

**Coast Guard, DHS**

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(b) Fees are payable by check or money-order made payable to the "U.S. Coast Guard"; by major credit card (MasterCard or Visa); or, when the owner applies in person, in cash.

[USCG-1998-3386, 64 FR 36243, July 6, 1999]

**APPENDIX A TO PART 173—ISSUING AUTHORITIES AND REPORTING AUTHORITIES**

(a) The State is the issuing authority and reporting authority in:

STATE	
Alabama—AL.	Montana—MT.
Alaska—AK.	Nebraska—NB.
American Samoa—AS.	Nevada—NV.
Arizona—AZ.	New Hampshire—NH.
Arkansas—AR.	New Jersey—NJ.
California—CA.	New Mexico—NM.
Colorado—CO.	New York—NY.
Connecticut—CT.	North Carolina—NC.
Delaware—DE.	North Dakota—ND.
District of Columbia—DC.	Northern Mariana Islands—CM.
Florida—FL.	Ohio—OH.
Georgia—GA.	Oklahoma—OK.
Guam—GU.	Oregon—OR.
Hawaii—HI.	Pennsylvania—PA.
Idaho—ID.	Puerto Rico—PR.
Illinois—IL.	Rhode Island—RI.
Indiana—IN.	South Carolina—SC.
Iowa—IA.	South Dakota—SD.
Kansas—KA.	Tennessee—TN.
Kentucky—KY.	Texas—TX.
Louisiana—LA.	Utah—UT.
Maine—ME.	Vermont—VT.
Maryland—MD.	Virginia—VA.
Massachusetts—MS.	Virgin Islands—VI.
Michigan—MI.	Washington—WN.
Minnesota—MN.	West Virginia—WV.
Mississippi—MS.	Wisconsin—WS.
Missouri—MO.	Wyoming—WY.

(b) The Coast Guard is the issuing authority and reporting authority in:

STATE

[Reserved]

(c) The abbreviations following the names of the State listed in paragraphs (a) and (b) are the two capital letters that must be used in the number format to denote the State of principal use as prescribed in §174.23 of this chapter.

[CGD 72-54R, 37 FR 21399, Oct. 7, 1972, as amended by CGD 76-076, 41 FR 23401, June 10, 1976; CGD 89-048, 54 FR 27002, June 27, 1989; USCG-2001-9286, 66 FR 33642, June 25, 2001]

**PART 174—STATE NUMBERING AND CASUALTY REPORTING SYSTEMS**

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AUTHORITY: 46 U.S.C. 6101 and 12302; Department of Homeland Security Delegation No. 0170.1 (92).

SOURCE: CGD 72-54R, 37 FR 21402, Oct. 7, 1972, unless otherwise noted.

**Subpart A—General**

**§ 174.1 Applicability.**

This part establishes a standard numbering system for vessels and a

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uniform vessel casualty reporting system for vessels by prescribing requirements applicable to the States for the approval of State numbering systems.

#### § 174.3 Definitions.

As used in this part:

*Operator* means the person who is in control or in charge of a vessel while it is in use.

*Owner* means a person who claims lawful possession of a vessel by virtue of legal title or equitable interest therein which entitles him or her to such possession.

*Reporting authority* means a State where a numbering system has been approved by the Coast Guard or the Coast Guard where a numbering system has not been approved. Reporting authorities are listed in Appendix A of Part 173 of this chapter.

[CGD 72-54R, 37 FR 21402, Oct. 7, 1972, as amended by CGD 96-026, 61 FR 33669, June 28, 1996; CGD 97-023, 62 FR 33365, June 19, 1997; USCG-2003-15404, 68 FR 37742, June 25, 2003]

#### § 174.5 Requirements for approval.

The Commandant approves a State numbering system if he or she finds, after examination of the information submitted by a State, that the State numbering system and vessel casualty reporting system meet the requirements of this part, 46 U.S.C. 6102, and 46 U.S.C. Chapter 123.

[CGD 97-023, 62 FR 33365, June 19, 1997, as amended by USCG-1998-3799, 63 FR 35533, June 30, 1998; USCG-2003-15404, 68 FR 37742, June 25, 2003]

#### § 174.7 Approval procedure.

To obtain approval by the Commandant of a numbering system or of any revision to a numbering system, an authorized representative of the State must submit three copies of the State laws, regulations, forms, and policy statements, if any, that pertain to the numbering system or revision to Office of Auxiliary and Boating Safety (CG-542), 2100 2nd St. SW., Stop 7581, Washington, DC 20593-7581.

[CGD 72-54R, 37 FR 21402, Oct. 7, 1972, as amended by CGD 82-010, 48 FR 8273, Feb. 28, 1983; CGD 88-052, 53 FR 25122, July 1, 1988; CGD 96-026, 61 FR 33669, June 28, 1996; USCG-2008-0179, 73 FR 35024, June 19, 2008; USCG-2010-0351, 75 FR 36287, June 25, 2010]

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## Subpart B—Numbering System Requirements

#### § 174.11 Applicability of State numbering system.

(a) Except as allowed in paragraph (c) of this section, a State numbering system must require the numbering of vessels to which § 173.11 of this chapter applies.

(b) A State numbering system may require the numbering of any vessel subject to the jurisdiction of the State unless prohibited by the regulations in Part 173 of this chapter.

(c) A State numbering system may exempt from its numbering requirements any vessel or class of vessels to which § 173.13 of this chapter applies.

#### § 174.13 Owner or operator requirements.

A State numbering system must contain the requirements applicable to an owner or a person operating a vessel that are prescribed in the following sections of Part 173:

(a) Paragraph (a) of § 173.15 *Vessel number required*.

(b) Section 173.19 *Other numbers prohibited*.

(c) Paragraph (a) of § 173.21 *Certificate of number required*.

(d) Section 173.23 *Inspection of certificate*.

(e) Section 173.25 *Location of certificate of number*.

(f) Section 173.29 *Notification of issuing authority*.

(g) Section 173.71 *Application for certificate of number*.

(h) Section 173.73 *Duplicate certificate of number*.

(i) Section 173.77 *Validity of certificate of number*.

(46 U.S.C. 1451, 1467, 1488; 49 CFR 1.46(n)(1))

[CGD 77-117, 44 FR 42195, July 19, 1979]

#### § 174.14 State numbering system optional sections.

In addition to the requirements in § 174.13, a State numbering system may contain any of the other requirements

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applicable to a vessel owner or operator prescribed in Part 173.

(46 U.S.C. 1451, 1467, 1488; 49 CFR 1.46(n)(1))

[CGD 77-117, 44 FR 42195, July 19, 1979, as amended by USCG-1999-5832, 64 FR 34715, June 29, 1999]

### § 174.15 Validation stickers.

(a) If a State issues validation stickers, its numbering system must contain the requirements that stickers must be displayed within 6 inches of the number and the stickers must meet the requirements in paragraphs (b) and (c) of this section.

(b) Validation stickers must be approximately 3 inches square.

(c) The year in which each validation sticker expires must be indicated by the colors, blue, international orange, green, and red, in rotation beginning with blue for stickers that expire in 1973.

### § 174.17 Contents of application for certificate of number.

(a) Each form for application for a certificate of number must contain the following information:

- (1) Name of the owner.
- (2) Address of the owner, including ZIP code.
- (3)-(4) [Reserved]
- (5) State in which vessel is or will be principally used.
- (6) The number previously issued by an issuing authority for the vessel, if any.
- (7) Whether the application is for a new number, renewal of a number, or transfer of ownership.
- (8) Whether the vessel is used for pleasure, rent or lease, dealer or manufacturer demonstration, commercial passenger carrying, commercial fishing, or other commercial use.
- (9) Make of vessel.
- (10) Year vessel was manufactured or model year.
- (11) Manufacturer's hull identification number, if any.
- (12) Overall length of vessel.
- (13) Type of vessel (open, cabin, house, or other).
- (14) Whether the hull is wood, steel, aluminum, fiberglass, plastic, or other.
- (15) Whether the propulsion is inboard, outboard, inboard-outdrive, sail or other.

(16) Whether the fuel is gasoline, diesel, or other.

(17) The signature of the owner.

(b) An application made by a manufacturer or dealer for a number that is to be temporarily affixed to a vessel for demonstration or test purposes may omit items 9 through 16 of paragraph (a) of this section.

(c) An application made by a person who intends to lease or rent the vessel without propulsion machinery may omit items 15 and 16 of paragraph (a) of this section.

[CGD 79-087, 47 FR 8176, Feb. 25, 1982]

### § 174.19 Contents of a certificate of number.

(a) Except as allowed in paragraphs (b), (c), and (d) of this section, each certificate of number must contain the following information:

- (1) Number issued to the vessel.
  - (2) Expiration date of the certificate.
  - (3) State of principal use.
  - (4) Name of the owner.
  - (5) Address of owner, including ZIP code.
  - (6) Whether the vessel is used for pleasure, rent or lease, dealer or manufacturer demonstration, commercial passenger carrying, commercial fishing or other commercial use.
  - (7) Manufacturer's hull identification number (if any).
  - (8) Make of vessel.
  - (9) Year vessel was manufactured.
  - (10) Overall length of vessel.
  - (11) Whether the vessel is an open boat, cabin cruiser, houseboat, or other type.
  - (12) Hull material.
  - (13) Whether the propulsion is inboard, outboard, inboard-outdrive, or sail.
  - (14) Whether the fuel is gasoline, diesel, or other.
- (b) A certificate of number issued to a vessel that has a manufacturer's hull identification number assigned, may omit items 8 through 14 of paragraph (a) of this section if the manufacturer's hull identification number is plainly marked on the certificate.
- (c) A certificate of number issued to a manufacturer or dealer to be used on a vessel for test or demonstration purposes may omit items 7 through 14 of paragraph (a) of this section if the

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word “manufacturer” or “dealer” is plainly marked on the certificate.

(d) A certificate of number issued to a vessel that is to be rented or leased without propulsion machinery may omit items 13 and 14 of paragraph (a) of this section if the words “livery vessel” are plainly marked on the certificate.

(e) An issuing authority may print on the certificate of number a quotation of the State regulations pertaining to change of ownership or address, documentation, loss, discovery of vessel, carriage of the certificate of number on board when the vessel is in use, rendering aid in a vessel accident, and reporting of vessel casualties and accidents.

(46 U.S.C. 1451, 1467, 1488; 49 CFR 1.46(n)(1))

[CGD 72-54R, 37 FR 21402, Oct. 7, 1972, as amended by CGD 77-117, 44 FR 42195, July 19, 1979; USCG-1999-5832, 64 FR 34715, June 29, 1999]

## § 174.21 Contents of temporary certificate.

A temporary certificate issued pending the issuance of a certificate of number must contain the following information:

- (a) Make of vessel.
- (b) Length of vessel.
- (c) Type of propulsion.
- (d) State in which vessel is principally used.
- (e) Name of owner.
- (f) Address of owner, including ZIP code.
- (g) Signature of owner.
- (h) Date of issuance.
- (i) Notice to the owner that the temporary certificate is invalid after 60 days from the date of issuance.

## § 174.23 Form of number.

(a) Each number must consist of two capital letters denoting the State of the issuing authority, as specified in Appendix A of Part 173 of this chapter, followed by—

(1) Not more than four numerals followed by not more than two capital letters (example: NH 1234 BD); or

(2) Not more than three numerals followed by not more than three capital letters (example: WN 567 EFG).

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(b) A number suffix must not include the letters “I”, “O”, or “Q,” which may be mistaken for numerals.

## § 174.25 Size of certificate of number.

Each certificate of number must be approximately 2½ by 3½ inches.

## § 174.27 Duration of certificate of number.

A certificate of number must not be valid for more than 3 years.

## § 174.29 Temporary certificate of number.

A State may issue a temporary certificate of number that is effective for not more than 60 days.

## § 174.31 Terms imposed by States for numbering of vessels.

A State numbering system may condition the issuance of a certificate of number on—

(a) Title to, or other proof of ownership of a vessel except a recreational-type public vessel of the United States; or

(b) Proof of liability insurance for a vessel except a recreational-type public vessel of the United States; or

(c) The payment of State or local taxes, except for a recreational-type public vessel of the United States.

[CGD 72-54R, 37 FR 21402, Oct. 7, 1972, as amended by USCG-2003-15708, 70 FR 13105, Mar. 18, 2005]

## Subpart C—Casualty Reporting System Requirements

### § 174.101 Applicability of State casualty reporting system.

(a) A State casualty reporting system must require the reporting of vessel casualties and accidents involving vessels to which § 173.51 of this chapter applies.

(b) The State casualty reporting system may also require vessel casualty or accident reports for property damage in amounts less than that required under § 173.55 of this chapter.

(46 U.S.C. 1486; 49 CFR 1.46(n)(1))

[CGD 72-54R, 37 FR 21402, Oct. 7, 1972, as amended by CGD 76-155, 44 FR 5309, Mar. 25, 1979; CGD 82-015, 54 FR 5610, Feb. 6, 1989]

**§ 174.103 Administration.**

The State casualty reporting system must be administered by a State agency that—

- (a) Will provide for the reporting of all casualties and accidents prescribed in § 173.55 of this chapter;
- (b) Receives reports of vessel casualties or accidents required in § 174.101;
- (c) Reviews each accident and casualty report to assure the accuracy and completeness of each report;
- (d) Determines the cause of casualties and accidents reported based on information available and indicates the apparent cause on the casualty report or on an attached page;
- (e) Notifies the Coast Guard, in writing, when a problem area in boating safety peculiar to the State is determined, together, with corrective measures instituted or recommended; and
- (f) Reports on vessel numbering and vessel casualties and accidents as required in Subpart D of this part.

(46 U.S.C. 1486; 49 CFR 1.46(n)(1))

[CGD 72-54R, 37 FR 21402, Oct. 7, 1972, as amended by CGD 76-155, 44 FR 5309, Mar. 25, 1979]

**§ 174.105 Owner or operator casualty reporting requirements.**

A State casualty reporting system must contain the following requirements of Part 173 applicable to an owner or a person operating a vessel:

- (a) Section 173.55 *Report of casualty or accident.*
- (b) Section 173.57 *Casualty or accident report.*
- (c) Section 173.59 *Where to report.*

(46 U.S.C. 1451, 1467, 1488; 49 CFR 1.46 (n)(1))

[CGD 77-117, 44 FR 42195, July 19, 1979]

**§ 174.106 State casualty reporting system optional sections.**

In addition to the requirements in § 174.105, a State casualty reporting system may contain any of the other requirements applicable to a vessel owner or operator prescribed in Part 173.

(46 U.S.C. 1451, 1467, 1488; 49 CFR 1.46 (n)(1))

[CGD 77-117, 44 FR 42195, July 19, 1979, as amended by USCG-1999-5832, 64 FR 34715, June 29, 1999]

**§ 174.107 Contents of casualty or accident report form.**

Each form for reporting a vessel casualty or accident must contain the information required in § 173.57 of this chapter.

**Subpart D—State Reports****§ 174.121 Forwarding of casualty or accident reports.**

Within 30 days of the receipt of a casualty or accident report, each State that has an approved numbering system must forward a copy of that report to the Commandant (CG-5422), U.S. Coast Guard, 2100 2nd St. SW., Stop 7581, Washington, DC 20593-7581.

[USCG-1998-3799, 63 FR 35533, June 30, 1998, as amended by USCG-2004-18057, 69 FR 34926, June 23, 2004; USCG-2008-0179, 73 FR 35024, June 19, 2008; USCG-2010-0351, 75 FR 36287, June 25, 2010]

**§ 174.123 Annual report of numbered vessels.**

Before March 1 of each year, each State that has an approved numbering system must prepare and submit Coast Guard Form CGHQ-3923, Report of Certificates of Number Issued to Boats, to the Coast Guard.

**§ 174.125 Coast Guard address.**

The report required by § 174.123 must be sent to the Office of Auxiliary and Boating Safety (CG-542), 2100 2nd St. SW., Stop 7581, Washington, DC 20593-7581.

[CGD 88-052, 53 FR 25122, July 1, 1988, as amended by CGD 96-026, 61 FR 33669, June 28, 1996; USCG-2008-0179, 73 FR 35024, June 19, 2008; USCG-2010-0351, 75 FR 36287, June 25, 2010]

**PART 175—EQUIPMENT REQUIREMENTS****Subpart A—General**

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175.3 Definitions.

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**Subpart B—Personal Flotation Devices**

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