Mini Supporting Statement A

NIMH Research Domain Criteria (RDoC)

Training Meeting Survey

OMB# 0925-0756; Expiration date 7/31/2021

October 5, 2018

FTE Contact Information

Name: Sarah Morris, Ph.D.

Address: 6001 Executive Blvd, Rockville MD 20852

Telephone: 301-443-9233

Email: sarah.morris@nih.gov

**Mini Supporting Statement A**

**A.1 Circumstances Making the Collection of Information Necessary**

To achieve our mission and meet our goals of promoting the RDoC Initiative and interfacing with the scientific community, the National Institute of Mental Health (NIMH) RDoC Unit is hosting a 2-day meeting for researchers funded under NIMH training grants to discuss NIMH training programs and collect feedback with respect to training on the RDoC research framework (See Attachment 1).

**A.2 Purpose and Use of the Information Collection**

Given the meeting aims to further develop the RDoC research platform, NIMH plans to distribute a post-event online survey to collect information from participants on whether they felt the meeting achieved its intended goal (See Attachment 2). The proposed survey serves as an opportunity for NIMH to assess the strengths and weaknesses of the RDoC Training Meeting. Stakeholder feedback received is very important and will be used for planning future meetings.

**A.3 Use of Information Technology to Reduce Burden**

The information will be collected from respondents via a post-event online survey. Online surveys represent an especially convenient option for eliciting feedback from stakeholders. With online surveys, respondents can easily submit feedback immediately after participating in the meeting. All information collected will be stored on the NIMH Headquarters Network, which is covered by a Privacy Impact Assessment (PIA) that includes all NIMH hosted web applications.

**A.4 Efforts to Identify Duplication**

This information collection is unique in that no other agencies, organizations or entities seek stakeholder feedback for the RDoC initiative. Hence, there will not be any duplication of efforts.

**A.5 Impact on Small Businesses or Other Small Entities**

N/A

**A.6 Consequences of Collecting the Information Less Frequently**

Describe the consequences to Federal program or policy activities if the collection is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reducing burden.

**A.7 Special Circumstances Relating to the Guidelines of 5 CFR 1320.5**

This survey will be implemented in a manner that fully complies with 5 C.F.R. 1320.5.

**A.8 Comments in Response to the Federal Register Notice and Efforts to Consult Outside Agency**

N/A

**A.9 Explanation of Any Payment of Gift to Respondents**

Respondents will not receive any payments or gifts for completing this survey.

**A.10 Assurance of Confidentiality Provided to Respondents**

Respondents participation in this survey is voluntary, and they retain the right to skip any question(s) they would prefer not to answer. No personally identifiable information will be collected. Information collected will be private to the extent permitted by law, and will follow the Privacy Act guidelines as set out by the NIH Office of Management Assessment (<https://oma.od.nih.gov/DMS/Pages/Privacy-Program.aspx>).

**A.11 Justification for Sensitive Questions**

NIMH does not anticipate asking any sensitive questions of respondents.

**A.12.1 Estimated Annualized Burden Hours**

The estimated annual burden hours are approximately 2 hours.

Table 12-1: Estimated Annualized Burden Hours

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Instrument Type** | **Type of Respondent** | **Number of Respondents** | **Number of Responses per Respondent** | **Average Burden Per Response****(in hours)** | **Total Annual Burden Hours** |
| Survey | Researchers | 20 | 1 | 5/60 | 2 |
| **Total** |  | **20** | **20** |  | **2** |

**A.12-2 Annualized Cost to Respondents**

No costs are anticipated except for the respondents’ time to complete the survey.

A.12-2 Annualized Cost to Respondents

|  |  |  |  |
| --- | --- | --- | --- |
| **Type of Respondent** | **Total Annual Burden Hours** | **Hourly Respondent Wage Rate\*** | **Respondent Cost** |
| Researchers | 2 | $46.19 | $92.38 |

*\*Bureau of Labor Statistics:* ***May 2017 National Occupational Employment and Wage Estimates***

*\*Researchers wage rate reflects the mean hourly wage for Medical Scientists, Except Epidemiologists (Occupation Code: 19-1042 -* [*https://www.bls.gov/oes/2017/may/oes\_nat.htm*](https://www.bls.gov/oes/2017/may/oes_nat.htm)*).*

**A.13 Estimate of Other Total Annual Cost Burden to Respondents or Record Keepers**

There are no additional costs other than the respondents’ burden given in section A12. We do not anticipate any other costs for record keepers in terms of equipment or specialized analysis software, as existing NIH and NIMH headquarters equipment and software will be utilized to examine the information collected.

**A.14 Annualized Cost to the Federal Government**

The total cost to the Federal Government is estimated to be $2,150.00.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Staff** | **Grade/Step** | **Salary** | **% of Effort** | **Fringe (if applicable)** | **Total Cost to Gov’t** |
| **Federal Oversight** |  |  |  |  |   |
| i.e. Health ScientistAdministrator and/orProgram Officer | GS-15/3 | $141,000\* | 1 |  | $1,410.00 |
| **Contractor Cost** |  |  |  |  |  |
| i.e. ManagementAnalyst and/orScientific ProgramManager |  | $74,000 | 1 |  | $740.00 |
| **Travel** |  |  |  |  | N/A |
| **Other Cost** |  |  |  |  | N/A |
|  |  |  |  |  |  |
| **TOTAL** |  |  |  |  | **$2,150.00** |

*\**[*https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/salary-tables/pdf/2018/DCB.pdf*](https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/salary-tables/pdf/2018/DCB.pdf)

The federal oversight costs were obtained via public records for a standard GS-15 ranked employee in the Washington DC metropolitan area. Contractor cost was obtained by averaging the salaries of contract employees who work in the RDoC Unit who would be conducting the data analysis. There are no additional operational expenses or expenses.

**A.15 Explanation for Program Changes or Adjustments**

N/A

**A.16 Plans for Tabulation and Publication and Project Time Schedule**

Survey responses will be analyzed internally to help the RDoC Unit assess strengths and weakness of the meeting. Participant feedback will be taken into consideration when planning future meetings.

**A.17 Reason(s) Display of OMB Expiration Date is Inappropriate**

We are not requesting an exemption to the display of the OMB Expiration date.

**A.18 Exceptions to Certification for Paperwork Reduction Act Submissions**

This survey will comply with the requirements in 5 CFR 1320.9.