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**OMB Approved**  
0579-0036  
0579-XXXX

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

## APPLICATION FOR NEW LICENSE

(TYPE OR PRINT)

### OFFICIAL USDA USE ONLY

SEND THE COMPLETED FORM TO:

LICENSE/ CUSTOMER NUMBER	EXPIRATION DATE	AMOUNT	DATE RECEIVED
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No license may be issued unless a completed application has been received, the applicable fees have been paid (7 U.S.C. 2132-2143), and the applicant is in compliance with the standards and regulations of Section 2133.

#### 1. NAME(S) OF APPLICANT(S) AND CONTACT INFORMATION:

TELEPHONE  
COUNTY  
EMAIL

#### 2. ALL BUSINESS NAMES AND LOCATION ADDRESSES HOUSING ANIMALS, INCLUDING INTENDED EXHIBITS (include directions to each location; P.O. boxes not acceptable):

Use additional sheet, if necessary

TELEPHONE  
COUNTY  
EMAIL

#### 3. IF THE APPLICANT IS A CORPORATION, PARTNERSHIP, OR OTHER BUSINESS ENTITY, LIST THE ENTITY'S PARTNERS OR OFFICERS AND AGENT FOR SERVICE OF PROCESS:

NAME	TITLE

#### 4. (A) PREVIOUS USDA LICENSE NUMBER (If any):

(B) ACTIVE USDA LICENSE NUMBER IN WHICH YOU HAVE AN INTEREST:

#### 5. TYPE OF LICENSE:

Class A – Breeder     Class B – Dealer     Class C – Exhibitor

#### 6. LIST YOUR 12 MONTH BUSINESS YEAR: (Calendar or Fiscal)

FROM			TO		
MONTH	DAY	YEAR	MONTH	DAY	YEAR

#### 7. TYPE OF ORGANIZATION:

Individual     Corporation     Partnership

Other (University, State, Municipality, LLC, Trust)

#### 8. THE ANTICIPATED TYPE AND NUMBER OF ANIMALS TO BE OWNED, HELD, MAINTAINED, SOLD, OR EXHIBITED DURING THE PERIOD OF LICENSURE (see 9 CFR Sections 2.6 and 2.7)

Dogs and Cats		Big Cats and Large Felids	
Guinea Pigs and Hamsters		Wolves	
Rabbits		Bears	
Group 1-4 Nonhuman Primates		Mega-herbivores	
Group 5-6 Nonhuman Primates		Other Animals	
Marine Mammals			

#### 9. VIOLATIONS AND NOLO CONTENDRE

Disclose any pleas of nolo contendere (no contest) or finding of a violation of Federal, State, or local laws or regulations pertaining to animal cruelty or the transportation, ownership, neglect, or welfare of animals.

None     Yes, described below:

Use additional sheet, if necessary

#### 10. EXPERIENCE

If the anticipated type of animals includes wild or exotic animals, the applicant must submit along with their application form information and records demonstrating their knowledge of and experience with those animals.

Yes. Information and records are attached.

### CERTIFICATION

I hereby make application for a license under the Animal Welfare Act 7 U.S.C. 2131 et seq. I certify that the information provided herein is true and correct to the best of my knowledge. I hereby acknowledge receipt of and agree to comply with all the regulations and standards in 9 CFR, Subpart A, Parts 1, 2, and 3. I certify that the applicant is 18 years of age or older.

11. PRINT NAME AND TITLE:

12. SIGNATURE:

13. DATE

## Privacy Act Notice

**Authority:** The Animal Welfare Act (AWA), 7 U.S.C. 2131 *et seq.*

**Purpose:** This system supports APHIS' administrative activities and enforcement of the AWA.

**Routine Uses:**

In addition to those disclosures generally permitted under 5 U.S.C. 552a (b) of the Privacy Act, records maintained in the system may be disclosed outside USDA as follows:

- 1) Records maintained under the proviso of this authority form an integral support network essential for the implementation and enforcement of the Animal Welfare Act, including licensing and registration of individuals, businesses, and research facilities, compliance with regulations and standards applicable under the Act, and annual reporting requirements of summary data as required by law.
- 2) Referral to the appropriate agency whether Federal, State, local or foreign, charged with the responsibility of investigating or prosecuting a violation of law, or of enforcing or implementing the statute, rule, regulation or order issued pursuant thereto, of any record within this system when information available indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by rule, regulation or order issued pursuant thereto.
- 3) Disclosure to the Department of Justice for use in litigation when the agency, or any component thereof, or any employee of the agency in his or her official capacity, or any employee of the agency in his or her individual capacity where the Department of Justice has agreed to represent the employee, or the United States, where the agency determines that litigation is likely to affect the agency or any of its components, is a party to litigation or has an interest in such litigation, and the use of such records by the Department of Justice is deemed by the agency to be relevant and necessary to the litigation; provided, however, that in each case, the agency determines that disclosure of the records to the Department of Justice is a use of the information contained in the records that is compatible with the purpose for which the records were collected.
- 4) Disclosure in a proceeding before a court or adjudicative body before which the agency is authorized to appear, when the agency, or any component thereof, or any employee of the agency in his or her official capacity, or any employee of the agency in his or her individual capacity where the agency has agreed to represent the employee, or the United States, where the agency determines that litigation is likely to affect agency or any of its components, is a party to litigation or has an interest in such litigation, and the agency determines that use of such records is relevant and necessary to the litigation; provided, however, that in each case, the agency determines that disclosure of the records to the court is a use of the information contained in the records that is compatible with the purpose for which the records were collected.
- 5) Disclosure may be made to a congressional office from the record of an individual in response to an inquiry from the congressional office made at the request of that individual.

**Disclosure:** Furnishing this information is voluntary; however, failure to furnish this information may impede your ability to submit an Animal Care-Application for License (Renewal).

## INSTRUCTIONS FOR NEW LICENSE APPLICATION (APHIS FORM 7003A & TAX IDENTIFICATION SHEET)

- **Please** read all instructions before completing the application and Tax ID sheet.
- **Contact** your USDA APHIS Animal Care office before mailing your application.
- **Corrections** and/or clarifications will delay the processing of your application.
- **All** blocks must be completed; if one does not apply please put "N/A".
- **Mail** in all original documents. We cannot accept applications that are copied, e-mailed, or faxed.
- **Complete** the application in blue or black ink.
- **Send** in only the application, tax ID sheet, and \$10 application fee.
- **Payments** can be made by check, money order, or credit card. Cash is not accepted.

### Completing the green Tax ID Sheet:

- (a) An **Individual**; write the individual's name and Social Security Number.
- (b) A **Partnership**; write either all partner's names and Social Security Numbers or the business name and Employee Identification Number.
- (c) A **Corporation** or **Other**; write the business name and Employee Identification Number.

### Completing the APHIS Form 7003A Application:

**Block 1:** Complete this block with the applicant name, business address, county, telephone number, and email address.

- **Applicant** means the name the license will be under.
  - o If applying as an **Individual**, put the individual's name only.
  - o If applying as a **Partnership**, please either list all partner's names or the business name.
  - o If applying as **Corporation** or **Other**, list the business name only.
- **Business Address** refers to the address all correspondences will be mailed to.
  - o Cannot be left blank, and can include only one address.
  - o Include the county that the business address is in along with a telephone number where the applicant can be reached.

**Block 2:** Complete this block the physical address of where the animals currently are, or will be located for intended exhibits.

- **Physical Address** means the address of where the animals are located.
  - o P.O. Boxes cannot be listed in this Block.
  - o Include the county that the address is located and telephone number.

- If necessary, use an additional sheet if more than one address or if providing directions.

**Block 3:** Complete this block with all officers authorized to conduct business for the license.

- If applying as an **Individual**;
  - o This block does not need to be completed.
- If applying as a **Partnership**;
  - o Under owners' names this block does not need to be completed.
  - o Under the business name list all names authorized to conduct business for this license.
  - o Owner cannot be used as a title.
- If applying as a **Corporation** or **Other**;
  - o List all names authorized to conduct business for this license.
  - o Owner cannot be used as a title.

**Block 4:** Complete this block only if you had a previous license number or have a business interest in another active USDA license.

**Block 5:** Check the box that best describes your business.

- **Class A (breeder)** – sells only animals bred and raised at your facility.
- **Class B (broker)** – buys and sells animals or is an operator of an auction.
- **Class C (exhibitor)** – exhibits animals to the public.

**Block 6:** Enter a 12-month business year.

- Either as a calendar year (1/1/16 to 12/31/16) or fiscal year (10/1/16 to 9/30/17).

**Block 7:** Check the box that best describes your business.

- **Individual**- Owner name only listed in Block 1.
- **Partnership**- Either partner's name or business name listed in Block 1.
- **Corporation** or **Other**- Business name listed in Block 1.

**Block 8:** Complete this block if applying for Class B or C (dealer or exhibitor) License.

- Enter the number of regulated animals for each category that is owned, held, or exhibited.

**Block 9:** Annotate if you have any animal violations or nolo contendere incidents. If yes, describe the incident(s). Attach an additional sheet, if necessary.

**Block 10:** If the type of animals include wild or exotic animals, annotate "yes" and include supporting documentation showing knowledge and experience with these animals.

**Block 11-13:** Complete these blocks with an authorized person's name (either listed in Block 1 or Block 3) and include the printed name, signature, and date.