

Attachment 25 –  
OCISO approval for the collection of PII

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# Privacy Impact Assessment Form

v 1.47.4

Status **Draft**

Form Number

F-86544

Form Date

12/1/2016 8:32:09 AM

Question	Answer
1 OPDIV:	CDC
2 PIA Unique Identifier:	P-1315485-029533
2a Name:	Underground Coal Mining System
3 The subject of this PIA is which of the following?	<p><input type="radio"/> General Support System (GSS)</p> <p><input type="radio"/> Major Application</p> <p><input type="radio"/> Minor Application (stand-alone)</p> <p><input checked="" type="radio"/> Minor Application (child)</p> <p><input type="radio"/> Electronic Information Collection</p> <p><input type="radio"/> Unknown</p>
3a Identify the Enterprise Performance Lifecycle Phase of the system.	Operations and Maintenance
3b Is this a FISMA-Reportable system?	<input type="radio"/> Yes <input checked="" type="radio"/> No
4 Does the system include a Website or online application available to and for the use of the general public?	<input type="radio"/> Yes <input checked="" type="radio"/> No
5 Identify the operator.	<input checked="" type="radio"/> Agency <input type="radio"/> Contractor
6 Point of Contact (POC):	<p>POC Title: Program Analyst</p> <p>POC Name: Janet Hale</p> <p>POC Organization: HHS\CDC\NIOSH\DRDS</p> <p>POC Email: jmr5@cdc.gov</p> <p>POC Phone: 304-285-6264</p>
7 Is this a new or existing system?	<input type="radio"/> New <input checked="" type="radio"/> Existing
8 Does the system have Security Authorization (SA)?	<input type="radio"/> Yes <input checked="" type="radio"/> No
8b Planned Date of Security Authorization	5/13/2013 12:00:00 AM <input type="checkbox"/> Not Applicable

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9	Indicate the following reason(s) for updating this PIA. Choose from the following options. <input checked="" type="checkbox"/> PIA Validation (PIA Refresh/Annual Review) <input type="checkbox"/> Significant System Management Change <input type="checkbox"/> Anonymous to Non-Anonymous <input type="checkbox"/> Alteration in Character of Data <input type="checkbox"/> New Public Access <input type="checkbox"/> New Interagency Uses <input type="checkbox"/> Internal Flow or Collection <input type="checkbox"/> Conversion <input type="checkbox"/> Commercial Sources <input type="text"/>
10	Describe in further detail any changes to the system that have occurred since the last PIA. The system name is changing from Underground Coal Mining System (UCMS) to Miner's Health Systems (MHS). The system will now collect the miner's email (personal), x-ray tech's email (business), and physician's email (business).
11	Describe the purpose of the system. The Underground Coal Mining System allows the National Institute of Occupational Safety and Health (NIOSH) to study the causes and consequences of coal-related respiratory disease and, in cooperation with the Mine Safety and Health Administration (MSHA), to carry out a program for early detection and prevention of coal workers' pneumoconiosis.
12	Describe the type of information the system will collect, maintain (store), or share. (Subsequent questions will identify if this information is PII and ask about the specific data elements.) The database contains information about (1) coal mines in the US; (2) x-ray facilities approved for use within the Coal Workers' Health Surveillance Program (CWHSP); (3) physicians who
13	Provide an overview of the system and describe the information it will collect, maintain (store), or share, either permanently or temporarily. The Federal Coal Mine Health and Safety Act of 1969 (as amended by the Federal Mine Safety and Health Act of 1977) is intended to protect the health and safety of underground coal
14	Does the system collect, maintain, use or share PII? <input checked="" type="radio"/> Yes <input type="radio"/> No
15	Indicate the type of PII that the system will collect or maintain. <input checked="" type="checkbox"/> Social Security Number <input checked="" type="checkbox"/> Date of Birth <input checked="" type="checkbox"/> Name <input type="checkbox"/> Photographic Identifiers <input type="checkbox"/> Driver's License Number <input type="checkbox"/> Biometric Identifiers <input type="checkbox"/> Mother's Maiden Name <input type="checkbox"/> Vehicle Identifiers <input checked="" type="checkbox"/> E-Mail Address <input checked="" type="checkbox"/> Mailing Address <input checked="" type="checkbox"/> Phone Numbers <input checked="" type="checkbox"/> Medical Records Number <input checked="" type="checkbox"/> Medical Notes <input type="checkbox"/> Financial Account Info <input type="checkbox"/> Certificates <input type="checkbox"/> Legal Documents <input type="checkbox"/> Education Records <input type="checkbox"/> Device Identifiers <input type="checkbox"/> Military Status <input type="checkbox"/> Employment Status <input type="checkbox"/> Foreign Activities <input type="checkbox"/> Passport Number <input type="checkbox"/> Taxpayer ID No <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

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16	Indicate the categories of individuals about whom PII is collected, maintained or shared.	<input checked="" type="checkbox"/> Employees <input checked="" type="checkbox"/> Public Citizens <input type="checkbox"/> Business Partners/Contacts (Federal, state, local agencies) <input type="checkbox"/> Vendors/Suppliers/Contractors <input type="checkbox"/> Patients Other <input type="text"/>
17	How many individuals' PII is in the system?	100,000-999,999
18	For what primary purpose is the PII used?	The PII data collected is used to verify the miner's identity and group all of their radiographs together.
19	Describe the secondary uses for which the PII will be used (e.g. testing, training or research)	N/A
20	Describe the function of the SSN.	Having the miner's SSN allows us to group x-rays for a miners. When miners have questions about their x-rays, they provide their SSN for us to locate all of their records. The SSN is also used to group the x-rays to check for disease progression.
20a	Cite the <b>legal authority</b> to use the SSN.	Federal Mine Safety and Health Act, Sections 203, "Medical Examinations" and 501, "Research" (30 U.S.C. 843, 951); and the Public Health Service Act, Section 301, "Research and Investigation" (42 U.S.C. 241).
21	Identify <b>legal authorities</b> governing information use and disclosure specific to the system and program.	Occupational Safety and Health Act, Section 20, "Research and Related Activities" (29 U.S.C. 669); Federal Mine Safety and Health Act, Sections 203, "Medical Examinations" and 501, "Research" (30 U.S.C. 843, 951); and the Public Health Service Act, Section 301, "Research and Investigation" (42 U.S.C. 241).
22	Are records on the system retrieved by one or more PII data elements?	<input checked="" type="radio"/> Yes <input type="radio"/> No
22a	Identify the number and title of the Privacy Act System of Records Notice (SORN) that is being used to cover the system or identify if a SORN is being developed.	Published: 09-20-0149 Morbidity Studies in Coal Mining, Metal and Non-metal Mining and General Industry Published: <input type="text"/> Published: <input type="text"/> <input type="checkbox"/> In Progress

23 Identify the sources of PII in the system.

- Directly from an individual about whom the information pertains
- In-Person
  - Hard Copy: Mail/Fax
  - Email
  - Online
  - Other
- Government Sources
- Within the OPDIV
  - Other HHS OPDIV
  - State/Local/Tribal
  - Foreign
  - Other Federal Entities
  - Other
- Non-Government Sources
- Members of the Public
  - Commercial Data Broker
  - Public Media/Internet
  - Private Sector
  - Other

23a Identify the OMB information collection approval number and expiration date.

0920-0020 expires on 5/31/2017

24 Is the PII shared with other organizations?

- Yes  
 No

24a Identify with whom the PII is shared or disclosed and for what purpose.

- Within HHS
- Other Federal Agency/Agencies

The Mine Safety and Health Administration (MSHA) may be provided PII when needed; as the National Institute of Occupational Safety and Health (NIOSH) runs the Coal Workers' Health Surveillance Program (CWHSP) on their behalf.

- State or Local Agency/Agencies
- Private Sector

Medical Information Requests (Written requests from the coal miner to NIOSH stating that they are requesting copies of their test results, radiograph images, and spirometry tests. Each form is signed by the miner.)