

Mini Supporting Statement A

NIMH Environment and Development in RDoC Survey

April 2, 2019

FTE Contact Information

Name: Eric R. Murphy, Ph.D.

Address: 6001 Executive Blvd, Rockville MD 20852

Telephone: 301-443-9230

Email: [Eric.Murphy@nih.gov](mailto:Eric.Murphy@nih.gov)

## **Mini Supporting Statement A**

### **A.1 Circumstances Making the Collection of Information Necessary**

To achieve our mission and meet our goals of promoting the RDoC Initiative and interfacing with the scientific community, the National Institute of Mental Health (NIMH) RDoC Unit is hosting a workshop on Environment and Development for researchers in the mental health field. The purpose of this workshop is to gather expert advice regarding best practices for incorporating environment and development into RDoC-informed research. The intended goal is to also integrate environment and development into the RDoC Matrix in a more systematic way.

### **A.2 Purpose and Use of the Information Collection**

The proposed information collection serves as an opportunity for the NIMH RDoC Unit to garner opinions and ideas from experts on potential topics to be discussed at the RDoC Environment and Development workshop. Feedback from the survey will be crucial in developing the workshop agenda. In addition, distribution of the online survey will enable the RDoC Workgroup to solicit input from the research community at large, as in-person workshop attendance will be limited to a smaller group of subject matter experts.

### **A.3 Use of Information Technology to Reduce Burden**

The information will be collected via a pre-workshop online survey as it provides a convenient and cost-effective option for eliciting feedback from stakeholders. Respondents can complete the questionnaire at their convenience and the online format provides a user-friendly interface for answering questions.

Online surveys also provide tools for easy data analysis. This will be very valuable for summarizing survey responses. All information collected will be stored on the NIMH Headquarters Network, which is covered by a Privacy Impact Assessment (PIA) that includes all NIMH hosted web applications (see Attachments 2 and 3).

### **A.4 Efforts to Identify Duplication**

This information collection is unique in that no other agencies, organizations or entities seek stakeholder feedback for the RDoC initiative. Hence, there will not be any duplication of efforts.

### **A.5 Impact on Small Businesses or Other Small Entities**

N/A

### **A.6 Consequences of Collecting the Information Less Frequently**

This large-scale data collection will allow for greater participation in the workshop, inclusivity, and representation of views from the mental health field. Failing to collect this information may lead to a workshop that is neither relevant to participants nor fulfills the intended goals of the RDoC Unit.

**A.7 Special Circumstances Relating to the Guidelines of 5 CFR 1320.5**

This survey will be implemented in a manner that fully complies with 5 C.F.R. 1320.5.

**A.8 Comments in Response to the Federal Register Notice and Efforts to Consult Outside Agency**

N/A

**A.9 Explanation of Any Payment of Gift to Respondents**

Respondents will not receive any payments or gifts for completing this survey.

**A.10 Assurance of Confidentiality Provided to Respondents**

Respondents participation in this survey is voluntary, and they retain the right to skip any question(s) they would prefer not to answer. No personally identifiable information will be collected. Information collected will be private to the extent permitted by law, and will follow the Privacy Act guidelines as set out by the NIH Office of Management Assessment (<https://oma.od.nih.gov/DMS/Pages/Privacy-Program.aspx>).

**A.11 Justification for Sensitive Questions**

NIMH does not anticipate asking any sensitive questions of respondents.

**A.12.1 Estimated Annualized Burden Hours**

The estimated annual burden hours are 100 hours. Time spent on each question will vary due to question type. Some questions will require a more detailed response while other questions will provide respondents with multiple choice options.

Table 12-1: Estimated Annualized Burden Hours

<b>Instrument Type</b>	<b>Type of Respondent</b>	<b>Number of Respondents</b>	<b>Number of Responses per Respondent</b>	<b>Average Burden Per Response (in hours)</b>	<b>Total Annual Burden Hours</b>
Survey	Researchers	200	1	30/60	100
<b>Total</b>		<b>200</b>	<b>200</b>		<b>100</b>

### A.12-2 ANNUALIZED COST TO RESPONDENTS

No costs are anticipated except for the respondents' time to complete the survey.

#### A.12-2 Annualized Cost to Respondents

Type of Respondent	Number of Respondents	Average Burden Per Response (in hours)	Hourly Respondent Wage Rate*	Respondent Cost
Researchers	200	30/60	\$46.19	\$4,619

\*Bureau of Labor Statistics May 2018 National Occupational Employment and Wage Estimates. Researchers wage rate reflects the mean hourly wage for Medical Scientists, Except Epidemiologists (Occupation Code: 19-1042 -<https://www.bls.gov/oes/2018/may/oes191042.htm>).

### A.13 Estimate of Other Total Annual Cost Burden to Respondents or Record Keepers

There are no additional costs other than the respondents' burden given in section A12. We do not anticipate any other costs for record keepers in terms of equipment or specialized analysis software, as existing NIH and NIMH headquarters equipment and software will be utilized to examine the information collected.

### A.14 Annualized Cost to the Federal Government

The total cost to the Federal Government is estimated to be \$2,210.38.

Staff	Grade/Step	Salary	% of Effort	Fringe (if applicable)	Total Cost to Gov't
<b>Federal Oversight</b>					
Health Scientist Administrator and/or Program Officer	GS 15/3	\$147,038	1%		\$1,470.38
<b>Contractor Cost</b>					
Management Analyst and/or Scientific Program Manager		\$74,000	1%		\$740.00
<b>Travel</b>					N/A
<b>Other Cost</b>					N/A
					\$2,210.38

\* <https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/salary-tables/19Tables/html/DCB.aspx>.

The federal oversight costs were obtained via public records for a standard GS-15 ranked employee in the Washington DC metropolitan area. Contractor cost was obtained by averaging the salaries of contract

employees who work in the RDoC Unit who would be conducting the data analysis. There are no other additional operational expenses or expenses which would not normally have been incurred. Most of the data analysis will be conducted electronically and will not require any printing or special equipment.

**A.15 Explanation for Program Changes or Adjustments**

N/A

**A.16 Plans for Tabulation and Publication and Project Time Schedule**

Survey responses will be analyzed internally to help the RDoC Workgroup assess potential topics and areas to address during the upcoming Environment and Development workshop, planned for Fall 2019. Feedback will be extremely useful in creating a workshop agenda and determining potential speakers and attendees.

**A.17 Reason(s) Display of OMB Expiration Date is Inappropriate**

We are not requesting an exemption to the display of the OMB Expiration date.

**A.18 Exceptions to Certification for Paperwork Reduction Act Submissions**

This survey will comply with the requirements in 5 CFR 1320.9.