Revised: 10/25/2018



REGISTERED CRAB RECEIVER (RCR) FEE SUBMISSION FORM 1

OMB Control No. 0648-0711 Expiration Date: 01/31/2019

1NOAA/National Marine Fisheries Service Office of Operations & Management (OMD) P.O. Box 21668 Juneau, Alaska 99802-1668 Fax 907-586-7255

(800) 304-4846 toll free / (907) 586-7105



Fee Submittal Deadline – July 31

NOTE: If paying for multiple permit holders, attach their completed/signed Fee Submission Forms.

Payment may be submitted ONLINE at https://alaskafisheries.noaa.gov/webapps/efish/login.

1BLOCK A – IDENTIFICATION OF RCR									
11. Name of RCR:		2. NMFS Person ID:							
		3. Taxpayer ID (Employer ID Number or SSN):							
		4. Date of Birth or Date of Incorporation:							
5. All RCR Permit Numbers Held by Permit Holder:									
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6. Permanent Business Mailing Address:									
7. Business Telephone Number: 8. Business Telephone Number:	Susiness Fax Number:	9. Business E-mail Address:							
BLOCK B – AG	GREEMENT WITH CRAB	FEE LIABILITY SUMMARY							
Check if you agree your Crab Fee Liability Summary represents the actual value of all CR crab received:									
YES		NO							
If YES , and you have not paid online, please date and sign your name where designated in Block D, complete Block C, and mail this Fee Submission Form with your payment.									
If NO , you must complete Attachment A, Crab Fee Calculation Worksheet. You must complete this worksheet even if you have made an online payment. After you have completed this worksheet, complete Blocks A through D and return it with your payment and supporting documentation.									

1BLOCK C – METHOD OF PAYMENT									
NMFS no longer accepts credit card payments using this form. Credit card payments must be made online.									
Personal Check Cashier's Check Money Order Payment made Online									
<i>Prior to June 1, 2020</i> , payment must be made in U.S. dollars by personal check drawn on a U.S. bank account, money order, bank-certified check, or electronically by credit card.									
Beginning on June 1, 2020 , NMFS will no longer accept payment by personal check, cashier's check, or money order. All payments must be made electronically through automated clearing house, credit card, or electronic drawn on a U.S. bank account.									
REMINDER! Sign your check.									
If paying for multiple permit holders, include their completed and signed Fee Submission Forms.									
All checks or money orders should be made payable to "National Marine Fisheries Service."									
NMFS DOES NOT SEND VERIFICATION OF PAYMENT AND STRONGLY RECOMMENDS YOU RETAIN COPIES FOR YOUR RECORDS.									
1BLOCK D – APPLICANT SIGNATURE									
Under penalties of perjury, I hereby declare that I, the undersigned, completed this application and that the information contained herein is true, correct, and complete to the best of my knowledge and belief.									
1. Printed Name of RCR (If completed by representative, attach authorization):									

STOP!

3. Date:

2. Signature of RCR:

Have you completed BLOCK D and included payment of your CRAB FEE?

Have you made a copy of your Fee Submission Form, any accompanying documents, and your check or money order for your records?

Note: You must submit adequate documentation supporting your revised fee liability. Examples of such documentation include valid fish tickets, sales receipts, or check stubs that clearly identify the CR landing amount, species, date, time, and ex-vessel value or price.

ATTACHMENT A CRAB FEE CALCULATION WORKSHEET										
RCR Permit Number	Month/ Year of Landing	Port Location (use "AT SEA" for landings delivered to a Stationary Floating Crab Processor)	Fishery	Species	CR Program	CR Pounds	NMFS Calculated Ex-Vessel Price	Actual Ex- Vessel Price	Total	
Sample	May 2006	Dutch Harbor	BBR	RED	IFQ	5,000		\$2.20	\$11,000	
1.										
2.										
3.										
4.										
5.										
6.										
7.										
8.										
9.										
10.										
11.										
12.										
13. Total	13. Total Pounds									
14. Total	14. Total Ex-vessel Value (add lines 1 – 12):									
15. Plus Total Adjustments (retros, bonuses):										
-	16. Subtotal (add lines 14 and 15):									
17. Fee Liability (multiply the published fee percentage by line 16):18. Less Pre-payments or Credits (if any):										
19. Balance Due (enter result after subtracting line 18 from 17):										
	20. Enclosed Payment Amount or Amount Paid Online:									

Instructions For

REGISTERED CRAB RECEIVER (RCR) FEE SUBMISSION FORM

1How are fees calculated?

By statute, fees must be split between the harvesting and processing sectors. NMFS will compute the annual fee percentage that will apply for each crab fishing year. For non-catcher/processor Registered Crab Receivers (RCRs), fee liability will be calculated by multiplying the applicable fee percentage by the ex-vessel value of the Crab Rationalization (CR) Program crab received by the RCR at the time of receipt.

For instance, suppose an RCR received 1 pound of crab from a harvester and the ex-vessel value of that crab was \$1 per pound. Using the maximum allowable fee percentage of 3%, each sector would owe half the fee percentage, which is 1.5% or, in this case \$0.015. Therefore, the RCR would pay the harvester \$0.985 for that pound of crab and would self-collect an additional \$0.015 per pound. The total fee payment made to NMFS for that pound of crab by the RCR would be 3% or \$0.03. Because catcher/processors must also be RCRs and participate in both the harvesting and processing sectors, they are responsible for paying the full fee percentage for that same crab, which would be 3% or \$0.03 per pound. The fee liability percentage applies to all value paid/received for CR crab, whether in cash, goods, or services.

How are catcher/processor standard prices assessed?

NMFS developed an ex-vessel value methodology that calculates, as closely as possible by month and port or port-group, the variations in the actual ex-vessel values of CR crab landings based on information provided by shoreside buyers. This information includes—

- landed pounds by CR crab species, port-group, and month;
- total ex-vessel value by CR crab species, port-group, and month; and
- price adjustments, including any retro-payments.

NMFS cannot implement standard price calculations more often than annually due to confidentiality issues and administrative constraints. Therefore, catcher/processors are responsible for calculating their fee liability at the end of a crab fishing year based on the current year's catcher/processor standard prices as provided to them by NMFS Restricted Access Management Program. Each catcher/processor is responsible for retaining its own estimated fees up to 3 percent of its estimated ex-vessel value until the end of the crab fishing year. Catcher/processor standard prices are based on the current year's shoreside ex-vessel value, thereby minimizing any disparity between the fee liability paid by shoreside processors and catcher/processors.

When are my fees due?

RCRs will deduct harvester fees at the time of receipt and payment for CR crab. Therefore, harvester's fees will be due each time they deliver crab to an RCR. The RCR will collect, document, and submit fees for all CR crab received from harvesters as well as submit their portion of fees for all CR crab received from harvesters. All RCRs, including catcher/processors, are responsible for submitting the cost recovery payment for all CR crab received during a crab fishing year by July 31 of the following crab fishing year.

What happens if I don't pay my fees?

If an RCR owes fees and fails to submit full payment for the previous crab fishing year by July 31, the Regional Administrator may disapprove any transfer of individual fishing quota (IFQ), individual processor quota (IPQ), quota share, or initial processor quota share to or from the RCR and may withhold issuance of any new CR crab permits, including IFQ, IPQ, federal crab fishing vessel, or RCR permits for the subsequent crab fishing year. If payment is not received by the 30th day after final agency action, the matter will be referred to the appropriate authorities for collection.

Send form and payment to:

Prior to June 1, 2020, payment must be made in U.S. dollars by personal check drawn on a U.S. bank account, money order, bank-certified check, or electronically by credit card.

On or after June 1, 2020, payment must be made electronically in U.S. dollars by automated clearing house, credit card, or electronic check drawn on a U.S. bank account.

NMFS no longer accepts credit card payments using this form. Credit card payments must be made online.

If paying by mail, send form and payment to—

Fee Coordinator NOAA National Marine Fisheries P.O. Box 21668 Juneau, AK 99802-1668

Remember: You may pay online at https://alaskafisheries.noaa.gov/webapps/efish/login, even if you disagree with your fee liability summary.

COMPLETING THE FORM

BLOCK A – IDENTIFICATION OF REGISTERED CRAB RECEIVER (RCR)

- 1. Enter name of RCR.
- 2. Enter NMFS Person ID
- 3-4. Enter Taxpayer Identification Number (Employer Identification Number or Social Security Number (SSN) and date of incorporation if a business or date of birth if an individual.
- 5. Provide all of the RCR permit numbers held by Permit Holder.
- 6. Provide the permanent business mailing address. This is the address where the RCR permit will be sent.
- 7-9. Provide the business telephone number, business fax number, and business email address.

BLOCK B -- AGREEMENT WITH CRAB FEE LIABILITY SUMMARY

Indicate whether you agree that your Crab Fee Liability Summary represents the actual value of all Crab Rationalization Program crab received.

If you checked YES, and you have not paid online, please date and sign your name where designated in Block D, complete Block C, and mail this Fee Submission Form with your payment.

If you checked NO, you must complete Attachment A -- Crab Fee Calculation Worksheet.

You must complete this worksheet even if you have made an online payment. After you have completed this worksheet, you must complete Blocks A through D of this application form and return it with your payment and supporting documentation.

BLOCK C – METHOD OF PAYMENT

NMFS no longer accepts credit card payments using this form.

To make a credit card payment, go to https://alaskafisheries.noaa.gov/webapps/efish/login

Indicate method of payment:

If paying by personal check, cashier's check, or money order, make payable to *National Marine Fisheries Service (NMFS)*.

Reminder! Sign your check; if paying for more than one RCR, **include all Fee Submission Forms.**

Payments may be made by electronic check through the NMFS secure, online system at https://alaskafisheries.noaa.gov/webapps/efish/login. To use this feature, permit holders log in using their user name and password and follow the directions on the site to complete the payment. Online payments are processed through Pay.gov, a secure system for electronic payments used by many government agencies.

BLOCK D – CERTIFICATION AND SIGNATURE

Print and sign name of RCR and date signed. If completed by an authorized representative, **attach** authorization.

ATTACHMENT A -- CRAB FEE CALCULATION WORKSHEET

Complete this worksheet **ONLY** if you indicated in Block B that **you do not agree** with your Crab Fee Liability Summary.

- ♦ You must provide documentation supporting your calculated crab fee liability, if it is different than the amount on your CR Crab Liability Summary.
- Your fee liability is based on the total value received for CR crab reported under your RCR permit(s). It should represent the total dollar value of CR crab before any deductions are made for goods and services provided (e.g., bait, ice, fuel, repairs, machinery replacement), multiplied by the NMFS published fee percentage for the CR crab fishing year.
- ◆ Catcher/processors must use the standard price for all crab harvested and processed at sea. Complete this block **ONLY** if you indicated in Block B that **you do not agree** with your Crab Fee Liability Summary.
 - RCR Permit Number: Enter permit number(s).
 - Month/Year of Landing: Enter date(s) the landing(s) was/were made.
 - Port Location: Enter the port(s) where landing(s) was/were made.
 - Fishery: Enter the CR Program fishery in which these crab were harvested.
 - Species: Enter the crab species (e.g., red, blue, tanner, opilio)
 - CR Program: Enter the appropriate CR Program (IFQ, CDQ, or Adak)
 - CR Pounds: Enter the number of CR pounds landed (excluding deadloss, personal use, confiscated pounds, or pounds of crab harvested and processed by a catcher/processor vessel) on permit(s) for specific date(s).
 - NMFS Calculated Ex-Vessel Price: Enter the standard ex-vessel price located on your Crab Fee Liability Summary.

- Actual Ex-Vessel Price: Enter the actual ex-vessel price paid for crab accepted under this RCR permit for this landing.
- Total: Multiply the total pounds landed on the permit(s) by the standard or actual ex-vessel price to get the total for each landing.
- 13. Total Pounds.
- 14. Ex-vessel Value Total: Add lines 1 through 12 to get the subtotal of fees owed for all permits.
- 15. Plus Total Adjustments: Add total adjustments (retro payments or bonuses paid in previous year).
- 16. Subtotal: Add lines 14 and 15.
- 17. Fee Liability: Multiply the published fee percentage by line 16.
- 18. Less Pre-Payments or Credits (if any). If you have a pre-payment or credit, it will be indicated on your IFQ Fee Liability Summary.
- 19. Balance Due: This indicates your balance due or credit after subtracting line 18 from 17.
- 20. Enclosed Payment Amount: If you show a balance, enter the amount of the payment you will be submitting.

Notice to Customers Making Payment by Check

When you provide a check as payment, you authorize us either to use information from your check to make a one-time electronic fund transfer from your account or to process the payment as a check transaction.

Privacy Act – A Privacy Act Statement required by 5 U.S.C. § 552a(e)(3) stating the authority for soliciting and collecting the information from your check, and explaining the purposes and routine uses which will be made of your check information, is available from https://www.fiscal.treasury.gov/fsreports/rpt/fspia/fs_pia.htm or call toll free at (866) 945-7920 to obtain a copy by mail. Furnishing the check information is voluntary, but a decision not to do so may require you to make payment by some other method.

Return Item Service Fee – If the electronic fund transfer cannot be completed because there are insufficient funds in your account, we may impose a one-time fee of \$25.00 against your account, which we will also collect by electronic fund transfer.

PUBLIC REPORTING BURDEN STATEMENT

Public reporting burden for this collection of information is estimated to average one minute for electronic fee submission and 30 minutes for non-electronic fee submission, including the time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to: NOAA National Marine Fisheries Service, Alaska Region, Attn: Assistant Regional Administrator, Sustainable Fisheries Division, P.O. Box 21668, Juneau, AK 99802.

ADDITIONAL INFORMATION

Before completing this form please note the following: 1) Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is mandatory and is required to manage commercial fishing effort under 50 CFR part 680 and under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*) and under 16 U.S.C. 1862(j); 3) Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act as amended in 2006. It is also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.

PRIVACY ACT STATEMENT

AUTHORITY: The collection of this information is authorized under the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C. 1801 *et seq.*

PURPOSE: NMFS uses this information to verify the identity of the applicant(s), accurately retrieve confidential records related to federal permits, and verify that the appropriate fees are paid. The primary purpose for requesting the SSN/TIN is for the collection and reporting on any delinquent amounts arising out of such person's relationship with the government pursuant to the Debt Collection Improvement Act of 1996 (Public Law 104-134). Personal information is confidential and protected under the Privacy Act (5 U.S.C. 552a). Business information may be disclosed to the public.

ROUTINE USES: Disclosure of this information is subject to the published routine uses identified in the Privacy Act System of Records Notices COMMERCE/NOAA-19, Permits and Registrations for the United States Federally Regulated Fisheries. NMFS may post some information submitted from this form on its public website (https://www.alaskafisheries.noaa.gov). In addition, NMFS may share information submitted on this form with other State and Federal agencies or fishery management commissions, including staff of the North Pacific Fishery Management Council and Pacific States Marine Fisheries Commission.

DISCLOSURE: Providing this information is voluntary; however, failure to provide complete and accurate information or failure to pay the fee may result in the Regional Administrator disapproving any transfer of IFQ, IPQ, quota share, or initial processor quota share to or from the RCR, and withholding issuance of any new CR crab permits, including IFQ, IPQ, Federal crab fishing vessel, or RCR permits for the subsequent crab fishing year.