**Figure 1. Public, Login or Registration Page** – Provides submission information and a link for users to register or log in.

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cahps &	urveys and Tools to dvance Patient-Centered Care	
CAHPS Database	You are here: <u>CAHPS Data Submission System</u> > Login	<u>Register</u> Login Help
About the CAHPS Database Health Plan Survey Clinician & Group Survey	The CAHPS Clinician & Group Survey Data Submi	-
Submitting Data Health Plan Clinician & Group Upload DUA     Comparative Data Health Plan Clinician & Group Resources	Welcome Welcome to the CAHPS Clinician & Group Survey Data Submission System. This system is designed to support the online submission of CAHPS survey data to the CAHPS Database. The CAHPS Database is the repository for data from the CAHPS Clinician & Group (CG-CAHPS) Survey and the CAHPS Health Plan Survey. The Data Submission System is closed until 2018.	Existing User? Username: Password: Log In Forgot your password?
Research Data	The following steps are necessary to participate in the CAHPS Clinician & Group Survey Data Submission System:	New User?
Stay Connected	Data Use Agreement: Each Participating Organization is required to sign a Data Use Agreement (DUA) with the practice site list in Excel. The DUA and the practice site list must be uploaded directly to the submission system through the <u>DUA</u> <u>submission portal</u> . If your organization participates in state coalition reporting, please use the DUA with Coalition Report Authorization.	Take a moment to create a new account. Create New Account Resources:
888-806-7108	<ul> <li>CAHPS Clinician &amp; Group Survey Data Use Agreement (PDE, 292 KB; PDF Help)</li> <li>Excel Template for listing the practice sites (XLSX/View in HTML, 11KB; Help)</li> <li>C&amp;G CAHPS Questionnaire: Submitters must upload a copy of the CAHPS</li> <li>Clinician &amp; Group Survey questionnaire that was administered to collect their data. The CAHPS Database Team will review the submitted questionnaire, and then submitters will receive a notice of approval or rejection by email. Questionnaires must adhere to CAHPS survey standards.</li> <li>Clinician &amp; Group Data Specifications: Data files must conform to the following data file layout specifications developed for each survey version:</li> <li>2.0 Survey Instrument (12 or 6 Month Version)</li> <li>Adult Survey 2.0 Data File Specification (PDE, 283 KB; PDE Help)</li> <li>Adult Survey 2.0 Data File Specification (PDE, 302 KB; PDE Help)</li> <li>Child Survey with PCMH Items 2.0 Data File Specification (PDE, 314 KB; PDE Help)</li> <li>Child Survey with PCMH Items 2.0 Data File Specification (PDE, 314 KB; PDE Help)</li> </ul>	<ul> <li>CAHPS Clinician &amp; Group Survey Data Submission Process (PDF, 491 KB; PDF Help)</li> <li>CAHPS Clinician &amp; Group Survey Data Use Agreement (PDF, 292 KB; PDF Help)</li> <li>Excel Template for listing the practice sites (XLSX/View in HTML, 11KB; Help)</li> <li>CAHPS Database Overview (PDF, 104 KB; PDF Help)</li> <li>CAHPS Database Overview (PDF, 104 KB; PDF Help)</li> <li>CAUPS Instrument (12 or 6 Month Version)</li> <li>Adult Survey 2.0 Data File Specification (PDF, 283 KB; PDF Help)</li> <li>Adult Survey with PCMH Items 2.0 Data File Specification (PDF,</li> </ul>
	<ul> <li>Adult Visit Survey 2.0 Data File Specification (<u>PDF</u>, 282 KB; <u>PDF Help</u>)</li> <li>3.0 Survey Instrument</li> <li>Adult Survey 3.0 Data File Specification (<u>English - PDF</u>, 299 KB)</li> <li>Adult Survey 3.0 with PCMH Items Data File Specification (<u>English - PDF</u>, 304 KB)</li> <li>Child Survey 3.0 with PCMH Items Data File Specification (<u>English - PDF</u>, 334 KB)</li> </ul>	<ul> <li>303 KB; <u>PDF Help</u>)</li> <li>Child Survey 2.0 Data File Specification (<u>PDF</u>, 302 KB; <u>PDF</u> <u>Help</u>)</li> <li>Child Survey with PCMH Items 2.0 Data File Specification (<u>PDF</u>, 314 KB; <u>PDF Help</u>)</li> <li>Adult Visit Survey 2.0 Data File Specification (<u>PDF</u>, 282 KB; <u>PDF</u> <u>Help</u>)</li> <li>2.0 Surgey Instrument</li> </ul>
	Example of data files submitted according to data file specifications ( <u>Group</u> , <u>Practice</u> & <u>Sample</u> Files, 3 KB; <u>PDF Help</u> ) <b>Approval Process:</b> Each questionnaire, data use agreement and data file is reviewed by the CAHPS Database Team. The review process can take up to 3 business days. <b>Help:</b> For technical assistance please contact the CAHPS Database Team: • Email: <u>CAHPSDatabase@Westat.com</u> • Phone: 888-808-7108 OMB Control No.: 0935-0197 Expiration 02/28/2019	<ul> <li>3.0 Survey Instrument</li> <li>Adult Survey 3.0 Data File Specification (<u>English - PDF</u>, 299 KB)</li> <li>Adult Survey 3.0 with PCMH Items Data File Specification (<u>English - PDF</u>, 304 KB)</li> <li>Child Survey 3.0 Data File Specification (<u>English - PDF</u>, 269 KB)</li> <li>Child Survey 3.0 with PCMH Items Data File Specification (<u>English - PDF</u>, 334 KB)</li> </ul>

**Figure 2. Registration Form** – The registration process is a two step process. First, participants are requested to enter their contact information, identify their role, as a group, practice site or vendor. The second step requires the user to create a unique ID and password. Based on this information, the database submission system automatically sends an email to have them confirm the email address. After confirming the email the account is approved by the system.

CAHPS Database	You are here: <u>CAHPS Data Submission System</u> > Register R
About the CAHPS     Database     Health Plan Survey	The CAHPS Clinician & Group Survey Data Submission System Account Registration
Clinician & Group Survey	OMB Control No.: 0935-0197 Expiration 02/28/2019
<ul> <li>Submitting Data Health Plan</li> </ul>	
Clinician & Group     Upload DUA	Please provide the following information to register for an account:
<ul> <li>Comparative Data Health Plan</li> </ul>	*Organization Name:
Clinician & Group	*First Name:
Resources	*Last Name:
<ul> <li>Research Data</li> </ul>	Title Position:
	"Address 1:
Stay Connected	Address 2:
	"City:
HPSDatabase@westat.com	*State:Select a state V
	*Zip Code:
8-808-7108 E-mail Updates	*Telephone number: () Ext.:
	Fax number: ()
	*Email Address:
	*Organization Type:Select Organization Type 🗸
	* = Required Field
	Next
	Public reporting burden for this collection of information is estimated to average 5 minutes per response, the estimated time required to complete the form. An agency may not conduct or sponsor, and a person is not required to respond to,
	collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: AHRQ Reports Clearance Officer Attention: PRA, Paperwork Reduction Project (0935-0218) AHRQ, 5600 Fishers Lane, Rockville MD 20857.

#### **Registration Step 1: Provide information**



CAHPS Database	You are here: CAHPS Data S	Submission System > Register		Register Login Help
About the CAHPS     Database     Health Plan Survey     Clinician & Group	The CAHPS CI Account Registration		rvey Data Submissio	n System
Survey			OMB Control No.: 0935-01	97
<ul> <li>Submitting Data</li> </ul>			Expiration 02/28/2019	
Health Plan				
Clinician & Group	Create User Name:			
<ul> <li>Upload DUA</li> </ul>	Create Password:			
<ul> <li>Comparative Data</li> </ul>	Confirm Password:			
Health Plan		The second second second second second		
Clinician & Group		Password Requirements:		
Resources				
<ul> <li>Research Data</li> </ul>		Passwords must be at least 8 cha least one character from each of		
Research Data		Uppercase letter	the following categories:	
In the second		Lowercase letter		
Stay Connected		Number		
		Non-alphanumeric character		
AHPSDatabase@westat.com		Previous	Create User	
88-808-7108	Public reporting burden	for this collection of information i	s estimated to average 5 minutes r	per response the estimated
E-mail Updates			conduct or sponsor, and a person is	
			d OMB control number. Send comr	
	estimate or any other a	spect of this collection of informat	tion, including suggestions for redu	cing this burden, to: AHRQ
	Denete Classes offer	or Attentions DDA Danarwork Ded	uction Project (0935-0218) AHRO,	ECOO Elaborar Lana Daala illa

Figure 3. Home Page. Outlines each of the steps for data submission process.

Campo	Surveys and Tools to Advance Patient-Centered Care
CAHPS Database	You are here: <u>CAHPS Data Submission System</u> > <u>Home</u> > About <u>test user</u> <u>Logout</u> <u>He</u>
About the CAHPS     Database     Health Plan Survey     Clinician & Group     Survey	The CAHPS Clinician & Group Survey Data Submission System Home Ouestionnaires DUA Data
Submitting Data Health Plan Clinician & Group	About   Status   Report   Account Details
<ul> <li>Comparative Data</li> </ul>	About the CAHPS Clinician & Group Data Submission System
Health Plan Clinician & Group Resources	The online CG-CAHPS Data Submission System enables users to submit, track and view the CAHPS Clinician & Group information.
<ul> <li>Research Data</li> </ul>	The following two items are necessary to begin your organization's submission to the CAHPS Database.
Stay Connected	The <b>Data Use Agreement</b> needs to be signed and uploaded by the participating organizations through the DUA Submission Portal to the Submission System along with an Excel file that lists all the practice sites covered under the DU If your organization participates in state coalition reporting, please use the DUA with Coalition Report Authorization. You cannot upload any data files for the participating organization until the DUA is received. Once the DUA is received and approved, you can select the participating organization from the DUA tab and begin the data submission.
3-808-7108 Ì E-mail Updates	<ul> <li>CAHPS Clinician &amp; Group Survey Data Use Agreement (<u>PDF</u>, 185 KB; <u>PDF Help</u>)</li> <li>CAHPS Clinician &amp; Group Survey Data Use Agreement with Coalition Report Authorization (<u>PDF</u>, 224 KB; <u>PDF Help</u>)</li> <li>Excel Template File (<u>XLSX</u>, 11KB; <u>Help</u>)</li> </ul>
	Questionnaires: Upload a copy of the CG-CAHPS questionnaire administered to collect the data. The CAHPS Database review the submitted questionnaire within 3 business days.
	Data Files: First, select the participating organization from the DUA page, and upload the group data file and the practi data file for that participating organization. The group/practice site ID and name must match what is provided in the Ex- file by the participating organization. Then, you can select the survey and approved questionnaire and submit the corresponding sample data for that group.
	Additional information is provided during each step of the submission process. Check the status of your submission(s) under the home page to identify your organization's progress.  • <u>Status</u> : View submission history and detailed data file reports.
	Summary: View a summary report on submitted questionnaire and data files submitted to the CAHPS Database.
	Next Step: Submit Questionnaire
	For more information about submitting CG-CAHPS survey data to the CAHPS Database contact:
	Email: CAHPSDatabase@Westat.com

**Figure 4. DUA Upload Form** – Users enter in group information, upload a completed and signed DUA (pdf) and practice site list (Excel®) to the submission system. System administrators then review the DUA and practice file and approve/reject the submitted documents.

DUA Step 1: Enter group information

	roup DUA Submission Portal	<u>eqister</u>	<u>Login</u>	<u>Help</u>
Data Use Agreement Please enter y	your group Information:			
"Participating Organization Name: "City: "State: "Vendor:	(The same name of Participating Organization in the DUA) Select a state  (The name of the company that adminsters the survey for you. If you do not have a vendor, enter "none" in			
*Number of Practice Sites: *Contact Name: Contact Phone: *Contact Email: *Confirm Email:	the field.)			
* = Required Field Back Next			Back to	top 📀

# DUA Step 2: Upload DUA and practice site list

Up	oload your Data Use Agreer	nent		
Participating Organization Name	:*111			
Upload a DUA:*		Browse		
	(PDF format only)			
Jpload your Practice Site List:*		Browse		
	(Excel format only)			
Jpload Additional Documents:		Browse		
(For example: Practice site nformation)	(Excel, Word and PDF format)			
	Add More			
Comments			~	
			~	
Required				

**Figure 5. Questionnaire Upload Form-** Users upload questionnaire documents in MS Word (doc), Adobe Acrobat (pdf) Text (txt) or Rich Text Format (rtf) format to the submission system and identify which type of questionnaire they are submitting. System administrators then review questionnaires and approve/reject the submitted document.

The CAHPS Clinician & Group Survey Data Submiss Submit Questionnaire	ion System
About   Submit Questionnaire   Summary To submit a questionnaire file, choose the version of the survey you used and the location are reviewed by the CAHPS Database and can take up to 3 business days to be evaluated of each unique questionnaire administered by your organization. Submit a Questionnaire:	
OuestionnaireSelect an instrument	Instructions
Version:*  Questionnaire file Iocation:*  (*.pdf,*.doc,*.docx, *.txt, *.rtf)  Questionnaire wording: (Wording used in question text in survey. It is either "this doctor" or "this provider")	<ul> <li>The following actions must be completed before data can be reviewed by the CAHPS</li> <li>Database: <ul> <li>Provide additional information outside of CAHPS guidelines.</li> <li>Submit a Group, Practice Site and Sample file for review.</li> <li>Provide a contact at the Group.</li> <li>Confirm the data submission so it can be reviewed.</li> </ul> </li> </ul>
Briefly describe what practice sites and/or group used this instrument: Upload Questionnaire *Required	

**Figure 6. Group, Practice Site and Sample Data File Upload Form**– Users upload data files from their local computer. Each file submitted requires information about how the survey was administered. Information such as mode of administration, response rate, fielding period and number sampled are required to complete the submission. Uploaded files are evaluated in real-time to ensure they meet the basic required format. If not, users receive immediate feedback. Once a file is accepted, the data file is loaded it to the database.

							test user	<u>Loqout</u>	<u>Help</u>
The C	AHPS Clin	ician & G	rou	o Surve	ev Data	Submiss	ion Svste	m	
Submit							,		
<u>Home</u>	<u>Questionnaires</u>	DUA Data							
About   9	Submit Data Files	Summary							
Upload	d Sample File:								
·							7		
	t Data: Select file	-	additi	onal inform	nation				
Partici	pating Organizat	ion: 111							
Data fi	iles are being subm	itted for this O	uestion	naire file:					
	Adult Survey 3.0								
	Submitted: 3/8/2		РМ						
	View Questionna	ire							
Group	File (optional)					Submit a File			
No	Group file being s	submitted.				Submit a File			
Practice	e Site File								
Status:		Passe	ed (3/9)	/2018 1:54	:01 PM)				
Filenam				_Practice Si	te File.txt				
File size Date:	9:	906 b		64:01 PM					
	h Version:	3/9/2 False	J18 1:5	94:01 PM					
	case-mix item:	False							
Rows:		3							
Additio	nal Information								
	follow CAHPS sam	pling and fieldi	na auid	elines?*					
Oyes									
ONo									
ODon't	know								
If No or	Don't know, pleas	e describe anv	deviatio	ons from CA	AHPS auidelii	nes in the text			
	ow (e.g., in-office								
						$\sim$			
* = Re	quired Field								
Sampl	e File								
					Brows	se			
							-		
		1	lext >						
							]		

**Figure 7. View Submission Status** – Users can view the status of their account at any time during the submission process for all submissions in their account.

Ome       Questionnaires       DUA       Data         out   Submit Data Files   Summary         e status of each submission is displayed below. If no data files have been submitted there may be no records sho         c Previous   Next >> Records: 1         Status       Status Date       Questionnaire       Group File       Practice File       Sample File       Participating Organize         Confirmed       3/9/2018 2:02:07 PM       Adult Survey 3.0       Passed       111         c Previous   Next >>       Status >>       Status >>       Passed       111	ach submission is displayed below. If no data files have been submitted there may be no records shown.         Next >> Records: 1         Status Date       Questionnaire         Group File       Practice File         Sample File       Participating Organization         3/9/2018 2:02:07 PM       Adult Survey 3.0	ta Summa		n & Group S				
e status of each submission is displayed below. If no data files have been submitted there may be no records sho Previous   Next >> Records: 1 Status Status Date Questionnaire Group File Practice File Sample File Participating Organization Confirmed 3/9/2018 2:02:07 PM Adult Survey 3.0 Passed Passed 111	ach submission is displayed below. If no data files have been submitted there may be no records shown.         Next >> Records: 1       Questionnaire       Group File       Practice File       Sample File       Participating Organization         3/9/2018 2:02:07 PM       Adult Survey 3.0       Passed       Passed       111	ome Ques	tionnaires DUA	Data				
e status of each submission is displayed below. If no data files have been submitted there may be no records sho         Previous       Next >> Records: 1         Status       Status Date       Questionnaire       Group File       Practice File       Sample File       Participating Organization         Confirmed       3/9/2018 2:02:07 PM       Adult Survey 3.0       Passed       Passed       111	ach submission is displayed below. If no data files have been submitted there may be no records shown.         Next >> Records: 1       Questionnaire       Group File       Practice File       Sample File       Participating Organization         3/9/2018 2:02:07 PM       Adult Survey 3.0       Passed       Passed       111	out I Submit	Data Files   Summ	arv				
Status       Status Date       Questionnaire       Group File       Practice File       Sample File       Participating Organization         Confirmed       3/9/2018 2:02:07 PM       Adult Survey 3.0       Passed       Passed       111	Next >> Records: 1       Questionnaire       Group File       Practice File       Sample File       Participating Organization         3/9/2018 2:02:07 PM       Adult Survey 3.0       Passed       Passed       111							
Status       Status Date       Questionnaire       Group File       Practice File       Sample File       Participating Organization         Confirmed       3/9/2018 2:02:07 PM       Adult Survey 3.0       Passed       Passed       111	Next >> Records: 1       Questionnaire       Group File       Practice File       Sample File       Participating Organization         3/9/2018 2:02:07 PM       Adult Survey 3.0       Passed       Passed       111	status of es	ch submission is d	isplayed below. If n	o data files l	have been sub	mitted there r	may be no records shown
Status         Confirmed         3/9/2018 2:02:07 PM         Adult Survey 3.0         Passed         Passed         111	3/9/2018 2:02:07 PM Adult Survey 3.0 Passed Passed 111				o data mes i	lave been sub	initted there i	nay be no records shown.
			Status Date	Questionnaire	Group File	Practice File	Sample File	Participating Organization
Provious   Next >>	Next >>	Status				Daccad	Passed	111
			3/9/2018 2:02:07 PM	Adult Survey 3.0		rasseu		
		Confirmed		1 Adult Survey 3.0		Fasseu		
		Confirmed		1 Adult Survey 3.0		Fosseu		