



# Certificate of Representation

For more information, see instructions and 40 CFR 63 subpart UUUUU, 72.24, 97.113, 97.213, 97.313, 97.416, 97.516, 97.616, 97.716, or a comparable state regulation, as applicable.

This submission is:  New  Revised (revised submissions must be complete; see instructions)

**STEP 1**  
Provide information for the plant.

Plant Name		State	Plant Code
County Name			
Latitude		Longitude	

**STEP 2**  
Enter requested information for the designated representative.

Name		Title	
Company Name			
Mailing Address		City	State Zip Code
Phone Number		Fax Number	
E-mail Address			

**STEP 3**  
Enter requested information for the alternate designated representative.

Name		Title	
Company Name			
Mailing Address		City	State Zip Code
Phone Number		Fax Number	
E-mail Address			

**UNIT INFORMATION**

**STEP 4: Complete a separate page 2 for each unit located at the plant identified in STEP 1** (i.e., for each boiler, simple cycle combustion turbine, or combined cycle combustion turbine) Do not list duct burners. Indicate each program to which the unit is subject, and enter all other unit-specific information. See instructions for more details.

**Applicable Program(s):**  Acid Rain       CSAPR NO<sub>x</sub> Ozone Season       CSAPR SO<sub>2</sub> Annual       MATS  
 CSAPR NO<sub>x</sub> Annual

Unit ID#	Unit Type	NAICS Code	Source Category	Generator ID Number (Maximum 8 characters)	Acid Rain Nameplate Capacity (MWe)	MATS/Cross-State Air Pollution Rule Nameplate Capacity (MWe)
Date unit began (or will begin) serving any generator producing electricity for sale (including test generation) (mm/dd/yyyy):			Check One: Actual <input type="checkbox"/> Projected <input type="checkbox"/>	Is this unit located in Indian Country? Check One: Yes <input type="checkbox"/> No <input type="checkbox"/>	Has this unit ever operated at another location? Check One: Yes <input type="checkbox"/> No <input type="checkbox"/>	
Company Name:				<input type="checkbox"/> Owner <input type="checkbox"/> Operator		
Company Name:				<input type="checkbox"/> Owner <input type="checkbox"/> Operator		
Company Name:				<input type="checkbox"/> Owner <input type="checkbox"/> Operator		
Company Name:				<input type="checkbox"/> Owner <input type="checkbox"/> Operator		
Company Name:				<input type="checkbox"/> Owner <input type="checkbox"/> Operator		
Company Name:				<input type="checkbox"/> Owner <input type="checkbox"/> Operator		

**STEP 5: Read the appropriate certification statements that apply (if), sign, and date.**Acid Rain Program

I certify that I was selected as the designated representative or alternate designated representative (as applicable) by an agreement binding on the owners and operators of the affected source and each affected unit at the source (i.e., the source and each unit subject to the Acid Rain Program, as indicated in "Applicable Program(s)" in Step 4).

I certify that I have all necessary authority to carry out my duties and responsibilities under the Acid Rain Program on behalf of the owners and operators of the affected source and each affected unit at the source and that each such owner and operator shall be fully bound by my representations, actions, inactions, or submissions.

I certify that the owners and operators of the affected source and each affected unit at the source shall be bound by any order issued to me by the Administrator, the permitting authority, or a court regarding the source or unit.

Where there are multiple holders of a legal or equitable title to, or a leasehold interest in, an affected unit, or where a utility or industrial customer purchases power from an affected unit under a life-of-the-unit, firm power contractual arrangement, I certify that:

I have given a written notice of my selection as the designated representative or alternate designated representative (as applicable) and of the agreement by which I was selected to each owner and operator of the affected source and each affected unit at the source; and

Allowances, and proceeds of transactions involving allowances, will be deemed to be held or distributed in proportion to each holder's legal, equitable, leasehold, or contractual reservation or entitlement, except that, if such multiple holders have expressly provided for a different distribution of allowances, allowances and proceeds of transactions involving allowances will be deemed to be held or distributed in accordance with the contract.

Cross-State Air Pollution Rule NOX Annual Trading Program

I certify that I was selected as the designated representative or alternate designated representative, as applicable, by an agreement binding on the owners and operators of the source and each CSAPR NOX Annual unit at the source.

I certify that I have all the necessary authority to carry out my duties and responsibilities under the CSAPR NOX Annual Trading Program on behalf of the owners and operators of the source and of each CSAPR NOX Annual unit at the source and that each such owner and operator shall be fully bound by my representations, actions, inactions, or submissions and by any order issued to me by the Administrator regarding the source or unit.

Where there are multiple holders of a legal or equitable title to, or a leasehold interest in, a CSAPR NOX Annual unit, or where a utility or industrial customer purchases power from a CSAPR NOX Annual unit under a life-of-the-unit, firm power contractual arrangement, I certify that:

I have given a written notice of my selection as the 'designated representative' or 'alternate designated representative', as applicable, and of the agreement by which I was selected to each owner and operator of the source and of each CSAPR NOX Annual unit at the source.

CSAPR NOX Annual allowances and proceeds of transactions involving CSAPR NOX Annual allowances will be deemed to be held or distributed in proportion to each holder's legal, equitable, leasehold, or contractual reservation or entitlement, except that, if such multiple holders have expressly provided for a different distribution of CSAPR NOX Annual allowances by contract, CSAPR NOX Annual allowances and proceeds of transactions involving CSAPR NOX Annual allowances will be deemed to be held or distributed in accordance with the contract.

Cross-State Air Pollution Rule NO<sub>x</sub> Ozone Season Trading Program

I certify that I was selected as the designated representative or alternate designated representative, as applicable, by an agreement binding on the owners and operators of the source and each CSAPR NO<sub>x</sub> Ozone Season unit at the source.

I certify that I have all the necessary authority to carry out my duties and responsibilities under the CSAPR NO<sub>x</sub> Ozone Season Trading Program on behalf of the owners and operators of the source and of each CSAPR NO<sub>x</sub> Ozone Season unit at the source and that each such owner and operator shall be fully bound by my representations, actions, inactions, or submissions and by any order issued to me by the Administrator regarding the source or unit.

Where there are multiple holders of a legal or equitable title to, or a leasehold interest in, a CSAPR NO<sub>x</sub> Ozone Season unit, or where a utility or industrial customer purchases power from a CSAPR NO<sub>x</sub> Ozone Season unit under a life-of-the-unit, firm power contractual arrangement, I certify that:

I have given a written notice of my selection as the 'designated representative' or 'alternate designated representative', as applicable, and of the agreement by which I was selected to each owner and operator of the source and of each CSAPR NO<sub>x</sub> Ozone Season unit at the source.

CSAPR NO<sub>x</sub> Ozone Season allowances and proceeds of transactions involving CSAPR NO<sub>x</sub> Ozone Season allowances will be deemed to be held or distributed in proportion to each holder's legal, equitable, leasehold, or contractual reservation or entitlement, except that, if such multiple holders have expressly provided for a different distribution of CSAPR NO<sub>x</sub> Ozone Season allowances by contract, CSAPR NO<sub>x</sub> Ozone Season allowances and proceeds of transactions involving CSAPR NO<sub>x</sub> Ozone Season allowances will be deemed to be held or distributed in accordance with the contract.

Cross-State Air Pollution Rule SO<sub>2</sub> Annual Group 1 Trading Program

I certify that I was selected as the designated representative or alternate designated representative, as applicable, by an agreement binding on the owners and operators of the source and each CSAPR SO<sub>2</sub> Group 1 unit at the source.

I certify that I have all the necessary authority to carry out my duties and responsibilities under the CSAPR SO<sub>2</sub> Group 1 Trading Program on behalf of the owners and operators of the source and of each CSAPR SO<sub>2</sub> Group 1 unit at the source and that each such owner and operator shall be fully bound by my representations, actions, inactions, or submissions and by any order issued to me by the Administrator regarding the source or unit.

Where there are multiple holders of a legal or equitable title to, or a leasehold interest in, a CSAPR SO<sub>2</sub> Group 1 unit, or where a utility or industrial customer purchases power from a CSAPR SO<sub>2</sub> Group 1 unit under a life-of-the-unit, firm power contractual arrangement, I certify that:

I have given a written notice of my selection as the 'designated representative' or 'alternate designated representative', as applicable, and of the agreement by which I was selected to each owner and operator of the source and of each CSAPR SO<sub>2</sub> Group 1 unit at the source.

CSAPR SO<sub>2</sub> Group 1 allowances and proceeds of transactions involving CSAPR SO<sub>2</sub> Group 1 allowances will be deemed to be held or distributed in proportion to each holder's legal, equitable, leasehold, or contractual reservation or entitlement, except that, if such multiple holders have expressly provided for a different distribution of CSAPR SO<sub>2</sub> Group 1 allowances by contract, CSAPR SO<sub>2</sub> Group 1 allowances and proceeds of transactions involving CSAPR SO<sub>2</sub> Group 1 allowances will be deemed to be held or distributed in accordance with the contract.

Cross-State Air Pollution Rule SO<sub>2</sub> Annual Group 2 Trading Program

I certify that I was selected as the designated representative or alternate designated representative, as applicable, by an agreement binding on the owners and operators of the source and each CSAPR SO<sub>2</sub> Group 2 unit at the source.

I certify that I have all the necessary authority to carry out my duties and responsibilities under the CSAPR SO<sub>2</sub> Group 2 Trading Program on behalf of the owners and operators of the source and of each CASPR SO<sub>2</sub> Group 2 unit at the source and that each such owner and operator shall be fully bound by my representations, actions, inactions, or submissions and by any order issued to me by the Administrator regarding the source or unit.

Where there are multiple holders of a legal or equitable title to, or a leasehold interest in, a CSAPR SO<sub>2</sub> Group 2 unit, or where a utility or industrial customer purchases power from a CSAPR SO<sub>2</sub> Group 2 unit under a life-of-the-unit, firm power contractual arrangement, I certify that:

I have given a written notice of my selection as the 'designated representative' or 'alternate designated representative', as applicable, and of the agreement by which I was selected to each owner and operator of the source and of each CSAPR SO<sub>2</sub> Group 2 unit at the source.

CSAPR SO<sub>2</sub> Group 2 allowances and proceeds of transactions involving CSAPR SO<sub>2</sub> Group 2 allowances will be deemed to be held or distributed in proportion to each holder's legal, equitable, leasehold, or contractual reservation or entitlement, except that, if such multiple holders have expressly provided for a different distribution of CSAPR SO<sub>2</sub> Group 2 allowances by contract, CSAPR SO<sub>2</sub> Group 2 allowances and proceeds of transactions involving SO<sub>2</sub> Group 2 allowances will be deemed to be held or distributed in accordance with the contract.

General

I am authorized to make this submission on behalf of the owners and operators of the source or units for which the submission is made. I certify under penalty of law that I have personally examined, and am familiar with, the statements and information submitted in this document and all its attachments. Based on my inquiry of those individuals with primary responsibility for obtaining the information, I certify that the statements and information are to the best of my knowledge and belief true, accurate, and complete. I am aware that there are significant penalties for submitting false statements and information or omitting required statements and information, including the possibility of fine or imprisonment.

Signature (Designated Representative)	Date
Signature (Alternate Designated Representative)	Date



## Instructions for the Certificate of Representation

**NOTE: The Certificate of Representation information can be submitted online through the **CAMD Business System (CBS)**, which can be accessed at <https://camd.epa.gov/cbs/index.cfm>. See the **Certificate of Representation tutorial on the CBS homepage for assistance**. If you have questions about CBS, send an email to [cbs-support@camdsupport.com](mailto:cbs-support@camdsupport.com).**

You must have a user name and password to access CBS. You can obtain a user name and password if: (1) you are currently listed in the CAMD database as a designated representative or an agent for a designated representative, **AND** (2) CAMD has received and processed a hard copy of the Electronic Subscriber Agreement form at [http://www.epa.gov/airmarkets/business/docs/forms/subscriber\\_agreement.pdf](http://www.epa.gov/airmarkets/business/docs/forms/subscriber_agreement.pdf).

Once a person meets the criteria above, he/she may contact one of the following persons to obtain a user name and password: Karen VanSickle at (202) 343-9220, Kirk Nabors at (202) 343-9171 or Paula Branch at (202) 343-9168.

Any reference in these instructions to the designated representative means the Acid Rain designated representative and/or the Cross-State Air Pollution Rule designated representative, as applicable. Any reference to the alternate designated representative means the alternate Acid Rain designated representative and/or the alternate Cross-State Air Pollution Rule designated representative, as applicable. As reflected in this form, the Acid Rain designated representative and the Cross-State Air Pollution Rule designated representative for a plant must be the same individual, and the alternate Acid Rain designated representative and the alternate Cross-State Air Pollution Rule designated representative for a plant must be the same individual.

Please type or print. Submit a separate page 2 for each unit at the plant subject to the Acid Rain Program (ARP), the MATS program, or a Cross-State Air Pollution Rule Program (CSAPR). Include units for which a Retired Unit Exemption notice has been submitted. Indicate the page order and total number of pages (e.g., 1 of 4, 2 of 4, etc.) in the boxes in the upper right hand corner of page 2. **A Certificate of Representation amending an earlier submission supersedes the earlier submission in its entirety and must therefore always be complete.** Submit one Certificate of Representation form with original signature(s). **NO FIELDS SHOULD BE LEFT BLANK.** For assistance, send an email to [cbs-support@camdsupport.com](mailto:cbs-support@camdsupport.com).

### STEP 1

(i) A plant code is a 4 or 5 digit number assigned by the Department of Energy's (DOE) Energy Information Administration (EIA) to facilities that generate electricity. For older plants, "plant code" is synonymous with "ORISPL" and "facility" codes. If the plant generates electricity but no plant code has been assigned, or if there is uncertainty regarding what the plant code is, send an email to the EIA at [EIA-860@eia.gov](mailto:EIA-860@eia.gov). For plants that do not produce electricity, use the plant identifier assigned by EPA (beginning with "88"). If the plant does not produce electricity and has not been assigned a plant identifier, contact Laurel DeSantis at [desantis.laurel@epa.gov](mailto:desantis.laurel@epa.gov).

(ii) Enter the latitude and longitude representing the location of the plant in degree decimal format.

Note that coordinates **MUST** be submitted in decimal degree format; in this format minutes and seconds are represented as a decimal fraction of one degree. Therefore, coordinates containing degrees, minutes, and seconds must first be converted using the formula:

$$\text{decimal degrees} = \text{degrees} + (\text{minutes} / 60) + (\text{seconds} / 3600)$$

Example:

$$39 \text{ degrees, } 15 \text{ minutes, } 25 \text{ seconds} = 39 + (15 / 60) + (25 / 3600) = 39.2569 \text{ degrees}$$

**STEPS 2 & 3**

The designated representative and the alternate designated representative must be individuals (i.e., natural persons) and cannot be a company. Enter the company name and address of the representative as it should appear on all correspondence. If an email address is provided, most correspondence will be emailed. **Although not required, EPA strongly encourages owners and operators to designate an alternate designated representative to act on behalf of the designated representative.**

**STEP 4**

(i) Complete one page for each unit subject to the ARP, MATS, or CSAPR, and indicate the program(s) that the unit is subject to. Identify each unit at the plant by providing the appropriate unit identification number, consistent with the identifiers used in previously submitted Certificates of Representation (if applicable) and with submissions made to DOE and/or EIA. Do not list duct burners. For new units without identification numbers, owners and operators must assign identifiers consistent with EIA and DOE requirements. Each submission to EPA that includes the unit identification number(s) (e.g., monitoring plans and quarterly reports) should reference those unit identification numbers in exactly the same way that they are referenced on the Certificate of Representation. Do not identify units that are not subject to the above-listed programs but are part of a common monitoring configuration with a unit that is subject to any of these programs. To identify units in a common monitoring configuration that are not subject to any of these programs, call the CAMD Hotline at (202) 343-9620, and leave a message under the “Continuous Emissions Monitoring” submenu.

(ii) Identify the type of unit using one of the following abbreviations:

<p><u>Boilers</u></p> <p><b>AF</b> Arch-fired boiler</p> <p><b>BFB</b> Bubbling fluidized bed boiler</p> <p><b>C</b> Cyclone boiler</p> <p><b>CB</b> Cell burner boiler</p> <p><b>CFB</b> Circulating fluidized bed boiler</p> <p><b>DB</b> Dry bottom wall-fired boiler</p> <p><b>DTF</b> Dry bottom turbo-fired boiler</p> <p><b>DVF</b> Dry bottom vertically-fired boiler</p>	<p><u>Boilers</u></p> <p><b>OB</b> Other boiler</p> <p><b>PFB</b> Pressurized fluidized bed boiler</p> <p><b>S</b> Stoker</p> <p><b>T</b> Tangentially-fired boiler</p> <p><b>WBF</b> Wet bottom wall-fired boiler</p> <p><b>WBT</b> Wet bottom turbo-fired boiler</p> <p><b>WVF</b> Wet boiler vertically-fired boiler</p>	<p><u>Turbines</u></p> <p><b>CC</b> Combined cycle</p> <p><b>CT</b> Combustion turbine</p> <p><b>IGC</b> Integrated Gasification Combined Cycle</p> <p><b>OT</b> Other turbine</p> <p><u>Others</u></p> <p><b>ICE</b> Internal Combustion engine</p> <p><b>KLN</b> Cement kiln</p> <p><b>PRH</b> Refinery process heater</p>
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If there is uncertainty about how a unit should be characterized, contact Robert Miller at [miller.robertl@epa.gov](mailto:miller.robertl@epa.gov) or (202) 343-9077.

(iii) Indicate the source category description that most accurately describes the purpose for which the unit is operated by entering one of the following terms. If none of these descriptions applies to your unit, contact Robert Miller at [miller.robertl@epa.gov](mailto:miller.robertl@epa.gov) or (202) 343-9077.

Automotive Stampings  
 Bulk Industrial Chemical  
 Cement Manufacturing  
 Cogeneration  
 Electric Utility

Industrial Boiler  
 Industrial Turbine  
 Institutional  
 Iron and Steel  
 Municipal Waste Combustor

Petroleum Refinery  
 Portland Cement Plant  
 Pulp and Paper Mill  
 Small Power Producer  
 Theme Park

(iv) Provide the primary North American Industrial Classification System (NAICS) code that most accurately describes the business type for which the unit is operated. If unknown, go to <http://www.census.gov> for guidance on how to determine the proper NAICS code for the unit.

(v) Enter the date the unit began (or will begin) serving any generator producing electricity for sale, including test generation. Enter this date and check the "actual" box for any unit that has begun to serve a generator producing electricity for sale as of the date of submission of this form. (This information should be provided even if the unit does not currently serve a generator producing electricity for sale.) For any unit that will begin, but has not begun as of the date of submission of this form, to serve a generator producing electricity for sale, estimate the future date on which the unit will begin to produce electricity for sale and check the "projected" box. When the actual date is established, revise the form accordingly by entering the actual date and checking the "actual" box. Enter "NA" if the unit has not ever served, is not currently serving, and is not projected to serve, a generator that produces electricity for sale. **You are strongly encouraged to use the CAMD Business System to update information regarding the date a unit begins serving a generator producing electricity for sale. See the Certificate of Representation tutorial on the CBS homepage for assistance.**

If you have questions regarding this portion of the form, contact Robert Miller at [miller.robertl@epa.gov](mailto:miller.robertl@epa.gov) or (202) 343-9077.

(vi) For a unit subject to the ARP, MATS, or CSAPR, that, as of the date of submission of this form, serves one or more generators (whether or not the generator produces electricity for sale), enter the generator ID number(s) and the nameplate capacity (in MWe) of each generator served by the unit. A unit serves a generator if it produces, or is able to produce, steam, gas, or other heated medium for generating electricity at that generator. For combined cycle units, report separately the nameplate capacities of the generators associated with the combustion turbine and the steam turbine. Please ensure that the generator ID numbers entered are consistent with those reported to the EIA.

The definitions of "nameplate capacity" under the ARP and MATS/CSAPR Programs differ slightly. Therefore, for a unit subject to the ARP and any MATS/CSAPR Program, the nameplate capacity for the same generator under the ARP and under the MATS/CSAPR Program may differ in certain limited circumstances. Specifically, for a unit subject to the ARP, the nameplate capacity of a generator, if listed in the National Allowance Database ("NADB"), is not affected by physical changes to the generator after initial installation that result in an increase in the maximum electrical generating output that the generator is capable of producing. Otherwise, for a unit subject to the ARP or a MATS/CSAPR Program, the nameplate capacity of a generator is affected by physical changes to the generator after initial installation that result in an increase in the maximum electrical generating output that the generator is capable of producing. In such a case, the higher maximum electrical generating output number in MWe should be reported in the nameplate capacity column. For units subject only to MATS, use the nameplate capacity reported for each generator on U.S. EIA form EIA-860. If the facility does not report to U.S. EIA, use the nameplate capacity displayed on the nameplate of the generator served by the unit. Enter "NA" if, as of the date of submission of this form, the unit does not serve a generator.

See the definition of "nameplate capacity" at 40 CFR 72.2, 97.102, 97.202, 97.302, 97.402, 97.502, 97.602, and 97.702, as applicable. The NADB is located at the CAMD website at <http://www.epa.gov/airmarkets/trading/allocations.html>. If you have questions regarding nameplate capacity, contact Robert Miller at [miller.robertl@epa.gov](mailto:miller.robertl@epa.gov) or (202) 343-9077; if you have questions regarding the NADB, contact Craig Hillock at [hillock.craig@epa.gov](mailto:hillock.craig@epa.gov) or (202) 343-9105.

(vii) Enter the company name of each owner and operator in the "Company Name" field. Indicate whether the company is the owner, operator, or both. For new units, if the operator of a unit has not yet been chosen, indicate that the owner is both the owner and operator and submit a revised form when the operator has been selected within 30 days of the effective date of the selection. EPA must be notified of changes to owners and operators within 30 days of the effective date of the change. **You are strongly encouraged to use the CAMD Business System to provide updated information on owners and operators. See the Certificate of Representation tutorial on the CBS homepage for assistance.**

(viii) Indicate whether or not the unit is located in Indian Country. For more information see the definition of "Indian Country" at 40 CFR 97.402, 97.502, 97.602 and 97.702.



**(ix)** When identifying a unit at a plant for the first time, indicate whether or not the unit ever operated at another location. If the answer is “yes”, the EPA will contact the owners/operator for more information.

## **STEP 5**

Read the appropriate certification statements that apply (if any), sign, and date.

### **Mail this form to:**

For regular/certified mail:

U.S. Environmental Protection Agency  
1200 Pennsylvania Ave., NW  
Mail Code 6204M  
Attention: Designated Representative  
Washington, DC 20460

For overnight mail:

U.S. Environmental Protection Agency  
1201 Constitution Ave., NW  
7<sup>th</sup> Floor, Room # 7421M  
Attention: Designated Representative  
Washington, DC 20004  
(202) 343-9191

**Submit this form prior to making any other submissions under the ARP, MATS or CSAPR Programs. Submit a revised Certificate of Representation when any information in the existing Certificate of Representation changes. You are strongly encouraged to use the CAMD Business System to provide updated information. See the Certificate of Representation tutorial on the CBS homepage for assistance.**

### **Paperwork Burden Estimate**

The public reporting and record keeping burden for this collection of information is estimated to average 15 hours per response annually. Burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose or provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW., Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.