

# HCBS EVV State Compliance Survey Screenshots

Personal Care Services

June 5, 2019

# Electronic Visit Verification Landing Page

Electronic Visit Verification (EVV) systems are mandated by Congress through Section 12006 of the [21st Century Cures Act](#). All systems must be implemented by January 1, 2020 for Personal Care Services (PCS) and January 1, 2023 for Home Health Care Services (HHCS). States can qualify for a good faith effort exemption, if necessary, which would extend these timeframes by one year. EVV systems are designed to improve the monitoring of payments and billing, increase safeguards of participant health information, and promote adequate delivery of services. The tools and resources on this page are designed to assist States in complying with federal requirements.



**Request Technical Assistance**  
States facing challenges while implementing their EVV system can request assistance



**Education Materials**  
Resources to provide promising practices and CMS presentations regarding EVV implementation guidance



**State Compliance Survey**  
Status update of how States are meeting Section 12006 of the 21st Century Cures Act requirements

# State Compliance Survey CMS Landing Page

Electronic Visit Verification (EVV) systems are mandated by Congress through Section 12006 of the [21st Century Cures Act](#). All systems must be implemented by January 1, 2020 for Personal Care Services (PCS) and January 1, 2023 for Home Health Care Services (HHCS). States can qualify for a good faith effort exemption, if necessary, which would extend these timeframes by one year. CMS will use the information provided from the surveys as the basis for determining compliance with the Cures Act and for assessing any applicable Federal Medical Assistance Percentage (FMAP) reductions for non-compliance.

[View PCS and HHCS Authorities](#)

[Paperwork Reduction Act](#)

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State	Service	Status	Action	Approved for Good Faith Effort?	Modification History
AL	PCS	Complete	<a href="#">View Completed Survey</a>	<a href="#">Yes</a>	<a href="#">View</a>
AL	HHCS	Incomplete	<a href="#">Send Reminder</a>	<a href="#">(Unanswered)</a>	
AK	PCS	Incomplete	<a href="#">Send Reminder</a>	<a href="#">(Unanswered)</a>	
AK	HHC S	Incomplete	<a href="#">Send Reminder</a>	<a href="#">(Unanswered)</a>	

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# Stat Question ID 0

## State Compliance Survey - Personal Care Services (PCS)

**PRA Disclosure Statement:** According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-TBD (Expires: TBD). This information collection is mandatory for states to complete in order to demonstrate compliance with section 1903(l) of the Social Security Act. States that are otherwise fully compliant with the requirements may experience Federal Medical Assistance Percentage (FMAP) reductions per section 1903(l)(1) of the Social Security Act if they do not complete this information collection. Under the Privacy Act of 1974 any personally identifying information obtained will be kept private to the extent of the law. The time required to complete the information collection is estimated to average 130-150 minutes, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

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# State Compliance Survey – PCS

## Question ID 1

Please select the answer that best applies to your State's current EVV implementation status for Authorities 1905(a)(24), 1915(c), 1915(i), 1915(j), 1915(k), and 1115 Demonstration for Personal Care Services (PCS).

My State has implemented EVV for \_\_\_\_\_ authorities within my State specified in the 21st Century Cures Act.

- All
- Some
- Zero (None)

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# State Compliance Survey – PCS

## Question ID 2

Has your State submitted an Advance Planning Document (APD)?

Yes

No

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# State Compliance Survey – PCS

## Question ID 3

State Compliance Survey - Personal Care Services (PCS)

Has the APD been approved?

Yes

No

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# State Compliance Survey – PCS

## Question ID 4

Please indicate the approval date of the APD.

 /  / 

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# State Compliance Survey – PCS

## Question ID 5

Please indicate the CMS certification date of the EVV system.

 /  / 

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# State Compliance Survey – PCS

## Question ID 6

Does your State intend to submit an APD?

Yes

No

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# State Compliance Survey – PCS

## Question ID 7

State Compliance Survey – Personal Care Services (PCS)

When does your State anticipate submitting an APD?

 /  / 

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# State Compliance Survey – PCS

## Question ID 8

State Compliance Survey – Personal Care Services (PCS)

Is EVV implemented for Authority 1905(a)(24)?

- Yes - My State has implemented EVV for this Authority.
- No - My State delivers PCS under this authority and is required to become compliant with the 21st Century Cures Act.
- N/A - My State does not deliver PCS under this authority and therefore does not need to address this section.

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# State Compliance Survey – PCS

## Question ID 9

Please indicate the implementation date for Authority 1905(a)(24).

 /  / 

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# State Compliance Survey – PCS

## Question ID 10

State Compliance Survey – Personal Care Services (PCS)

Please select your State's EVV model for Authority 1905(a)(24). Select more than one if necessary.

- Provider Choice
- MCO Choice
- State Mandated External Vendor
- State Mandated In-house Vendor
- Open Vendor
- Other (please include an explanation - 400 character limit)

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# State Compliance Survey – PCS

## Question ID 11

Please indicate the anticipated implementation date for Authority 1905(a)(24).

 /  / 

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# State Compliance Survey – PCS

## Question ID 12

Please select your State's EVV model for Authority 1905(a)(24). Select more than one if necessary.

- Provider Choice
- MCO Choice
- State Mandated External Vendor
- State Mandated In-house Vendor
- Open Vendor
- Other (please include an explanation - 400 character limit)

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# State Compliance Survey – PCS

## Question ID 13

State Compliance Survey – Personal Care Services (PCS)

Is EVV implemented for Authority 1915(c)?

- Yes - My State has implemented EVV for this Authority.
- No - My State has a waiver under this authority that delivers PCS and is required to become compliant with the 21st Century Cures Act.
- N/A - My State does not have a waiver with this authority that includes PCS and therefore does not need to address this section.

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# State Compliance Survey – PCS

## Question ID 14

State Compliance Survey – Personal Care Services (PCS)

Please indicate the implementation date for Authority 1915(c).

/  /

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# State Question ID 15

## State Compliance Survey - Personal Care Services (PCS)

Please select your State's EVV model for Authority 1915(c). Select more than one if necessary.

- Provider Choice
- MCO Choice
- State Mandated External Vendor
- State Mandated In-house Vendor
- Open Vendor
- Other (please include an explanation - 400 character limit)

PREV

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# State Compliance Survey – PCS

## Question ID 16

State Compliance Survey – Personal Care Services (PCS)

Please indicate the anticipated implementation date for Authority 1915(c).

 /  / 

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# State Compliance Survey – PCS

## Question ID 17

Please select your State's anticipated EVV model for Authority 1915(c). Select more than one if necessary.

- Provider Choice
- MCO Choice
- State Mandated External Vendor
- State Mandated In-house Vendor
- Open Vendor
- Other (please include an explanation - 400 character limit)

PREV

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# State Compliance Survey – PCS

## Question ID 18

State Compliance Survey – Personal Care Services (PCS)

Is EVV implemented for Authority 1915(i)?

- Yes - My State has implemented EVV for this Authority.
- No - My State delivers PCS under this authority and is required to become compliant with the 21st Century Cures Act
- N/A - My State does not deliver PCS under this authority and therefore does not need to address this section.

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# State Compliance Survey – PCS

## Question ID 19

State Compliance Survey – Personal Care Services (PCS)

Please indicate the implementation date for Authority 1915(i).

 /  / 

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# State Compliance Survey – PCS

## Question ID 20

Please select your State's EVV model for Authority 1915(i). Select more than one if necessary.

- Provider Choice
- MCO Choice
- State Mandated External Vendor
- State Mandated In-house Vendor
- Open Vendor
- Other (please include an explanation - 400 character limit)

PREV

Save & Continue Later

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# State Compliance Survey – PCS

## Question ID 21

Please indicate the anticipated implementation date for Authority 1915(i).

 /  / 

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# State Compliance Survey – PCS

## Question ID 22

Please select your State's anticipated EVV model for Authority 1915(i). Select more than one if necessary.

- Provider Choice
- MCO Choice
- State Mandated External Vendor
- State Mandated In-house Vendor
- Open Vendor
- Other (please include an explanation - 400 character limit)

PREV

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# State Compliance Survey – PCS

## Question ID 23

State Compliance Survey – Personal Care Services (PCS)

Is EVV implemented for Authority 1915(j)?

- Yes - My State has implemented EVV for this Authority.
- No - My State delivers PCS under this authority and is required to become compliant with the 21st Century Cures Act.
- N/A - My State does not deliver PCS under this authority and therefore does not need to address this section.

PREV

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# State Compliance Survey – PCS

## Question ID 24

State Compliance Survey – Personal Care Services (PCS)

Please indicate the implementation date for Authority 1915(j).

/  /

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# State Compliance Survey – PCS

## Question ID 25

Please select your State's EVV model for Authority 1915(j). Select more than one if necessary.

- Provider Choice
- MCO Choice
- State Mandated External Vendor
- State Mandated In-house Vendor
- Open Vendor
- Other (please include an explanation - 400 character limit)

PREV

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# State Compliance Survey – PCS

## Question ID 26

Please indicate the anticipated implementation date for Authority 1915(j).

 /  / 

PREV

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# State Compliance Survey – PCS

## Question ID 27

Please select your State's anticipated EVV model for Authority 1915(j). Select more than one if necessary.

- Provider Choice
- MCO Choice
- State Mandated External Vendor
- State Mandated In-house Vendor
- Open Vendor
- Other (please include an explanation - 400 character limit)

PREV

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# Stat Question ID 28

## State Compliance Survey - Personal Care Services (PCS)

Is EVV implemented for Authority 1915(k)?

- Yes - My State has implemented EVV for this Authority.
- No - My State delivers PCS under this authority and is required to become compliant with the 21st Century Cures Act.
- N/A - My State does not deliver PCS under this authority and therefore does not need to address this section.

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# State Compliance Survey – PCS

## Question ID 29

State Compliance Survey – Personal Care Services (PCS)

Please indicate the implementation date for Authority 1915(k).

/  /

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# State Compliance Survey – PCS

## Question ID 30

Please select your State's EVV model for Authority 1915(k). Select more than one if necessary.

- Provider Choice
- MCO Choice
- State Mandated External Vendor
- State Mandated In-house Vendor
- Open Vendor
- Other (please include an explanation - 400 character limit)

PREV

Save & Continue Later

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# State Compliance Survey – PCS

## Question ID 31

Please indicate the anticipated implementation date for Authority 1915(k).

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PREV

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Medicare.gov  
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# State Compliance Survey – PCS

## Question ID 32

Please select your State's anticipated EVV model for Authority 1915(k). Select more than one if necessary.

- Provider Choice
- MCO Choice
- State Mandated External Vendor
- State Mandated In-house Vendor
- Open Vendor
- Other (please include an explanation - 400 character limit)

PREV

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# State Compliance Survey – PCS

## Question ID 33

State Compliance Survey – Personal Care Services (PCS)

Is EVV implemented for Authority 1115 Demonstration?

- Yes - My State has implemented EVV for this Authority.
- No - My State has a waiver under this authority that delivers PCS and is required to become compliant with the 21st Century Cures Act.
- N/A - My State does not have a waiver with this authority that includes PCS and therefore does not need to address this section.

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# State Compliance Survey – PCS

## Question ID 34

State Compliance Survey – Personal Care Services (PCS)

Please indicate the implementation date for Authority 1115 Demonstration.

 /  / 

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# State Compliance Survey – PCS

## Question ID 35

Please select your State's EVV model for Authority 1115 Demonstration. Select more than one if necessary.

- Provider Choice
- MCO Choice
- State Mandated External Vendor
- State Mandated In-house Vendor
- Open Vendor
- Other (please include an explanation - 400 character limit)

PREV

Save & Continue Later

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# State Compliance Survey – PCS

## Question ID 36

State Compliance Survey – Personal Care Services (PCS)

Please indicate the anticipated implementation date for Authority 1115 Demonstration.

 /  / 

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# State Compliance Survey – PCS

## Question ID 37

Please select your State's anticipated EVV model for Authority 1115 Demonstration. Select more than one if necessary.

- Provider Choice
- MCO Choice
- State Mandated External Vendor
- State Mandated In-house Vendor
- Open Vendor
- Other (please include an explanation - 400 character limit)

PREV

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# State Compliance Survey – PCS

## Question ID 38

Is EVV implemented for another Authority that has not been listed? If yes, please also indicate the implementation date.

Yes (100 character limit)

No

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# State Compliance Survey – PCS

## Question ID 39

Please provide a brief description of your State's EVV system. (400 character limit)

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# State Compliance Survey – PCS

## Question ID 40

Pursuant to Section 12006(a)(2)(A)(i), of the 21st Century Cures Act, please describe how the state has ensured that its EVV system is minimally burdensome.

Description of how the state ensured that its EVV system is minimally burdensome. (1500 character limit)

Not applicable per Section 12006(a)(3) of the 21st Century Cures Act. My state had an EVV system in place prior to the enactment of the 21st Century Cures Act. (1500 character limit)

Not applicable. My state has not implemented EVV for any of the authorities in the 21st Century Cures Act.

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# State Question ID 41

## State Compliance Survey - Personal Care Services (PCS)

Pursuant to Section 12006(a)(2)(B) of the 21st Century Cures Act, please describe how your state took into account a stakeholder process that included input from beneficiaries, family caregivers, individuals who furnish personal care services, and other stakeholders when designing its EVV system. Please note that the statute does not require states that had a compliant EVV system in place prior to enactment of the 21st Century Cures Act to seek stakeholder input and they therefore will not be required to answer this question.

Description of how the state took stakeholder process into account for EVV design. (1500 character limit)

Not applicable per Section 12006(a)(3) of the 21st Century Cures Act. My state had an EVV system in place prior to the enactment of the 21st Century Cures Act. (1500 character limit)

PREV

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# State Compliance Survey – PCS

## Question ID 42

Pursuant to Section 12006(c)(3) of the 21st Century Cures Act, please describe how your state has ensured that its EVV system does not limit personal care services provider selection. (1500 character limit)

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# State Compliance Survey – PCS

## Question ID 43

Pursuant to Section 12006(c)(3) of the 21st Century Cures Act, please describe how your state has ensured that its EVV system does not constrain beneficiaries' selection of a caregiver. (1500 character limit)

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# State Compliance Survey – PCS

## Question ID 44

Pursuant to Section 12006(c)(3) of the 21st Century Cures Act, please describe how your state has ensured that its EVV system does not impede the manner in which care is delivered. (1500 character limit)

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# State Compliance Survey – PCS

## Question ID 45

Pursuant to Section 12006(a)(2)(A)(iii) of the 21st Century Cures Act, please describe how the state has ensured that the EVV system is conducted in accordance with the requirements of HIPAA privacy and security law (as defined in section 3009 of the Public Health Service Act.

Description of how the state ensured the EVV system is in accordance with HIPAA privacy and security law requirements. (1500 character limit)

Not applicable per Section 12006(a)(3) of the 21st Century Cures Act. My state had an EVV system in place prior to the enactment of the 21st Century Cures Act. (1500 character limit)

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# State Question ID 46

## State Compliance Survey - Personal Care Services (PCS)

By submitting this survey, I understand that my State's EVV system must be fully operational and meet the requirements specified in the 12006(a) of the 21st Century Cures Act by January 1, 2020 if my State does not have a good faith effort exemption and by January 1, 2021 if my State does have a good faith effort exemption.

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