September 6, 2018

MEMORANDUM

To:	Robert Siviniski, OMB
From:	Sarah Grady, NCES
Through:	Kashka Kubzdela, NCES
RE:	National Household Education Survey 2019 (NHES:2019) Passback Change Request (OMB# 1850-0768 v.15)

The National Household Education Survey (NHES) is a data collection program of the National Center for Education Statistics (NCES) designed to provide descriptive data on the education activities of the U.S. population, with an emphasis on topics that are appropriate for household surveys rather than institutional surveys. Such topics have covered a wide range of issues, including early childhood care and education, children's readiness for school, parents' perceptions of school safety and discipline, before- and after-school activities of school-age children, participation in adult and career education, parents' involvement in their children's education, school choice, homeschooling, and civic involvement. The request to conduct the NHES:2019 full scale data collection, from December 2018 through September 2019, in conjunction with an In-Person Study of Nonresponding Households, designed to provide insight about nonresponse that can help plan future survey administrations was approved in September 2018 (OMB# 1850-0768 v.14). NHES:2019 will use mail and web data collection modes and will field two surveys: The Early Childhood Program Participation survey (ECPP) and the Parent and Family Involvement in Education survey (PFI). During the NHES:2019 clearance process, OMB provided passback on the proposed study plan. This request provides the response to OMB passback and the revised Part C and Appendix 3 as detailed below.

The change described in this document does not affect the estimated response burden or the total cost to the federal government for this study.

During their review of the NHES:2019 request (OMB# 1850-0768 v.14), on August 15th, 2018, OMB raised the following question:

> Does this mean that there's no practical utility for children enumerated on the web version past the first 5? If so, please modify the web version to only allow 5 children.

In response, we have revised the web version of the screener to be aligned with the paper version and ask respondents to list up to 5 of the children in their household. The revisions made to the Part C and Appendix 3 documents are reflected below in red font.

Part C – Item Justification

NHES:2019 Screener Deletions

The NHES:2016 screener asked for all people in the household to be enumerated, up to 10 possible individuals. NHES:2016 fielded the Adult Training and Education Survey (ATES), which made a full

household enumeration necessary for determining eligibility for the ATES. The NHES:2019 asks only for children/youth to be enumerated, and allows space for up to 5 children/youth to be reported. The NHES:2019 web survey will also allow the respondent to report up to ~~10 children but will only sample from the first~~ 5 children in order to be consistent with the paper version of the screener. Data collection items for adults have been deleted from NHES:2019 in paper and web.

Appendix 3 – Web Screener and Topical Facsimile *(all of the changes made to the English language version of the web-based screener were also made to the Spanish language version)*

Item: Child name roster (s1_rostera)

Header: English: ABOUT THIS HOUSEHOLD

Spanish: ACERCA DE ESTE HOGAR

s1_p1name through s5_p1name

English Text Reads:

Start with the youngest child or youth who is age 20 or younger.

Please list the first names of all the children or youth who live in this household. Include small children, foster children, babies and those living in college housing (if they have no other permanent home). You may provide nicknames or initials if you prefer.

Enter one child or youth on each line. Enter names until you have listed all children or youth then click Next. ~~*You may enter more names on the upcoming screen if needed.*~~

First name, initials, or nickname

_____ (s1_p1name)

_____ (s1_p2name)

_____ (s1_p3name)

_____ (s1_p4name)

_____ (s1_p5name)

English and Spanish Answer:

s1_p1name- s1_p5name, (0,30) character, write-in

Skip patterns:

~~Go to s1_rosterb~~

~~Item: Child name roster other (s1_rosterb)~~

~~English Text Reads:~~

~~Other than the children or youth listed below, does ANYONE ELSE live in this household?~~

~~For example, anyone who usually lives here who is temporarily away from home or living in a dorm at school, any babies or small children, or foster children.~~

~~[List Names from s1_rostera in grey]~~

~~[s1_p1name]~~

~~[s1_p2name]~~

~~[s1_p3name]~~

~~[s1_p4name]~~

~~[s1_p5name]~~

English Answer:

1= Yes

2= No

Skip patterns:

If s1_rosterb = 1 childmany and s1rostera are all blank, and choiceplus_amnt = ' ' then go to s1_rosterbname thank_you; else if s1_rosterb = 2 then go to s1_p1dobmm

Item: Additional child name roster (s1_rosterbname)

English Text Reads:

Other than the children or youth listed below, do any other children or youth live at [ADDRESS]?

[Display all of ROSTERB but grayed out:]

[s1_p1name]

[s1_p2name]

[s1_p3name]

[s1_p4name]

[s1_p5name]

For example, anyone who usually lives here who is temporarily away from home or living in a dorm at school, any babies or small children, roommates, foster children.

Enter the names or nicknames and click Next. Do not include anyone already on the list above.

First name, initials, or nickname

[s6_p1name]

[s7_p2name]

[s8_p3name]

[s9_p4name]

[s10_p5name]

English and Spanish Answer:

s1_p6name - s1_p10name, (0,30) character, write-in

Skip patterns:

Go to s1_p1dobmm

Logic Checks checks/Programming specifications:

If s1_p1name = "blank" or s1_p2name = "blank" or s1_p3name = "blank" or s1_p4name = "blank" or s1_p5name = "blank" and s1_p6name – s1_p10name ne "blank" fill the information person information for the next blank person. For example if the respondent reports 2 children in s1_p1name and s1_p2name but then reports an additional child in s1_p6name make sure that the corresponding data for that person in the sex, age, and enrollment questions aligns with the p3name variable. In 2017 the data output had the name in p1_s6name but the remaining data for that person in s1_p3<variable name>. For sampling purposes the NHES:2019 will only sample from first five children reported (s1_p1-s1_p5).

If the number of children entered on rostera is greater than the number entered on s1_childmany, then ask the follow-up questions for the number of children entered on rostera. Else, if the number of children entered on rostera is less than the number of children entered on s1_childmany, then ask the follow-up screener questions about the number of children entered on s1_childmany. Ultimately, ask the follow-up screener questions for

whichever number is greater, s1_childmany or s1_rostera.   If s1_childmany = # entered of rostera (and it's not 0 or blank), then ask about that number, unless it's 0 or blank, in which case follow: If s1_childmany and s1_rostera are all blank or 0 then assume no children in household and end survey.

(…)

Item: sampling (not an actual page but behind the scenes)

If sampled for PFI, go to ps_pfirealte. If sampled for ECPP, go to ps_ecpprelate. If no sampled child for the PFI or ECPP and choiceplus = 0 then go to thank_you; else if no sampled child for the PFI or ECPP and choiceplus = 1 then go to Incent_Name.

Note regarding sampling. Only sample from the first 5 children reported. This will mimic the sampling that is done for the paper instruments. If the respondent only reports 2 children in rostera and then a 3rd child in rosterb please include that child as part of the 3 children eligible to be sampled.