



University of California
San Francisco

Human Research Protection Program Institutional Review Board (IRB)

Exempt Certification

Principal Investigator

Julia Adler-Milstein, PhD

Study Title: National Survey of Health Information Exchange Organizations (HIO)
IRB #: 18-24520
Reference #: 212946

Committee of Record: Parnassus Panel
Type of Submission: Initial Review Submission Packet
Certification Date: 02/26/2018

IRB Comments:

This research qualifies as exempt under the following category:

(2) Research using educational tests, survey procedures, interview procedures or observation of public behavior, unless: (i) information obtained is recorded in such a manner that human subjects can be identified, directly or through identifiers; and (ii) any disclosure of the human subjects' responses outside the research could reasonably place the subjects at risk of criminal or civil liability or be damaging to the subjects' financial standing, employability, insurability, or reputation.

Modifications: For exempt research only, researchers can make *minor* changes to the study without notifying UCSF IRB. However, significant changes must be submitted to the UCSF IRB. The UCSF IRB website includes [examples of minor vs. significant changes](#). All changes must follow UCSF guidance, and some changes are not allowed in the [consent materials](#).

Study Closeout Report: This study does not have an expiration date. However, you are required to submit a [study closeout report](#) at the completion of the project.

Documents Reviewed and Approved with this Submission (includes all versions – final approved versions are labeled 'Approved' in the Outcome column):

Other Study Documents

Study Document Title	Version #	Version Date	Outcome
Script for HIO Study	Version 1.002	06/2018	Approved

For a list of all currently approved documents, follow these steps: Go to My Studies and open the study – Click on Informed Consent to obtain a list of approved consent documents and Other Study Documents for a list of other approved documents.

San Francisco Veterans Affairs Medical Center (SFVAMC): If the SFVAMC is engaged in this research, you must secure approval of the VA Research & Development Committee in addition to UCSF IRB approval and follow all applicable VA and other federal requirements. The UCSF IRB [website](#) has more information.