

OMB Burden Disclosure Statement

Public reporting burden for this collection of information is estimated to average 10 (minutes) per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this estimate or any other aspect of this information, including suggestions for reducing this burden, to Information, Records, and Resource Management, MA-41-GTN, Paperwork Reduction Project (1910-1800), U.S. Department of Energy, Washington, DC 20874-1290; and to the Office of Management and Budget (OMB), Paperwork Reduction Project (1910-1800), Washington, DC 20503.

**UNITED STATES DEPARTMENT OF ENERGY
OFFICE OF HEALTH, SAFETY AND SECURITY
Security Incident Notification Report**

SSIMS Facility Code: _____

Date/Time of Incident Discovery: _____ Local Tracking Number: _____

Facility Name Where Incident Occurred: _____

Location (Building/Room Number): _____

Brief Description of the Incident:

Incident Category: _____ Topical Area Code: _____ Interest Type: _____

Incident Type: _____

Incident has or may result in increased media attention (describe) _____

Incident has association with a foreign national(s)

If the incident involved classified matter, complete below items.

Classification Level of matter involved: Top Secret Secret Confidential

Classification Category of matter involved: Restricted Data Formerly Restricted Data National Security Information

Applicable Identifier(s): WFO SAP SCI FGI OGA
 WD Sigma(s) _____
 Naval Nuclear Propulsion Information (NNPI)
 Controlled Unclassified Information (CUI)
 No Foreign Dissemination

Form(s) of the matter involved:
 Electronic Storage Media Internet
 E-mail Inside Firewall Visual
 Outside Firewall Multi-media
 Facsimile Discussion (Audio/Verbal)
 Hard Copy
 Other: (describe) _____

Point of Contact: _____
Name Telephone Number Secure Fax Number

Classification/Marking Official of this form: _____
Name Telephone Number

Transmit this report via the Information Security Incident Hotline at 301-903-8116. (Use classified phone & fax, if appropriate)

Instructions for Completing the DOE F 471.1

For all Incidents, enter the following information in the spaces provided on the DOE F 471.1:

- SSIMS Facility Code** Safeguards and Security Information Management System (SSIMS) code for the location where the incident occurred.
- Date/Time of Incident Discovery** Date/time the site became aware of the incident. Format: MM/DD/YYYY, HH:MM, AM/PM; Time Zone. If the time zone is not provided, SSIMS will default the time to 12:00 AM.
- Local Tracking Number** Local site number associated with the incident, if applicable
- Facility Name:** Location where the incident occurred or originated. Auto-populated on SSIMS.
- Location (Building/Room Number):** The unclassified address is auto-populated on SSIMS when the Facility Code is entered. Enter the specific location (room/building) within the facility where the incident occurred.
- Brief Description of the Incident:** Provide a brief statement that describes the incident. Include information that supports the incident category selection.
- Incident Categorization:** Provide the appropriate incident category, topical area code, incident type and interest type, if applicable.
- Media Attention:** Check if the incident has or may result in increased media attention.
- Foreign Nationals:** Check if the incident has association with a foreign national(s).
- Classification Level of Matter Involved:** For incidents involving classified matter enter the classification level of the matter involved.
- Category of Matter Involved:** For incidents involving classified matter enter the classification category of the matter involved.
- Applicable Identifier(s)** For incidents involving classified matter check all that apply: Work for Others (WFO); Special Access Program (SAP); Sensitive Compartmental Information (SCI), Foreign Government Information (FGI); Other Government Agency (OGA); Weapon Data (WD). For WD, list applicable Sigmas. Naval Nuclear Propulsion Information (NNPI), Controlled Unclassified Information (CUI) e.g. OUOI, PII, etc, No Foreign Dissemination
- Form(s) of the Matter Involved** For incidents involving classified matter check all that apply from the following list):
- Electronic Storage Media:** Includes memory devices in computers (hard drives) and any digital memory media such as USB drives, digital memory cards, optical storage devices (CDs and DVDs), floppy diskettes, magnetic tapes, etc.
 - E-Mail:** Any e-mail believed or verified to contain classified information that was transmitted to another computer. Indicate whether the e-mail was sent to recipients within an internal network domain only (inside firewall), or to any combination of recipients that includes at least one recipient with an address external to the internal network domain (outside firewall).
 - Facsimile:** Classified information that has been transmitted across telephone lines or the Internet via a facsimile machine.
 - Hard Copy:** Any classified information in hard copy form.
 - Internet:** Usually websites. Indicate whether the website or file transfer site containing the classified information was available only within an internal network domain (inside firewall), or outside the internal network domain (outside firewall).
 - Visual:** The classified matter was not written or verbal.
 - Multi-Media:** Classified matter produced by multiple forms of information content and information processing (e.g., text, audio, graphics, animation, video, interactivity).
 - Discussion (Audio/Verbal):** Classified information that is spoken/over-heard.
 - Other:** Any form of classified matter involved in the incident that was not previously described. If selected, include a brief description of the classified matter in the space provided.
- Point of Contact:** Include POC first name, last name, phone number (including area code) and secure fax number.
- Classification Official:** Include the first name, last name, and phone number of the individual who made the classification/markings determination of this form.