

**Table 1
10 CFR Part 73 Annual Reporting Burden**

Section	Description of requirement	No. of Respondents	Responses per Respondent	Number of Responses	Burden Hours per Response	Total Annual Burden Hours	Notes
73.5	The Commission may grant exemptions from the requirements of the regulations in Part 73 under specified conditions, upon the application of any interested person or on its own initiative.	4.00	1.00	4.00	25.00	100.00	Increased burden per response from 8 hours to 25 hrs based on staff experience
73.26(b)(3)	Prior to each shipment, licensees shall provide information to NRC concerning the identity of the shipper, consignee, carriers, transfer points, modes of shipment, and security arrangements for the shipment.	0.00	0.00	0.00	5.00	0.00	Decreased because no licensees performing work that fall under this requirement
73.26(j)(6) and (k)(4) - (No notifications anticipated in the next 3 years),	In the event that no communication is received from the shipment or escort personnel at a designated call-in time, the licensee must notify the NRC immediately and initiate appropriate contingency plans. Applies to both road and rail shipments.	0.00	0.00	0.00	1.00	0.00	Decreased because no licensees performing work that fall under this requirement
73.27(b)	notify the NRC of the arrival of the shipment	0.00	0.00	0.00	0.50	0.00	Decreased because no licensees performing work that fall under this requirement
73.37(b)(1)(vi)	Preplan and coordinate with the NRC to obtain advance approval of the routes used for road and rail shipments of spent nuclear fuel	6.00	1.11	6.66	25.00	166.50	Decreased based on change in transportation
73.38(d)	Conduct fingerprints and an FBI investigation and criminal history records check in accordance with Section 73.57. Conduct background investigations before allowing an individual to act as an armed escort or have unescorted access to spent reactor fuel in transit. Requires the licensee to transmit the fingerprints to the NRC.	6.00	1.00	6.00	5.25	31.50	Decreased based on change in transportation
73.46(b)(9)	notify NRC at least 60 days in advance of a scheduled training exercise for the security force which is required to be observed by NRC.	2.00	1.00	2.00	1.00	2.00	MOX doesn't do this yet
73.46(b)(12) & 73.46(b)(12)(i)	Develop and submit to the NRC for approval site specific, content-based, physical fitness performance tests (this activity is complete for current licensees)	0.00	0.00	0.00	8.00	0.00	
73.55(l)(7)	requests for use of MOX fuel assemblies containing greater than 20 weight percent PuO2 be reviewed and approved by the Commission before receipt of MOX fuel assemblies.	0.00	0.00	0.00	8.00	0.00	
73.55(r)	Submission of alternative measures for protection against radiological sabotage	0.00	0.00	0.00	8.00	0.00	73.55(r) has the same burden hours as 73.5
73.56(d)	Background Investigation for unescorted access to the protected area or vital area of a nuclear power plant	60.00	25.00	1500.00	0.33	495.00	Decreased respondents because NPPs decreased

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Section	Description of requirement	No. of Respondents	Responses per Respondent	Number of Responses	Burden Hours per Response	Total Annual Burden Hours	Notes
73.57(a), (b)(1), (b)(6), (d), (d)(1), (d)(2), (g)(1), & (g)(2)	submit a fingerprint card for individuals who have access to Safeguards Information and nuclear power plant licensees shall fingerprint each individual who has or will have access to Safeguards Information or who will require unescorted access to the nuclear power facility or non-power reactor facility.	91.00	426.75	38834.25	0.50	19417.13	Included NPR in the respondents total because combined the (a) and (d) requirements to one line item
73.67(e)(3) & 73.71(b) (No notifications anticipated in the next 3 years),	notify the NRC Operations Center within 1 hour after the discovery of the theft or attempted theft or unlawful diversion of SNM	0.00	2.00	0.00	6.00	0.00	
73.67(e)(7)(i)	upon request by the NRC, a shipper provide additional information regarding a planned shipment as the Commission considers pertinent to the decision on whether to delay such shipment	0.00	0.00	0.00	2.00	0.00	
73.67(e)(7)(ii)	the receiver, or the shipper if the receiver is not a licensee, notify the NRC by telephone within 24 hours after the arrival of the shipment at its final destination, or after the shipment has left the United States as an export.	6.00	1.00	6.00	1.00	6.00	Decreased based on change in transportation
73.67(g)(3)(iii), 73.71(a), & 73.27(c) (No notifications anticipated in the next 3 years),	Report of lost SSNM	0.00	0.00	0.00	41.50	0.00	
73.71(d)	provides guidance for the submission of 60-day reports required under the forgoing provisions of Section 73.71.	6.00	2.00	12.00	6.00	72.00	Decreased based on change in transportation
73.72 - written notifications	Written notifications of a single shipment, plans to deliver to a carrier for transport, to take delivery at the point where a shipment is delivered to a carrier for transport, to import, to export, or to transport a formula quantity of strategic special nuclear material, special nuclear material of moderate strategic significance, or irradiated reactor fuel	6.00	1.50	9.00	0.20	1.80	Decreased based on change in transportation
73.72 - telephonic notifications	Notify NRC Headquarters Operations Center about the shipment status by telephone	6.00	3.33	19.98	0.30	5.99	Decreased based on change in transportation
73.73	licensees exporting SNM of low strategic significance to provide advance written notification to the NRC at least 10 days prior to shipment, along with shipment details and itinerary, and may notify the NRC by telephone of any changes to the shipment details or itinerary.	6.00	4.50	27.00	8.00	216.00	Decreased based on change in transportation

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Section	Description of requirement	No. of Respondents	Responses per Respondent	Number of Responses	Burden Hours per Response	Total Annual Burden Hours	Notes
73.74	requires that licensees importing SNM of low strategic significance from a country not a party to the Convention on the Physical Protection of Nuclear Material must provide advance written notification to NRC at least 10 days prior to shipment, along with shipment details and itinerary, and may notify the NRC by telephone of any changes to the shipment details or itinerary.	6.00	1.40	8.40	8.00	67.20	Decreased based on change in transportation
73.77(a)(1)	1 hour cyber security event notification	60.00	0.50	30.00	1.00	30.00	Decreased respondents because NPPs decreased
73.77(a)(2)	4 hour cyber security event notification	60.00	1.00	60.00	0.50	30.00	Decreased respondents because NPPs decreased
73.77(a)(3)	8 hour cyber security event notification	60.00	2.00	120.00	0.50	60.00	Decreased respondents because NPPs decreased
73.77(d)	Follow-up written report for 73.77(a)(1), (a)(2)(i), and (a)(2)(iii) event notifications	60.00	1.00	60.00	3.00	180.00	Decreased respondents because NPPs decreased
Appendix G	provides clarification of the requirements for reporting safeguards events.	114.00	1.00	114.00	15.00	1710.00	Decreased based on changing licensees - FCF (7) + NPP (60) + NPR (31) + Decom (10) + Irradiated Fuel Shipments (6)
Totals		283.00		40819.29		22591.12	

**Table 2
10 CFR Part 73 Annual Recordkeeping Burden**

Section	Description of requirement	No. of Recordkeepers	Hours per recordkeeper	Total Annual Burden Hours	Retention period	Notes
73.20(c), 73.25(b),(c), &(d); 73.26(d)(3); 73.26(e)(1); 73.26(h)(5); 73.26(i)(5); 73.26(j)(6); 73.26(k)(2); 73.37(a); 73.37(b)(2); 73.40; 73.45; 73.46(d)(3); 73.46(g)(5); 73.46(h)(1)&(3); 73.50(a)(3), 73.50(g)(1); 73.50(h); 73.51(d)(5),(6),& (10); 73.60(e); 73.67(a)&(c); 73.67(d)(11); 73.67(f)(4); 73.67(g)(3)(i); Appendix B Section VI H.1; Appendix C	Establish and maintain NRC-approved physical protection, training & qualification and safeguards contingency plans	59	16.00	944.0	(L, P+3, S+3)	Decreased based on changing licensees - FCFs (7), ISFSIs (13), NPRs (31), In transit (8)
73.22(b)	Condition for access to Safeguards Information	179	4.00	716.0	A+1	Most licensees have chosen to maintain documents at the SGI level instead of SGI-M; Moved majority of the SGI-M numbers to SGI.
73.23(b)	Condition for access to Safeguards Information-Modified Handling (SGI-M)	31	2.00	62.0	A+1	
73.24(b)(1)	Maintain written log of the arrival at the final destination of each individual shipment of SSNM	0	27.00	0.0	3.0	Decreased because no licensees performing work that falls under this requirement
73.26(d)(4)	Requalification training records for all individuals assigned to act as an escort or other security member organization.	0	40.00	0.0	(E,Q,R+3)	Decreased because no licensees performing work that falls under this requirement
73.26(g)(1)	A numbered picture badge identification procedure shall be used to identify all individuals who will have custody of a shipment.	0	200.00	0.0	3.0	Decreased because no licensees performing work that falls under this requirement
73.26(h)(6)	Annual transportation security program Review	0	5.00	0.0	3.0	Decreased because no licensees performing work that fall under this requirement
73.26(i)(1)	Transportation Route Plan	0	360.00	0.0	S	Decreased because no licensees performing work that fall under this requirement
73.37(b)(2)(vi)	retain a copy of the preplanning and coordination activities, advance notification, and any revision or cancellation notice as a record	6	3.33	20.0	3.0	Decreased based on change in transportation
73.37(b)(3)(iv)	maintain a written log for each spent nuclear fuel shipment	6	27.00	162.0	3.0	Decreased based on change in transportation

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Section	Description of requirement	No. of Recordkeepers	Hours per recordkeeper	Total Annual Burden Hours	Retention period	Notes
73.37(b)(3)(v), (vi), & (vii) 73.37(b)(4)(iii), 73.37(g)(iii)	maintain, revise and implement written transportation physical protection and contingency and response procedures	6	0.25	1.5	3.0	Decreased based on change in transportation
73.38(a)(2)	establish, implement, and maintain its access authorization program	6	0.25	1.5	L	Decreased based on change in transportation
73.38(c)(2)(v)	Written confirmation from the agency/employer that granted the Federal security clearance or reviewed the criminal history records check must be provided to the licensee.	6	3.75	22.5	A+3	Decreased based on change in transportation
73.38(d)(5)(iv)(A)	Document individual's refusal or unwillingness to provide information for access authorization investigation	6	3.75	22.5	A+1	Decreased based on change in transportation
73.38(d)(5)(vi)	Make a record of the contents of the telephone call and shall retain that record, and any documents or electronic files obtained electronically, under paragraph (l) of this section	6	0.25	1.5	A+5	Decreased based on change in transportation
73.38(f)(5)	retain all fingerprint and criminal history records received from the FBI, or a copy if the file has been transferred, on an individual (including data indicating no record)	6	1.00	6.0	A+5	Decreased based on change in transportation
73.38(j)	Develop, implement, and maintain written procedures for conducting background investigations for persons who are applying for unescorted access or access authorization for spent nuclear fuel in transit.	6	40.00	240.0	5.0	Decreased based on change in transportation
73.38(l)	retain documentation regarding the trustworthiness and reliability of individual employees	6	0.25	1.5	5.0	Decreased based on change in transportation

**Table 2
10 CFR Part 73 Annual Recordkeeping Burden**

Section	Description of requirement	No. of Recordkeepers	Hours per recordkeeper	Total Annual Burden Hours	Retention period	Notes
73.46(b)(1)&(3)	Security implementing procedures	3	40.00	120.0	L plus 3 years for superceded records	
73.46(b)(4),(7),(8) & 73.46(b)(11)(i)	Documentation of qualification and requalification of Tactical Response Team members, armed response person, guard, or other members of the security organization	2	33.00	66.0	Q/R+3	MOX doesn't do this yet
73.46(b)(9)	document the results of all training exercises for the security force.	2	1.00	2.0	3.0	MOX doesn't do this yet
73.46(b)(10)(iii) & 73.46(b)(11)(iii)	Records of medical examination for Tactical Response Team members, armed response personnel, and guards	2	16.00	32.0	3.0	MOX doesn't do this yet
73.46(b)(12)(ii)	annual medical examination including a determination and written certification by a licensed physician	2	8.00	16.0	3.0	MOX doesn't do this yet
73.46(d)(10)	Records of containers that are containers of contaminated wastes must be drum scanned and tamper sealed	2	63.75	127.5	3.0	MOX doesn't do this yet
73.46(d)(11)	verify and certify the content of each shipping container through the witnessing of gross weight measurements and nondestructive assay, and through the inspection of tamper seal integrity and associated seal records	2	42.50	85.0	3.0	MOX doesn't do this yet
73.46(d)(13)	maintain a log of individuals escorted in the protected area (include name, date, time, purpose of visit and employment affiliation, citizenship, and name of the individual to be visited).	2	51.00	102.0	3.0	MOX doesn't do this yet
73.46(g)(6)	Annual security program review at Category I fuel cycle facilities	2	8.00	16.0	3.0	MOX doesn't do this yet

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Section	Description of requirement	No. of Recordkeepers	Hours per recordkeeper	Total Annual Burden Hours	Retention period	Notes
73.46(h)(2)	establish and document response arrangements that have been made with local law enforcement authorities.	3	0.50	1.5	L/3	
73.50(a)(4)	Documentation of qualification and requalification of armed response person, guard, or other members of the security organization	0	0.64	0.0	Q/R+3	Decreased because no licensees performing work that fall under this requirement
73.50(c)(5)	maintain a log of individuals escorted in the protected area (include name, date, time, purpose of visit and employment affiliation, citizenship, and name of the individual to be visited).	0	1.60	0.0	3.0	Decreased because no licensees performing work that fall under this requirement
73.50(g)(2)	establish and document response arrangements that have been made with local law enforcement authorities.	0	0.02	0.0	L	Decreased because no licensees performing work that fall under this requirement
73.51(d)(12)	Physical protection program review must include an evaluation of the effectiveness of the physical protection system and a verification of the liaison established with the designated response force or LLEA.	13	8.00	104.0	3.0	Increased to updated number of specific-licensed ISFSIs.
73.54(b)(1); 73.54(e) & (f); 73.55(b)(8); 73.55(c)(6);	Establish and maintain a cyber security plan	60	40.00	2400.0	L plus 3 years for superceded records	Decreased recordkeepers because NPPs decreased
73.55(b)(7); 73.55(c)(1)&(3); 73.55(e)(1)&(2); 73.55(e)(8)(iv); 73.55(k)(5)&(6); 73.55(l)(3); 73.55(n)(1); 73.55(o)	Physical Security Plan	60	80.00	4800.0	L plus 3 years for superceded records	Decreased recordkeepers because NPPs decreased
73.55(b)(6); 73.55(c)(4);	Training and Qualification Plan	60	80.00	4800.0	L plus 3 years for superceded records	Decreased recordkeepers because NPPs decreased

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Section	Description of requirement	No. of Recordkeepers	Hours per recordkeeper	Total Annual Burden Hours	Retention period	Notes
73.55(b)(11)& 73.58(b)	assess and manage the potential for adverse effects on safety and security, including the site emergency plan, before implementing changes to plant configurations, facility conditions, or security	60	96.00	5760.0	3.0	Decreased recordkeepers because NPPs decreased
73.55(c)(5)	Safeguards Contingency Plan	60	40.00	2400.0	L plus 3 years for superceded records	Decreased recordkeepers because NPPs decreased
73.55(c)(7); 73.55(f); 73.55(k)(8); 73.55(l)(3); 73.55(n)(1), 73.56(l); 73.56(m)(4)	Security implementing procedures	60	40.00	2400.0	L plus 3 years for superceded records	Decreased recordkeepers because NPPs decreased
73.55(g)(6)(i)(B)	Maintain a record, to include name and affiliation, of all individuals to whom access control devices have been issued	60	48.00	2880.0	Annually	Decreased recordkeepers because NPPs decreased
73.55(g)(6)(ii)(C)	maintain a record, to include the name and areas to which unescorted access is granted, of all individuals to whom photo identification badges have been issued	60	48.00	2880.0	3.0	Decreased recordkeepers because NPPs decreased
73.55(g)(6)(iii)	Access authorization program personnel shall be issued passwords and combinations to perform their assigned duties	60	165.00	9900.0	3.0	Decreased recordkeepers because NPPs decreased
73.55(g)(7)(i)(C)	Maintain a visitor control register in which all visitors shall register their name, date, time, purpose of visit, employment affiliation, citizenship, and name of the individual to be visited before being escorted into any protected or vital area	60	90.00	5400.0	3.0	Decreased recordkeepers because NPPs decreased
73.55(i)(4)(ii)(H)	Maintain a record of all alarm annunciations, the cause of each alarm, and the disposition of each alarm	60	48.00	2880.0	3.0	Decreased recordkeepers because NPPs decreased

**Table 2
10 CFR Part 73 Annual Recordkeeping Burden**

Section	Description of requirement	No. of Recordkeepers	Hours per recordkeeper	Total Annual Burden Hours	Retention period	Notes
73.55(k)(9)	document and maintain current agreements with applicable law enforcement agencies to include estimated response times and capabilities.	60	10.00	600.0	3.0	Decreased recordkeepers because NPPs decreased
73.55(b)(10); 73.55(m); 73.55(q)(4)	Security program reviews	60	1380.00	82800.0	3.0	Decreased recordkeepers because NPPs decreased
73.55(p)(1)&(3)	The suspension of security measures must be reported and documented in accordance with the provisions of § 73.71	60	0.17	10.0	3.0	Decreased recordkeepers because NPPs decreased
73.55(q)(3)	If a contracted security force is used to implement the onsite physical protection program, the licensee's written agreement with the contractor must be retained by the licensee as a record for the duration of the contract	60	0.25	15.0	L	Decreased recordkeepers because NPPs decreased
73.56(a)(4)	Licenseses and applicants shall certify individuals' unescorted access authorization and are responsible to maintain, deny, terminate, or withdraw unescorted access authorization	0	8.00	0.0	A	This is the initial requirement which has been completed by all current licensees.
73.56(d)	Background investigation - multiple parts	60	1837.50	110250.0	A+5	Decreased recordkeepers because NPPs decreased
73.56(e)	Psychological assessment to assist in verifying trustworthiness and reliability	60	245.00	14700.0	A+5	Decreased recordkeepers because NPPs decreased
73.56(f)	Behavioral observation training and testing	60	24.00	1440.0	3.0	Decreased recordkeepers because NPPs decreased
73.56(i)	Annual Supervisory Review to assist in verifying trustworthiness and reliability	60	1455.00	87300.0	A	Decreased recordkeepers because NPPs decreased
73.56(j)	list of individuals who are authorized to have unescorted access to specific nuclear power plant vital areas during non-emergency conditions	60	24.00	1440.0	A	Decreased recordkeepers because NPPs decreased

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Section	Description of requirement	No. of Recordkeepers	Hours per recordkeeper	Total Annual Burden Hours	Retention period	Notes
73.56(k)	Trustworthiness and reliability of background screeners and access authorization program personnel	60	40.00	2400.0	L	Decreased recordkeepers because NPPs decreased
73.56(m)	establish and maintain a system of files and procedures to ensure personal information is not disclosed to unauthorized persons	60	24.00	1440.0	L	Decreased recordkeepers because NPPs decreased
73.56(m)(1)	signed consent from the subject individual that authorizes the disclosure of any information collected	60	3.00	180.0	3.0	Decreased recordkeepers because NPPs decreased
73.56(n)(1),(2), &(6)	Access Authorization Program Audits	60	88.50	5310.0	3.0	Decreased recordkeepers because NPPs decreased
73.57(e)(1)	Prior to any final adverse determination, the licensee shall make available to the individual the contents of records obtained from the FBI for the purpose of assuring correct and complete information. Confirmation of receipt by the individual of this notification must be maintained.	5	0.25	1.3	1.0	
73.57(f)	procedures for protection of the criminal history record and the personal information from unauthorized disclosure	114	40.00	4560.0	A	Overall decrease because hours changed to match maintenance of procedures of other requirements. Initial development is complete for all current licensees so removed that burden. Recordkeepers updated - NPPs (60), NPRs (31), FCFs (7); Decom (10); Irradiated Fuel Shipments (6)
73.67(e)(3)	Establish and maintain written response procedures for dealing with threats of thefts or thefts of this material.	6	40.00	240.0	P+3	Decreased based on change in transportation
73.70(a)	record of currently designated authorized individuals	67	7.00	469.0	P+3	Increased due to updated numbers of licensees that fall into this category - NPPs (60) and FCFs (7);

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Section	Description of requirement	No. of Recordkeepers	Hours per recordkeeper	Total Annual Burden Hours	Retention period	Notes
73.70(b)	Names, addresses, and badge numbers of all individuals authorized to have access to vital equipment or special nuclear material, and the vital areas and material access areas to which authorization is granted	67	7.00	469.0	P+3	Increased due to updated numbers of licensees that fall into this category - NPPs (60) and FCFs (7);
73.70(d)	log indicating name, badge number, time of entry, and time of exit of all individuals granted access to a vital area except those individuals entering or exiting the reactor control room	67	50.00	3350.0	3.0	Increased due to updated numbers of licensees that fall into this category - NPPs (60) and FCFs (7);
73.70(e)	Documentation of all routine security tours and inspections, and of all tests, inspections, and maintenance performed on physical barriers, intrusion alarms, communications equipment, and other security related equipment used pursuant to the requirements of this part	67	60.00	4020.0	3.0	Increased due to updated numbers of licensees that fall into this category - NPPs (60) and FCFs (7);
73.70(f)	A record at each onsite alarm annunciation location of each alarm, false alarm, alarm check, and tamper indication that identifies the type of alarm, location, alarm circuit, date, and time.	67	700.00	46900.0	3.0	Increased due to updated numbers of licensees that fall into this category - NPPs (60) and FCFs (7);

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Section	Description of requirement	No. of Recordkeepers	Hours per recordkeeper	Total Annual Burden Hours	Retention period	Notes
73.70(g)	Shipments of special nuclear material subject to the requirements of this part, including names of carriers, major roads to be used, flight numbers in the case of air shipments, dates and expected times of departure and arrival of shipments, verification of communication equipment on board the transfer vehicle, names of individuals who are to communicate with the transport vehicle, container seal descriptions and identification, and any other information to confirm the means utilized to comply with §§ 73.25, 73.26, and 73.27.	0	4.00	0.0	3.0	Decreased because no licensees performing work that fall under this requirement
73.70(h)	Procedures for controlling access to protected areas and for controlling access to keys for locks used to protect special nuclear material.	67	40.00	2680.0	L/3	Increased due to updated numbers of licensees that fall into this category - NPPs (60) and FCFs (7);
73.71(c)	maintain a current log and record the safeguards events described in paragraphs II(a) and (b) of appendix G	98	450.00	44100.0	3.0	Increased recordkeepers due to updated numbers of licensees that fall into this category - NPPs (60), NPRs (31), FCFs (7); Decreased hours based on discussion with previous security officers: 7-10 hours per week is a conservative average for how much time is spent maintaining the log for 73.71(c) Safeguards Events. The average comes out to about 450.
73.77(b)	24 hour cyber security recordable event	60	4.50	270.0		
73.77(d)(12)	maintain a copy of the written security follow-up report of a cyber security event notification	60	4.50	270.0	3/L	Decreased recordkeepers because NPPs decreased

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Appendix B Section VI B.4.a.1.	obtain and retain a written certification from the licensed physician that no medical conditions were disclosed by the medical examination that would preclude the individual's ability to participate in the physical fitness tests	60	8.00	480.0	3.0	Decreased recordkeepers because NPPs decreased
Appendix B Section VI B.4.b.4.	physical fitness qualification of each armed member of the security organization must be documented by a qualified training instructor and attested to by a security supervisor	60	40.00	2400.0	3.0	Decreased recordkeepers because NPPs decreased
Appendix B Section VI C.2.b.	On-the-job training must be documented by a qualified training instructor and attested to by a security supervisor	60	3.00	180.0	3.0	Decreased recordkeepers because NPPs decreased
Appendix B Section VI C.3.g; Appendix B, Section VI.C.3.h; Appendix B, Section VI.C.3.i; Appendix B, Section VI.C.3.m;	Performance Evaluation Program Documentation	60	40.00	2400.0	3.0	Decreased recordkeepers because NPPs decreased
Appendix B Section VI E.1.b.	Each armed member of the security organization shall be trained and qualified by a certified firearms instructor for the use and maintenance of each assigned weapon	60	0.75	45.0	3.0	Decreased recordkeepers because NPPs decreased
Appendix B Section VI F.1.b; Appendix B Section VI F.5.a	results of weapons qualification and requalification must be documented and retained as a record	60	96.00	5760.0	3.0	Decreased recordkeepers because NPPs decreased
Totals		210		475852.2		

**Table 3
10 CFR Part 73, Annual Third Party Disclosure Burden**

Section	Description of requirement	No. of Respondents	Responses per respondent	Responses	Burden per response	Total burden hours	Notes
73.22(d) and (f)	Marking Safeguards Information and Preparing document for external transmission	179	5	895.0	1	895.0	Most licensees have chosen to maintain documents at the SGI level instead of SGI-M; Moved majority of the SGI-M numbers to SGI.
73.23(d) and (f)	Marking SGI-M and Preparing document for external transmission	31	0	0.0	0	0.0	
73.26(e)(2)	Inform local law enforcement agencies of abnormal presence or activity of persons or vehicles	0	0	0.0	0.25	0.0	Decreased because no licensees performing work that fall under this requirement
73.26(f)(2)	the personnel in the remote location shall request assistance from the law enforcement authorities, notify the shipment movement control center and initiate the appropriate contingency plans.	0	1	0.0	4	0.0	Decreased because no licensees performing work that fall under this requirement
73.26(i)(6) and (k)(4) - (No notifications anticipated in the next 3 years)	In the event that no communication is received from the shipment or escort personnel at a designated call-in time, the licensee must notify law enforcement authorities immediately and initiate appropriate contingency plans. Applies to both road and rail shipments.	0.00	0.00	0.00	1.00	0.00	Decreased because no licensees performing work that fall under this requirement
73.27(a)(1)	notify the consignee of the time of departure of the shipment	0	0	0.0	0.25	0.0	Decreased because no licensees performing work that fall under this requirement
73.27(a)(2)	written certification from the licensee who is to take delivery of the shipment	0	0	0.0	0.5	0.0	Decreased because no licensees performing work that fall under this requirement
73.27(a)(3)	notify the consignee of the arrival of the shipment	0	0	0.0	0.5	0.0	Decreased because no licensees performing work that fall under this requirement
73.27(b)	notify the person who delivered the material to a carrier for transport of the arrival of the shipment	0	0	0.0	0.5	0.0	Decreased because no licensees performing work that fall under this requirement
73.37(b)(1)(ii)&(vi)	Preplan and coordinate shipment information with local law enforcement and the receiver	6	20	120.0	0.3	36.0	Decreased based on change in transportation
73.37(b)(1)(iv)	Preplan and coordinate shipment information no later than 2 weeks prior to the shipment or prior to the first shipment of a series of shipments with the governor of a State	6	5.55	33.3	3	99.9	Decreased based on change in transportation
73.37(b)(2)(i-iii)	notify State(s) prior to the shipment of SNF within or through a State. notify the Tribal official or Tribal official's designee of each participating Tribe referenced in 10 CFR 71.97(c)(3) prior to the transport of spent fuel within or across the Tribal reservation.	6	5.56	33.4	0.5	16.7	Decreased based on change in transportation
73.37(b)(2)(iv)	licensee shall notify by telephone a responsible individual in the office of the governor of any schedule change that differs by more than 6 hour	6	15	90.0	0.08	7.2	Decreased based on change in transportation

**Table 3
10 CFR Part 73, Annual Third Party Disclosure Burden**

Section	Description of requirement	No. of Respondents	Responses per respondent	Responses	Burden per response	Total burden hours	Notes
73.37(b)(2)(v)	Each licensee who cancels a shipment for which advance notification has been sent shall send a cancellation notice to the governor or to the governor's designee of each State previously notified, and each Tribal official or the Tribal official's designee previously notified	1	5	5.0	0.33	1.7	
73.38(d)(1)	Licensees shall not initiate any element of a background investigation without the informed and signed consent of the subject individual.	6	1	6.0	0.5	3.0	Decreased based on change in transportation
73.38(d)(2)	Any individual who is required to have a background investigation under this section shall disclose the personal history information that is required by the licensee's access authorization program for the reviewing official to make a determination of the individual's trustworthiness and reliability.	6	1	6.0	0.5	3.0	Decreased based on change in transportation
73.38(i)	Any individual who has applied for or is maintaining access authorization shall promptly report any legal action(s) taken by a law enforcement authority or court of law that could result in incarceration or a court order or that requires a court appearance. The licensee shall inform the individual of this obligation, in writing, prior to granting unescorted access or certifying access authorization	6	0	0.0	0.5	0.0	Decreased based on change in transportation
73.46(h)(4)(iii)(B) (Emergency notification - never used)	Upon detection of abnormal presence or activity of persons or vehicles within an isolation zone, a protected area, a material access area, or a vital area, licensee shall inform local law enforcement agencies of the threat and request assistance	0	0	0.0	0.25	0.0	
73.50(g)(3)(iii)(B) (Emergency notification - never used)	Upon detection of abnormal presence or activity of persons or vehicles within an isolation zone, a protected area, a material access area, or a vital area, licensee shall inform local law enforcement agencies of the threat and request assistance	0	0	0.0	0.25	0.0	
73.55(g)(7)(i)(C)	Maintain a visitor control register before being escorted into any protected or vital area	60	1320.3	79,218.0	0.1	7,921.8	Decreased respondents because NPPs decreased

**Table 3
10 CFR Part 73, Annual Third Party Disclosure Burden**

Section	Description of requirement	No. of Respondents	Responses per respondent	Responses	Burden per response	Total burden hours	Notes
73.55(k)(8)(iii)	Upon receipt of an alarm or other indication of a threat, the licensee shall notify law enforcement agencies.	60	1	60.0	0.25	15.0	Decreased respondents because NPPs decreased
73.55(p)(1)	The suspension of security measures must be reported and documented in accordance with the provisions of § 73.71	60	5	300.0	0.1	30.0	Decreased respondents because NPPs decreased
73.56(d)	Licensees, applicants, and contractors or vendors shall not initiate any element of a background investigation without the informed and signed consent of the subject individual.	60	16.2	972.0	6.75	6,561.0	Decreased respondents because NPPs decreased
73.56(e)	ensure that a psychological assessment has been completed before the individual is granted unescorted access or certified unescorted access authorization.	60	2.5	150.0	2.25	337.5	Decreased respondents because NPPs decreased
73.56(f)	each person subject to the behavior observation program to report any concerns arising from behavioral observation.	60	10	600.0	0.1	60.0	Decreased respondents because NPPs decreased
73.56(g)	Any individual who has applied for or is maintaining unescorted access shall promptly report any legal action(s) taken by a law enforcement authority or court of law that could result in incarceration or a court order or that requires a court appearance. The licensee shall inform the individual of this obligation, in writing, prior to granting unescorted access or certifying access authorization	60	17.8	1,068.0	0.1	106.8	Decreased respondents because NPPs decreased
73.56(m)(2)	All information pertaining to a denial or unfavorable termination of the individual's unescorted access or unescorted access authorization shall be promptly provided, upon receipt of a written request by the subject individual or his or her designated representative as designated in writing.	60	1	60.0	0.5	30.0	Decreased respondents because NPPs decreased
73.57(b)(3)	licensee shall notify each affected individual that the fingerprints will be used to secure a review of his/her criminal history record, and inform the individual of proper procedures for revising the record or including explanation in the record	114	400	45,600.0	0.5	22,800.0	Increased due to updated numbers of licensees that fall into this category - NPPs (60), NPRs (31), FCFs (7); Decom (10); Irradiated Fuel Shipments (6)

**Table 3
10 CFR Part 73, Annual Third Party Disclosure Burden**

Section	Description of requirement	No. of Respondents	Responses per respondent	Responses	Burden per response	Total burden hours	Notes
73.57(e)	licensee shall make available to the individual the contents of records obtained from the FBI for the purpose of assuring correct and complete information	114	68	7,752.0	0.5	3,876.0	Increased due to updated numbers of licensees that fall into this category - NPPs (60), NPRs (31), FCFs (7); Decom (10); Irradiated Fuel Shipments (6)
73.58(d)	Where potential conflicts are identified, the licensee shall communicate them to appropriate licensee personnel and take compensatory and/or mitigative actions to maintain safety and security under applicable Commission regulations, requirements, and license conditions	60	12	720.0	1	720.0	Decreased respondents because NPPs decreased
73.67(e)(1)	Provide advance notification to the receiver of any planned shipments specifying the mode of transport, estimated time of arrival, location of the nuclear material transfer point, name of carrier and transport identification	6	18.5	111.0	2	222.0	Decreased based on change in transportation
73.67(e)(6)(ii)	Notify the exporter who delivered the material to a carrier for transport of the arrival of such material	0	0	0.0	0.5	0.0	
73.67(g)(1) and(2)	Provide advance notification to the receiver of any planned shipments specifying the mode of transport, estimated time of arrival, location of the nuclear material transfer point, name of carrier and transport identification; Notify the shipper of receipt of the material	6	3.5	21.0	4	84.0	Decreased based on change in transportation
73.67(g)(3)(ii)	Make arrangements to be notified immediately of the arrival of the shipment at its destination, or of any such shipment that is lost or unaccounted for after the estimated time of arrival at its destination	6	3.5	21.0	1	21.0	Decreased based on change in transportation
73.67(g)(5)(ii)	Notify the person who delivered the material to a carrier for transport of the arrival of such material	6	1.75	10.5	1	10.5	Decreased based on change in transportation
TOTAL				136,957.2		42,963.0	

73.37(f) was deleted because identified that it wasn't an information collection requirement "conduct an investigation"

	2018 BURDEN TOTALS		
	Responses	Hours	Cost at \$263/hr
Reporting	40,819.3	22,591.1	\$ 5,941,464
Recordkeeping	210.0	475,852.2	\$ 125,149,136
Third Party Disclosure	136,957.2	42,963.0	\$ 11,299,277
Total	177,986.5	541,406.4	\$ 142,389,878

Recordkeeping costs \$ 50,060
Fingerprint submission \$ 388,340
Total other costs \$ 438,400

Costs to the government \$ 1,183,500

	BURDEN CHANGE					
	Current burden in ROCIS		2018 estimates		Change	
	Hours	Responses	Hours	Responses	Hours	Responses
Reporting	21,394	30,793	22,591	40,819	1,197	10,026
Recordkeeping	495,159	581	475,852	210	(19,307)	(371)
Third Party Disclosure	35,442	123,686	42,963	136,957	7,521	13,271
Total	551,995	155,060	541,406	177,986	(10,589)	22,926