**U.S. DEPARTMENT OF EDUCATION**

**OFFICE OF ELEMENTARY AND SECONDARY EDUCATION**

**WASHINGTON, DC 20202-6335**

# INDIAN EDUCATION PROGRAMS

# Formula Grants to Local Educational Agencies

# CFDA Number: 84.060A

## Formula Grant

## Annual Performance Report (APR)

**Paperwork Burden Statement**

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for Formula Grant Annual Performance Report is 1810-0726. The time required to complete this information collection is estimated to average 11 hours per response for annual performance reports, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate or suggestions for improving this form, please write to: U.S. Department of Education, Washington, D.C. 20202-4700. If you have comments or concerns regarding the status of your individual submission of this form, write directly to: Office of Indian Education, U.S. Department of Education, Lyndon B. Johnson Building, 400 Maryland Avenue, S.W., Room 3W115, Washington, DC 20202-6335.

## U.S. Department of Education

## Office of Indian Education

**General Instructions for Annual Performance Report (APR)**

This package contains instructions and a model of the online Annual Performance Report (APR) for the Formula Grants to Local Educational Agencies Program (CFDA 84.060) which is completed electronically through the ED*Facts* Submission System (ESS). Recipients of formula grants must submit an APR for each year funding has been approved. Submitting the APR satisfies the grantee’s obligation under the federal-wide Uniform Administrative Requirements (2 CFR §§ 200.327, .328) and the Department’s administrative regulations (34 CFR §75.720) to provide financial and performance reports at the end of the grant period. One of the federal reporting requirements is to provide a comparison of actual accomplishments to the established objectives; explain reasons why goals were not met, if applicable; and to provide an analysis or explanation of cost overruns or high unit costs where applicable.

**Purpose**

The purpose of the Indian Education Formula Grant program, authorized in Part A, Subpart 1, of Title VI of the Elementary and Secondary Education Act (the Act), is to assist eligible applicants to meet the unique cultural, language, and educational needs of American Indian and Alaska Native (AI/AN) students and ensure that all students meet challenging State academic standards.

Note: The APR for SY 20XX-20XX falls under Title VI of the Elementary and Secondary Education Act.

**Formula Grant EASIE APR**

This document is a hard-copy representation of the online annual performance report which is located U.S. Department of Education’s ED*Facts* portal. The method for reporting grant performance for this program is completed using the ED*Facts* Submission System for Indian Education. The URL is <http://eden.ed.gov/Survey>. Grantees must complete APR electronically unless they qualify for and request a paper document. Directions are located in the Notice Inviting Application found in the Federal Register.

**APR Website**

Formula Grant EASIE is located in the ED*Facts* System (ESS) website at <https://eden.ed.gov>/Survey.

**Technical Assistance**

Formula Grant EASIE applicants can contact the ED*Facts* Partner Support Center (PSC) for technical assistance.

Telephone: 877-457-3336 (1-877-HLP-EDEN)

Fax: 888-329-3336 (1-888-FAX-EDEN)

E-mail: [EDEN\_OIE@ed.gov](mailto:eden_OIE@ed.gov)

Federal Relay Service: 800-877-0996 (Voice/TTY) / federalrelay@sprint.com

Hours of operation are between 8:00 a.m.-6:00 p.m. ET, Monday through Friday, excluding federal holidays.

**Community of Practice Website**

The Community of Practice (CoP) website was created to provide year-round, end-user support for all parts of the Indian Education Formula Grant EASIE. It includes pages for Parts I, II, and III (APR) with forms and supporting documentation, webinars and instructional videos. A calendar with upcoming due dates, an announcement section to keep users up-to-date on current issues, and many other program resources are also available. It is located at the following link: <https://easie.grads360.org>

**Paper Submission**

Paper submission is permitted **with pre-arrangement**. If you are a district that arranged to submit a paper form, follow the directions in the Federal Register notice for submitting your APR. Use the forms that you received in response to your request for paper submission, not the sample forms attached to these instructions. For assistance with this process, contact PSC.

**Deadlines**

The APR submitted must address all required information in order to be funded in subsequent grants. The APR consists of the Cover Sheet, Participation Data, Program Performance Data and Budget. **The APR must be submitted electronically between September XX, 20XX - October XX, 20XX.**

**More information on the APR**

Please read the “Dear Colleague Letter” located in the APR Frequently Asked Questions (FAQs), Appendix A from your program office carefully. It contains instructions for completing the APR for the Formula Grant Program. The Getting Started Technical Guide and APR FAQs documents also contain in depth information on how you will report data in the APR.

Grantees can request copies of the SY 20XX-XX EASIE applications by contacting the Partner Support Center (PSC), see contact information under Technical Assistance.

Note: For the purposes of this report, the term “project period” is used interchangeably with the term “performance period,” which is found on the Grant Award Notification.

## Specific Instructions for Formula Grant APR

## Section 1.1 APR Coversheet

### Demographics:

**Grantee Name.** Identify the name of the entity that applied for the Indian Education Formula Grant.

**Mailing Address**. Identify the mailing address with city, state and zip.

### Identification:

**Grantee Identification.** Identify the PR Award number, DUNs number and NCES number.

**Project Director Name and Title**. Identify the name, title, phone number, fax number and email address of your school year (SY) 20XX-XX project director (even if there has been a project director change since the SY 20XX-XX grant). If there was no project director assigned to the grant, identify who was responsible for carrying out program objectives and services.

**Authorized Representative of the Applicant Agency**. Identify the name, title, phone number, fax number and email address of your approved authorized representative (certifying official user) who was legally authorized to approve the SY 20XX-XX grant (even if there has been an authorized representative change since the SY 20XX-XX grant). The grantee’s authorized representative must certify the APR.

**Type of Grantee Information**. Identify the type of grantee that was identified in Part I of the EASIE application from SY 20XX-XX (LEA, BIE-funded school, Tribe, IO or ICBO).

**Type of Application Information**. Identify the type of application that was identified in Part II of the EASIE application from SY 20XX-XX (regular formula grant, Title I schoolwide or Integration of Services).

**Application Duration**. Identify the duration of the application that was selected in Part I of the EASIE application from SY 20XX-XX (single or multi-year).

**Grade Levels Served.** Identify the grade level served that was identified in Part II of the EASIE application from SY 20XX-XX.

**Reporting Period:** July 1, 20XX-June 30, 20XX

**Partner Identification (for Consortiums)**. Identify all of the entities of the consortium that were identified in Part I of the EASIE application from SY 20XX-XX.

## Section 1.2 Grant Objective Participation Data

**Instructions for completing table**

Provide information on target population, and total number served (described below) during the reporting period for each activity/service.

**Indian Student Count from Part I:**  Enter the student count that was identified in Part I of the EASIE application from SY 20XX-XX.

**Objective:** Enter the objective(s) that were identified in Part II of the EASIE application from SY 20XX-XX.

**Activity/Service:** Enter each activity/service(s) that were identified in Part II of the EASIE application from SY 20XX-XX.

**Grade Levels Served:** Enter the grade level served that was identified in Part II of the EASIE application from SY 20XX-XX.

**Target Population:**

* + Select the target population(s) served for each activity/service. Activities may serve single or multiple populations (*e.g*., Family Literacy Night using culturally-based reading materials for elementary children may serve Indian students, parents of Indian students, and teachers of Indian students).
  + The target population choices are as follows: Indian students, parent of Indian students, teachers of Indian students, other (administrators), no target population (curriculum development), or N/A activity not implemented.
  + If an activity does not have a target population (*e.g.*, curriculum development), select “No target population.”
  + If an activity was not implemented, select “N/A: activity not implemented.”

**Number Served:**

* + For each individual activity or service under each objective, enter the total number of persons in each group who received services during the reporting period. Note: Only count each participant **once** for each individual activity/service under each objective, even if that person attended multiple activities or services for that objective.
  + If the activity does not have a target population, leave all columns blank.
  + If an activity was not implemented, leave all columns blank.

**Grant Objective Summary**

**Directions**: Provide highlights of the project's goals describing the extent the activities and services address the unique cultural, language and educational needs of Indian students. Programs should also describe specific types of activities as well as the frequency of activities. (*e.g.,* under the objective Parent Involvement, a Family Literacy Night was developed. The Project Director led the event using culturally relevant books from our tribe and the book’s author had a book signing after the event. The Family Literacy Night was held quarterly for K-6 students, parents and teachers). It should also explain why an objective was not implemented. The comment section is mandatory. Character limit is 2,000 characters.

## Section 1.3: Project Performance Data Chart

**Directions:** Enter the objectives and data sources from Part II from your EASIE application.

In your approved grant application, you established project objectives and outcomes for activities stating what you hope to achieve with your funded grant project. Indicate whether you met each objective by determining if the activities are effective in improving the educational achievement of Indian students during the current reporting period according to your selected data source. Provide an explanation of how the objective was/was not met and provide any future steps for improvement. Also, you will explain how your data on your performance measures demonstrate that you have met or are making progress towards meeting each project objective.

**Instructions for completing table**

**Objective:** Enter each objective(s) that were identified in Part II of the EASIE application from SY 20XX-XX.

**Activity/Service:** Enter each activity/service(s) that were identified in Part II of the EASIE application from SY 20XX-XX.

**Data Source:** Enter each data source(s) that were identified in Part II of the EASIE application from SY 20XX-XX.

**Objective Results:** Indicate whether you met each objective during the current reporting period. Check ‘yes’ if the objective was met or ‘no’ if the objective was not met

**Analysis of Objective Results:** Provide an explanation of how the objective was/was not met and provide any implemented or future steps for improvement.

## Section 1.4: Assessment, Attendance and Graduation

**Mathematics and Reading State Assessment Data for Indian Students**

Report the percentage of AI/AN students in grades three through eight meeting State achievement standards by scoring at or above the proficient level in reading and mathematics on State assessments.

Enter the total number of Indian students assessed, total number of Indian students scoring at or above proficient level in in mathematics and reading in grades 3-8for state assessments and calculate the percentage.

**Mathematics and Reading State Assessment Data for All Students**

Report the percentage of all students in grades three through eight meeting State achievement standards by scoring at or above the proficient level in reading and mathematics on State assessments.

Enter the total number of all students assessed, total number of all student scoring at or above proficient level in mathematics and reading in grades three through eight on State assessments.

**Explanation of Math and Reading State Assessment Data:** If the mathematics or reading state assessment data is edited, a comment box will appear. This required field provides an opportunity to provide information about the data. Programs should describe what data was entered. (*e.g.,* State reading assessment was from two years ago or Data submitted in chart is for SY 20XX-20XX). Character limit is 1,000 characters.

**Summary of State Assessment Data**

The summary of the State Assessment Data provides the difference between the percentage of AI/AN students in grades three through eight at or above the proficient level in reading and mathematics on State assessments and calculates the percentage of all students scoring at those levels.

**Graduation Data**

Provide the percentage of AI/AN students who graduate from high school as measured by the four-year adjusted cohort graduation rate. Graduation data is required if your project included high school students. Enter the value as a percentage to one decimal place (*e.g.*, 57.4%). The graduation data is pre-populated from information provided by ED*Facts*; however, graduation data can be edited by grantees by checking the box.

## Section 1.5: Budget Data

**Instructions for Completing Table**

Provide budget data from G5 for Amount Obligated and Amount Expended. Calculate Funds Remaining and Percentage Remaining. In the comment box, you must provide an explanation of why you have not drawn down at least 90% of the grant funds from the G5 System to pay for budget expenditure amounts. Also describe any significant changes to your budget resulting from modification of project activities.

If the program’s expenditure records do not match the amount in G5, contact OIE for further instruction and select yes on question 3 in Section D: Additional Program Information and Certification, to request technical assistance.

**Additional Program Information**

Read each question carefully. Select yes or no for each question. OIE will respond to questions with yes selected.

**Certification**

The authorized representative responds to each attestation statement and certifies the APR with signature, date and title. The authorized representative must be authorized to legally bind the entity.

OMB Number: 1810-0726

Expiration Date: 07/31/2018

**Office of Indian Education**

**Title VII Indian Education Formula Grant**

**Annual Performance Report**

Complete the Annual Performance Report Applicant Information with the appropriate information.

**Demographics:**

**Grantee Name** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Address** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**City, State, Zip** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Grantee Identification:**

PR # S060A1X\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

DUNS # \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

NCES # \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Project Director Name and Title:**

Name:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Tel: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Fax: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

E-mail: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Authorized Representative of the Grantee:**

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Tel: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Fax: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

E-mail: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Application Duration:**

Single-Year Application or Multi-Year Application

**Type of Grantee:**

* LEA as a single applicant
* LEA consortium leader
* BIE–operated elementary and/or secondary school
* BIE-grant and contract elementary and/or secondary school
* Tribe applying in lieu of LEA
* Tribe applying in lieu of LEAs
* Indian Organization
* Indian Organization in Consortium
* Indian Community-Based Organization
* Indian Community-Based Organization in Consortium

**Type of Application**:

* Regular formula grant program
* Title I School-wide Program
* Integration of Services (Section 6116)

**Grades Offered in SY 20XX-XX**

Indicate the grade levels offered by this LEA.

\_\_PK \_\_K \_\_1 \_\_2 \_\_3 \_\_4 \_\_5 \_\_6 \_\_7 \_\_8 \_\_9 \_\_10 \_\_11 \_\_12

**Consortium Partner Identification**

|  |  |  |  |
| --- | --- | --- | --- |
| NCES # | LEA Name | City | State |
| 1. |  |  |  |
| 2. |  |  |  |
| 3. |  |  |  |
| 4. |  |  |  |
| 5. |  |  |  |

**Section 1.2 Grant Objective Participation Data**

**Indian Student Count from Part I: \_\_\_\_\_\_\_\_\_\_\_**

|  |  |  |
| --- | --- | --- |
| **Objective:**  **Grades:** | | |
| Activity/Service | Target Population | Total Served during the Reporting Period |
|  | * Indian students * Parents of Indian students * Teachers of Indian students * Other (e.g., administrators) * No target population (e.g., curriculum development) * N/A: activity not implemented | Students: \_\_\_\_\_\_  Parents: \_\_\_\_\_\_  Teachers: \_\_\_\_\_\_  Other/Administrators: \_\_\_\_\_\_ |
|  | * Indian students * Parents of Indian students * Teachers of Indian students * Other (e.g., administrators) * No target population (e.g., curriculum development) * N/A: activity not implemented | Students: \_\_\_\_\_\_  Parents: \_\_\_\_\_\_  Teachers: \_\_\_\_\_\_  Other/Administrators: \_\_\_\_\_\_ |

**Note: Create additional tables for multiple program objectives.**

Directions: Provide highlights of the project's goals describing the extent the activities and services address the unique cultural, language and educational needs of Indian students. Programs should describe specific types of activities as well as the frequency of activities. (*e.g.,* under the objective Parent Involvement, a Family Literacy Night was developed. The Project Director led the event using culturally relevant books from our tribe and the book’s author had a book signing after the event. The Family Literacy Night was held quarterly for K-6 students, parents and teachers). It should also explain why an objective was not implemented. The comment section is mandatory. Character limit is 2,000 characters.

**Note:** For Title I Schoolwide programs, you mustinclude information about project activities and services and the extent to which the activities and services address the unique cultural, language and educational needs of Indian students, and how the use of funds in a schoolwide program produced benefits to Indian students that would not be achieved if the funds were not used in a schoolwide program. The comment section is mandatory. Character limit is 2,000 characters.

**Comment Section:**

**1.3 Project Performance Data**

**Directions:** Enter the objectives and data sources from Part II from your EASIE application.

In your approved grant application, you established project objectives and outcomes for activities stating what you hope to achieve with your funded grant project. Indicate whether you met each objective by determining if the activities are effective in improving the educational achievement of Indian students during the current reporting period according to your selected data source. Provide an explanation of how the objective was/was not met and provide any future steps for improvement. Also, you will explain how your data on your performance measures demonstrate that you have met or are making progress towards meeting each project objective.

|  |  |  |  |
| --- | --- | --- | --- |
| Objective: | | | |
| Activity/Service | Data Source | Objective Met? | Explanation (Required) |
|  |  | Yes  No |  |
|  |  | Yes  No |  |

**Note: Create additional tables for multiple program objectives and data sources.**

**Section 1.4 Assessments and Graduation Data**

Provide the percentage of AI/AN students in grades three through eight meeting State achievement standards by scoring at or above the proficient level in mathematics and reading on State assessments.

**Indian Students**

* Check box to edit assessment data. If you select to edit the assessment data, provide an explanation. Comment:

|  |  |  |  |
| --- | --- | --- | --- |
| Mathematics | | | |
| Grade Level | Total # of Indian Students Assessed | # of Indian Students Proficient or Above | % of Indian Students Proficient or Above |
| 3 |  |  |  |
| 4 |  |  |  |
| 5 |  |  |  |
| 6 |  |  |  |
| 7 |  |  |  |
| 8 |  |  |  |
| Totals |  |  |  |

|  |  |  |  |
| --- | --- | --- | --- |
| Reading | | | |
| Grade Level | Total # of Indian Students Assessed | # of Indian Students Proficient or Above | % of Indian Students Proficient or Above |
| 3 |  |  |  |
| 4 |  |  |  |
| 5 |  |  |  |
| 6 |  |  |  |
| 7 |  |  |  |
| 8 |  |  |  |
| Totals |  |  |  |

**Assessment Data**

**All Students**

Provide the percentage of all students in grades three through eight meeting State achievement standards by scoring at or above the proficient level in mathematics and reading on State assessments

* Check box to edit assessment data. If you select to edit the assessment data, provide an explanation. Comment:

|  |  |  |  |
| --- | --- | --- | --- |
| Mathematics | | | |
| Grade Level | Total # of all Students Assessed | # of all Students Proficient or Above | % of all Students Proficient or Above |
| 3 |  |  |  |
| 4 |  |  |  |
| 5 |  |  |  |
| 6 |  |  |  |
| 7 |  |  |  |
| 8 |  |  |  |
| Totals |  |  |  |

|  |  |  |  |
| --- | --- | --- | --- |
| Reading | | | |
| Grade Level | Total # of all Students Assessed | # of all Students Proficient or Above | % of all Students Proficient or Above |
| 3 |  |  |  |
| 4 |  |  |  |
| 5 |  |  |  |
| 6 |  |  |  |
| 7 |  |  |  |
| 8 |  |  |  |
| Totals |  |  |  |

**Note: Create additional tables for additional LEAs (Consortium).**

**Summary of State Assessment Data**

Provide the difference between the percentage of AI/AN students in grades three through eight at or above the proficient level in mathematics and reading on State assessments and the percentage of all students scoring at those levels.

Provide a summary of state assessment data.

|  |  |  |
| --- | --- | --- |
|  | **Mathematics** | **Reading** |
|  | % Scoring Proficient or Above | % Scoring Proficient or Above |
| Indian Students |  |  |
| All Students |  |  |
| Difference |  |  |

**Graduation Data**

Report the percentage of Indian students and all students who graduate from high school.

Complete the graduation rate for Indian students and all students in this LEA.

* Check box to edit graduation data.

|  |  |
| --- | --- |
| **Graduation Data** |  |
| Graduation Rate for Indian Students | Graduation Rate for All Students |
|  |  |

**1.5 Budget Data**

Provide budget data from G5.gov for amount obligated and amount expended. Calculate funds remaining and percentage remaining.

|  |  |
| --- | --- |
| Amount Obligated |  |
| Amount Expended |  |
| Funds Remaining |  |
| Percentage Remaining |  |

In the comment box, you must provide an explanation of why you have not drawn down at least 90% of the grant funds from the G5 System to pay for budget expenditure amounts. Also describe any significant changes to your budget resulting from modification of project activities.

Read each question carefully. Select yes or no for each question. OIE will respond to questions with yes selected.

Yes No 1. Key grant personnel has changed from SY 201X-1X. (project director or authorized representative).

Yes No 2. Grant funds were expended during grant performance period and were not claimed, so a late liquidation is requested.

Yes No 3. Requesting technical assistance.

Yes No 4. The grant has $1000 or more remaining in grant funds.

Send close out notice to this email address:

**Certification**

* By checking this box, I certify to the best of my knowledge and belief, as the authorized representative of this entity, all data in this performance report are true, complete and accurate and the expenditures, disbursements, and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious or fraudulent information or the omission of any material may subject me to penalties under The False Claims Act, 18 U.S.C. 1001.
* By checking this box, I certify that the report fully discloses all known weaknesses concerning the accuracy, reliability and completeness of the data.
* By checking this box, I am officially closing out the fiscal year 20XX grant award for the Indian Education Formula Grant Program.

Name of Authorized Representative:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_