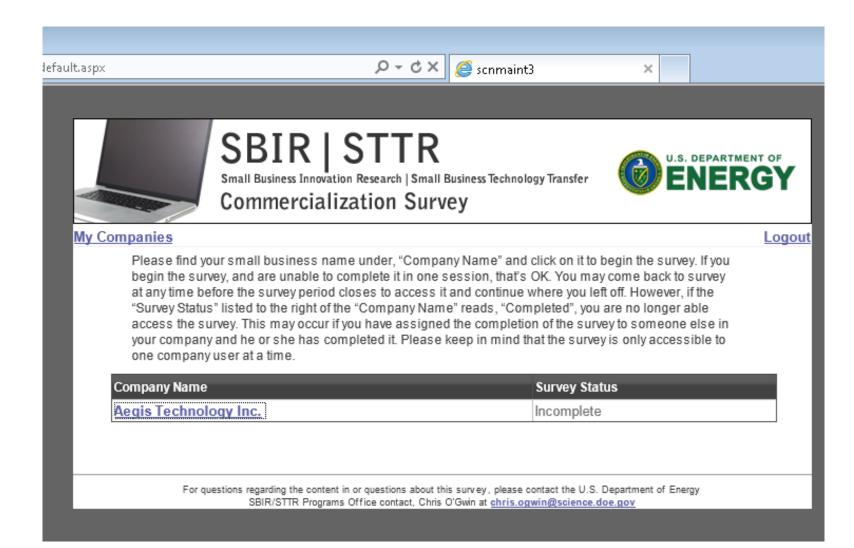
Survey Login – First of Seven (7) Screens Seen by Survey Participant

survey/default.aspx	🔎 🗝 🖒 🗶 🧔 scnmaint3	×
	SBIR STTR Small Business Innovation Research Small Business Technology Transfer Commercialization Survey	OMB#: 1910-New Exp. Date: U.S. DEPARTMENT OF ENERGY
	Survey Login Username: Password: Forgot Password?	Login Reset
	For Username and Passw ord please refer to the email you received from the I Programs Office or contact DOE Technical Survey Assistance Center at 301-9	
searching existing data regarding this burden es Information Officer, Rec	for this collection of information is estimated to average one hour per response, inclue sources, gathering and maintaining the data needed, and completing and reviewing th stimate or any other aspect of this collection of information, including suggestions for cords Management Division, IM-23, Paperwork Reduction Project (1910-New), U.S. Dep D585-1290; and to the Office of Management and Budget (OMB), OIRA, Paperwork Red	he collection of information. Send comments reducing this burden, to Office of the Chief partment of Energy, 1000 Independence Ave

Survey Instructions and Company Page – Screen 2 of 7



General Information & Instructions Page – Screen 3 of 7



SBIR | STTR

Small Business Innovation Research | Small Business Technology Transfer

Commercialization Survey

My Companies | Aegis Technology Inc.

Logout

U.S. DEPARTMENT OF

The General Information sought on the next several pages gives us more information about your company. Please provide the full commerical history for each Phase II SBIR/STTR funded project you have received from DOE. For an explanation of what's requested, scroll over each field of the survey and complete all fields before submitting. If the question does not apply to a specific SBIR/STTR award, put NA or 0 for numerical responses.

Section	Status
<u>General Information</u> <u>Awards</u>	Incomplete Incomplete
Submit Surv	ey

Once you or your company representative have completed the survey, please click on the "Submit Survey" button. That's it! Please keep in mind that you must complete the "General Information" page and the "Awards" page as it applies to your small business before you can submit the survey. And once you submit, the survey is no longer available or even visible to you or other assigned company users to edit.

For questions regarding the content in or questions about this survey, please contact the U.S. Department of Energy SBIR/STTR Programs Office contact, Chris O'Gwin at chris.ogwin@science.doe.gov

General information – Survey Screen 4 of 7 (pre-populated data)

tle: F	Mr. Bob Liu President
none Number: 7	
	714-265-1238
nail Addrose: E	
nan Address.	bobliu@aegistech.net
umber of SBC I	Employees
	mber of SBC

General Information – Survey Screen 5 of 7

General Information	and complete all neius before submitting.
ZipCode: 92706-2160	
SBC Website URL	
Year SBC was founded Number of	f SBC Employees
	SDC Employees
Have any patents or copyrights resulted from ANY SBIR/STTR funding to your SBC?	© Yes © No
Since receiving SBIR/STTR funding has your SBC completed an Initial Public Offering (IPO) that resulted in part, of technology your SBC developed under the DOE SBIR/STTR Programs?	
Has your SBC been acquired as a result of work conducted under DOE SBIR/STTR funding?	© Yes © No
Has your SBC produced any spin-off companies?	© Yes © No
SBC Total Federal SBIR/STTR Related Sales \$	SBC Total Federal SBIR/STTR Investment \$
	Next Close

Grants – SBIR/STTR Project Screen 6 of 7

	SBIR STTR Small Business Innovation Research Small Business Technology Transfer Commercialization Survey		
ompanies	Aegis Technology Inc.		Ĺ
Click <u>Here</u>	to return to survey menu		
Grant Number	Title	Award	Status
ER86296	High-Efficiency, Cost-Effective Thermoelectric Materials/devices for Industrial Process Refrigeration and Waste Heat Recovery	750000	Incomplete
ER86234	An Advanced Power Converter System Using High Temperature, High Power Density SiC Devices	750000	Incomplete
ER84934	A High-temperature Sealing Technology for Gas Separation Devices	750000	Incomplete
<u>ER84934</u>	A High-temperature Sealing Technology for Gas Separation Devices		

Project Related Information – Screen 7 of 7 (Pages 7 – 23 show

one Survey Screen 17 times to depict the @ information buttons and content)

			_
Project Related Informati	ion		
Award/Project Short	0	*	-
Description/Abstract			
	Project Description		
		-	
Number, if any, of patents related to			
this award?	e		=
Salaa and Bayanya			
Sales and Revenue			
Sales to Private Industry (SP)	S		
Sales to Federal Entity or Prime	S		
Contractor (SF)			
Sales to Other (SO)	@ s		
Licensing Revenue	@ s		
	•••		
Total Project/Award Sales and	@ s		
Revenue	~ 0		
Describe how this technology was	0		
commercialized and effects on SBC		<u>^</u>	
			Ŧ
		Next Cancel	
		ouncer	

Project Related Information – Screen 7(2)

Project Related Informat	ion	
Award/Project Short Description/Abstract	0	
Number, if any, of patents related to this award?	Patent Count (2 digits)	Ŧ
Sales and Revenue		
Sales to Private Industry (SP)	 Ø \$ 	
Sales to Federal Entity or Prime Contractor (SF)	Ø \$	
Sales to Other (SO)	☑ \$	
Licensing Revenue	 Image: S 	
Total Project/Award Sales and Revenue	S	
Describe how this technology was commercialized and effects on SBC	0	^ .
	Next Cance	el 🛛

Project Related Information – Screen 7(3)

Project Related Informat	ion		Ī
Award/Project Short Description/Abstract	3	*	
Number, if any, of patents related to this award?	 Image: A second secon	Ŧ	
Sales and Revenue			
Sales to Private Industry (SP)	S		1
Sales to Federal Entity or Prime Contractor (SF) Sales to Other (SO)	This figure includes all small business sales to non- federal, state, and local government entities, resulting in part or in full from the commercialization of the DOE SBIR/STTR funded technology.		
Licensing Revenue	S		
Total Project/Award Sales and Revenue	S		
Describe how this technology was commercialized and effects on SBC	0	*	-
	Next	el	

Project Related Information – Screen 7(4)

Project Related Informati	ion		
Award/Project Short Description/Abstract	3	^	•
Number, if any, of patents related to this award?	0	Ŧ	=
Sales and Revenue			
Sales to Private Industry (SP)	S	L	
Sales to Federal Entity or Prime Contractor (SF)			
Sales to Other (SO)	This figure includes all small business sales of the DOE SBIR/STTR funded project technology to federal entities, including its prime contractors and national		
Licensing Revenue	a laboratories.		
Total Project/Award Sales and Revenue	S		
Describe how this technology was commercialized and effects on SBC	0	*	Ŧ
	Next Canc	el	

Project Related Information – Screen 7(5)

Project Related Informati	on	=	
Award/Project Short Description/Abstract	Image: Second	*	-
Number, if any, of patents related to this award?		Ŧ	ш
Sales and Revenue			
Sales to Private Industry (SP)	 Ø \$ 		
Sales to Federal Entity or Prime Contractor (SF)	 Ø \$ 		
Sales to Other (SO)	@ \$		
Licensing Revenue	This figure includes all small business sales of DOE SBIR/STTR funded project technology to non-federal entities, such as foreign, state, and/or local		
Total Project/Award Sales and Revenue	governments.		
Describe how this technology was commercialized and effects on SBC	0	*	Ŧ
	Next Canc	el	

Project Related Information – Screen 7(6)

Project Related Informat	ion			ł
Award/Project Short Description/Abstract	3	*	•	
Number, if any, of patents related to this award?	Image: A state of the state	Ŧ	ш	
Sales and Revenue				
Sales to Private Industry (SP)	S			J
Sales to Federal Entity or Prime Contractor (SF)	S			
Sales to Other (SO)	S			
Licensing Revenue	S			
Total Project/Award Sales and Revenue Describe how this technology was commercialized and effects on SBC	This figure includes all income earned by your small business for allowing its copyrighted or patented material developed in part or in full due to DOE SBIR/ STRR funding, to be used by another company or entity.	*	+	
	Next	el		

Project Related Information – Screen 7(7)

Project Related Informat	ion	
Sales and Revenue		^
Sales to Private Industry (SP)	Ø \$	
Sales to Federal Entity or Prime Contractor (SF)	S	
Sales to Other (SO)	S	
Licensing Revenue	☑ \$	Ε
Total Project/Award Sales and Revenue	@ s	
Describe how this technology was commercialized and effects on SBC	This figure is the sum of the full sales and revenue figures listed in the three preceding questions.	
	-	
Has a product with this technology been launched?	✓ O Yes O No	
Investment		Ŧ
	Next Cancel	

Project Related Information – Screen 7(8)

Project Related Informat	ion	
Sales and Revenue		^
R Sales to Private Industry (SP)	@ s	
Sales to Federal Entity or Prime Contractor (SF)	Ø \$	
Sales to Other (SO)	 Ø \$ 	
Licensing Revenue	S	E
Total Project/Award Sales and Revenue	❷ \$	
Describe how this technology was commercialized and effects on SBC	In 1-255 characters, please describe the impact on your small business due to the successful commercialization of the DOE SBIR/STTR funded technology.	*
Has a product with this technology been launched?	Ø Yes [©] No	
Investment		-
	Next Can	cel

Project Related Information – Screen 7(9)

Project Related Information		
Sales and Revenue		^
Sales to Private Industry (SP)	 Ø \$ 	
Sales to Federal Entity or Prime Contractor (SF)	S	
Sales to Other (SO)	 Image: Second se	
Licensing Revenue	 ✓ \$ 	H
Total Project/Award Sales and Revenue	S	
Describe how this technology was commercialized and effects on SBC		
Has a product with this technology been launched?	② ○ Yes ○ No Y/N	
Investment		-
	Next Cancel	

Project Related Information – Screen 7(10)

Project Related Information as a product with this technology been launched?	on ♥ © Yes © No		^
Investment			
SBC Self-Invenstment (IS)	@ s		
Investment from Non-SBIR Federal Entity or Prime Contractor (IF)	 Ø \$ 		
Angel Investment (AI)	 Ø \$ 		
Venture Capital Investment (VC)	 Image: Second se		
Other Investment (OI)	 Image: Second se		
If yes to Other, please specify other source of investment	S	^	E
Total Project/Award Investment	 Ø \$ 	-	•
		Next Cancel	

Project Related Information – Screen 7(11)

Investment SBC Self-Invenstment (IS) Image: Self-Invenstment (IS) Investment from Non-SBIR Federal Entity or Prime Contractor (IF) This figure includes funds owned and controlled by the small business or one more small business owners and invested back into the company. Angel Investment (AI) Image: Self-Investment (VC) Venture Capital Investment (VC) Image: Self-Investment (OI) Other Investment (OI) Image: Self-Investment (Image: Self-Investment	Project Related Informat	ion Ves O No	- I
Investment from Non-SBIR Federal This figure includes funds owned and controlled by Entity or Prime Contractor (IF) Angel Investment (AI) Angel Investment (AI) Image: State of the small business or one more small business owners and invested back into the company. Venture Capital Investment (VC) Image: State of the small business or one more small business owners and invested back into the company. Other Investment (OI) Image: State of the small business owners and invested back into the company. If yes to Other, please specify other source of investment Image: State of the small business owners and invested back into the company.	Investment		
Entity or Prime Contractor (IF) Angel Investment (AI) Venture Capital Investment (VC) Other Investment (OI) If yes to Other, please specify other source of investment	SBC Self-Invenstment (IS)	S	
Other Investment (OI) ③ \$ If yes to Other, please specify other ③ \$ source of investment	Entity or Prime Contractor (IF)	the small business or one more small business owners	
If yes to Other, please specify other (2) \$	Venture Capital Investment (VC)	 Ø \$ 	
source of investment	Other Investment (OI)	 Ø \$ 	
Total Project/Award Investment		S	▲ E
Next Cancel	Total Project/Award Investment		•

Project Related Information – Screen 7(12)

Project Related Informat	ion ৺ ©Yes ©No		ì
Investment			
SBC Self-Invenstment (IS)	S		
Investment from Non-SBIR Federal Entity or Prime Contractor (IF) Angel Investment (AI) Venture Capital Investment (VC)	 S This figure includes funds provided by a federal program or entity primarily funded by the federal government with or without an interest in your company. 		
Other Investment (OI)	@ s	Γ	
If yes to Other, please specify other source of investment	S	^ =	
Total Project/Award Investment	 Ø \$ 	Ŧ	-
	Next Ca	ancel	

Project Related Information – Screen 7(13)

Project Related Information of the second of	ion ৺ ⊙Yes ⊙No	^
Investment		
SBC Self-Invenstment (IS)	 Image: Second se	
Investment from Non-SBIR Federal Entity or Prime Contractor (IF)	 Image: Second se	
Angel Investment (AI)		
Venture Capital Investment (VC)	This figure includes funding from an individual, group, or network like-minded individuals providing capital to your small business. This support may be in	
Other Investment (OI)	exchange for ownership equity in your small business.	
If yes to Other, please specify other source of investment	S	ш
Total Project/Award Investment	 ✓ Ø \$ 	•
	Next Cancel	

Project Related Information – Screen 7(14)

Project Related Informat	ion	
been launched?	♥ ○ Yes ○ No	^
Investment		
SBC Self-Invenstment (IS)	 ❷ \$ 	
Investment from Non-SBIR Federal Entity or Prime Contractor (IF)	S	
Angel Investment (AI)	S	
Venture Capital Investment (VC)	③ \$	
Other Investment (OI)	This figure includes funding from an investment group providing capital to your small business, usually in exchange for ownership equity or control in your	
If yes to Other, please specify other source of investment	company.	E
Total Project/Award Investment	S	+
	Next	

Project Related Information – Screen 7(15)

Project Related Informat	ion			ŕ
nas a product with this technology been launched?	♥ © Yes © No		•	1
Investment				e
SBC Self-Invenstment (IS)	 Ø \$ 			ł
Investment from Non-SBIR Federal Entity or Prime Contractor (IF)	S			l
Angel Investment (AI)	 ❷ \$ 			l
Venture Capital Investment (VC)				
Other Investment (OI)	S			
If yes to Other, please specify other source of investment	This figure includes funds provided by none of the preceding investors above that may or may not have a controlling interest in your small business, e.g., gifts or loans from family and friends.	*	111	
Total Project/Award Investment	S	Ŧ	•	
	Next Cance	əl		

Project Related Information – Screen 7(16)

Project Related Information of the second of	tion ♥ ♡Yes ♡No	*
Investment		
SBC Self-Invenstment (IS)	Ø \$	
Investment from Non-SBIR Federal Entity or Prime Contractor (IF)	⊗ \$	
Angel Investment (AI)	 Ø \$ 	
Venture Capital Investment (VC)	Ø \$	
Other Investment (OI)	❷ \$	
If yes to Other, please specify other source of investment	r ② \$ In 1-255 characters, please specify other sources of investment, e.g., gifts or loans from friends and family.	ш
Total Project/Award Investment	☑ \$	-
	Next Cancel	

Project Related Information – Screen 7(17)

R Project Related Information of the second	tion Ves O No	^
^R Investment		
SBC Self-Invenstment (IS)	S	
Investment from Non-SBIR Federal Entity or Prime Contractor (IF)	S	
Angel Investment (AI)	S	
Venture Capital Investment (VC)	S	
Other Investment (OI)	S	
If yes to Other, please specify other source of investment	· @ \$	Е
Total Project/Award Investment	 ❷ S 	
	This figure is the sum of the full investment figures listed in the five preceding questions.	Ì