**Paperwork Reduction Act Submission**

Please read the instruction before completing this form. For additional forms or assistance in completing this forms, contact your agency’s Paperwork Reduction Officer. Send two copies of this form, the collection instrument to be reviewed, the Supporting Statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 Seventeenth St. NW, Washington, DC 20503.

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| 1. Agency/Sub-agency Originating Request:  U.S. Department of Housing and Urban Development | | 2. OMB Control Number:  a.  b. None | 2577- NEW |
| 3. Type of information collection: (check one)   1. New Collection 2. Revision of a currently approved collection 3. Extension of a currently approved collection 4. Reinstatement, **without change**, of previously approved   collection for which approval has expired   1. Reinstatement, **with change**, of previously approved collection   for which approval has expired   1. Existing collection in use without an OMB control number   For b-f, note item A2 of Supporting Statement instructions. | 4. 4 Type of review requested: (check one)   1. Regular 2. Emergency - Approval requested by 3. Delegated   5. Small entities: Will this information collection have a significant economic impact on a substantial number of small entities?  Yes No X  6. Requested expiration date:  a.  Three years form approval date b.  Other (specify) | | |

7. Title: Moving to Work Amendment to Consolidated Annual Contributions Contract

8. Agency form number(s):

9. Keywords: Housing, Moving to Work (MTW), Consolidated Annual Contributions Contract (CACC), Annual Contributions Contract (ACC)

10. Abstract: This document was created to allow a PHA to join the MTW demonstration 2016 expansion and to operate under the MTW Operations Notice.

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| 11. Affected public: (mark primary with “P” and all others that apply with “X”)  a. Individuals or households e. Farms  b. Business or other for-profit f. Federal Government  c. Not-for-profit institutions g. **P** State, Local or Tribal Government | | 12. Obligation to respond: (mark primary with “P” and all others that apply with “X”)  a.  Voluntary  b. **P** Required to obtain or retain benefits  c. Mandatory |
| 13. Annual reporting and recordkeeping hour burden:  a. Number of respondents: 100  b. Total annual responses: 0  Percentage of these responses collected electronically: 0  c. Total annual hours requested: 0  d. Current OMB inventory  e. Difference (+,-)  f. Explanation of difference:  1. Program change:  2. Adjustment: | | 14. Annual reporting and recordkeeping cost burden: (in thousands of dollars)  a. Total annualized capital/startup costs 0  b. Total annual costs (O&M) 0  c. Total annualized cost requested 0  d. Total annual cost requested 0  e. Current OMB inventory 0  f. Explanation of difference:  1. Program change:  2. Adjustment: |
| 15. Purpose of Information collection: (mark primary with “P” and all others that apply with “X”)  a.Application for benefits e. **X** Program planning or management  b. **X** Program evaluation f. Research  c. General purpose statistics g. **P** Regulatory or compliance  d. Audit | | 16. Frequency of recordkeeping or reporting: (check all that apply)  a.  Recordkeeping b. Third party disclosure  b. Reporting:  1.  On occasion 2.  Weekly 3.  Monthly  4.  Quarterly 5.  Semi-annually 6.  Annually  7.  Biannually 8.  Other (describe): Once |
| 17. Statistical methods:  Does this information collection employ statistical methods?  Yes  No | 18. Agency contact: (person who can best answer questions regarding the content of this submission)  Name: Christopher Golden  Phone: 202-402-2413 | |
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**19.** **Certification for Paperwork Reduction Act Submissions**

On behalf of this Federal Agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9.

**Note:** The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320/8(b)(3). Appear at the end of the instructions. The certification is to be made with reference to those regulatory provisions as set forth in the instructions.

The following is a summary of the topics, regarding the proposed collections of information that the certification covers:

1. It is necessary for the proper performance of agency functions;
2. It avoids unnecessary duplication;
3. It reduces burden on small entities;
4. It uses plain, coherent, and unambiguous terminology that is understandable to respondents;
5. Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
6. It indicates the retention periods for recordkeeping requirements;
7. It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
8. Why the information is being collected;
9. Use of the information;
10. burden estimate;
11. Nature of response (voluntary, required for a benefit, or mandatory);
12. Nature and extent of confidentiality; and
13. Need to display currently valid OMB control number;
14. It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to collected (see note in item 19 of the instructions);
15. It uses effective and efficient statistical survey methodology; and
16. It makes appropriate use of information technology.

If you are unable to certify compliance with any of these provisions, identify the item below and explain the reason in item 18 of the Supporting Statement.

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| --- | --- |
| Signature of Program Official:  X  Robert Mulderig, Acting Deputy Assistant Secretary  Office of Public Housing Investments (PI) | Date: |
| Signature of Senior Officer or Designee:  X  Colette Pollard, Departmental Reports Management Officer  Office of Chief Information Officer | Date: |

**Supporting Statement for Paperwork Reduction Act Submissions**

**A. Justification**

1. Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection. Attach a copy of the appropriate section of each statute and regulation mandating or authorizing the collection of information.

The Moving to Work (MTW) Amendment to the Annual Contributions Contract(s) (MTW ACC Amendment) (formerly called the Moving to Work Amendment to the Consolidated Annual Contributions Contract), signed by HUD and the selected Public Housing Authority (PHA) is necessary to authorize 100 new PHA’s participation in the MTW demonstration pursuant to the 2016 Appropriations Act. It will allow the PHA to operate under the MTW Operations Notice and its respective selection notice, while retaining the authority of the ACC when not otherwise waived by the MTW Operations Notice, and to detail the termination and default actions of HUD should an agency fail in its implementation of the demonstration.

2. Indicate how, by whom and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.

This is a document to be used by HUD and the PHA to authorize and govern 100 new PHA’s participation in the MTW demonstration pursuant to the 2016 Appropriations Act.

3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also describe any consideration of using information technology to reduce burden.

The amendment will be collected physically for storage with an electronic copy posted on HUD’s website.

4. Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purposes described in Item 2 above.

The document is a legally binding amendment to the ACC and is necessary for an agency to participate in the MTW demonstration.

5. If the collection of information impacts small businesses or other small entities (Item 5 of OMB Form 83-I) describe any methods used to minimize burden.

This collection will include PHAs with under 1,000 aggregate public housing and voucher units. The burden cost for this collection is 100 hours total, which represents the one-time execution of the MTW ACC Amendment. There is no ongoing, annual burden cost associated with this collection.

6. Describe the consequence to Federal program or policy activities if the collection is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reducing burden.

If the amendment to the ACC is not signed, then a PHA cannot participate in the MTW demonstration.

1. Explain any special circumstances that would cause an information collection to be conducted in a manner:

* requiring respondents to report information to the agency more than quarterly;

**Not Applicable**

* requiring respondents to prepare a written response to a collection of information in fewer than 30 days after receipt of it;

**Not Applicable**

* requiring respondents to submit more than an original and two copies of any document;

**Not Applicable**

* requiring respondents to retain records other than health, medical, government contract, grant-in-aid, or tax records for more than three years;

**Not Applicable**

* in connection with a statistical survey, that is not designed to produce valid and reliable results than can be generalized to the universe of the study;

**Not Applicable**

* requiring the use of statistical data classification that has not been reviewed and approved by OMB;

**Not Applicable**

* that includes a pledge of confidentiality that is not supported by authority established in statute or regulation, that is not supported by disclosure and data security policies that are consistent with the pledge, or which unnecessarily impedes sharing of data with other agencies for compatible confidential use; or

**Not Applicable**

* requiring respondents to submit proprietary trade secret, or other confidential information unless the agency can demonstrate that it has instituted procedures to protect the information’s confidentiality to the extent permitted by law.

**Not Applicable**

8. If applicable, provide a copy and identify the date and page number of publications in the Federal Register of the agency's notice, required by 5 CFR 1320.8(d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and describe actions taken by the agency in response to these comments. Specifically address comments received on cost and hour burden.

HUD published a Notice of Proposed Information Collection for Public Comments in the Federal Register, Volume 83; No. 247; page 66738, on **December 27, 2018**. The public was given until **February 25, 2019**, to submit comments on the proposed information collection. Public comments were received from National Association of Housing and Redevelopment Officials (NAHRO), Public Housing Authorities Director Association (PHADA), Orlando Housing Authority, and Oakland Housing Authority. Copies of the comments and HUD summary responses are uploaded into ROCIS.

1. Explain any decision to provide any payment or gift to respondents, other than remuneration of contractors or grantees.

Not applicable.

10. Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation or agency policy.

Not applicable.

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private. This justification should include the reasons why the agency considers the questions necessary, the specific uses to be made of the information, the explanation to be given to persons from whom the information is requested, and any steps to be taken to obtain their consent.

Not applicable.

12. Provide estimates of the hour burden of the collection of information. The statement should:

1. indicate the number of respondents, frequency of response, annual hour burden, and an explanation of how the burden was estimated. Unless directed to do so, agencies should not conduct special surveys to obtain information on which to base hour burden estimates. Consultation with a sample (fewer than 10) of potential respondents is desirable. If the hour burden on respondents is expected to vary widely because of differences in activity, size, or complexity, show the range of estimated hour burden, and explain the reasons for the variance. Generally, estimates should not include burden hours for customary and usual business practices;
2. if this request covers more than one form, provide separate hour burden estimates for each form and aggregate the hour burdens in Item 13 of OMB Form 83-I; and
3. provide estimates of annualized cost to respondents for the hour burdens for collections of information, identifying and using appropriate wage rate categories. The cost of contracting out or paying outside parties for information collection activities should not be included here. Instead this cost should be included in Item 13.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Information Collection | Number of Respondents | Frequency of Response | Responses  Per Annum | Burden Hour Per Response | Annual Burden Hours | Hourly Cost Per Response | Cost |
| **HUD-50166**  **MTW ACC Amendment** | **100** | **1 each** | **0** | **1.00** | **0** | **$52.88** | **$5,288** |

The MTW ACC Amendment simply requires the signature of a selected PHA and there is a one-time one-hour burden for each of the 100 MTW PHAs designated pursuant to the FY2016 Appropriations Act.

13. Provide an estimate of the total annual cost burden to respondents or record keepers resulting from the collection of information (do not include the cost of any hour burden shown in Items 12 and 14).

1. The cost estimate should be split into two components: (a) a total capital and start-up cost component (annualized over its expected useful life); and (b) a total operation and maintenance purchase of services component. The estimates should take into account costs associated with generating, maintaining, and disclosing or providing the information. Include descriptions of methods used to estimate major cost factors including system and technology acquisition, expected useful life of capital equipment, the discount rate(s) and the time period over which costs will be incurred. Capital and start-up costs include, among other items, preparations for collecting information such as purchasing computers and software; monitoring, sampling, drilling and testing equipment; and record storage facilities;
2. If cost estimates are expected to vary widely, agencies should present ranges of cost burdens and explain the reasons for the variance. The cost of purchasing or contracting out information collection services should be a part of this cost burden estimate. In developing cost burden estimates, agencies may consult with a sample of respondents (fewer than 10) utilize the 60-day pre-OMB submission public comment process and use existing economic or regulatory impact analysis associated with the rulemaking containing the information collection, as appropriate.
3. generally, estimates should not include purchases of equipment or services, or portions thereof made: (1) prior to October 1, 1995, (2) to achieve regulatory compliance with requirements not associated with the information collection, (3) for reasons other than to provide information or keep records for the government, or (4) as part of customary and usual business or private practices.

Not applicable.

14. Provide estimates of annualized cost to the Federal government. Also, provide a description of the method used to estimate cost, which should include quantification of hours, operational expenses (such as equipment, overhead, printing, and support staff), and any other expense that would not have been incurred without this collection of information. Agencies also may aggregate cost estimates from Items 12, 13, and 14 in a single table.

There will be no annual cost to the Federal government.

15. Explain the reasons for any program changes or adjustments reported in Items 13 and 14 of the OMB Form 83-I.

Not applicable.

16. For collection of information whose results will be published, outline plans for tabulation and publication. Address any complex analytical techniques that will be used. Provide the time schedule for the entire project, including beginning and ending dates of the collection of information, completion of report, publication dates, and other actions.

The amendment to the ACC will be published on HUD’s website as a matter of public record and requires no analysis.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons that display would be inappropriate.

The amendment to the ACC will not require additional submissions and will be binding for the duration of a PHA’s participation in the MTW demonstration.

1. Explain each exception to the certification statement identified in item 19.

Not applicable.

**B. Collections of Information Employing Statistical Methods**

Not applicable.