

**Supporting Statement
for
Application for Permit to Transport Municipal and Commercial Waste**

OMB No.: 1625-0058
COLLECTION INSTRUMENTS: Instruction

A. Justification.

1) Circumstances that make the collection of information necessary.

Vessels that transport municipal or commercial waste in the coastal waters of the United States must obtain a permit issued by the Coast Guard under 33 CFR 151 Subpart B. The permit is issued prior to the transport of waste. These vessels must also display an identification number or other marking. The statutory authority for the regulations is found in the Shore Protection Act (Act) (33 U.S.C. 2601 *et seq.*).

This information collection supports the following strategic goals:

Department of Homeland Security

- Prevention
- Protection

U.S. Coast Guard

- Protection of the Natural Resources

Prevention Policy & Response Policy Directorates (CG-5P & CG-5R)

- Human and Natural Environment: Eliminate environmental damage associated with maritime transportation and operations on and around the nation's waterways.
- Economic Growth and Trade/Mobility: Reduce interruptions and impediments that restrict the economical flow of goods and people, while maximizing safe, effective, and efficient waterways for all users.

2) Purposes of the information collection.

The information submitted on an application is essential for the Coast Guard to issue permits to vessels transporting municipal or commercial waste. Without this information, the Coast Guard will have no rational basis for issuing or denying a permit or for issuing an identification number to these vessels. Unless the information is collected and permits and identification numbers are issued, waste haulers will not be in compliance with the Shore Protection Act and the Coast Guard will not be able to carry out its permitting responsibilities under the Act.

3) Consideration of the use of improved information technology.

This collection does not require the use of standard forms. Respondent's submissions may be sent by any of a number of formats, and submissions are amenable to the use of improved information technology. We estimate that 100% of the reporting requirements can be done electronically via e-mail. At this time, we estimate that 50% of them are done in this manner.

4) Efforts to identify duplication.

The Coast Guard maintains the only comprehensive data files on U.S. owned commercial vessels in the United States. Many of the vessels transporting municipal or commercial waste are undocumented and uninspected vessels. The Coast Guard has no information relating to the ownership or operation of these undocumented and uninspected vessels. No State or local regulations exist relating to this issue. No similar information collection is conducted by other Federal agencies. As noted, there is no equivalent or similar information collected that can be used.

5) Methods to minimize the burden to small businesses if involved.

This information collection does not have an impact on small businesses or other small entities.

6) Consequences to the Federal program if collection were conducted less frequently.

The Coast Guard would be unable to determine compliance without this information.

7) Special collection circumstances.

This information collection is conducted in manner consistent with the guidelines in 5 CFR 1320.5(d)(2).

8) Consultation.

A 60-Day Notice (See [USCG-2017-0899], October 26, 2017, 82 FR 49638) and 30-Day Notice (January 26, 2018, 83 FR 3759) were published in the Federal Register to obtain public comment on this collection. The Coast Guard has not received any comments on this information collection.

9) Provide any payments or gifts to respondents.

There is no offer of monetary or material value for this information collection.

10) Describe any assurance of confidentiality provided to respondents.

There are no assurances of confidentiality provided to the respondents for this information collection. This information collection request is covered by the Marine Information for Safety and Law Enforcement (MISLE) Privacy Impact Assessment (PIA) and System of Records Notice (SORN). Links to the MISLE PIA and SORN are provided below:

- https://www.dhs.gov/sites/default/files/publications/privacy_pia_uscg_misle.pdf
- <https://www.gpo.gov/fdsys/pkg/FR-2009-06-25/html/E9-14906.htm>

11) Additional justification for any questions of a sensitive nature.

There are no questions of sensitive language.

12) Estimates of annual hour and cost burdens to respondents.

- The estimated number of annual respondents is 3.
- The estimated number of annual responses is 5.
- The estimated hour burden is 13 hours.
- The estimated cost burden is \$1,138.

The burden to respondents is provided in Appendix A. In circumstances when no appeals were received over the last three years, we included one appeal in order to account for the possibility. The burden for reporting and recordkeeping includes the hours it takes Management, Clerical, or Crew to submit for a permit, submit an appeal, and display the ID number. The burden for a Permit Request is estimated at .75 hours (½ an hour for Management and ¼ of an hour for Clerical). The burden for an Appeal is estimated at 9 hours (8 hours for Management and 1 hour for Clerical). The burden for displaying the ID Number is estimated at 1 hour for Crew.

The management wage was calculated as the average of the GS-14 and GS-15 rates. The Clerical wage and Crew wage are equivalent to a GS-5 and a 3rd Class Petty Officer (E-4), respectfully. The wage rate used is in accordance with the current edition of COMDTINST 7310.1(series) for "Out-Government" personnel.

13) Total annualized capital and start-up costs.

There are no capital, start-up, or maintenance costs associated with this information collection.

14) Estimates of annualized Federal Government costs.

The estimated annual Federal Government cost is \$1,343 (see Appendix B). We estimate that it will take a Lieutenant (LT, O-3) about 4 hours to review and process a permit application and 9 hours to process an appeal. Each time estimate is inclusive of recordkeeping. The wage rate shown is in accordance with the current edition of COMDTINST 7310.1(series) for "In-Government" personnel.

15) Explain the reasons for the change in burden.

There was no change in burden since the last renewal. The reporting and recordkeeping requirements and the methodology for calculating burden remain unchanged.

16) Plans for tabulation, statistical analysis, and publication.

This information collection will not be published for statistical purposes.

17) Approval for not explaining the expiration date for OMB approval.

The Coast Guard will display the expiration date for OMB approval of this information collection.

18) Explain each exception to the certification statement.

The Coast Guard does not request an exception to the certification of this information collection.

B. Collection of Information Employing Statistical Methods.

This information collection does not employ statistical methods.