**Part 592.5(a) Application for Registration as Importer**



OMB No. 2127-0002

Exp. 04-30-2017

National Highway Traffic Safety Administration

Import and Certification Division Phone: (202) 366-0115

Website Address: [www.nhtsa.dot.gov/cars/rules/import/](http://www.nhtsa.dot.gov/cars/rules/import/)

NHTSA Form 1481

**Section I. Applicant Information**

Applicant Name:

**Last First**

**Middle**

# Date of Birth:

**Position:**

# Business Name:

**Business Address: City:**

# State:

Country

# Zip Code:

# Telephone Number: Fax Number:

# E-mail Address:

**Form of Business Organization:**

**State under which business formed:**

**Section II. Identify Each Partner/ Officer/ Director/ Manager of Applicant's Partnership or Corporation §592.5(a)**

Name

Last First Middle

Date of Birth

Position

Owned %

Business Address

Street, Suite No.

City State/Province

# Country:

Zip Code

Name

Last First Middle

Date of Birth

Position

Owned %

Business Address

Street, Suite No.

City State/Province

# Country:

Zip Code

Name

Last First Middle

Date of Birth

Position Owned %

Business Address

Street, Suite No.

City State/Province

# Country:

Zip Code

(Attach a separate page if there are more than 3 partners/officers/directors/managers)

**Section III. Business Interests**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **I am willing to contract with individual owners to conform to the safety and bumper standards the following types of vehicles those owners import, provided the vehicles have been determined to be eligible for importation pursuant to**  **49 CFR Part 593 (check all that apply):** | | | | | | | |
| **Passenger Cars** | **MPVs** | **Light Duty Trucks (10,000 lb GVWR or less)** | | | **Trailers** | **Buses** | |
| **Heavy Trucks & Tractors (Over 10,000 lb GVWR)** | | | **Motorcycles / Motor Driven Cycles** | **Low-speed Vehicles** | | |  |

**I am willing to modify imported from:**

**Canada**

**All Other Countries**

**Section IV. Petition for an Import Eligibility Decision**

**Yes**

**No**

**I am willing to prepare and submit import eligibility petitions to NHTSA and to pay all required fees for those petitions, as**

**set forth in 49 CFR Parts 593 and 594**

**Section V. Registered Importer Premises**

**Main Office**

Business Address City

State

Country

Zip Code

Telephone Number Fax Number

Date Facility First Used

**Records Storage**

Same as Main Office

Business Address City

State

Country

Zip Code

Telephone Number Fax Number

Date Facility First Used

**Conformance Modifications**

Same as Main Office

Business Address City

State

Country

Zip Code

Telephone Number Fax Number

Date Facility First Used

**Vehicle Storage**

Same as Main Office

Business Address City

State

Country

Zip Code

Telephone Number Fax Number

Date Facility First Used

**Section VI. Principals of the Applicant whom the Applicant Authorizes to submit Conformity Certifications to NHTSA**

Principal Name (Last, First and Middle) Title

Principal Name (Last, First and Middle)

Title

Principal Name (Last, First and Middle)

Title

Principal Name (Last, First and Middle)

Title

\* Principal, with respect to a Registered Importer, means any officer of a corporation, a general partner of a partnership, or the sole proprietor of a sole proprietorship. The term includes a director of an incorporated Registered Importer, and any person whose ownership interest in a Registered Importer is 10% or more.

**Section VII. List of Employees**

**(Attach a separate page if there are more than 4 employees)**

Principal Name (Last, First and Middle)

Title

Principal Name (Last, First and Middle)

Title

Principal Name (Last, First and Middle)

Title

Principal Name (Last, First and Middle)

\*Example: Office Staff - reception, accounting, data entry, mechanic.

\*\*The professional qualifications requirement for the mechanic must be of the applicant or its employees.

Title

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Broker Business Name** |  |  |  |  |  |  |  |  | | | | | |  |  |  |
| **Business Address** |  |  |  |  |  |  | **City** |  |  |  |
| **State/Province** |  |  |  |  |  | **Country** |  |  |  |  | | **Zip Code** |  | |  | |  | |  |  |  |  |
| **Filer Code** | **Contact Info** |  |  |  |  |  |  |  |  |  | |  |  | |  | |  | |  |  |  |  |
| **(Custom Broker Filer Code)** |  |  |  |  |  | **Last** |  |  |  |  | |  |  | |  | |  | | **First** |  |  |  |
| **Telephone Number** |  |  |  |  |  |  | **Fax Number** |  |  |  | |  |  | |  | |  | |  |  |  |  |
|  | **Section IX. S** | **urety that Issues DOT Conformance** | | | | |  | |  |  |  |  | | | | | |  |  |  |  |
| **Surety Business Name** |  |  |  |  |  |  |  |  |  |  |  | |  | |  |  |  |  |
| **Business Address** |  |  |  |  |  |  | **City** |  |  |  |  | |  | |  |  |  |  |
| **State/Province** |  |  |  |  |  | **Country** |  |  |  |  |  | |  | |  | **Zip Code** |  |  |
| **Surety Code** | **Contact Info** |  |  |  |  |  |  |  |  |  |  | |  | |  |  |  |  |
| **(CBP Assigned Surety Code)** |  |  |  |  |  | **Last** |  |  |  |  |  | |  | |  |  |  | **First** |
| **Telephone Number** |  |  |  |  |  |  | **Fax Number** |  |  |  |  | |  | |  |  |  |  |

**Section X. Mandatory Service Insurance Policy Provider**

Business Name

Business Address City

State/Province

# Country:

Zip Code

Contact Info

Last First

**Section VIII. Customs Broker**

Telephone Number Fax Number

**Section XI. Associated with EPA Licensed Independent Commercial Importer (ICI) - for Importing Non-Canadian Certified Vehicles**

ICI Name (Last, First and Middle)

Business Address City

State

Country

Zip Code

Contact Info

Last

First

Telephone Number Fax Number

**Section XII. Certification, Acknowledgement & Disclosure**

(§ 592.5(a)(6)) **By checking this box, I hereby certify that I have never had a registration revoked pursuant to 49 CFR 592.7, nor is my company or was my company, directly or indirectly owned or controlled by, or under common ownership or control with, a person who has had a registration revoked pursuant to 592.7.**

(§ 592.5(a)(11)) **By checking this box, I hereby certify that I have read and understand the duties of a Registered Importer, as set forth in 49 CFR 592.6 and that I will fully comply with each such duty.**

(§ 592.5(a)(11)) **By checking this box, I hereby certify that all the information provided in this application is true and correct.**

(§ 592.5(a)(11)) **By checking this box, I hereby certify that I understand that, in the event the registration for which I am applying is suspended or revoked, or lapses, I will remain obligated to notify owners and to remedy noncompliances or safety related defects, as required by 49 CFR 592.6(j), for each vehicle for which I have furnished a certificate of conformity to NHTSA.**

(§ 592.5(a)(9)) **By checking this box, I acknowledge that I am able to acquire and maintain information regarding the vehicles that I import and**

**the mes and addresses of the vehicles that I import or for which I furnish certificates of conformity to NHTSA in order to notify such owners when a noncompliance or a defect related to motor vehicle safety has been determined to exist in such vehicles. I further acknowledge that I must maintain a record system capable of acquiring and maintaining names, addresses and telephone numbers of the owners of those vehicles to provide such notification. I understand it is my obligation to find and notify the current owner, at the time of a recall notification is required on such vehicles and to locate owners by my records, state records, or by using a private company to obtain the name and address of the vehicle owner. I acknowledge that I will be financially able to remedy a noncompliance or safety-related defect even through replacement of such vehicles, or am technically able to remedy such noncompliances or defects through repair of the vehicles.**

(§ 592.5(a)(10)) **If applicable, I have segregated and specified any part of the information and data submitted in or with this application that I**

**wish to have withheld from public disclosure in accordance with 49 CFR Part 512, on the basis that it is confidential business information, I have complied with all requirements of that part to preserve the confidentiality of this information, including the filing of the appropriate certificate in support of the request, as set forth in Appendix A to Part 512.**

**Section XIII. Documentation Preparation & Enclosures**

(§ 592.5(a)(3)) Identity of Person preparing this application (if different from applicant.)

Name (Last, First and Middle) Title

Business Address City

State/Province

Zip Code

Submission of Application Date

Country

I have attached to this application enclosures identified by the form entitled "RI Application Checklist" as set forth in 49 CFR § 592.5.

Applicant's Signature Date

(Signature must be acknowledged by a notary (§ 592.5(A)(12))

Notary's Signature

Date

na

|  |  |  |  |
| --- | --- | --- | --- |
| **Section XIV. RI Application Checklist** | | | |
| **√** | **N/A** | **No.** | **Enclosures with the following material or information should accompany the "Application for Registration as Importer (Registered Importer)"** |
|  |  | 1 | Information sufficient to establish that the applicant owns or leases one or more facilities in the United States sufficient in nature and size to repair, conform, and store the vehicles for which it provides certification of conformance to NHTSA including a copy of the deed or lease for each such facility, video photographs of each such facility, and the street address and telephone number of each such facility. (§ 592.5(a)(9)(ii)) |
|  |  | 2 | A narrated digital DVD video that shows the facilities the applicant proposes to use to conduct its business as an RI. This must be formatted to play in Windows Media Player® or in QuickTime®. Suitable video formats include MPEG® and AVI. The recording must include footage of the office space and office equipment the applicant will use in its RI business, including file cabinets or other devices that will be used to store the records an RI must maintain. The recording must also show the area outside the building as having secure vehicle storage space and the premises inside the building that will be used for performing conformance modifications on imported nonconforming vehicles. Footage must also be provided that shows that the applicant has procured a current copy of Title 49, Code of Federal Regulations, Parts 400 to 599.  (§ 592.5(a)(9)(ii)) |
|  |  | 3 | If the applicant is a non-public corporation, the applicant must provide a statement issued by the Office of the Secretary of State, or other responsible official of the State in which the applicant is incorporated, certifying that the applicant is a corporation in good standing. The application also must include the full name, street address, and date of birth of each officer, director, manager, and person who is authorized to sign documents on behalf of the corporation and the name of any person who owns or controls 10% or more of the corporation. (§ 592.5(a)(4)(iii)) |
|  |  | 4 | If the applicant is a public corporation, the applicant must include a copy of its latest 10 -K filing with the Securities and Exchange Commission, and provide the name and address of any person who is authorized to sign documents on behalf of the corporation. (§ 592.5(a)(4)(iv)) |
|  |  | 5 | If the applicant is a corporation not organized under the laws of a State of the United States, or is a sole proprietorship or partnership located outside the United States, the application must be accompanied by the applicant's designation of a permanent resident of the U.S. as the applicant's agent for service of process in the form specified by 49 CFR § 551.45.  (§ 592.5(a)(5)(v)) |
|  |  | 6 | Proof that the applicant has ordered a current copy of 49 CFR Parts 400-599 from the Government Printing Office. (§ 592.5(a)(9), (11)) |
|  |  | 7 | A cashiers check or certified check or authorized credit card on file made payable to the *Treasurer of the United States* in the amount specified under 49 CFR Part 594 to cover the cost of the application. (§ 592.5(a)(7)) |
|  |  | 8 | A copy of the Safety Recall Service Contract the applicant has entered with an independent insurance company, with notarized signatures, to cover the obligations the applicant will incur as an RI with respect to conducting safety recall campaigns. (§ 592.5(a) (8)) |
|  |  | 9 | A copy of the current business license issued to the applicant to do business as an importer or modifier or seller of motor vehicles or a statement that the applicant has made a bona fide inquiry and is not required by State or local authority to have such a license or document. (§ 592.5(a)(5)(iii)) |
|  |  | 10 | Information sufficient to establish that the applicant is technically able to modify any nonconforming motor vehicle to all applicable Federal motor vehicle safety and bumper standards. This information should include, but not be limited to, the professional qualifications of the applicant and its employees at the time of the application (such as whether any such persons have been licensed as mechanics), and a description of their experience in conforming and repairing vehicles.  (§ 592.5(a)(9)(i)) |
|  |  | 11 | Information sufficient to establish that the applicant is financially and technically able to provide notification of and to remedy a noncompliance with an FMVSS or a defect related to motor vehicle safety in the vehicles that it imports through repair, repurchase or replacement of such vehicles. (§ 592.5(a)(9)(iii)) |
|  |  | 12 | Information sufficient to establish that the applicant is able to acquire and maintain information regarding the vehicles that it imports and the names and addresses of the owner of the vehicles that it imports or for which it furnishes certificates of conformity to NHTSA in order to notify such owners when a noncompliance or a defect related to motor vehicle safety has been determined to exist in such vehicles. (§ 592.5(a)(9)(iv)) |

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**NHTSA FORM 1481**