#### Evaluation of the Public Education Campaign on Teen Tobacco (OMB Control No. 0910-0753)

# Change Request (83-C) November 1, 2017

The Food and Drug Administration is submitting this nonmaterial/non-substantive change request (83-C) to incorporate edits to the study documents for two information collections under this control number: the Rural Smokeless Tobacco Education Campaign evaluation (RuSTEC) and the Evaluation of the Public Education Campaign on Teen Tobacco (ExPECTT). These information collections evaluate both *The Real Cost and The Real Cost Smokeless*. RuSTEC is a longitudinal control/intervention study in rural areas and has completed 3 rounds of data collection (baseline and 2 follow ups) to date. ExPECTT is a longitudinal nationally representative study which has completed 5 rounds of data collection (baseline and 4 follow ups) with its first cohort, and is now in preparations to recruit a second cohort for the next phase of the evaluation. OMB has concurred with the submission of this change request.

The purpose of this change request is to:

- Update the documents for the third follow up round of data collection for RuSTEC
- Request an increase in burden for RuSTEC Follow up 3
- Add an additional wave of data collection (fourth follow up) to RuSTEC
- Update the documents for the first round of data collection for the second cohort of ExPECTT

#### RuSTEC Follow up 3

Follow up 3 is planned for January 2018. Due to high retention rates, we are requesting an additional 146 annualized burden hours to complete Follow up 3.

| OMB Attachment #                               | Change  | Rationale  |  |
|--|---|--|--|
| 1. SS Part A  1 Part A EXPECTT RUSTEC_TC.docx  | Update burden and add 4 <sup>th</sup> follow-up                               | Additional burden needed because of large response rate and additional follow-up because of delayed campaign launch                            |  |
| 2. SS Part B  2. Part B EXPECTT RUSTEC_TC.docx | Update number of expected completes add 4 <sup>th</sup> follow-up             | Text edits to include adjusted completes and fourth follow-up  |  |
| 2_R. Youth Follow up 3 Instrument              | Revise programming /administrative text throughout                            | Edit for clarity and FU3 data collection   |  |
| Attachment 2 R.<br>Youth Followup 3 Ir         | Update example photos of tobacco products                                     | Change example photos to include current tobacco products  |  |
|  | Add items to measure<br>awareness of<br>smokeless tobacco use<br>consequences | New advertisements are targeting different health consequences of smokeless tobacco use  |  |
|  | Remove items that are not needed or useful                                    | Items removed either because the campaign does not cover the topic, they are ceilinged, do not reflect current use patterns, or are not useful |  |

| OMB Attachment #   | Change  | Rationale  |
|--|---|--|
|  | Add influencer question                         | Item added to determine how influential celebrities affect behavior                                |
|  | Add media items and delete media items not use  | Add and delete media items to collect data on current advertising                                  |
| 6_R1. Parent Permission  Attachment 6_R1. Parent Permission Fc       | Minor text edits                                | Edit text for clarity and new round of data collection   |
| 6_R2. Youth Assent  Attachment 6_R2. Youth Assent (male )            | Minor text edits                                | Edit text for clarity and new round of data collection   |
| 10_R. Lead Letter  Attachment 10_R. Lead Letter (male rui            | Minor text edits                                | Edit for clarity and new round of data collection  |
| 11_R. Questions and Answers  | Minor text edits                                | Edit for clarity and new round of data collection  |
| Attachment 11 R.<br>Questions and Ānsv                               | Add RTI logo                                    | Add logo to identify study to longitudinal participants  |
| 14_R. Notifications  Attachment 14_R. Notifications (male r          | Minor text edits                                | Edit for clarity and new round of data collection  |
| 16_R. Panel_Maint_Update_Form  Attachment 16_R. Panel_Maint_Update   | Add request for permission to use text messages | Texting participants to set up interview appointments has improved response rates in other studies |
| 18_R. Survey Invitation Email  Attachment 18_R. Survey Invitation Em | Minor text edits                                | Edit for clarity   |
| 19_R. Email_reminder1  Attachment 19_R. Email_Reminder1 (n           | Minor text edits                                | Edit for clarity and new round of data collection  |

| OMB Attachment #  | Change  | Rationale  |  |
|---|---|--|--|
| 20_R. Email_reminder2  Attachment 20_R. Email_Reminder 2 (n                                     | Minor text edits                                | Edit for clarity and new round of data collection  |  |
| 21_R. Email_reminder3   | Remove references to early bird incentive       | Email will be sent after early bird expires  |  |
| Attachment 21_R.<br>Email_Reminder 3 (n   | Minor text edits                                | Edit for clarity and new round of data collection  |  |
| 22_R. Panel Maintenance<br>Email  | Minor text edits                                | Edit for clarity and new round of data collection  |  |
| Attachment 22_R. Panel Maintenance  | Add request for permission to use text messages | Texting participants to set up interview appointments has improved response rates in other studies |  |
| 26_R. Questions and Answers about the Evaluation – 18  Attachment 26_R. QA about the Evaluation | Minor text edits                                | Edit for clarity and new round of data collection  |  |
| 29_R. Email reminder 1 – 18  Attachment 29 R. Email Reminder 1 - 1                              | Minor text edits                                | Edit for clarity and new round of data collection  |  |
| 30_R. Email reminder 2 – 18  Attachment 30 R. Email Reminder 2 - 1                              | Minor text edits                                | Edit for clarity and new round of data collection  |  |
| 31_R. Email reminder 3 – 18  Attachment 31_R. Email Reminder 3 - 1                              | Minor text edits                                | Edit for clarity and new round of data collection  |  |
| 32_R. Panel Maintenance Letter - 18  Attachment 32_R. Panel Maintenance                         | Minor text edits                                | Edit for clarity and new round of data collection  |  |
| 33_R. Panel Maintenance<br>Update Form – 18   | Add request for permission to use text messages | Texting participants to set up interview appointments has improved response rates in other studies |  |

| OMB Attachment #  | Change  | Rationale   |
|---|---|---|
| Attachment 33_R.<br>Panel Maintenance   |   |   |
| 35_R. Panel Maintenance<br>Email – 18   | Minor text edits                                | Edit for clarity and new round of data collection   |
| Attachment 35_R. Panel Maintenance  | Add request for permission to use text messages | Texting participants to set up interview appointments has improved response rates in other studies  |
| 36_R. Post_Card_Reminder_Notice  Attachment 36_R. Post_Card_Reminde             | New form  | Post card to remind participants to complete the survey in an effort to maintain high level of response rates                                 |
| 37_R. Text Message for non-<br>responders  Attachment 37_R. Text Message for No | New form  | Text for Field Interviewers to use to send text messages to non-responding participants in an effort to maintain high level of response rates |

### RuSTEC Follow up 4

We also seek approval to add a fourth follow-up to the RuSTEC study due to a problem encountered by the media buying company at the start of the campaign, which reduced the level of advertising that occurred during that time. To make up for this lapse in potential exposure of the target audience to the campaign, an additional round of data collection is needed. We request an additional 359 annualized burden hours to complete this additional round of data collection.

| OMB Attachment #                    | Change                               | Rationale                   |
|-------------------------------------|--------------------------------------|-----------------------------|
| 2_R. Youth Follow up 4              |                                      |                             |
| Instrument                          |                                      |                             |
| Attachment 2_R. Youth Followup 4 Ir | Update programming and skip patterns | Update for fourth follow up |

## **ExPECTT Cohort 2, First Wave**

Documents for the ExPECTT survey were updated in the extension of the overarching control number approved 09/13/16. The next round of data collection is planned for May 2018.

| Document Name         | Change                                     | Source Document, Source<br>Approval Date |
|-----------------------|--|--|
| 2_E2a. Youth Baseline | Edits to advertisements, KABs and tobacco. | Based on Attachment 2_E2a.               |
| Instrument            |  | Approved 9/13/16                         |

| Document Name  | Change  | Source Document, Source<br>Approval Date       |
|--|---|--|
| Attachment 2 E2a.<br>ExPECTT Instrument  |   |  |
| 3_E2a. Screener Tablet Parent<br>Guardian<br>Attachment 3_E2a.<br>Parent Guardian Tak  | Added language about a quality control system.  Added a question about: household race, internet access, SNAP. Deleted questions: ecig use. Changed: Call the Field Director to Call the ExPECTT project assistance line and added the words: toll free number. | Based on Attachment 3_E2a. Approved 9/13/16    |
| 3_E2b1. Screener Mail Parent<br>Guardian A<br>Attachment 3_E2b1.<br>Parent Guardian Ma | Reduced and reworded original question, added 3 questions to encourage response. Reformatted for clarity.   | Based on Attachment 3_E2b. Approved 9/13/16    |
| 3_E2b2. Screener Mail Parent<br>Guardian B<br>Attachment 3_E2b2.<br>Parent Guardian Ma | Same as Screener A except for the additional questions. Reformatted for clarity.  | Based on Attachment 3_E2b.<br>Approved 9/13/16 |
| 3_E2c. Parent/Guardian Instrument  Attachment 3_E2c. Parent or Guardian                | Changes on media questions. Added some questions on current tobacco use status and household tobacco use. Added some language for clarity.  | Based on Attachment 2_E2c.<br>Approved 9/13/16 |
| 3_E2d. Screener Reminder<br>Letter  Attachment 6_E2d. Youth Consent 18 aı              | New Document  | New document                                   |
| 3_E2e. Screener Reminder Postcard  Attachment 6_E2e. Parent Guardian Co                | New Document  | New document                                   |
| 6_E2b1. Permission Parent Baseline  Attachment 6_E2b1. Parent Permission E:            | Added information about sample size, information about the selection of youth, clarity about interaction with interviewer, about privacy and future contact.  | Based on Attachment 6_E2b.<br>Approved 9/13/16 |
| 6_E2b2. Permission Parent<br>Follow-up   | Edited information regarding when the contact is taking place, incentive, future  | Based on Attachment 6_E2b.<br>Approved 9/13/16 |

| Document Name   | Change   | Source Document, Source<br>Approval Date       |
|---|--|--|
| Attachment 6_E2b2.<br>Parent Permission E:  | contacts and early bird dates.   |  |
| 6_E2c. Assent Youth  Attachment 6_E2c. Youth Assent under                                 | Updated info on sample size, time of survey, incentive, toll free number and information about calling the call line.                | Based on Attachment 6_E2c.<br>Approved 9/13/16 |
| 6_E2d. Consent Youth 18+ 3 <sup>rd</sup> Follow-up  Attachment 6_E2d. Youth Consent 18 aı | Updated info on sample size, time of survey, incentive, toll free number and information about calling the call line.                | Based on Attachment 6_E2d.<br>Approved 9/13/16 |
| 6_E2e. Parent Guardian Consent  Attachment 6 E2e. Parent Guardian Co                      | Added language about a quality control system, toll free number and information about calling the call line and a question to agree. | Based on Attachment 6.<br>Approved 10/13       |
| 8_E2. Study Description  Attachment 8_E2. Study Description_T                             | Updated research study contact information for participants.   | Based on Attachment 8_E2.<br>Approved 9/13/16  |
| 9. Privacy and Data Security  Attachment 9. E2 Privacy and Data Sec                       | Slight editing changes. Added descriptions on USERID, privacy info on the CARI privacy control statement,                            | Based on Attachment 9.<br>Approved 9/13/16     |
| 10_E2a. Lead Letter Baseline  Attachment 10 E2a. Lead Letter EXPECTT                      | Update approved lead letter to for newly recruited participants.   | Based on Attachment 10_E2.<br>Approved 9/13/16 |
| 10_E2b. Lead Letter 1st and 2nd Follow-up  Attachment 10 E2b. Lead Letter EXPECTT         | Update approved lead letter for follow ups for first and second follow up waves  | Based on Attachment 10_E1.<br>Approved 9/13/16 |
| 10_E2c. Lead Letter 3rd Follow-<br>up  Attachment 10 E2c. Lead Letter EXPECTT             | Update approved lead letter for third and final wave of data collection  | Based on Attachment 10_E1. Approved 9/13/16    |
| 11_E2. Q & A's about the  | Updated research study contact information   | Based on Attachment 11_E2.                     |

| Document Name  | Change   | Source Document, Source<br>Approval Date                          |
|--|--|---|
| ExPECTT Evaluation  Attachment 11 E2.  Q&As about the Exf                                      | for participants.  | Approved 9/13/16  |
| 12_E2. Telephone Verification Survey  Attachment 12 E2. Telephone Verificati                   | Programing language added in for clarity.  | Attachment 12_E2. Telephone Verification Survey. Approved 9/13/16 |
| 18_E2a. Survey Invitation Email 1st and 2nd Follow-up  Attachment 18_E2a. Survey_invitation-en | Updated research study contact information for participants. Added in Fill in Field for Early Bird Date. | Based on Attachment 19_E1.<br>Approved 9/13/16                    |
| 18_E2b. Survey Invitation Email 3rd Follow-up  Attachment 18_E2b. Survey_invitation-en         | Updated research study contact information for participants. Added in Fill in Field for Early Bird Date. | Based on Attachment 18_E1.<br>Approved 9/13/16                    |
| 19_E2a. Email Reminder 1 1st<br>and 2nd Follow-up<br>Attachment 19_E2a.<br>Email_reminder1_Ex  | Updated research study contact information for participants.   | Based on Attachment 19_E1.<br>Approved 9/13/16                    |
| 19_E2b. Email Reminder 1 3rd Follow-up  Attachment 19_E2b. Email_reminder1 Exf                 | Updated research study contact information for participants.   | Based on Attachment 19_E1.<br>Approved 9/13/16                    |
| 20_E2a. Email Reminder 2 1st<br>and 2nd Follow-up<br>Attachment 20_E2a.<br>Email_reminder2 ExF | Updated research study contact information for participants.   | Based on Attachment 20_E1. Approved 9/13/16                       |
| 20_E2b. Email Reminder 2 3rd Follow-up  Attachment 20_E2b. Email_reminder2 Exf                 | Updated research study contact information for participants.   | Based on Attachment 20_E1. Approved 9/13/16                       |
| 21_E2a. Email Reminder 3 1st   | Updated research study contact information   | Based on Attachment 21_E1.  |

| Document Name  | Change  | Source Document, Source<br>Approval Date    |
|--|---|---|
| and 2nd Follow-up  Attachment 21 E2a. Email_reminder3 Exf                      | for participants. Updated wording for current wave of data collection.  | Approved 9/13/16                            |
| 21_E2b. Email Reminder 3 3rd Follow-up  Attachment 21_E2b. Email_reminder3 Exf | Updated research study contact information for participants. Updated wording for current wave of data collection. | Based on Attachment 21_E1. Approved 9/13/16 |