**§ 141.1 FERC Form No. 1, Annual report**

**of Major electric utilities, licensees**

**and others.**

(a) *Prescription.* The Form of Annual

Report for Major electric utilities, licensees

and others, designated herein

as FERC Form No. 1, is prescribed for

the reporting year 1981 and each year

thereafter.

(b) *Filing requirements*—(1) *Who must*

*file*—(i) *Generally.* Each Major and each

Nonoperating (formerly designated as

Major) electric utility (as defined in

part 101 of Subchapter C of this chapter)

and each licensee as defined in section

3 of the Federal Power Act (16

U.S.C. 796), including any agency, authority

or other legal entity or instrumentality

engaged in generation,

transmission, distribution, or sale of

electric energy, however produced,

throughout the United States and its

possessions, having sales or transmission

service equal to Major as defined

above, must prepare and file electronically

with the Commission the

FERC Form 1 pursuant to the General

Instructions as provided in that form.

(ii) *Exceptions.* This report form is

not prescribed for any agency, authority

or instrumentality of the United

States, nor is it prescribed for municipalities

as defined in section 3 of the

Federal Power Act; (*i.e.,* a city, county,

irrigation district, drainage district, or

other political subdivision or agency of

a State competent under the laws

thereof to carry on the business of developing,

transmitting, utilizing, or

distributing power).

(2) *When to file and what to file.* (i)

The annual report for the year ending

December 31, 2004, must be filed on

April 25, 2005.

(ii) The annual report for each year

thereafter must be filed on April 18.

(iii) This report must be filed with

the Federal Energy Regulatory Commission

as prescribed in § 385.2011 of

this chapter and as indicated in the

General Instructions set out in this

form, and must be properly completed

and verified. Filing on electronic media

pursuant to § 385.2011 of this chapter is

required.

[Order 200, 47 FR 1280, Jan. 12, 1982, as

amended by Order 390, 49 FR 32515, Aug. 14,

1984; Order 574, 60 FR 1718, Jan. 5, 1995; Order

626, 67 FR 36096, May 23, 2002; 69 FR 9043, Feb.

26, 2004; Order 694, 72 FR 20723, Apr. 26, 2007;

73 FR 58736, Oct. 7, 2008]

**§ 141.2 FERC Form No. 1–F, Annual report**

**for Nonmajor public utilities**

**and licensees.**

(a) *Prescription.* The form of Annual

Report for Nonmajor Public Utilities

and Licensees, designated herein as

FERC Form No. 1–F, is prescribed for

the year 1980 and each year thereafter.

(b) *Filing Requirements*—(1) *Who Must*

*File*—(i) *Generally.* Each Nonmajor and

each Nonoperating (formerly designated

as Nonmajor) public utility

and licensee as defined by the Federal

Power Act, which is considered

Nonmajor as defined in Part 101 of this

chapter, shall prepare and file with the

Commission an original and conformed

copies of FERC Form No. 1–F pursuant

to the General Instructions set out in

that form.

(ii) *Exceptions.* FERC Form No. 1–F is

not prescribed for any municipality as

defined in Section 3 of the Federal

Power Act, i.e., a city, county, irrigation

district, drainage district, or other

political subdivision or agency of a

State competent under the laws thereof

to carry on the business of developing,

transmitting, utilizing, or distributing

power.

(2) *When to file.* (i) The annual report

for the year ending December 31, 2004,

must be filed on April 25, 2005.

(ii) The annual report for each year

thereafter must be filed on April 18.

[Order 101, 45 FR 60899, Sept. 15, 1980, as

amended by Order 390, 49 FR 32515, Aug. 14,

1984; 50 FR 5744, Feb. 12, 1985; 69 FR 9043, Feb.

26, 2004; Order 694, 72 FR 20723, Apr. 26, 2007]

**§ 141.400 FERC Form No. 3–Q, Quarterly**

**financial report of electric**

**utilities, licensees, and natural gas**

**companies.**

(a) *Prescription.* The quarterly report

of electric utilities, licensees, and natural

gas companies, designated as

FERC Form No. 3–Q, is prescribed for

the reporting quarter ending March 31,

2004, and each quarter thereafter.

(b) *Filing requirements*—(1) *Who must*

*file*—(i) *Generally.* Each electric utility

and each Nonoperating (formerly designated

as Major or Nonmajor) electric

utility (as defined in part 101 of subchapter

C of this chapter) and other entity,

*i.e.*, each corporation, person, or

licensee as defined in section 3 of the

Federal Power Act (16 U.S.C. 792 *et*

*seq.*), including any agency or instrumentality

engaged in generation,

transmission, distribution, or sale of

electric energy, however produced,

throughout the United States and its

possessions, having sales or transmission

service must prepare and file

with the Commission FERC Form No.

3–Q pursuant to the General Instructions

set out in that form.

(ii) *Exceptions.* This report form is

not prescribed for any agency, authority

or instrumentality of the United

States, nor is it prescribed for municipalities

as defined in section 3 of the

Federal Power Act; (*i.e.* a city, county,

irrigation district, or other political

subdivision or agency of a State competent

under the laws thereof to carry

on the business of developing, transmitting,

utilizing, or distributing

power).

(2) Each Major and Nonoperating

(formerly designated as Major) (as defined

in part 101 of subchapter C of this

chapter) public utility and licensee

must file the quarterly financial report

form as follows:

(i) The quarterly financial report for

the period January 1 through March 31,

2004, must be filed on or before July 9,

2004.

(ii) The quarterly financial report for

the period April 1 through June 30,

2004, must be filed on or before September

8, 2004.

(iii) The quarterly financial report

for the period July 1 through September

30, 2004, must be filed on or before

December 9, 2004.

(iv) The quarterly financial report for

the period January 1 through March 31,

2005, must be filed on or before May 31,

2005.

(v) The quarterly financial report for

the period April 1 through June 30,

2005, must be filed on or before August

29, 2005.

(vi) The quarterly financial report for

the period July 1 through September

30, 2005 must be filed on or before November

29, 2005.

(vii) Subsequent quarterly financial

reports must be filed within 60 days

from the end of the reporting quarter.

(3) Nonmajor and Nonoperating (formerly

designated as Nonmajor) public

utilities and licensees must file the

quarterly financial report form as follows:

(i) The quarterly financial report for

the period January 1 through March 31,

2004, must be filed on or before July 23,

2004.

(ii) The quarterly financial report for

the period April 1 through June 30,

2004, must be filed on or before September

22, 2004.

(iii) The quarterly financial report

for the period July 1 through September

30, 2004, must be filed on or before

December 23, 2004.

(iv) The quarterly financial report for

the period January 1 through March 31,

2005, must be filed on or before June 13,

2005.

(v) The quarterly financial report for

the period April 1 through June 30,

2005, must be filed on or before September

12, 2005.

(vi) The quarterly financial report for

the period July 1 through September

30, 2005 must be filed on or before December

13, 2005.

(vii) Subsequent quarterly financial

reports must be filed within 70 days

from the end of the reporting quarter.

(4) This report must be filed as prescribed

in § 385.2011 of this chapter and

as indicated in the General Instructions

set out in the quarterly financial

report form, and must be properly completed

and verified. Filing on electronic

media pursuant to § 385.2011 of

this chapter will be required commencing

with the quarterly financial

report ending March 31, 2004, due on or

before July 9, 2004 for major public

utilities and licensees, and due on or

before July 23, 2004 for nonmajor public

utilities and licensees.

[69 FR 9043, Feb. 26, 2004, as amended by

Order 646–A, 69 FR 32443, June 10, 2004; Order

646, 69 FR 34568, June 22, 2004; Order 695, 72

FR 20723, Apr. 26, 2007; 73 FR 58736, Oct. 7,

2008]

**§ 260.300 FERC Form No. 3–Q, Quarterly**

**financial report of electric**

**utilities, licensees, and natural gas**

**companies.**

(a) *Prescription.* The quarterly report

for electric utilities, licensees, and natural

gas companies, designated herein

as FERC Form No. 3–Q, is prescribed

for the reporting quarter ending March

31, 2004, and each quarter thereafter.

(b) *Filing requirements*—(1) *Who must*

*file.* Each natural gas company, (as defined

in the Natural Gas Act (15 U.S.C.

717, *et. seq.*) must prepare and file with

the Commission a FERC Form No. 3–Q

pursuant to the General Instructions

set out in that form.

(2) Each Major natural gas company

must file this quarterly financial report

form as follows:

(i) The quarterly financial report for

the period January 1 through March 31,

2004, must be filed on or before July 9,

2004.

(ii) The quarterly financial report for

the period April 1 through June 30,

2004, must be filed on or before September

8, 2004.

(iii) The quarterly financial report

for the period July 1 through September

30, 2004, must be filed on or before

December 9, 2004.

(iv) The quarterly financial report for

the period January 1 through March 31,

2005, must be filed on or before May 31,

2005.

(v) The quarterly financial report for

the period April 1 through June 30,

2005, must be filed on or before August

29, 2005.

(vi) The quarterly financial report for

the period July 1 through September

30, 2005 must be filed on or before November

29, 2005.

(vii) Subsequent quarterly financial

reports must be filed within 60 days

from the end of the reporting quarter.

(3) Each Nonmajor natural gas company

must file a quarterly financial report

as follows:

(i) The quarterly financial report for

the period January 1 through March 31,

2004, must be filed on or before July 23,

2004.

(ii) The quarterly financial report for

the period April 1 through June 30,

2004, must be filed on or before September

22, 2004.

(iii) The quarterly financial report

for the period July 1 through September

30, 2004, must be filed on or before

December 23, 2004.

(iv) The quarterly financial report for

the period January 1 through March 31,

2005, must be filed on or before June 13,

2005.

(v) The quarterly financial report for

the period April 1 through June 30,

2005, must be filed on or before September

12, 2005.

(vi) The quarterly financial report for

the period July 1 through September

30, 2005 must be filed on or before December

13, 2005.

(vii) Subsequent quarterly financial

reports must be filed within 70 days

from the end of the reporting quarter.

(4) This report must be filed as prescribed

in § 385.2011 of this chapter as

indicated in the General Instructions

set out in the quarterly financial report

form, and must be properly completed

and verified. Filing on electronic

media pursuant to § 385.2011 of

this chapter will be required commencing

with the quarterly financial

report ending March 31, 2004, due on or

before July 9, 2004 for major natural

gas companies, and due on or before

July 23, 2004 for nonmajor natural gas

companies. One copy of the report

must be retained by the respondent in

its files.

[69 FR 9044, Feb. 26, 2004, as amended by

Order 646–A, 69 FR 32443, June 10, 2004]