Bureau of Alcohol, Tobacco, Firearms and Explosives

Application for Explosives License or Permit

Section A (Must be completed by all applicants.) Please print all information in block letters.					FOR ATF USE ONLY				
1. Name of Applicant (If partne	ership, include name of	each partner)							
2. Trade Name or Busniess Nar	ne, if any	3. Employer Identific Security Number (Information)	cation Number (EIN) (SSN) (Voluntary-see I		Attach 2" x 2" Photograph Here				
4. Name of County in Which Business is Located					(See Instruction 8)				
5b. Mailing Address (If differen	t from address in Item 5	a.)			NOTE: A completed FD-258 (Fingerprir Identification Card) must accompany this				
					application. (See Instruction 8)				
 6. Location (If no street address, distance from nearest post og 8. Are you presently engaged in (If yes, provide date business) 	ficer or city limits)		7. Telephone Nur Business (Residence (Emergency (Fax (E-Mail Address)))) s	e Area Code) 8 U.S.C., Chapter 40, Explosives? No				
9. Is or will your business and/	or operations be: (Check	k appropriate box)							
Sole Proprietor	Partnership Cor	poration Limit	ed Liability Company	y Ot	ther (Specify)				
	sk one) Cashier's Check or Aoney Order <i>(Enclosed</i>) Visa [Mastercard] American Express	Discover Diners Clu				
Credit/Debit Card Number (No	dashes)	Name as	Printed on the Credi	it/Debit Card	d Expiration Date (Month & year				
Address:									
Credit/Debit Card Billing Address: City:		State:		Z	Zip Code:				
Please Complete to Ensure Pa	vment is Credited to the	e Correct Application	:						
I am Paying the Application F	-				Total Application Fees:				
I Authorize ATF to Charge my	Credit/Debit Card the	Above Amount.							
Sig	nature of Cardholder				Date				

Your credit/debit card will be charged the above stated amount upon receipt of the application. The charge will be reflected on your credit/debit card statement. In the event your application is NOT approved, the above amount will be credited to the credit/debit card noted above.

Responsible Person(s) List

11. Provide information for each individual owner, partner, and all other responsible persons (See Definition 3) in the trade or business operations identified in section A, block 2. List all names used by each responsible person (*i.e.*, nicknames, maiden name, name from previous marriage, etc.) (If additional space is needed use a separate sheet.)

Full Name (If the individual is an alien, also provide his/her U.Sissued alien number or admission number.) a	Position at Business b	Social Security Number (Voluntary- will help prevent misidentification) c	Home Address and E-mail Address (<i>Include ZIP Code</i>) d	Telephone Numbers (H <i>ome/Work)</i> e	Date of Birth (<i>Month/Day/</i> <i>Year</i>) (XX/XX/XXXX) f	Place of Birth	Coutry/ Countries of Citi- zenship h	Sex i	Ethnicity j	Race (Mark all that apply) j
									Are you Hispanic or Latino? Yes No	Alaska Native Asian Asian Black or Aftrican American Native Hawaiian or Other Pacific slanders White
									Are you Hispanic or Latino? Yes No	Alaska Native
			2-5						Are you Hispanic or Latino? Yes No	American Indian or Alaska Native Asian Black or Aftrican American Native Hawaiian or Other Pacific slanders White
									Are you Hispanic or Latino? Yes No	American Indian or Alaska Native Asian Black or Aftrican American Native Hawaiian or Other Pacific slanders White
									Are you Hispanic or Latino? Yes No	American Indian or Alaska Native Asian Black or Aftrican American Native Hawaiian or Other Pacific slanders

ATF E-Form 5400.13/5400.16 Revised ()

12. Application is made for an explosives license or permit u			Chapter 40, as	· •	8 ,		I
Explosives License	Fee	Renewal Fee	Explosives License		Fee	Renewal Fee	
Manufacturer (Check the types of explosives you plan to manufacture:)			User of Explored to use:)	osives (Check the	types of explosives you plan		
High Explosives Low Explosives			High Ex	plosives	Fireworks	\$100	\$50
Theatrical Flash Powder Fireworks	\$200	\$100	Blasting	Agents	Other (Specify)	\$100	\$50
Blasting Agents Other (Specify)			Low Ex	plosives			
Importer (Check the types of explosives you plan to import:)			Limited Pern	nit (Intractate Onl	y) (Check the types of	<u> </u>	
importer (Check the types of explosives you plan to import.)				<i>u plan to use:)</i>	y) (Check the types of		
High Explosives Fireworks			High Ex	plosives	Fireworks		
Blasting Agents Other (Specify)	\$200	\$100	Blasting	Agents	Other (Specify)	\$25	\$12
Low Explosives			Low Ex	plosives			
Dealer (Check the types of explosives you plan to deal in:)							
High Explosives Low Explosives	¢200	¢100					
Blasting Agents Fireworks	\$200	\$100					
Black Powder Other (Specify)							
					Total Fees	\$	
13. Is a State or local license or permit required for explosive	e husin	ess and/or	r operations?	If ves provide lic		ied for	
license/permit but not yet obtained, provide date of appli			s/Numbers or		ense/permit numbers, if uppi		No
14. Is the applicant or any person named in item 11; (All que	ations			×	w "No" how) (Cino full datai	·	Yes No
on a separate sheet for all "yes" answer in item 14.)	estions	musi de a	nswerea by ch	ecking the les o	w No Dox.) (Give juli delal	15	ies no
a. A fugitive from justice?							
b. An unlawful user of, or addicted to, marijuana or any	depre	ssant, stin	nulant, or narc	otic drug, or any	other controlled substance?		
c. Under indictment or information in any court for a fe one year? (An information is a formal accusation of	elony, o <i>`a crim</i>	r any cim e by a pro	e, for which th secutor:) (See	ne judge could im Definition 1.)	prison that person for more the	nan	
d. An alien in the United States? (If "yes," attach an ex					a lawful permanent resident o	or a	
lawful nonimmigrant or refugee/asylee.) (See Definit		-			ment Attached.		
If the individual is an alien, provide the U.Sissued a							
e. Presently appealing a conviction of a crime punishable statement showing date of conviction, court in which c					? (If "yes." attach an expland Statement Attache	-	
15. Has the applicant or any person named in item 11 EVER	: (Give	full detai	ils on a separa	te sheet for all "y	ves" answers in item 15.)		Yes No
a. Been convicted in any court of a felony , or any other crime, for which the judge could have imprisoned that person for more than one year, even if he or she received a shorter sentence, including probation? <i>(See Definition 1 and Exception 1.)</i>							
				<u>^</u>	,		
b. Been adjudicated metally defective <i>(which includes in committed to a mental institution?</i>	naving	been aaju	aicatea incom	petent to manage	e his or her own affairs) or be	en	
c. Been discaharged from the Armed Forces under dish	onora	ble condit	ions?				
d. Renounced his or her United States citizenship?							
Section B (Must be completed)							
16. Hours of Operation and/or Availability of Business Activ		-					
Time Sunday Monday Tueso	day	W	ednesday	Thursday	Friday	Satu	rday
Open							
Close							
17. Applicant's Business and/or Operation is Located in:							
A Commercial Building	Reside	ence		Other (Specify)			

18. Applicant's Business and/or Operations Premises are:		
Owned A Leased/Ren	ted*	
 19. Does User Permit Applicant Intend to Transport Explosive Materials Interstate or Foreign Commerce? (If "yes," state where) N/A No Yes 	in 20. Does User Permit Applicant Intend to Pu Interstate or Foreign Commerce? (If "year N/A No Yes	
21. Do you Intend to Deal in Black Powder? Do You Have a Federal Fin	rearms License? (If "yes," provide the Federal Fir	earms license number)
No Yes Federal Firearms License #:No		
Storage Facility Data		
Section 842(j), 18 U.S.C., provides "It shall be unlawful for any person to promulgated by the Attorney General." Before applying for a license or j in 27 CFR, Part 555, Subpart K - STORAGE. An application for a licenare inadequate.	permit, the applicant must read and be familiar with	h the requirements as set forth
 22a. All of the applicant's storage facilities listed on the attached Explos requirements as set forth in 27 CFR, Part 555, Subpart K - storage. separate sheet. (See Instruction 10.) 		
22b. Please indicate the total number of explosives storage magazine(s).		
22c. If the applicant has no storage facilities, provide contingency plan f Magazine Description Worksheet Item J. (See Instruction 10.)	or unexpected surplus explosive materials by comp	leting Explosives Storage
Section C - Certification (Must be completed by all applicants)		
23. Under the penalties imposed by 18 U.S.C. 844, I certify that the answ published State laws and local ordinances relating to explosive mater application is for a Limited Permit, I certify that I will not receive ex period for which my limited permit is valid.	rials for the location in which I intend to do busines	ss. In addition, if the
Applicant's Signature Title		Date
For Bureau of Alcohol, Tobacco, Firearms, and Explosives Use Only		
24. Application is Reason for Disapp	roval/Termination	
Approved Withdrawn* Disapproved* *(Fee will be refunded)		
Signature of Licensing Official		Date

Explosives Storage Magazine Description Worksheet

For ATF Use Only

Explosives Storage Magazine Description Worksheet
(Submit one for each magazine; you may photocopy for additional magazines)

Applicant name:	Global Positioning System (GPS) Coordinates
Magazine ID no:	Coordinates
State/local explosives magazine certificate number, if any	
Storage magazine address:	_
A. Type of magazine (e.g., permanent, mobile/portable, indoor/outdoor, building, igloo, tunnel, dugout, box, trailer, s	semitrailer, or other mobile magazine):
ATF Type: (Check one) I II II V V	
B. Location of magazine and distance from licensed place of business and other magazine:	
C. Distance to nearest storage magazine, regardless of ownership:	
D. Describe terrain features, roads, structures, buildings, utilities, etc., that could be damaged if the contents of the magazin	ne exploded:
E. Distance(s) between the magazine and the feature(s): Indicate if magazine is:	
Show distance in feet to: Closet highway: Closet inhabited bldg:	
Closet passenger railway:	
F. Materials, including thicknesses, used in construction of magazine:	
Doors: Floor:	
 G. 1. Security, physical safeguards, safety equipment, and anti-theft measures:	
2. Locks (Check all that apply): Three-point Lock Mortise Locks Padlocks	
3. If Padlocks: Shackle Diameter: No. of Tumblers: Steel Hoods: Yes No H. Dimensions and capacity of magazine: No No No	Case-hardened: Yes No
Capacity (in pounds or number of detonators): Height Length:	Width:
I. Explosives to be stored: Quantity or Weight	
Class: High Low Blasting Agents	Detonators
2. Owners of measures if hereaved leaved sented or on certificances	Contingency Plan
3. Address and phone number of owner:	
K. Names and telephone numbers of persons who can open magazine for inspection:	
L. Special conditions, such as difficulty accessing in winter, etc.:	
M. A plat plan must be furnished, not necessarily to scale, which will indicte, at a minimum, (1) all buildings on the pren with distances between the magazines, as well as the distances between magazines and inhabited buildings, public hit	
Prepared by: Date:	
(Name and Title)	

All Applications are to be Submitted with Fees to: Bureau of Alcohol, Tobacco, Firearms, and Explosives Federal Explosives Licensing Center PO Box 6200-18 Portland, OR 97228-6200

Instructions

- 1. Issuance of your license or permit under 18 U.S.C., Chapter 40, will be delayed if the fee is omitted or incorrect, or if the application is incomplete or otherwise improperly prepared. This application should be submitted at least 90 days prior to the time the license or permit is required.
- 2. Submit the original of this form *(either typewritten or printed legibly)* and total fees payable to the Bureau of Alcohol, Tobacco, Firearms, and Explosives to the post office box listed above. *(CAUTION: Submission of this application does NOT authorize you to engage in any of the activities covered by the requested license or permit. The license or permit must be received before operations commence.)* Include your social security or employer identification number on your check or money order.
- 3. If separate sheets are needed to respond to the questions, they must be identified with your name and address at the top of the page and reference the question number being explained.
- 4. A separate or additional license is not required for storage facilities operated by the applicant as an integral part of ones business premises, or to cover a location used by the applicant solely for maintaining required records.
- 5. One PERMIT is required for an individual or business entity to acquire use, or transport explosives.
- 6. A separate application and LICENSE fee are required for each premises on which explosives materials are, or will be, manufactured, imported, or distributed.
- 7. It is not necessary for a licensed manufactured or licensed importer *(for purposes of sale or distribution)* to also obtaine a dealer's license in order to engage in business on his or her licensed premises as a dealer in explosives materials. In the case of a licensed manufacturer, a separate license is not required whith respect to onsite manufacturing.
- 8. A photograph of each responsible person listed in item 11 MUST accompany this application. The photograph must be 2X2 inches in size, clearly showing a full frontal view of the features of the responsible person with head bare, with the distance from the top of the head to the point of the chin approximately 1 1/4 inches, and which shall have been taken within the last 6 months prior to the date of application.

A completed FD-258 (*Fingerprint Identification Card*) for each responsible person, including sole proprietors, MUST also accompany this application. The fingerprints must be clear for accurate classification and must be taken at a local law enforcement agency. In addition, if you are an alien, you must place your U.S. -issued alien number or admission number in the miscellaneous block of the FD-258.

9. This application must include the names and identifying information of EACH employee authorized to possess explosive materials in the course of his/her employment with the applicant. This information MUST be submitted on ATF F 5400.28, Employee Possessor Questionnaire, and included with submission of this application.

- 10. For item 22 use the attached Explosives Storage Magazine Description Worksheet to describe each storage facility (magazine). You may photocopy the worksheet if you have more than one magazine. For the purpose of this worksheet, "barricade" is defined as the effective screening of a magazine containing explosive materials from another magazine a building, a railway, or a highway, either by a natural barricade or by an artificial barricade. To be properly barricaded a straight line from the top of any sidewall of the magazine containing explosive materials to the eave line of any other magazine or building, or to a point 12 feet above the center of a railway or highway, will pass through the natural or artificial barricade. A "contingency plan" is required in the event the explosive materials cannot be used within the same day of receipt. For example, a sufficient "contingency plan" would include a letter or statement from someone having approved storage facilities allowing the applicant use of his facilities should the need arise.
- 11. The cerification in item 23 must be signed (executed) by the owner, a partner, or in the case of a corporation, association, etc., by an officer duly authorized to sign for the applicant.
- 12. If you have any questions relating to this application, please contact the ATF Federal Explosives Licensing Center, 244 Needy Road, Martinsburg, WV 25405, (877)283-3352, or review information online at www.atf.gov.
- 13. If the application is approved, the ATF Federal Explosives Licensing Center (FLEC) will forward an explosives license or permit to you. If the application is denied, you will be advised in writing of the reason(s) for the denial. If the application is abandoned, denied, or withdrawn, your application fee will be refunded. However, in order to refund the fee, a social security number or employer identification numbe is REQUIRED.
- Additional forms can be downloaded from ATF's website in Adobe PDF format at www.atf.gov/forms/download/atf-f5400-13.pdf. The form can be ordered using ATF's Online Distribution Center Order Form at www.atf.gov/dcof or by calling ATF's Distribution Center at (202) 648-6420.

Definitions

- 1. **Under Indictment or Information or Convicted in ANY Court**. An indictment, information, or conviction in any Federal, State, or local court.
- 2. Alien. An alien in the United States means any person who is not a citizen or national of the United States.
- 3. **Responsible Person.** An individual who has the power to direct the management and policies of the applicant pertinent to explosive materials. For example, responsible persons generally include sole proprietors and explosives facility site managers. In the case of a corporation, association, or similar organization, responsible persons generally include corporate directors and officers, as well as stockholders who have the power to direct management and policies.
- 4. Employee Possessor. An employee possessor is an individual who has actual or constructive possession of explosive materials during the course of his employment. Actual possession exists when a person is in immediate possession or control of explosive materials (*e.g., an employee who physically handles explosive materials as part of the production process; an employee who handles explosive materials in order to ship, transport, or sell them; or an employee, such as a blaster, who actually uses explosive materials).*

You Must Immediately Contact ATF To Report Lost or Stolen Explosives! Call Toll Free: 1-888-ATF-BOMB **Constructive possession** exists when an employee lacks direct physical control over explosive materials, but knowingly has the power and intention to exercise dominion and control over the exposive materials, either directly or indirectly through others (e.g., an employee at construction site who keeps keys for magazines in which explosive materials are stored or who directs the use of explosive materials by other employees,).

- 5 A User Permit. A User Permit authorizes the holder, on a continuing basis, to acquire for his own use and not for resale, explosive materials from an explosives licensee in interstate or foreign commerce, and to transport explosive materials so acquired in interstate or foreign commerce. A User Permit is issued for 3 years and is renewable.
- 6. Limited Permit. A limited permit authorizes the holder to receive for his use explosive materials from a licensee or permittee in his State of residence on no more than 6 occasions during the 12-month period in which the permit is valid. A limited permit does not authorize the receipt or transportation of explosive materials in interstate or foreign commerce.
- 7. **Manufacturer.** Any person engaged in the business of manufacturing explosive materials for purposes of sale or distribution or for his own use.
- 8. **Importer.** Any person engaged in the business of importing or bringing explosive materials into the United States for purposes of sale or distribution.
- 9. **Dealer.** Any person engaged in the business of distributing explosive materials at wholesale or retail.
- 10. **High Explosives.** Explosive materials which can be caused to detonate by means of a blasing cap when unconfined (for example, dynamite).
- 11. Low Explosives. Explosive materials which can be caused to deflagrate when confined (for example, black powder).
- 12. **Blasting Agents.** Any material or mixture, consisting of fuel and oxidizer, intended for blasting, not otherwise defined as an explosive: Provided that the finished product, as mixed for use or shipment, cannot be detonated by means of a numbered eight test blasing cap when unconfined (for example, ammonium nitrate fuel oil).
- **Note:** There are now five broad categories of explosives license and permits that shall determine the type of business each licensee or permittee is entaged in: Manufacturer of Explosives, Dealer of Explosives, Importer of Explosives, User of Explosives, and Limited Permit *(Intrastate Only)*.

Exceptions

- 1. A person who has been convicted of a felony, or any other crime, for which the judge could have imprisoned the person for more than one year, is not prohibited from shipping, transporting, possessing, or receiving explosives if: (1) the conviction was properly invalidated by a court on the basis that the conviction was unconstitutional; (2) in the case of a Federal conviction, the person received a Presidential pardon; or (3) ATF granted relief from Federal explosives disabilities under 18 U.S.C. 845(b) 27 CFR 555.14.2
- For purposes of this form, aliens can be responsible persons if they are lawful permanent residents or lawful nonimmigrants (or refugees/asylees). 18 U.S.C. 842(d)(7); (i)(5).

Privacy Act Information

The following information is provided pursuant to Sections 3 and 7(b) of the Privacy Act of 1974 (5 U.S.C. 552a(e)(3));

- 1. **Authority.** Solicitation of this information is made pursuant to 18 U.S.C. 843(a). Disclosure of this information by the applicant is mandatory if the applicant wishes to obtain a Federal explosives license or permit.
- 2 **Purpose.** To identify the applicant; to identify the location of the premises; to determine the eligibility of the applicant to obtain such license or permit; to determine the ownership of the business or operations; and to identify responsible persons in the business or operations.
- 3. Routine uses. The information will be used by ATF to make a determination as set forth in paragraph 2. In addition, information may be directly disclosed to other Federal, State, foreign and local law enforcement and regulatory agency personnel to verify information on the application and to aid in the performance of their duties with respect to the regulation of explosives unless such disclosure is prohibited by law. Finally, the information may be disclosed to members of the public in order to verify the information on the application where such disclosure is not prohibited by law.
- 4. Effects not supplying the requested information. Failure to supply complete information will delay processing and may cause denial of the application.
- 5. **Disclosure of social security number.** Disclosure of the individual's social security number is voluntary. Under 18 U.S.C. 842(f), 843, and Executive Order 9397, November 22, 1943, ATF has the authority to solicit an individual's social security number. The number may be used to verify the individual's identity.

Paperwork Reduction Act Notice

This request is in accordance with the Paperwork Reduction Act of 1995. The information collection is used to determine the eligibility of the applicant to engage in certain operations, to determine the location and extent of operations, and to determine whether the operations will be in conformity with Federal laws and regulations. The information requested is required to obtain or retain a benefit and is mandatory by statute (18 U.S.C. 843).

The estimated average burden associated with this collection is 1 hour and 30 minutes per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to Reports Management Officer, Document Services Section, Bureau of Alcohol, Tobacco, Firearms, and Explosives, Washington, DC 20226.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.