G.410 - PHS 398 Career Development Award Supplemental Form

PHS 398 Career Development Award Supplemental Form

[View Burden Statement]

OMB Number: 0925-0001
Expiration Date: 3/31/2020

Introduction

1. Introduction to Application
   (for Resubmission and Revision applications) [Add Attachment] [Delete Attachment] [View Attachment]

Candidate Section

2. Candidate Information and Goals for Career Development [Add Attachment] [Delete Attachment] [View Attachment]

Research Plan Section

3. Specific Aims [Add Attachment] [Delete Attachment] [View Attachment]

4. * Research Strategy [Add Attachment] [Delete Attachment] [View Attachment]

5. Progress Report Publication List
   (for Renewal applications) [Add Attachment] [Delete Attachment] [View Attachment]

6. Training in the Responsible Conduct of Research [Add Attachment] [Delete Attachment] [View Attachment]

Other Candidate Information Section

7. Candidate's Plan to Provide Mentoring [Add Attachment] [Delete Attachment] [View Attachment]

Mentor, Co-Mentor, Consultant, Collaborators Section

8. Plans and Statements of Mentor and Co-Mentor(s) [Add Attachment] [Delete Attachment] [View Attachment]

9. Letters of Support from Collaborators, Contributors, and Consultants [Add Attachment] [Delete Attachment] [View Attachment]

Environment and Institutional Commitment to Candidate Section

10. Description of Institutional Environment [Add Attachment] [Delete Attachment] [View Attachment]

11. Institutional Commitment to Candidate's Research Career Development [Add Attachment] [Delete Attachment] [View Attachment]

Other Research Plan Sections

12. Vertebrate Animals [Add Attachment] [Delete Attachment] [View Attachment]

13. Select Agent Research [Add Attachment] [Delete Attachment] [View Attachment]

14. Consortium/Contractual Arrangements [Add Attachment] [Delete Attachment] [View Attachment]

15. Resource Sharing [Add Attachment] [Delete Attachment] [View Attachment]

16. Authentication of Key Biological and/or Chemical Resources [Add Attachment] [Delete Attachment] [View Attachment]

PHS 398 Career Development Award Supplemental Form

Appendix

17. Appendix

[Add Attachments] [Delete Attachments] [View Attachments]

* Citizenship

18. * U.S. Citizen or Non-Citizen National?   ☐ Yes   ☐ No

If no, select most appropriate Non-U.S. Citizen option

☐ With a Permanent U.S. Resident Visa

☐ With a Temporary U.S. Visa

☐ Not Residing in the U.S.

If you are a non-U.S. citizen with a temporary visa applying for an award that requires permanent residency status, and expect to be granted a permanent resident visa by the start date of the award, check here: ☐

10. Description of Institutional Environment

Who must complete the "Description of Institutional Environment" attachment:

The "Description of Institutional Environment" attachment is required.

Format:

Follow the page limits for the Description of Institutional Environment in the NIH Table of Page Limits unless otherwise specified in the FOA.

Attach this information as a PDF file. See NIH's Format Attachments page.

Content:

Mentored CDA applicants: Describe the institution's research and career development opportunities related to your area(s) of interest, including the names of key faculty members and other investigators relevant to your proposed developmental plan and capable of productive collaboration with the candidate. Indicate how the necessary facilities and other resources will be made available for both career enhancement and the research proposed in this application – refer to the resources description in G.220 - R&R Other Project Information Form, Facilities and Other Resources in your "Description of Institutional Environment" attachment. Describe opportunities for intellectual interactions with other investigators, including courses offered, journal clubs, seminars, and presentations.

The "Description of Institutional Environment" attachment must include a signed letter on institutional letterhead from a President, Provost, Dean, Department Chair, or other key institutional leader that describes and acknowledges institutional commitment to the following areas:

- Ensuring that proper policies, procedures, and oversight are in place to prevent discriminatory harassment and other discriminatory practices;
- Responding appropriately to allegations of discriminatory practices, including any required notifications to the HHS Office of Civil Rights; and
- Adopting and following institutional procedure for requesting NIH prior approval of a change in the status of the Program Director/Principal Investigator (PD/PI) or other senior/key personnel if administrative or disciplinary action is taken that impacts the ability of the PD/PI or other key personnel to continue his/her role on the NIH award as described in the training grant application.

For more information:

- NIH Guide Notice on Harassment and Discrimination Protections in NIH Career Development Applications
- NIH Grants Policy Statement, Section 4.1.2: Civil Rights Protections.
- NIH Grants Policy Statement, Section 8.1.2.6: Change in Status, Including Absence of PD/PI and Other Senior/Key Personnel Named in the NoA.

Non-mentored CDA applicants: Describe the institution's research and career development opportunities related to your area(s) of interest, including the names of other faculty members who are willing to collaborate with you. Indicate how the necessary facilities and other resources will be made available for both career enhancement and the research proposed in this application – refer to the resources description in G.220 - R&R Other Project Information Form, Facilities and Other Resources in your "Description of Institutional Environment" attachment. Describe opportunities for intellectual interactions with other investigators, including journal clubs, seminars, and presentations.

The "Description of Institutional Environment" attachment must include a signed letter on institutional letterhead from a President, Provost, Dean, Department Chair, or other key institutional leader that describes and acknowledges institutional commitment to the following areas:

- Ensuring that proper policies, procedures, and oversight are in place to prevent discriminatory harassment and other discriminatory practices;
- Responding appropriately to allegations of discriminatory practices, including any required notifications to the HHS Office of Civil Rights; and
- Adopting and following institutional procedure for requesting NIH prior approval of a change in the status of the Program Director/Principal Investigator (PD/PI) or other senior/key personnel if administrative or disciplinary action is taken that impacts the ability of the PD/PI or other key personnel to continue his/her role on the NIH award as described in the training grant application.

For more information:

- NIH Guide Notice on Harassment and Discrimination Protections in NIH Career Development Applications
- NIH Grants Policy Statement, Section 4.1.2: Civil Rights Protections.
- NIH Grants Policy Statement, Section 8.1.2.6: Change in Status, Including Absence of PD/PI and Other Senior/Key Personnel Named in the NoA.

G. 420 – PHS 398 Research Training Program Plan Form

View Burden Statement

PHS 398 Research Training Program Plan

OMB Number: 0925-0001
Expiration Date: 3/31/2020

Introduction

1. Introduction to Application (for Resubmission and Revision applications) — Add Attachment | Delete Attachment | View Attachment

Training Program Section

2. * Program Plan — Add Attachment | Delete Attachment | View Attachment

3. Plan for Instruction in the Responsible Conduct of Research — Add Attachment | Delete Attachment | View Attachment

4. Plan for Instruction in Methods for Enhancing Reproducibility — Add Attachment | Delete Attachment | View Attachment

5. Multiple PD/PI Leadership Plan (if applicable) — Add Attachment | Delete Attachment | View Attachment

6. Progress Report (for Renewal applications) — Add Attachment | Delete Attachment | View Attachment

Faculty, Trainees and Training Record Section

7. Participating Faculty Biosketches — Add Attachment | Delete Attachment | View Attachment

8. Letters of Support — Add Attachment | Delete Attachment | View Attachment

9. Data Tables — Add Attachment | Delete Attachment | View Attachment

Other Training Program Section

10. Vertebrate Animals — Add Attachment | Delete Attachment | View Attachment

11. Select Agent Research — Add Attachment | Delete Attachment | View Attachment

12. Consortium/Contractual Arrangements — Add Attachment | Delete Attachment | View Attachment

Appendix

13. Appendix — Add Attachments | Delete Attachments | View Attachments

8. Letters of Support

Format:

Combine all Letters of Support into a single PDF file and attach this information here. Do not place these letters in the Appendix. Follow the attachment guidelines on NIH's Format Attachments page.

The Letters of Support instructions will be changing, effective January 25, 2019. Please note that there are two sets of "Content" instructions below, based on the application due dates.

For applications submitted for due dates <u>on or before January 24, 2019</u>:

Content:

Attach letters here from:

- Consultants, if applicable. Letters should include rate/charge for consulting services and confirm their role(s) in the project.
- Senior Administration Officials. This letter should be a signed letter on institutional letterhead, and it should describe the applicant institution's commitment to the planned program.

Check the FOA (particularly for non-NRSA programs) to determine whether any additional program-specific letters of support are required.

For applications submitted for due dates <u>on or after January 25, 2019</u>:

Content:

Attach letters here from:

- Consultants, if applicable. Letters should include rate/charge for consulting services and confirm their role(s) in the project.
- Senior Administration Officials. This letter should be a signed letter on institutional letterhead, and it should describe the applicant institution's commitment to the planned program.
- A President, Provost, Dean, Department Chair, or other key institutional leader. This letter should be a signed letter on institutional letterhead, and it should describe and acknowledge institutional commitment to the following areas:
  - o Ensuring that proper policies, procedures, and oversight are in place to prevent discriminatory harassment and other discriminatory practices;
  - o Responding appropriately to allegations of discriminatory practices, including any required notifications to the HHS Office of Civil Rights; and
  - o Adopting and following institutional procedure for requesting NIH prior approval of a change in the status of the Program Director/Principal Investigator (PD/PI) or other senior/key personnel if administrative or disciplinary action is taken that impacts the ability of the PD/PI or other key personnel to continue his/her role on the NIH award as described in the training grant application.

Check the FOA (particularly for non-NRSA programs) to determine whether any additional program-specific letters of support are required.

For more information:

- NIH Guide Notice on Harassment and Discrimination Protections in NIH Training Applications.

- [NIH Grants Policy Statement, Section 4.1.2: Civil Rights Protections](#).
- [NIH Grants Policy Statement, Section 8.1.2.6: Change in Status, Including Absence of PD/PI and Other Senior/Key Personnel Named in the NoA](#).

G.430 - PHS Fellowship Supplemental Form

PHS Fellowship Supplemental Form

View Burden Statement

Introduction

1. Introduction to Application
   (for Resubmission applications)   [Add Attachment] [Delete Attachment] [View Attachment]

Fellowship Applicant Section

2. * Applicant's Background and Goals
   for Fellowship Training   [Add Attachment] [Delete Attachment] [View Attachment]

Research Training Plan Section

3. * Specific Aims   [Add Attachment] [Delete Attachment] [View Attachment]

4. * Research Strategy   [Add Attachment] [Delete Attachment] [View Attachment]

5. * Respective Contributions   [Add Attachment] [Delete Attachment] [View Attachment]

6. * Selection of Sponsor and Institution   [Add Attachment] [Delete Attachment] [View Attachment]

7. Progress Report Publication List
   (for Renewal applications)   [Add Attachment] [Delete Attachment] [View Attachment]

8. * Training in the Responsible Conduct of
   Research   [Add Attachment] [Delete Attachment] [View Attachment]

Sponsor(s), Collaborator(s), and Consultant(s) Section

9. Sponsor and Co-Sponsor Statements   [Add Attachment] [Delete Attachment] [View Attachment]

10. Letters of Support from Collaborators,
    Contributors, and Consultants   [Add Attachment] [Delete Attachment] [View Attachment]

Institutional Environment and Commitment to Training Section

11. Description of Institutional Environment
    and Commitment to Training   [Add Attachment] [Delete Attachment] [View Attachment]

Other Research Training Plan Section

Vertebrate Animals

The following item is taken from the Research & Related Other Project Information form and repeated here for your reference. Any change to this item must be made on the Research & Related Other Project Information form.

Are Vertebrate Animals Used?   ☐ Yes   ☐ No

12. Are vertebrate animals euthanized?   ☐ Yes   ☐ No

   If "Yes" to euthanasia
      Is method consistent with American Veterinary Medical
      Association (AVMA) guidelines?   ☐ Yes   ☐ No

      If "No" to AVMA guidelines, describe method and provide
      scientific justification

13. Vertebrate Animals   [Add Attachment] [Delete Attachment] [View Attachment]

PHS Fellowship Supplemental Form

Other Research Training Plan Information

14. Select Agent Research		Add Attachment	Delete Attachment	View Attachment
15. Resource Sharing Plan		Add Attachment	Delete Attachment	View Attachment
16. Authentication of Key Biological and/or Chemical Resources		Add Attachment	Delete Attachment	View Attachment

Additional Information Section

17. Human Embryonic Stem Cells

* Does the proposed project involve human embryonic stem cells? ☐ Yes ☐ No

If the proposed project involves human embryonic stem cells, list below the registration number of the specific cell line(s) from the following list: http://stemcells.nih.gov/research/registry/. Or, if a specific stem cell line cannot be referenced at this time, please check the box indicating that one from the registry will be used:

☐ Specific stem cell line cannot be referenced at this time. One from the registry will be used.

Cell Line(s):

☐ X [ ]

[Add]

18. Alternate Phone Number: [ ]

19. Degree Sought During Proposed Award:

Degree:	If "other", indicate degree type:	Expected Completion Date (MM/YYYY):	
[▼]	[ ]	[ ]	Reset Entry

20. * Field of Training for Current Proposal:

[▼]

21. * Current or Prior Kirschstein-NRSA Support? ☐ Yes ☐ No

If yes, identify current and prior Kirschstein-NRSA support below:

* Level	* Type	Start Date *(if known)*	End Date *(if known)*	Grant Number *(if known)*	
X [ ]	[ ]	[ ]	[ ]	[ ]	Reset Entry

[Add]

22. * Applications for Concurrent Support ☐ Yes ☐ No

If yes, describe in an attached file: [ ] Add Attachment Delete Attachment View Attachment

23. * Citizenship:

U.S.Citizen   U.S. Citizen or Non-Citizen National? ☐ Yes ☐ No

Non-U.S.Citizen   ☐ With a Permanent U.S. Resident Visa

☐ With a Temporary U.S. Visa

If you are a non-U.S. citizen with a temporary visa applying for an award that requires permanent residency status, and expect to be granted a permanent resident visa by the start date of the award, check here: ☐

24. ☐ Change of Sponsoring Institution   Name of Former Institution:

[ ]

PHS Fellowship Supplemental Form

Budget Section

All Fellowship Applicants:

25. * Tuition and Fees: ☐ None Requested   ☐ Funds Requested:

Year 1 _____
Year 2 _____
Year 3 _____
Year 4 _____
Year 5 _____
Year 6 *(when applicable)* _____

Total Funds Requested: _____

Senior Fellowship Applicants Only:

	Amount	Academic Period	Number of Months	
26. Present Institutional Base Salary:	_____	▼	_____	Reset Entry

27. Stipends/Salary During First Year of Proposed Fellowship:

	Amount	Number of Months
a. Federal Stipend Requested:	_____	____
b. Supplementation from Other Sources:	_____	____

Type (e.g., sabbatical leave, salary)
_____

Source
_____

Appendix

28. Appendix   [Add Attachments] [Delete Attachments] [View Attachments]

11. Description of Institutional Environment and Commitment to Training

Who must complete the "Description of Institutional Environment and Commitment to Training" attachment:

The "Description of Institutional Environment and Commitment to Training" attachment is required, and includes "Educational Information" for F30 and F31 applications.

Format:

Follow the page limits for the Description of Institutional Environment and Commitment to Training in the NIH Table of Page Limits unless otherwise specified in the FOA.

Attach this information as a PDF file. See NIH's Format Attachments page.

Content:

Document a strong, well-established research program related to the candidate's area of interest. Describe opportunities for intellectual interactions with other individuals in training and other investigators, including courses offered, journal clubs, seminars, and presentations. Indicate the facilities and other resources that will be made available for both career enhancement and the research proposed in this application. Refer to the resources description in G.220 - R&R Other Project Information Form, Facilities and Other Resources, and information provided in the Sponsor and Co-sponsor Statements attachment.

The "Description of Institutional Environment and Commitment to Training" attachment must include a signed letter on institutional letterhead from a President, Provost, Dean, Department Chair, or other key institutional leader that describes and acknowledges institutional commitment to the following areas:

- Ensuring that proper policies, procedures, and oversight are in place to prevent discriminatory harassment and other discriminatory practices;
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For more information:

- NIH Guide Notice on Harassment and Discrimination Protections in NIH Fellowship Applications.
- NIH Grants Policy Statement, Section 4.1.2: Civil Rights Protections.
- NIH Grants Policy Statement, Section 8.1.2.6: Change in Status, Including Absence of PD/PI and Other Senior/Key Personnel Named in the NoA.

F30 and F31 applications: Educational Information

Describe the institution's dual-degree (F30) or graduate (F31) program in which the applicant is enrolled. This description should include the structure of the program, the required milestones and their usual timing, the number of courses, any teaching commitments, clinical requirements, qualifying exams, and the average time to degree over the past 10 years. Describe the progress/status of the applicant in relation to the program's timeline, and the frequency and method by which the program formally monitors and evaluates a student's progress.

For F30 applications specifically, describe any clinical tutorials during the graduate research years and any activities to ease transition from the graduate to the clinical

years of the dual-degree program. Describe any research-associated activities during the clinical years of the dual-degree program.

Include the name of the individual providing this information at the end of the description. This information is typically provided by the director of the graduate program or the department chair.