

DEPARTMENT OF THE TREASURY - ALCOHOL AND TOBACCO TAX AND TRADE BUREAU DRAWBACK ON DISTILLED SPIRITS EXPORTED <i>(See instructions on Page 2)</i>						1. SERIAL NO. <i>(The exporter must number each form beginning with "1" each Jan. 1)</i>		
PART I - NOTICE								
Notice is hereby given of the shipment of taxpaid distilled spirits which were stamped, restamped, or affixed with alternative devices and marked especially for export on which drawback of internal revenue tax paid will be claimed by the bottler or packager.						FOR TTB USE ONLY		
2. NAME AND ADDRESS OF EXPORTER <i>(Number and Street, City, State, ZIP Code)</i>						CLAIM NO.		
3. NAME AND ADDRESS OF BOTTLER OR PACKAGER			3A. EMPLOYER IDENTIFICATION NUMBER <i>(Bottler or packager)</i>			TTB FORM 5620.4 FILED WITH CLAIM NO. ²		
4. ADDRESS OF THE DIRECTOR NATIONAL REVENUE CENTER (NRC), ALCOHOL AND TOBACCO TAX AND TRADE BUREAU, 550 MAIN ST, STE 8002, CINCINNATI, OH 45202-5215						CLAIMED \$		
5. PURPOSE OF REMOVAL						REJECTED \$		
FOR EXPORT TO ARMED FORCES OF THE UNITED STATES TRANSFER TO: (Number) (Location) <input type="checkbox"/> FOREIGN-TRADE ZONE <input type="checkbox"/> CBW						ALLOWED \$		
EXPORTATION TO <i>(Name of foreign port and country)</i> USE AS SUPPLIES ON A VESSEL <input type="checkbox"/> ¹ ON AIRCRAFT <input type="checkbox"/> ¹						CLAIMS CLERK \$		
6. CONSIGNED TO OR IN CARE OF <i>(applicable entries)</i>						7. NAME OF DOMESTIC CARRIER		
DISTRICT DIRECTOR OF CUSTOMS AT PORT OF <i>(Specify)</i> TRANSPORTATION OFFICER <i>(Name)</i> <i>(Location)</i>						8. NAME OF EXPORT CARRIER		
PROPRIETOR <input type="checkbox"/> FOREIGN-TRADE ZONE <input type="checkbox"/> CBW Number <i>(Location)</i>								
9. DESCRIPTION OF DISTILLED SPIRITS REMOVED								
KIND OF SPIRITS <i>(a)</i>	NUMBER OF PACKAGES <i>(b)</i>	CASES			SERIAL NUMBERS OF CONTAINERS OR CASES <i>(f)</i>	WINE GALLONS <i>(Not required for spirits tax determined after 1/1/80)</i> <i>(g)</i>	PROOF <i>(h)</i>	PROOF GALLONS <i>(i)</i>
		NUMBER <i>(c)</i>	BOTTLES					
			IN CASE <i>(d)</i>	SIZE <i>(e)</i>				
DATE	EXPORTER				BY <i>(Signature and title)</i>			
PART II — DESCRIPTION OF SUPPORTING DOCUMENTS, IF REQUIRED <i>(See Instruction 3)</i>								
10. SERIAL NUMBERS OF CONTAINERS OR CASES <i>(a)</i>	RECORD OF TAX DETERMINATION		DUMP BATCH RECORD		BOTTLING TANK RECORD/REPORT		PACKAGE GAUGE REPORT	DRAWBACK RATE PER PROOF GALLON <i>(i)</i>
	SERIAL NO. <i>(b)</i>	DATE <i>(c)</i>	SERIAL NO. <i>(d)</i>	DATE <i>(e)</i>	SERIAL NO. <i>(f)</i>	DATE <i>(g)</i>	DATE <i>(h)</i>	
10a. NAME OF BOTTLER OR PACKAGER			ADDRESS				PLANT NUMBER	
PART III — CLAIM								
The distilled spirits described in Part I were removed for the purpose stated and are not to be brought back or re-landed within the limits of the United States. The kind, quantity, and description of the distilled spirits as stated in Part I are correct. The distilled spirits were bottled or packaged in the United States by me and were stamped, restamped, or affixed with alternative devices and marked especially for export. Supporting documents, if required, are accurately described in Part II. Internal Revenue tax equal to the amount of drawback claimed has been determined as provided by law and regulations, and I am justly entitled to drawback of tax in the amount claimed herein. No other claim for allowance of drawback has been made under 26 U.S.C. 5062(b) or section 309 of the Tariff Act of 1930, as amended, on these distilled spirits or any part thereof. Under penalties of perjury, I declare that I have examined all entries in this form and all supporting documents, and to the best of my knowledge and belief they are true, correct, and complete.						11. AMOUNT CLAIMED \$		
						12. REQUEST DRAWBACK ALLOWED TO BE PAID BY <input type="checkbox"/> CHECK <input type="checkbox"/> CREDIT		
13. DATE		14. BOTTLER OR PACKAGER			14a. BY <i>(Signature and title)</i>			
FOOTNOTES								
¹ State whether (a) vessel or aircraft operated by the United States; (b) vessel or aircraft engaged in foreign trade, or in trade between the United States and any of its possessions, or between Hawaii or Alaska and any other part of the United States; (c) vessel of the United States engaged in trade between Atlantic and Pacific ports of the United States; (d) vessel of war of any foreign nation; or (e) vessel employed in (1) the fisheries, or					(2) the whaling business. Show name of vessel, country of registry, and ports of call, or, if a whaling vessel, location of operations. If aircraft, also show name of airline and country of registry of aircraft. ² Complete only for consolidated claims; show serial number of last claim tabulated.			

PART IV — CUSTOMS OFFICER'S CERTIFICATION OF LADING OR DEPOSIT

I certify that the distilled spirits described in Part I were found to be as described, except as noted in Item 18, and that the distilled spirits were --

15. LADEN FOR		VESSEL (<i>Name</i>)	AIRCRAFT (<i>Name, symbols, number</i>)
EXPORT	USE AS SUPPLIES	RAILROAD CAR (<i>Number</i>)	TRUCK (<i>State license</i>)
16. RECEIVED IN (Number) <input type="checkbox"/> FOREIGN-TRADE ZONE <input type="checkbox"/> CBW		17. SHIPPED TO (<i>Frontier port</i>)	SERIAL NUMBERS OF SEALS USED
18. INSPECTION DISCLOSED <input type="checkbox"/> NO EVIDENCE OF TAMPERING <input type="checkbox"/> NO DISCREPANCY OR SHORT SHORT SHIPMENT, AS FOLLOWS:			
19. DATE		20. PORT	21. SIGNATURE AND TITLE OF PROPRIETOR

PART V — CUSTOMS OFFICER'S REPORT OF THROUGH SHIPMENT AT FRONTIER PORT

22. FRONTIER PORT	23. DATE RECEIVED	24. INSPECTION DISCLOSED <input type="checkbox"/> NO EVIDENCE OF TAMPERING <input type="checkbox"/> SEALS INTACT <input type="checkbox"/> SEALS BROKEN (<i>See Item 25</i>)
25. DETAILS OF DISCREPANCIES, TRANSSHIPMENT, RESEALING, ETC.		
26. THE EXPORTING CONVEYANCE IDENTIFIED IN ITEM 15, BEARING THE SPIRITS DESCRIBED IN ITEM 9, WITH EXCEPTIONS AS NOTED IN ITEMS 18 AND 25, CLEARED FROM THIS PORT FOR THE PORT OF _____ (<i>Foreign port</i>)		
27. DATE	28. SIGNATURE AND TITLE OF CUSTOMS OFFICER	

PART VI - CERTIFICATE OF CLEARANCE OR USE

29. THE EXPORTING CONVEYANCE BEARING THE SPIRITS DESCRIBED IN ITEM 9 WITH EXCEPTIONS AS NOTED ABOVE CLEARED FROM THE PORT OF	ON (<i>Date</i>)	BOUND FOR (<i>Name of foreign port and country</i>)
30. THE DISTILLED SPIRITS HAVE BEEN USED AS SUPPLIES ON THE FISHING VESSEL OR AIR-CRAFT IDENTIFIED ABOVE, AND THE REQUIRED EVIDENCE OF SUCH USE HAS BEEN RECEIVED <input type="checkbox"/>	31. DATE	32. SIGNATURE OF DISTRICT DIRECTOR OF CUSTOMS

PART VII — CERTIFICATE OF RECEIPT BY ARMED FORCES OFFICER

The distilled spirits described in Item 9, with exceptions as noted in Item 34, were received for export to the Armed Forces of the United States. The distilled spirits will not be shipped for consumption or use in any place subject to application of the internal revenue laws of the United States.

33. DATE	34. DISCREPANCIES
35. SIGNATURE	36. RANK
37. TITLE	

INSTRUCTIONS

1. General. Prepare this form in quadruplicate, except prepare a fifth copy, marked "Consignee's Copy," when the shipment is for use on aircraft.

2. Part I. The exporter must complete Part I.

3. Part II. The bottler or packager must complete Part II if the spirits were tax-determined before January 1, 1980 (see (a), below) or if the spirits were tax-determined after January 1, 1980 and the drawback rate exceeds \$10.50 per proof gallon (see (b), below).

(a) Spirits tax-determined before January 1, 1980. Claims for spirits tax-determined before January 1, 1980, must be supported by a copy of each related dump and batch record, bottling tank report, and/or package gauge report covering the processing, bottling, and/or packaging of the spirits. Claims on spirits bottled in bond on bonded premises before January 1, 1980, must be supported by a copy of ATF Form 179 covering the tax-determination of the spirits. These supporting documents must be identified in the appropriate columns in Part II, along with the drawback rate for each product. If a standard drawback rate was established prior to January 1, 1980, the date of approval of the formula and the number must be shown in any available space in Part II. If the claim covers the products made with imported spirits (other than such spirits withdrawn from internal revenue bond) or imported wines, the claimant must furnish evidence of tax payment for the spirits or wines (such as Customs Forms 7505 or 7501 received to indicate the payment of taxes).

(b) Spirits tax-determined after January 1, 1980, and the drawback rate exceeds \$10.50 per proof gallon. Claims for these spirits must be supported by a copy of each related dump and batch record, bottling tank record, and/or package gauge report covering the processing, bottling, and/or packaging of the spirits. If the claim covers products made with imported spirits (other than such spirits withdrawn from internal revenue bond) or imported wines, the claimant must furnish evidence of tax payment for the spirits or wines (such as Customs Forms 7505 or 7501 received to indicate the payment of taxes).

4. Part III. The bottler or packager must complete Part III and indicate in Item 12 whether the claim is for a check remittance or a credit to be taken on the distilled spirits tax return, TTB F 5000.24. In addition to supporting documents required in some cases (see Part II, above), all claims must be supported by the bill of lading required by 27 CFR 28.250. For spirits tax-determined after January 1, 1980, all claims must be supported by an invoice, bill of lading, or other document which identifies the date of tax-determination, unless the bill of lading, required by 27 CFR 28.250 identifies this date.

5. Distribution. On removal of the spirits, the exporter must forward the original and one copy to the official designated in Item 6. If there is a "Consignee's Copy" (see Instruction No. 1), the exporter must forward

it to the airline company at the airport. If the exporter is not the bottler or packager, he/she must furnish two copies to the bottler or packager. Claims must be filed with the Director, NRC, by the bottler or packager and must be accompanied by the necessary supporting documents.

6. Actions By Customs. (a) Vessels Other Than Fishing Vessels. After inspection of lading, the Customs officer must execute Part IV on both copies and forward them to the District Director of Customs. On clearance of the conveyance, the District Director of Customs must execute Part VI, forward the original to the Director, NRC, Alcohol and Tobacco Tax and Trade Bureau at the address shown in item 4 and retain the copy for his/her files.

(b) Use on Aircraft and Fishing Vessels. After inspection of lading, the Customs officer must execute Part IV on both copies and forward them to the District Director of Customs. The District Director of Customs, on receipt of the required "Certificate of Use" (for aircraft) or Customs Form 5125 (for fishing vessels), must execute Part VI, forward the original to the Director, NRC, Alcohol and Tobacco Tax and Trade Bureau, at the address shown in item 4, and retain the copy for his/her files.

(c) Lading at Interior Port For Exportation Through Frontier Port. On completion of lading the Customs officer must affix the seal, then execute Part IV on both copies and forward them to the District Director of Customs at the interior port of lading for forwarding to the Customs officer at the frontier port. Provided that where the shipment is by truck and where instructions from the District Director of Customs so provide, the copies may be forwarded via the truck driver to the Customs officer at the frontier port. That officer, when satisfied that the shipment has been exported, must execute Part V on both copies and return them to the District Director of Customs at the interior port. The District Director of Customs must then execute Part VI, forward the original to the Director, NRC, Alcohol and Tobacco Tax and Trade Bureau, at the address shown in item 4, and retain the copy for his/her files.


(d) Receipt in Foreign - Trade Zone. On receipt of the spirits, the Customs officer must execute Part IV on both copies, forward the original to the Director, NRC, Alcohol and Tobacco Tax and Trade Bureau, at the address shown in item 4, and retain the copy for his/her files.

(e) Receipt in Customs Bonded Warehouse. On receipt of the shipment, the Customs officer must execute Part IV on both copies, forward the original to the Director, NRC, Alcohol and Tobacco Tax and Trade Bureau, and keep a copy for his/her files.

7. Action by Armed Forces Officer. On receipt of the spirits, the officer to whom consigned (or other authorized supply officer) must execute Part VII on both copies, forward the original to the Director, NRC, Alcohol and Tobacco Tax and Trade Bureau, at the address shown in item 4, and retain the copy for his/her files.

PAPERWORK REDUCTION ACT NOTICE

This request is in accordance with the, Paperwork Reduction Act of 1995. The information collection is used to obtain drawback of taxes on articles which are exported. This information is required to obtain a benefit (26 U.S.C. 5062).

The estimated average burden associated with this collection of information is 2 hours per respondent or recordkeeper depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to the Reports Management Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, 1310 G Street, NW., Washington, DC 20005. 

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a current, valid OMB control number.

PRIVACY ACT STATEMENT



1. Authority. Solicitation of this information is made pursuant to 26 U.S.C. 5062. Disclosure of this information is used to obtain drawback of Federal excise taxes on distilled spirits exported.
2. Purpose. We use information on this form to identify the claimant, the tax-paid spirits exported, the amount of tax to be refunded. The form also verifies the exportation of the spirits via a certification by a U.S. government agent. We use the information on this form to establish excise tax liability and to prevent jeopardy to the revenue.
3. Routine Uses. We use this information to make determinations for the purposes described in paragraph 2. Also, we may disclose the information to other Federal, State, foreign, and local law enforcement and regulatory agency personnel to verify information on the form where law does not prohibit such disclosure. We may disclose the information to the Justice Department if it appears that the furnishing of false information may constitute a violation of Federal law. Finally, we may disclose the information to members of the public in order to verify information on the form where law does not prohibit such disclosure.
4. Effects of Not Supplying Information Requested. If you fail to supply complete information, we cannot verify and process your claim for drawback of excise taxes already paid on distilled spirits exported from the United States.