### SUPPORTING STATEMENT ALASKA AMERICAN FISHERIES ACT (AFA) REPORTS OMB CONTROL NO. 0648-0401

This is a resubmission, with the final rule, of a request for revision of an existing information collection. One report is being removed as part of an associated rule [RIN 0648-BH88]. No comments were received on this information collection, and there are no changes to this request.

### **INTRODUCTION**

National Marine Fisheries Service, Alaska Region (NMFS) manages the groundfish fisheries of the Bering Sea and Aleutian Islands Management Area in the Exclusive Economic Zone off Alaska. The North Pacific Fishery Management Council (Council) prepared the Fishery Management Plan for Groundfish of the Bering Sea and Aleutian Islands Management Area (FMP) under the authority of the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C. 1801 *et seq.* (Magnuson-Stevens Act) and other applicable laws. Regulations implementing the FMP are at 50 CFR part 679.

The Bering Sea (BS) pollock fishery is managed under the American Fisheries Act (AFA). The AFA was signed into law in October 1998. The purpose of the AFA was to tighten U.S. ownership standards for U.S. fishing vessels under the Anti-reflagging Act and to provide the BS pollock fleet the opportunity to conduct its fishery in a more rational manner while protecting non-AFA participants in the other fisheries. The AFA established sector allocations in the BS pollock fishery, determined eligible vessels and processors, allowed the formation of cooperatives, set limits on the participation of AFA vessels in other fisheries, and imposed special catch weighing and monitoring requirements on AFA vessels.

Ten cooperatives were developed as a result of the AFA: seven inshore cooperatives, two offshore cooperatives, and one mothership cooperative. In recent years, two of the inshore cooperatives have consolidated to operate as a single cooperative. Therefore, six inshore cooperatives are actively participating in the BS pollock fishery. The two offshore cooperatives are the Pollock Conservation Cooperative (PCC) representing offshore catcher/processors and the High Seas Catcher Vessel Cooperative (HSCC) representing catcher vessels that traditionally delivered to catcher/processors. PCC and the HSCC joined together to submit a single AFA cooperative report, so they are considered a single cooperative for purposes of respondents in this information collection. The Mothership Fleet Cooperative represents catcher vessels that deliver to motherships. This brings the total number of active AFA cooperatives for purposes of this information collection to eight.

NMFS proposes to remove the AFA inshore cooperative weekly catch report from this information collection as part of the rulemaking to close directed fishing for AFA and Crab Rationalization Program sideboard limits that are not large enough to support a directed fishery ("small sideboards") (RIN 0648-BH88). Removing this report is not related to the small sideboards action. This report is no longer needed because NMFS obtains the necessary information through its catch accounting system and through data collected by observers under the North Pacific Observer Program.

### **<u>1. Explain the circumstances that make the collection-of-information necessary.</u></u>**

This information collection describes the annual and periodic reporting requirements for AFA cooperatives. These requirements include reports about on-going fishing operations of the cooperatives and reports specifically focused on efforts to minimize salmon bycatch in the BS pollock fishery.

The requirement for AFA inshore processors to submit the AFA inshore cooperative weekly catch report was implemented in the Emergency Rule for the AFA in 2000 (65 FR 4520; January 28, 2000). The requirement was made permanent in a recordkeeping and reporting rulemaking in 2002 (67 FR 4100; January 28, 2002). The rationale for requiring this report was to collect information NMFS needed to monitor cooperative fishing activity and enforce pollock allocations.

In conducting the 2018 renewal of this information collection, NMFS identified that the AFA inshore cooperative weekly catch report is no longer needed to manage the AFA inshore pollock allocations. Information from this report has been superseded by more contemporary, electronic reporting. NMFS has direct and immediate access to observer and landings data to track the cooperatives' catch; therefore it does not need this information submitted by the cooperatives to monitor the BS pollock fisheries.

The proposed rule for the small sideboards action proposes removing the requirement for the AFA inshore cooperative weekly catch report at 50 CFR 679.5(o) and 679.62(b)(3). Removing this reporting requirement would reduce costs for the public and for NMFS to process this report.

This information collection would be revised to contain the components listed in the following table. There are no forms associated with this information collection.

Component	Regulations 50 CFR part 679	
Requirements Related to On-going C	perations of the Cooperatives	
AFA cooperative contract	§ 679.61(d) and (e)	
AFA annual cooperative report	§ 679.61(f)	
Requirements Related to Minimizing Salmon Bycatch		
Incentive Plan Agreement (IPA)	§ 679.21(f)(12)	
Administrative appeals to disapproved IP	A §§ 679.21(f)(12)	
IPA annual report	§ 679.21(f)(13)	

2. <u>Explain how, by whom, how frequently, and for what purpose the information will be</u> <u>used. If the information collected will be disseminated to the public or used to support</u> <u>information that will be disseminated to the public, then explain how the collection</u> <u>complies with applicable NOAA Information Quality Guidelines</u>.

Sections a through c, below, present the information collection requirements related to the formation and on-going operations of the AFA cooperatives.

### a. AFA Cooperative Contract [NO CHANGE]

Any fishery cooperative formed under section 1 of the Fisherman's Collective Marketing Act 1934 (15 U.S.C. 521) (FCMA) for the purpose of cooperatively managing directed fishing for BS subarea pollock must submit to NMFS an annual cooperative contract. The AFA cooperatives that meet this requirement are the six permitted AFA inshore cooperatives, the catcher/processor sector cooperative consisting of PCC and the HSCC, and the MFC, for a total of eight respondents.

Annually, each AFA cooperative must file with the Council and NMFS—

- a signed copy of its fishery cooperative contract;
- any material modifications to the cooperative contract;
- a copy of a letter from a party to the contract requesting a business review letter on the fishery cooperative from the Department of Justice; and
- any response to such letter of request.

The Council and NMFS will make this information available to the public upon request.

The requirement to submit information about the cooperative contract was included by Congress in section 201(a)(1)(A) of the AFA and further defined in regulations at 50 CFR 679.61(d) and (e). The purpose of this requirement is to provide the public, Council, and NMFS with information about the organization and fishing operations of the AFA cooperatives. Making this information public provides transparency about the AFA cooperatives.

If the cooperative contract was previously filed with NMFS and the Council, a renewal letter may be submitted to NMFS and the Council by the filing deadline in lieu of the cooperative contract and business review letter. The renewal letter must provide notice that the previously filed cooperative contract will remain in effect for the subsequent fishing year. The renewal letter also must detail any material modifications to the cooperative contract that have been made since the last filing including, but not limited to, any changes in cooperative membership.

The cooperative contract or renewal letter and the required supporting materials are submitted to NMFS and the Council by mail or courier and must be received at least 30 days prior to the start of any fishing activity conducted under the terms of the contract. In addition, an inshore cooperative that is also applying for an allocation of BS subarea pollock under 50 CFR 679.62 must file its contract, any amendments hereto, and supporting materials no later than December 1

of the year prior to the year in which fishing under the contract will occur.

The AFA cooperative contract must contain the following:

#### **AFA Cooperative Contract**

Requirements for all fishery cooperatives.

List parties to the contract.

List all vessels and processors that will harvest and process pollock harvested under the cooperative.

Specify the amount or percentage of pollock allocated to each party to the contract.

Specify a designated representative and agent for service of process.

Include a contract clause under which the parties to the contract agree to make payments to the State of Alaska for any pollock harvested in the directed pollock fishery that are not landed in the State of Alaska, in amounts which would otherwise accrue had the pollock been landed in the State of Alaska subject to any landing taxes established under Alaska law. Failure to include such a contract clause or for such amounts to be paid will result in a revocation of the authority to form fishery cooperatives under section 1 of the Fisherman's Collective Marketing Act of June 25, 1934 (15 U.S.C. 521 *et seq.*).

Obligations of AFA cooperative members to ensure full payment of cost recovery fees

Additional required elements in all fishery cooperatives that include AFA catcher vessels

Adequate provisions to prevent each non-exempt member catcher vessel from exceeding an individual vessel sideboard limit for each BSAI or GOA sideboard species or species group that is issued to the vessel by the cooperative in accordance with the following formula:

- The aggregate individual vessel sideboard limits issued to all member vessels in a cooperative must not exceed the aggregate contributions of each member vessel towards the overall groundfish sideboard amount as calculated by NMFS under § 679.64(b) and as announced to the cooperative by the Regional Administrator, or
- In the case of two or more cooperatives that have entered into an inter-cooperative agreement, the aggregate individual vessel sideboard limits issued to all member vessels subject to the inter-cooperative agreement must not exceed the aggregate contributions of each member vessel towards the overall groundfish sideboard amount as calculated by NMFS under § 679.64(b) and as announced by the Regional Administrator.

The inshore cooperatives file a copy of their contract or the renewal letter with their AFA inshore cooperative permit application (approved under OMB collection 0648-0393). NMFS does not process the inshore cooperative permit application unless it has received a copy of the contract or renewal letter.

The estimated costs to the Federal government were revised to zero because the costs associated with processing and filing the inshore cooperative contract are already included in the Federal government time and cost estimates for the AFA inshore cooperative permit application approved under 0648-0393, and the costs of filing the information received annually from the other two AFA cooperatives is negligible.

AFA annual cooperative contract, Respondent	
Estimated number of respondents	8
Total annual responses	8
Response per respondent = 1	
Total burden hours	64 hr
Time per response = 8 hours	
Total personnel cost (\$75/hr)	\$4,800
Total miscellaneous costs (24.10)	\$24
Photocopy (10 pp x $.05 \times 8 = 4$ )	

Postage (1.35 x 6 = 8.10)	
Fax (\$6 x 2 = 12)	
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AFA annual cooperative contract, Federal Government	
Total annual responses	0
Total burden hours	0 hr
Total personnel cost	\$0
Total miscellaneous cost	0

### b. AFA Annual Cooperative Report [NO CHANGE]

The AFA annual cooperative reports are required to provide information about how each cooperative allocated pollock, other groundfish species, and prohibited species among the vessels in the cooperative; the catch of these species by area by each vessel in the cooperative; information about how the cooperative monitored fishing by its members; and a description of any actions taken by the cooperative to penalize vessels that exceeded the catch and prohibited species catch allocations made to the vessel by the cooperative. The purpose of the reports is to provide the Council information about the on-going operations and performance of the cooperatives on which to base its decisions about management of the BS pollock fishery.

Each AFA cooperative must submit a final AFA annual cooperative report on fishing activity to the Council by mail or courier. The report must be postmarked or received by the Council by April 1 of the following year.

The AFA annual cooperative report must contain the following:

#### **AFA Annual Cooperative Report**

Cooperative's allocated catch of pollock and sideboard species

Any sub-allocations of pollock and sideboard species made by the cooperative to individual vessels on vessel-byvessel basis

Cooperative's actual retained and discarded catch of pollock, sideboard species, and PSC on an area-by-area basis and on a vessel-by-vessel basis

Method used to monitor fisheries in which cooperative vessels participated

Actions taken in response to any vessels that exceed their allowed catch and bycatch in pollock and all sideboard fisheries

Total weight of pollock landed outside the State of Alaska on a vessel-by-vessel basis

Number of salmon taken by species and season

List each vessel's number of appearances on the weekly "dirty 20" lists for non-Chinook salmon

The AFA cooperative annual reports are posted on the Council's website

(<u>https://www.npfmc.org/cooperative-reporting/</u>). The Pollock Conservation Cooperative and High Seas Catchers' Cooperative submit a joint report; therefore, the analysis below uses eight respondents.

The respondent's burden hours were increased to 16 hours based on a comment received on this renewal (see #8 below). The Federal government costs were revised to zero because this report is submitted to the Council and is not submitted to NMFS.

AFA annual cooperative report, Respondent	
Estimated number of respondents	8
Total annual responses	8
Response per respondent = 1	
Total burden hours	128 hr
Time per response = 16 hr	
Total personnel cost (\$75/hr)	\$9,600
Total miscellaneous costs (14.80)	\$15
Photocopy (10 pp x $.05 \times 8 = 4$ )	
Postage $(1.35 \times 8 = 10.80)$	

AFA annual cooperative report, Federal Government	
Total annual responses	0
Total burden hours	0 hr
Total personnel cost	\$0
Total miscellaneous cost	0

### c. AFA Inshore Cooperative Weekly Catch Report [REMOVE—no longer needed]

NMFS proposes removing the requirement for the AFA inshore cooperative weekly catch report because it is no longer needed to manage the BS pollock fisheries.

Currently, the designated representative of each AFA inshore processor catcher vessel cooperative must submit this report to NMFS within one week of a delivery. This report details each delivery of pollock harvested under the allocation made to that cooperative. The owners of the member catcher vessels in the cooperative are jointly responsible for compliance.

Sections d through f, below, present the information collection requirements related to minimizing salmon bycatch in the BS pollock fishery.

### d. Incentive Plan Agreement (IPA) amendment [NO CHANGE]

An IPA is an industry-developed contractual agreement that establishes an incentive program to minimize Chinook salmon and chum salmon bycatch at all levels of salmon abundance. Participation in an IPA is voluntary; however, any vessel or Community Development Quota (CDQ) group that chooses not to participate in an IPA is subject to a restrictive opt-out allocation (also called a backstop cap).

Since 2010, three NMFS-approved IPAs have been in place: the Chinook Salmon Bycatch Reduction Incentive Plan and Agreement (, the Mothership Salmon Savings Incentive Plan Agreement, and the Inshore Chinook Salmon Savings Incentive Plan Agreement. These IPAs include all participants in the BS pollock fishery. As all of the participants are part of an IPA, no new IPAs are expected; therefore, this element was renamed to focus on IPA amendments.

The current, approved IPAs may be viewed at\_ https://alaskafisheries.noaa.gov/fisheries/incentive-plan-agreements. An amendment to an approved IPA may be submitted at any time. However, once a member of an IPA, a vessel owner or CDQ group cannot withdraw from the IPA during the fishing year.

The IPA representative submits the proposed amended IPA to NMFS either electronically or by mail. Amendments to an IPA must include the identification number that was assigned by NMFS when it approved the IPA.

An amendment to an approved IPA is effective upon written notification of approval by NMFS to the IPA representative. Once approved, an IPA is effective until December 31 of the first year in which it is effective or until December 31 of the year in which the IPA representative notifies NMFS in writing that the IPA is no longer in effect, whichever is later.

An IPA must include an affidavit affirming that each eligible vessel owner or CDQ group, from whom the IPA representative received written notification requesting to join the IPA, has been allowed to join the IPA subject to the same terms and conditions that have been agreed on by, and are applicable to, all other parties to the IPA.

An IPA must identify at least one third party group. Third party groups include any organizations representing western Alaskans who depend on salmon and have an interest in salmon bycatch reduction but do not directly fish in a groundfish fishery.

An IPA must include the following information: **Incentive Plan Agreement (IPA)** Affidavit Name of the IPA IPA representative name, telephone number, and email address Third party group Description of the IPA Incentive(s) to ensure each vessel avoid Chinook salmon and chum salmon bycatch under any condition of pollock and Chinook salmon abundance in all years How the incentives to avoid chum salmon do not increase Chinook salmon bycatch Rewards for avoiding Chinook salmon and penalties for failure to avoid Chinook salmon at the vessel level How IPA incentive measures will promote reductions in a vessel's Chinook salmon and chum salmon bycatch rates relative to what would have occurred in absence of the incentive program How the incentive measures in the IPA promote Chinook salmon savings and chum salmon savings in any condition of pollock abundance or Chinook salmon abundance in a manner that is expected to influence operational decisions by vessel operators to avoid Chinook salmon and chum salmon How the IPA ensures that the operator of each vessel governed by the IPA will manage that vessel's Chinook salmon bycatch to keep total bycatch below the performance standard for the sector in which the vessel participates How the IPA ensures that the operator of each vessel governed by the IPA will manage that vessel's chum salmon bycatch to avoid areas and times where the chum salmon are likely to return to western Alaska A rolling hot spot program for salmon bycatch avoidance that operates throughout the entire A and B seasons and an agreement to provide notifications of closure areas and any violations of the rolling hot spot program to the third party organization representing western Alaskans who depend on salmon and do not directly fish in a groundfish fishery. Restrictions or penalties targeted at vessels that consistently have significantly higher Chinook salmon PSC rates relative to other vessels fishing at the same time.

Require vessels to enter a fishery-wide in-season salmon prohibited species catch data sharing agreement Require use of salmon excluder devices, with recognition of contingencies, from January 20 to March 31, and from September 1 until the end of the B season Require for savings-credit-based IPAs that the salmon savings credits last for a maximum of three years. Restrictions or performance criteria used to ensure that Chinook salmon PSC rates in October are not significantly higher than those achieved in the preceding months.

Compliance agreement.

IPA must include written statement that all IPA parties agree to comply with all provisions of IPA. Signatures.

The names and signatures of the owner or representative for each vessel and CDQ group that is a party to the IPA. The representative of an inshore cooperative, or the representative of the entity formed to represent the AFA catcher/processor sector or the AFA mothership sector may sign a proposed IPA on behalf of all vessels that are members of that inshore cooperative or sector level entity

One amended IPA was submitted in 2015, three in 2016, and one in 2017. The three amendments received in 2016 were necessary for the IPAs to be compliant with regulatory changes due to a final rule. In the next three years, we do not expect revisions to the BS salmon bycatch management measures and expect to receive only minor amendments to approved IPAs. Based on these data, we estimate that we may receive one amended IPA per year over the next three years; therefore, one respondent was used for this analysis.

IPA amendment, Respondent	
Estimated number of respondents	1
Total annual responses	1
Response per respondent = 1	
Total burden hours	50 hr
Time per response = 50 hr	
<b>Total personnel cost</b> = \$165/hr	\$8,250
Total miscellaneous cost (1.40)	\$1
Photocopy (10 pp x $.05 = 0.50$ )	
Postage (0.90 = 0.900)	

IPA amendment, Federal Government	
Total annual responses	1
Total burden hours	15 hr
Time per response = 15 hr	
<b>Total personnel cost</b> = \$75/hr	\$1,125
Total miscellaneous cost	0

### e. Administrative Appeals to Disapproved IPA [NO CHANGE]

An IPA representative who receives an IAD disapproving a proposed IPA may appeal under the procedures set forth at 50 CFR 679.43. If the IPA representative fails to file an appeal of the IAD pursuant to § 679.43, the IAD will become the final agency action. If the IAD is appealed and the final agency action is a determination to approve the proposed IPA, then the IPA will be effective as described in 50 CFR 679.21(f)(12)(iv)(B).

In 2015, 2016, and 2017, no IPAs or amendments to IPAs have been disapproved by NMFS, and no appeals have been filed. For purposes of this analysis, one response is used. The respondent personnel cost was adjusted to reflect the assumption that most people would hire an attorney to prepare an appeal. An adjustment was also made to reflect the current Federal government personnel cost (\$125/hr instead of \$75/hr).

IPA appeals, Respondent	
Estimated number of respondents	1
Total annual responses	1
1 response per year = 1	
Total burden hours = 4 hr	4 hr
<b>Total personnel cost</b> (\$37/hr x 4)	\$148
Total miscellaneous cost (501.60)	\$502
Attorney at \$125 per hour: 500	
Mail (1.35 x 1 = 1.35)	
Photocopy (5 pp x 0.05 x 1 = 0.25)	

IPA appeals, Federal Government	
Total annual responses	1
<b>Total burden hours</b> = 2 hr	2 hr
Total personnel cost (\$125/hr)	\$250
Total miscellaneous cost	0

### f. IPA Annual Report [NO CHANGE]

The IPA annual report is the primary tool through which the Council evaluates the effectiveness of IPAs in reducing Chinook salmon and chum salmon bycatch in the BS pollock fishery. Information gathered through the annual reports is necessary for the Council to evaluate the salmon bycatch management measures and to provide the public with information about how the program operates and information about bycatch reduction under this program.

The IPA representative must submit an IPA annual report to the Council. The IPA annual report must be received by the Council no later than March 15.

The IPA annual report must contain the following information:

#### **IPA Annual Report**

Incentive measures in effect in the previous year, including rolling hot spot program and salmon excluder use How incentive measures affected individual vessels

- Were incentive measures effective in achieving salmon savings beyond levels that would have been achieved in absence of the measures, including effectiveness of the following:
  - Measures to ensure that chum salmon were avoided in areas and at times when chum salmon return to western Alaska
  - Restrictions or penalties that target vessels that consistently have significantly higher Chinook salmon PSC rates relative to other vessels
  - Restrictions or performance criteria used to ensure that Chinook PSC rates in October are not significantly higher than in previous months.
- Amendments to the IPA terms that were approved by NMFS since the last annual report and the reasons that the amendments to the IPA were made

Sub-allocation to each participating vessel of the number of Chinook salmon PSC and amount of pollock (mt) at the start of each fishing season,

Number of Chinook salmon PSC and amount of pollock (mt) caught at the end of each season.

In-season transfer of Chinook salmon PSC and pollock among AFA cooperatives, entities eligible to receive Chinook salmon PSC allocations, or CDQ groups

Date of transfer

Name of transferor

Name of transferee Number of Chinook salmon PSC transferred Amount of pollock (mt) transferred In-season transfers among vessels participating in the IPA Date of transfer Name of transferor Name of transferee Number of Chinook salmon PSC transferred Amount pollock (mt) transferred

The IPA annual reports are posted on the Council's website (<u>https://www.npfmc.org/cooperative-reporting/</u>).

The respondent's burden hours were increased based on a comment received on this renewal (see #8 below). The Federal government costs were revised to zero because this report is submitted to the Council and is not submitted to NMFS.

IPA annual report, Respondent	
Estimated number of respondents	3
Total annual responses	3
Response per respondent = $1$	
Total burden hours	240 hr
Time per response = 80 hr	
Total personnel cost (\$165/hr)	\$39,600
Total miscellaneous costs (14.85)	\$15
Photocopy (10 pp x $.05 x 3 = 1.50$ )	
Fax (\$6 x 2 = 12)	
Postage (1.35 x 1 = 1.35)	

IPA annual report, Federal Government	
Total annual responses	0
Total burden hours	0 hr
Total personnel cost	\$0
Total miscellaneous cost	0

### <u>If the information collected will be disseminated to the public or used to support</u> <u>information that will be disseminated to the public, then explain how the collection</u> <u>complies with all applicable Information Quality Guidelines</u>

The information collection is designed to yield data that meet all applicable information quality guidelines. Prior to dissemination, the information will be subjected to quality control measures and a pre-dissemination review pursuant to Section 515 of Public Law 106-554 (the Information Quality Act), which requires NMFS to ensure the quality, objectivity, utility, and integrity of information it publicly disseminates. Public dissemination of data collected by this information collection is governed by NOAA's information quality guidelines, which were issued on October 30, 2014 (http://www.cio.noaa.gov/services\_programs/IQ\_Guidelines\_103014.html).

It is anticipated that the information collected will be disseminated to the public or used to support publicly disseminated information.

The AFA annual cooperative reports and IPA annual reports are posted on the Council's website. AFA cooperative contracts are available to the public upon request. Proposed and approved IPAs are posted on the NMFS Alaska Region website. Personally identifiable information and confidential business information submitted in an administrative appeal is not released to the public. Final administrative appeal decisions with redactions are posted on the NMFS National Appeals Office website (https://www.fisheries.noaa.gov/about/office-management-budget).

NMFS and the National Appeals Office will retain control over the information and safeguard it from improper access, modification, and destruction, consistent with NOAA standards for confidentiality, privacy, and electronic information. See Question 10 of this Supporting Statement for more information on confidentiality and privacy.

### 3. <u>Describe whether, and to what extent, the collection of information involves the use of</u> <u>automated, electronic, mechanical, or other technological techniques or other forms of</u> <u>information technology</u>.

The IPA annual report and the AFA cooperative contract may be submitted by fax.

### 4. Describe efforts to identify duplication.

Some of the information reporting requirements in this collection require industry members to report to NMFS or the Council about the catch and bycatch by individual vessels in a cooperative. This information is available to NMFS through data collected by the observers on these vessels and by catch and production reports submitted by vessel operators. However, some of the vessel specific information requirements are specifically included in the American Fisheries Act and others were implemented by the Council to require cooperative members to report vessel specific information to the Council and public that would be difficult for NMFS to report directly due to confidentiality considerations.

# 5. <u>If the collection of information involves small businesses or other small entities,</u> <u>describe the methods used to minimize burden</u>.

None of the entities that participate in the AFA pollock fisheries are considered small entities.

# 6. <u>Describe the consequences to the Federal program or policy activities if the collection is</u> <u>not conducted or is conducted less frequently</u>.

This information collection is required to manage commercial fishing efforts under section 201(a)(1)(A) of the AFA, under the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq*.), and under 50 CFR part 679. This collection provides the Council and NMFS with information about the organization and fishing operations of the AFA cooperatives, allocations to the AFA cooperatives, and the effectiveness of the Chinook salmon and chum salmon bycatch management measures. This information is necessary to ensure long-term conservation and abundance of salmon and pollock, maintain a healthy marine ecosystem, and provide maximum benefit to fishermen and communities that depend on salmon and pollock. It would not be

possible to carry out the mandates of the AFA and the Magnuson-Stevens Act if approval to continue this previously approved collection were denied.

## 7. <u>Explain any special circumstances that require the collection to be conducted in a</u> <u>manner inconsistent with OMB guidelines</u>.

No special circumstances exist.

8. <u>Provide information on the PRA Federal Register Notice that solicited public comments</u> on the information collection prior to this submission. Summarize the public comments received in response to that notice and describe the actions taken by the agency in response to those comments. Describe the efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.</u>

NMFS Alaska region published a proposed rule (RIN 0348-BH88) in the <u>Federal Register</u> on August 16, 2018 (83 FR 40733), soliciting public comments. The comment period ended on September 17, 2018. No comments were received on the information collection requirements in this request.

## 9. <u>Explain any decisions to provide payments or gifts to respondents, other than</u> <u>remuneration of contractors or grantees</u>.

No payment or gift is provided under this program.

# 10. <u>Assurance of confidentiality provided to respondents and the basis for this assurance in statute, regulation, or agency policy</u>.

All information collections by NMFS Alaska Region are protected under confidentiality provisions of section 402(b) of the Magnuson-Stevens Act and under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics. None of the information submitted under this information collection contains confidential business information All of the elements in this information collection are posted on the NMFS Alaska Region website or made available to the public upon request. Personally identifiable information and confidential business information submitted in an administrative appeal are not released to the public. Final administrative appeal decisions with redactions are posted on the NMFS National Appeals Office website (<u>https://www.fisheries.noaa.gov/about/office-management-budget</u>).

The System of Records Notice that covers this information collection is <u>COMMERCE/NOAA-19</u>, <u>Permits and Registrations for United States Federally Regulated Fisheries</u>. A notice was published in the *Federal Register* on August 7, 2015 (80 FR 47457), and became effective September 15, 2015 (80 FR 55327).

### 11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.

This information collection does not involve information of a sensitive nature.

### 12. Provide an estimate in hours of the burden of the collection of information.

Estimated total respondents: 8 (unchanged). Estimated total responses: 21, decreased from 171. Estimated total burden: 486 hr, decreased from 599 hr. Estimated total personnel costs: \$57,748, decreased from \$66,223.

### 13. Provide an estimate of the total annual cost burden to the respondents or recordkeepers resulting from the collection (excluding the value of the burden hours in Question 12 above).`

Estimated total miscellaneous costs: \$557, decreased from \$572.

### 14. Provide estimates of annualized cost to the Federal government.

Estimated total responses: 2, decreased from 152. Estimated total burden: 17, decreased from 92. Estimated total personnel cost: \$1,375, decreased from \$4,150.

### 15. Explain the reasons for any program changes or adjustments.

### **Program Change**

NMFS proposes to remove the requirement for the AFA inshore cooperative weekly catch report at 50 CFR 679.5(o) and 679.62(b)(3) because this report is no longer needed to manage the AFA inshore pollock allocations.

### **Current Request**

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	Status	Responses	Hours	Personnel costs	Record keeping/ Reporting Costs	Responses	Hours	Personnel costs	Record keeping/ Reporting costs	
AFA Annual Cooperative Report	No change	8	128	\$9,600	\$15	8	128	\$9,600	\$15	
AFA Inshore Cooperative Weekly Catch Report	Removed	-	-	-	-	150	113	\$8,475	\$15	

### **Previous Request**

Incentive Plan Agreement amendment	No change	1	50	\$8,250	\$1	1	50	\$8,250	\$1
IPA Annual Report	No change	3	240	\$39,600	\$15	3	240	\$39,600	\$15
AFA cooperative contract	No change	8	64	\$4,800	\$24	8	64	\$4,800	\$24
IPA appeals	No change	1	4	\$148	\$502	1	4	\$148	\$502
Total burden requested under this ICR:		21	486	\$57,748	\$557	171	599	\$66,223	\$572

# 16. <u>For collections whose results will be published, outline the plans for tabulation and publication</u>.

Proposed and approved IPAs, renewal letters, and IPA annual reports are posted on the NMFS Alaska Region website at <u>https://alaskafisheries.noaa.gov/fisheries/incentive-plan-agreements</u>. AFA cooperative contracts and AFA annual cooperative reports are available to the public upon request.

Final administrative appeal decisions with redactions are posted on the NMFS National Appeals Office website (<u>https://www.fisheries.noaa.gov/about/office-management-budget)</u>.

## 17. <u>If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate</u>.

Not Applicable.

### 18. Explain each exception to the certification statement.

Not Applicable.

### **B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS**

This collection does not employ statistical methods.