

**SUPPORTING STATEMENT
OMB Control No. 2700-XXXX**

(2018)

Automated Technology Licensing Application System (ATLAS)

TYPE OF ACTION: NEW

A. Justification.

1. Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection.

This information collection is required by the NASA Policy Directive (NPD)2090.6, Authority to Enter Into LICENSE Agreements and Implementation of Licensing Authority Change History. The requirements are also detailed in the NPR 7500.2, Chapter 6: Patent and Copyright licensing

2. Indicate how, by whom, how frequently, and for what purpose the information will be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.

The information is used by NASA Innovators and Technology Transfer Office personnel in order to review, evaluate and negotiate potential license agreements as directed in the NPD 2090.6.

Note: The records will be searched, stored and sorted by the technology associated with the user's application.

3. Describe whether, and to what extent the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology. Also describe any consideration of using information technology to reduce burden.

NASA encourages the use of computer technology and is participating in Federal efforts to extend the use of information technology to more processes via the Internet. NASA accepts and encourages submission of license applications via electronic means.

4. Describe efforts to identify duplication.

The data collected is unique to patents and licenses resulting from inventions made in the performance of work under Agency research by employees and contracts. The data is not otherwise available. Information collected through this information collection is not duplicated within NASA.

5. If the collection of information impacts small businesses or other small entities (Item five of form OMB 83-I, the Paperwork Reduction Act Submission form), describe any methods used to minimize burden.

The burden on small business is the minimum consistent with applicable laws, Executive orders, regulations, and prudent business practices.

6. Describe the consequence to Federal program or policy activities if the collection is not conducted or is conducted less frequently.

If any restrictions were placed on the collection of information, NASA would not be able to fulfill its mission and policy, consistent with statutory requirements, to promote the transfer and commercial utilization of inventions arising from NASA-supported research or development in which NASA has an ownership interest by the licensing of such inventions.

7. Explain any special circumstances that would cause an information collection to be conducted in a manner.

NASA does not anticipate circumstances arising that would cause information to be collected in a manner that requires explanation of special circumstances.

8. If applicable, provide a copy and identify the date and page number of publication in the Federal Register of the agency's notice, required by 5 CFR § 1320.8 (d), soliciting comments on the information collection before submission to OMB.

The 60-day and 30-day Federal Register notice were published for comments. The 60-day Federal Register Notice 18-055 was published on 07/10/2018, FRN Vol 83, page 31978. The 30-day Federal Register Notice was published on 10/12/2018,

9. Explain any decision to provide any payment or gift to respondents, other than remuneration of contractors or grantees.

NASA does not provide gifts or payments to respondents.

10. Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation, or agency policy.

The confidentiality of this information is governed by patent statutes, the Freedom of Information Act, and license clauses.

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.

Questions of a sensitive nature, as indicated above, will not be asked.

12. Provide estimates of the hour burden of the collection of information.

Category of Respondents	Number of Respondents Submitting Reports	Number of Reports Submitted per Respondent	Response Time (minutes)	Total Annual Burden Hours
Private Sector	360	1	480 minutes (8 hours)	2880

13. Provide an estimate of the total annual cost burden to respondents or record keepers resulting from the collection of information.

The estimated annual respondent cost is calculated by multiplying the estimated number of hours in submitting reports (2880) by an estimated rate of \$59.00 per hour (skill level comparable to GS-12, step 5, on Federal pay scale), totaling \$169,920.

14. Provide estimates of annualized cost to the Federal government. Also, provide a description of the method used to estimate cost, which should include quantification of hours, operational expenses, and any other expense that would not have been incurred without this collection of information.

The estimated annual cost to NASA for the review of reports under this ICR is \$149,400. This estimate is calculated by multiplying the number of reports, 360, by an average 5 hours of Government review time, at an average rate of \$83 (GS-14, Step 5, pay scale) totaling \$149,400.

15. Explain the reasons for any program changes or adjustments reported in Items 13 or 14 of the OMB Form 83-I.

There are no program changes or adjustments.

16. For collections of information intended for publication, outline plans for tabulation and publication.

No information intended for publication is intended for publication.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons that display may be inappropriate.

NASA will display the expiration date within the required PRA Statement.

18. Explain each exception to the certification statement identified in item 19, "Certification for Paperwork Reduction Act Submissions" of OMB Form 83-1.

N/A

The NASA office conducting or sponsoring this information collection certifies compliance with all provisions of OMB Form 83-1 without exception.

Name: Peter B. Tran

Title: NASA Technology Transfer (NTTS) Project Manager

Email address or Phone number: peter.b.tran@nasa.gov or 650.604.4531

Date: 11/6/2018

(Certifying individual must be a civil service employee)

B. Collections of Information Employing Statistical Methods.

NASA will not employ statistical methods.