess the effectiveness of the Chinook salmon bycatch management measures implemented under Amendment 91 to the Fishery Management Plan for Groundfish of the Bering Sea and Aleutian Islands Management Area. The information collected is a combination of quantitative and qualitative data to conduct descriptive and quantitative analysis and comparisons of the annual and seasonal changes in the pollock fleet under Amendment 91.

An owner or leaseholder of an American Fisheries Act (AFA) permitted vessel and the representative of any entity that received an allocation of Chinook Prohibited Species Catch (PSC) from NMFS must complete and submit the Certification Page (Part 1) of a PSC Compensated Transfer Report (CTR) each year, for the previous calendar year.

Any person who paid or received money for a transfer of Chinook salmon PSC allocation after January 20 must complete and submit both the Certification Page and Chinook Salmon PSC Allocation Transfer Information (Parts 1 and 2) for the previous calendar year.

This CTR is intended to provide information to fishery managers to evaluate the effectiveness of Chinook salmon bycatch management measures. The CTR collects information on transfers of Chinook salmon PSC allocation to or from another person during each calendar year for which the transferor or transferee paid or received monetary compensation. Compensated transfers are those transfers that include monetary compensation for a part of or the whole value of the transferred Chinook PSC allocation.

Please provide all requested information.

**If you have questions regarding this CTR, or need additional information, contact:**

### Pacific States Marine Fisheries Commission

NMFS Economic Data Reports 205 SE Spokane, Suite 100

Portland, OR 97202

FAX: 503-595-3450

EMAIL: [CTR@psmfc.org](mailto:CTR@psmfc.org) TELEPHONE toll free 1-877-741-8913

OR

Brian Garber-Yonts

**NMFS Alaska Fisheries Science Center** EMAIL: [brian.garber-yonts@noaa.gov](mailto:brian.garber-yonts@noaa.gov) TELEPHONE: 206-526-6301

**SUBMIT CTR CERTIFICATION PAGE OR ENTIRE CTR ELECTRONICALLY ON OR BEFORE 1700 HOURS A.L.T. ON JUNE 1 TO:**

[https://chinookedr.psmfc.org](http://www.alaskafisheries.noaa.gov/)

**PART 1: Certification Page**

## Entity Information

|  |  |  |  |
| --- | --- | --- | --- |
| Check the one appropriate entity type and record the name and AFA Permit Number or NMFS ID for the entity. | | | |
| Reporting Entity Type | * AFA Vessel | | * IPA1 |
| * Inshore Cooperative | | * Sector-level Entity2 |
| * CDQ3 Group | | * Other: describe |
| Name of Reporting Entity | | AFA Permit Number or Entity NMFS ID | |

1IPA = Incentive Plan Agreement

2Sector-level entity = Catcher/processor, mothership, or inshore entity

3CDQ group = Western Alaska Community Development Quota Group

**NOTE**: Fishery cooperatives are managed in three pollock sectors (catcher/processor, mothership, and inshore). A portion of the Bering Sea pollock fishery is managed by a separate CDQ program.

## Submittal of Compensated Transfer Report: Select one of the following statements (check one box below).

|  |
| --- |
| [\_] You are the owner or leaseholder of an AFA permitted vessel or are a person or representative of an entity that received an allocation of Chinook PSC from NMFS and **NO FINANCIAL TRANSACTIONS OCCURRED** this fishing year.  Complete and submit **CERTIFICATION PAGE ONLY** |
| [\_] You are the owner or leaseholder of an AFA permitted vessel or are a person or representative of an entity who **paid or received money for a transfer** of Chinook salmon PSC allocation after January 20.  Complete and submit **ENTIRE COMPENSATED TRANSFER FORM** (both Part 1 and Part 2) |

**Person Completing this Report**

|  |  |
| --- | --- |
| **Check one and provide the name, title or NMFS ID, and contact information for the individual submitting the form.**  [\_] Representative for an IPA, Inshore Cooperative, Sector-Level Entity, or CDQ Group [\_] Vessel Owner/Leaseholder  [\_] Other Designated Representative; Explain | |
| Name | Title **or** NMFS ID |
| Business Number Telephone | Business FAX Number |
| Business E-mail address (if available) | |

**Certification: Read the following statement, and sign and date the box below:**

|  |  |
| --- | --- |
| *I certify under penalty of perjury that I have reviewed all the information in this report and that it is true and complete to the best of my knowledge.* | |
| Signature of Owner or Leaseholder | Date signed |

**PART 2. Chinook PSC Allocation Transfer Information**

Report each transfer of Chinook salmon Prohibited Species Catch (PSC) allocation to or from another person or entity during the calendar year 2018 for which you paid or received monetary compensation. Compensated transfers are those transfers that include monetary compensation for a part of or the whole value of the transferred Chinook PSC allocation:

**NMFS ID:** Identify the other person who paid or received money for each transfer. If the other person was a vessel owner/leaseholder, record the AFA Vessel Permit Number. For other persons, record the NMFS ID. If an AFA Vessel Permit or NMFS ID of entity is unavailable, record the entity name.

**Direction of Transfer:** Using the checkbox, indicate if the Chinook salmon were transferred (sold) to another person by you, or transferred (bought) from another person by you.

**Date of transfer:** Record the date Chinook salmon were transferred to the receiving person. This may not be the date of final settlement on terms of compensation.

**Transfer Type:** Identify the type(s) of association between you and the other entity in the transfer. Use the following codes to identify the type(s) of association (check all that apply):

|  |  |
| --- | --- |
| Association Type | Association between transfer entities description |
| 1 | Transfer is between 2 persons which are affiliated as under AFA as defined in 50 CFR part 679.2 |
| 2 | Transfer is between 2 persons in the same pollock cooperative but not affiliated under AFA |
| 3 | Transfer is between 2 persons in the same AFA sector but not affiliated under AFA or in the same pollock cooperative (inshore only) |
| 4 | Transfer is between 2 persons not part of the same AFA sector or pollock cooperative, and not affiliated under AFA |

**Entity Type:** Indicate the entity type of the other party in the Chinook salmon PSC allocation transfer. Check one: Vessel Owner/Leaseholder, IPA, Inshore Cooperative, Sector-level Entity, CDQ Group, or other entity type.

### Chinook Salmon PSC Allocation Transferred and Compensation

**Number of Chinook salmon transferred:** for each transfer, record the number of Chinook salmon transferred.

**Payment amount:** Record the total amount of money in U.S. dollars for each transfer. Report all payment as of the date of submission of this form. This includes all money paid for the transfer regardless of whether other assets, such as pollock quota, are included in the transaction. Do not report any compensation made in any form other than monetary compensation.

**Other assets included:** If the transaction included assets other than Chinook salmon and monetary compensation, indicate this using the checkbox. Other assets could include pollock quota, goods, or services of value. Do not check the box if additional assets included only assets of nominal or no value.

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Chinook PSC Allocation Transfer Information** | | | | | | | | | | | | |
| **NMFS ID** | **Direction of Transfer** | **Date of Transfer** (mm/dd/yy) | **Transfer Type**  I, 2, 3,or 4 | **Entity Type**  **(Check One)** | | | | | | **Chinook salmon PSC Allocation Transferred and Compensation** | | |
| **Vessel Owner/ Leaseholder** | **IPA** | **Inshore Coop** | **Sector- Level Entity** | **CDQ**  **Group** | **Other** | **Number of Chinook salmon Transferred** | **Payment Amount ($US)** | **Other assets**  **Included? (Check if Yes)** |
|  | To  From |  |  |  |  |  |  |  |  |  |  |  |
|  | To  From |  |  |  |  |  |  |  |  |  |  |  |
|  | To  From |  |  |  |  |  |  |  |  |  |  |  |
|  | To  From |  |  |  |  |  |  |  |  |  |  |  |
|  | To  From |  |  |  |  |  |  |  |  |  |  |  |
|  | To  From |  |  |  |  |  |  |  |  |  |  |  |
|  | To  From |  |  |  |  |  |  |  |  |  |  |  |
|  | To  From |  |  |  |  |  |  |  |  |  |  |  |
|  | To  From |  |  |  |  |  |  |  |  |  |  |  |
|  | To  From |  |  |  |  |  |  |  |  |  |  |  |
|  | To  From |  |  |  |  |  |  |  |  |  |  |  |
|  | To  From |  |  |  |  |  |  |  |  |  |  |  |
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|  | To  From |  |  |  |  |  |  |  |  |  |  |  |
|  | To  From |  |  |  |  |  |  |  |  |  |  |  |