

**SUPPORTING STATEMENT  
ENVIRONMENTAL PROTECTION AGENCY**

**NESHAP for the Surface Coating of Large Household and Commercial Appliances (40 CFR Part 63, Subpart NNNN) (Renewal)**

**1. Identification of the Information Collection**

**1(a) Title of the Information Collection**

NESHAP for the Surface Coating of Large Household and Commercial Appliances (40 CFR Part 63, Subpart NNNN) (Renewal), EPA ICR Number 1954.07, OMB Control Number 2060-0457.

**1(b) Short Characterization/Abstract**

The National Emission Standards for Hazardous Air Pollutants (NESHAP) for the Surface Coating of Large Household and Commercial Appliances (40 CFR Part 63, Subpart NNNN) were proposed on December 22, 2000, and promulgated on July 23, 2002. These regulations apply to both existing and new facilities that perform surface coating of large household and commercial appliances and related parts where the total Hazardous Air Pollutants (HAPs) emitted are greater than or equal to 10 tons per year of any one HAP, or where the total HAPs emitted are greater than or equal to 25 tons per year of any combination of HAPs. New facilities include those that commenced construction or reconstruction after the date of proposal. This information is being collected to assure compliance with 40 CFR Part 63, Subpart NNNN.

In general, all NESHAP standards require initial notifications, performance tests, and periodic reports by the owners/operators of the affected facilities. They are also required to maintain records of the occurrence and duration of any startup, shutdown, or malfunction in the operation of an affected facility, or any period during which the monitoring system is inoperative. These notifications, reports, and records are essential in determining compliance, and are required of all affected facilities subject to NESHAP.

Any owner/operator subject to the provisions of this part shall maintain a file containing these documents and retain the file for at least five years following the date of such measurements, maintenance reports, and records. All reports are sent to either the delegated state or local authority. If there is no such delegated authority, the reports are sent directly to the U.S. Environmental Protection Agency (EPA) regional office.

The “Affected Public” include facilities that perform surface coating of large appliance products. The ‘burden’ to the Affected Public may be found below in Table 1: Annual Respondent Burden and Cost – NESHAP for the Surface Coating of Large Household and Commercial Appliances (40 CFR Part 63, Subpart NNNN) (Renewal). The ‘burden’ to the Federal Government is attributed entirely to work performed by either Federal employees or government contractors and can be found below in Table 2: Average Annual EPA Burden and Cost – NESHAP for the Surface Coating of Large Household and Commercial Appliances (40 CFR Part 63, Subpart NNNN) (Renewal). None of the 10 facilities in the United States are owned by either state, local, tribal or the Federal government. They are all owned and operated

by privately-owned, for-profit businesses. We assume that they will all respond to EPA inquiries.

Based on our consultations with industry representatives, there is an average of one affected facility at each plant site and that each plant site has only one respondent (i.e., the owner/operator of the plant site).

Over the next three years, approximately 10 respondents per year will be subject to these standards, and no additional respondents per year will become subject to these same standards. The estimate is based on EPA's recent reevaluation of the source category inventory associated with the recently-proposed amendments to 40 CFR part 63, subpart NNNN.<sup>1</sup>

The Office of Management and Budget (OMB) approved the currently-active ICR without any Terms of Clearance.

## **2. Need for and Use of the Collection**

### **2(a) Need/Authority for the Collection**

EPA is charged under Section 112 of the Clean Air Act, as amended, to establish standards of performance for each category or subcategory of major sources and area sources of hazardous air pollutants. These standards are applicable to new or existing sources of hazardous air pollutants and shall require the maximum degree of emission reduction. In addition, section 114(a) states that the Administrator may require any owner/operator subject to any requirement of this Act to:

(A) Establish and maintain such records; (B) make such reports; (C) install, use, and maintain such monitoring equipment, and use such audit procedures, or methods; (D) sample such emissions (in accordance with such procedures or methods, at such locations, at such intervals, during such periods, and in such manner as the Administrator shall prescribe); (E) keep records on control equipment parameters, production variables or other indirect data when direct monitoring of emissions is impractical; (F) submit compliance certifications in accordance with Section 114(a)(3); and (G) provide such other information as the Administrator may reasonably require.

In the Administrator's judgment, HAP emissions from household and commercial appliance surface coating facilities either cause or contribute to air pollution that may reasonably be anticipated to endanger public health and/or welfare. Therefore, the NESHAP was promulgated for this source category at 40 CFR Part 63, Subpart NNNN.

### **2(b) Practical Utility/Users of the Data**

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<sup>1</sup> The proposed amendments were signed on August 8, 2018 and are available at: <https://www.epa.gov/sites/production/files/2018-08/documents/notice-coatings1-nprm.pdf>

The recordkeeping and reporting requirements in these standards ensure compliance with the applicable regulations which were promulgated in accordance with the Clean Air Act. The collected information is also used for targeting inspections and as evidence in legal proceedings.

Performance tests are required in order to determine an affected facility's initial capability to comply with the emission standards. During the performance test a record of the operating parameters under which compliance was achieved are recorded and used to determine compliance.

The notifications required in these standards are used to inform the Agency or delegated authority when a source becomes subject to the requirements of the regulations. The reviewing authority may then inspect the source to check if the pollution control devices are properly installed and operated, leaks are being detected and repaired, and the standard is being met. The performance test may also be observed.

The required semiannual reports are used to determine periods of excess emissions, identify problems at the facility, verify operation/maintenance procedures and for compliance determinations.

### **3. Non-duplication, Consultations, and Other Collection Criteria**

The requested recordkeeping and reporting are required under 40 CFR Part 63, Subpart NNNN.

#### **3(a) Non-duplication**

If the subject standards have not been delegated, the information is sent directly to the appropriate EPA regional office. Otherwise, the information is sent directly to the delegated state or local agency. If a state or local agency has adopted its own similar standards to implement the Federal standards, a copy of the report submitted to the state or local agency can be sent to the Administrator in lieu of the report required by the Federal standards. Therefore, duplication does not exist.

#### **3(b) Public Notice Required Prior to ICR Submission to OMB**

An announcement of a public comment period for the renewal of this ICR was published in the *Federal Register* (83 FR 24786) on May 30, 2018. No comments were received on the burden published in the *Federal Register*.

#### **3(c) Consultations**

The Agency has consulted industry experts and internal data sources to project the number of affected facilities and industry growth over the next three years. The primary source of information as reported by industry, in compliance with the recordkeeping and reporting

provisions in these standards, is the Integrated Compliance Information System (ICIS). ICIS is EPA's database for the collection, maintenance, and retrieval of compliance data for industrial and government-owned facilities. The growth rate for the industry is based on our consultations with the Agency's internal industry experts. Approximately 10 respondents will be subject to these same standards over the three-year period covered by this ICR, and there will be no new respondents per year through the period.

Industry trade associations and other interested parties were provided an opportunity to comment on the burden associated with these standards as they were being developed and these same standards have been reviewed previously to determine the minimum information needed for compliance purposes. In developing this ICR, we contacted the Association of Home Appliance Manufacturers, at (202) 872-5955, and the Air-Conditioning, Heating, and Refrigeration Institute, at (703) 524-8800.

It is our policy to respond after a thorough review of comments received since the last ICR renewal, as well as for those submitted in response to the first *Federal Register* notice. In this case, no comments were received.

### **3(d) Effects of Less-Frequent Collection**

Less-frequent information collection would decrease the margin of assurance that facilities are continuing to meet these standards. Requirements for information gathering and recordkeeping are useful techniques to ensure that good operation and maintenance practices are applied and emission limitations are met. If the information required by these standards was collected less frequently, the proper operation and maintenance of control equipment and the possibility of detecting violations would be less likely.

### **3(e) General Guidelines**

These reporting or recordkeeping requirements do not violate any of the regulations promulgated by OMB under 5 CFR Part 1320, Section 1320.5. These standards require the respondents to maintain all records, including reports and notifications for at least five years. This is consistent with the General Provisions as applied to the standards. EPA believes that the five-year records retention requirement is consistent with the Part 70 permit program and the five-year statute of limitations on which the permit program is based. The retention of records for five years allows EPA to establish the compliance history of a source, any pattern of non-compliance and to determine the appropriate level of enforcement action. EPA has found that the most flagrant violators have violations extending beyond five years. In addition, EPA would be prevented from pursuing the violators due to the destruction or nonexistence of essential records.

### **3(f) Confidentiality**

Any information submitted to the Agency for which a claim of confidentiality is made

will be safeguarded according to the Agency policies set forth in Title 40, chapter 1, part 2, subpart B - Confidentiality of Business Information (CBI) (see 40 CFR 2; 41 FR 36902, September 1, 1976; amended by 43 FR 40000, September 8, 1978; 43 FR 42251, September 20, 1978; 44 FR 17674, March 23, 1979).

### **3(g) Sensitive Questions**

The reporting or recordkeeping requirements in these standards do not include sensitive questions.

## **4. The Respondents and the Information Requested**

### **4(a) Respondents/SIC Codes**

The respondents to the recordkeeping and reporting requirements are facilities that perform surface coating of large household and commercial appliances and related parts. The United States Standard Industrial Classification (SIC) code for the respondents affected by the standard and the corresponding North American Industry Classification System (NAICS) codes are listed below for this source category:

<b>Standard (40 CFR Part 63, Subpart NNNN)</b>	<b>SIC Codes</b>	<b>NAICS Codes</b>
Household Cooking Equipment	3631	335220
Household Refrigerators and Home and Farm Freezers	3632	335220
Household Laundry Equipment	3633	335220
Household Appliances	3639	335220
Commercial Laundry, Drycleaning, and Pressing Machines	3582	333318
Air-Conditioning and Warm Air Heating Equipment and Commercial and Industrial Refrigeration Equipment (except motor vehicle air conditioners, heat transfer coils, and large commercial and industrial chillers)	3585	333415
Service Industry Machinery (e.g., commercial dishwashers, ovens, and ranges, etc.)	3589	333318 <sup>a</sup>

<sup>a</sup> Excluding special industry machinery, industrial and commercial machinery and equipment, and electrical machinery equipment and supplies not elsewhere classified.

### **4(b) Information Requested**

#### **(i) Data Items**

In this ICR, all the data that are recorded or reported is required by the NESHAP for the Surface Coating of Large Household and Commercial Appliances (40 CFR Part 63, Subpart NNNN).

A source must make the following reports:

<b>Notifications</b>	
Notification of intent to construct or reconstruct	§ 63.9(b)
Initial notification	§ 63.9(b), § 63.4110
Notification of anticipated startup	§ 63.9(b), § 63.4110
Notification of actual startup	§ 63.9(b), § 63.4110
Request for extension of compliance date	§ 63.9(c)
Notification of performance test	§ 63.9(e), § 63.4110
Notification of compliance status	§ 63.9(h), § 63.4110
Additional notification requirements for sources with continuous monitoring system	§ 63.9(g)

<b>Reports</b>	
Semiannual report	§ 63.4120
Excess emissions or no excess emissions report, including reporting of deviations	§§ 63.4120(c), (d), (e), (f), (g)
Report of performance test	§§ 63.10(d)(2), 63.4120(h)
Startup, shutdown, malfunction report	§ 63.4120(j)

A source must keep the following records:

<b>Recordkeeping</b>	
Copies of notifications and reports	§ 63.4130(a)
Material formulation data	§ 63.4130(b)
Records of compliance option used and compliance calculations	§ 63.4130(c)
Names and volumes of each material used	§ 63.4130(d)
Mass fraction of organic HAP in each material used	§ 63.4130(e)
Coating solids volume fraction in each material used	§ 63.4130(f)
Density of each material used	§ 63.4130(g)
Documentation of waste material shipped offsite	§ 63.4130(h)
Start-up, shutdown, and malfunction plan/records	§§ 63.4130(j), (k), 63.6(e)
Deviation records	§ 63.4130(j)
Documentation of emission capture system and add-on control device performance tests, and records to show continuous compliance with	§§ 63.4130(k)

<b>Recordkeeping</b>	
operating limits	
Periods of monitoring system failure/shutdown	§ 63.4130(j), (k)
Five-year retention of records	§§ 63.4131(b)

### Electronic Reporting

Some of the respondents are using monitoring equipment that automatically records parameter data. Although personnel at the affected facility must still evaluate the data, internal automation has significantly reduced the burden associated with monitoring and recordkeeping at a plant site.

#### **(ii) Respondent Activities**

<b>Respondent Activities</b>
Familiarization with the regulatory requirements.
Install, calibrate, maintain, and operate CMS for temperature, gas flow, or pressure drop for oxidizer, carbon adsorber, condense, concentrator, or capture system.
Perform initial performance test, Reference Method 1, 1A, 2, 2A, 2C, 2D, 2F, 2G, 3, 3A, 3B, 4, 24, 25, 25A, 204, 204A, 204B, 204C, 204D, 204E, 204F, 311, or ASTM Method D1475-98, D2697-86, D6093-97 test, and repeat performance tests if necessary.
Write the notifications and reports listed above.
Enter information required to be recorded above.
Submit the required reports developing, acquiring, installing, and utilizing technology and systems for the purpose of collecting, validating, and verifying information.
Develop, acquire, install, and utilize technology and systems for the purpose of processing and maintaining information.
Develop, acquire, install, and utilize technology and systems for the purpose of disclosing and providing information.
Train personnel to be able to respond to a collection of information.

## **5. The Information Collected: Agency Activities, Collection Methodology, and Information Management**

### **5(a) Agency Activities**

EPA conducts the following activities in connection with the acquisition, analysis, storage, and distribution of the required information:

<b>Agency Activities</b>
Observe initial performance tests and repeat performance tests if necessary.
Review notifications and reports, including performance test reports, and excess emissions reports, required to be submitted by industry.
Audit facility records.
Input, analyze, and maintain data in ICIS and Enforcement and Compliance History Online (ECHO).

### **5(b) Collection Methodology and Management**

Following notification of startup, the reviewing authority could inspect the source to determine whether the pollution control devices are properly installed and operated. Performance test reports are used by the Agency to discern a source's initial capability to comply with the emission standard. Data and records maintained by the respondents are tabulated and published for use in compliance and enforcement programs. The semiannual reports are used for problem identification, as a check on source operation and maintenance, and for compliance determinations.

Information contained in the reports is reported by state and local governments in the ICIS Air database which is operated and maintained by EPA's Office of Compliance. ICIS is EPA's database for the collection, maintenance, and retrieval of compliance data for industrial and government-owned facilities. EPA uses ICIS for tracking air pollution compliance and enforcement by local and state regulatory agencies, EPA regional offices and EPA headquarters. EPA and its delegated Authorities can edit, store, retrieve and analyze the data.

The records required by this regulation must be retained by the owner/operator for five years.

### **5(c) Small Entity Flexibility**

One of the ten respondents is a 'small business'. The impact on small entities (i.e., small businesses) was taken into consideration during the development of the regulation. Due to technical considerations involving the process operations and the types of control equipment employed, the recordkeeping and reporting requirements are the same for both small and large entities. The Agency considers these requirements the minimum needed to ensure compliance and, therefore, cannot reduce them further for small entities. To the extent that larger businesses can use economies of scale to reduce their burden, the overall burden will be reduced.



### **5(d) Collection Schedule**

The specific frequency for each information collection activity within this request is shown below in Table 1: Annual Respondent Burden and Cost – NESHAP for the Surface Coating of Large Household and Commercial Appliances (40 CFR Part 63, Subpart NNNN) (Renewal).

## **6. Estimating the Burden and Cost of the Collection**

Table 1 documents the computation of individual burdens for the recordkeeping and reporting requirements applicable to the industry for the subpart included in this ICR. The individual burdens are expressed under standardized headings believed to be consistent with the concept of burden under the Paperwork Reduction Act. Where appropriate, specific tasks and major assumptions have been identified. Responses to this information collection are mandatory.

The Agency may neither conduct nor sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB Control Number.

### **6(a) Estimating Respondent Burden**

The average annual burden to industry over the next three years from these recordkeeping and reporting requirements is estimated to be 3,870 hours (Total Labor Hours from Table 1 below). These hours are based on Agency studies and background documents from the development of the original regulation, EPA's recent reevaluation of the source category inventory associated with the recently proposed amendments to 40 CFR part 63, subpart NNNN, Agency knowledge and experience with the NESHAP program, the previously-approved ICR, and any comments received.

### **6(b) Estimating Respondent Costs**

#### **(i) Estimating Labor Costs**

This ICR uses the following labor rates:

Managerial	\$149.35 (\$71.12+ 110%)
Technical	\$112.98 (\$53.80 + 110%)
Clerical	\$54.81 (\$26.10 + 110%)

These rates are from the United States Department of Labor, Bureau of Labor Statistics, June 2017, "Table 2. Civilian Workers, by occupational and industry group." The rates are from column 1, "Total compensation." The rates have been increased by 110 percent to account for the benefit packages available to those employed by private industry.

#### **(ii) Estimating Capital/Startup and Operation and Maintenance Costs**

The type of industry costs associated with the information collection activities in the subject standards are both labor costs which are addressed elsewhere in this ICR and the costs associated with continuous monitoring. The capital/startup costs are one-time costs when a facility becomes subject to these regulations. The annual operation and maintenance costs are the ongoing costs to maintain the monitors and other related costs.

### (iii) Capital/Startup vs. Operation and Maintenance (O&M) Costs

<b>Capital/Startup vs. Operation and Maintenance (O&amp;M) Costs</b>						
(A) Continuous Monitoring Device	(B) Capital/Startup Cost for One Respondent	(C) Number of New Respondents	(D) Total Capital/Startup Cost, (B X C)	(E) Annual O&M Costs for One Respondent	(F) Number of Respondents with O&M	(G) Total O&M, (E X F)
Continuous Monitoring Device	\$16,000	0	\$0	\$5,400 <sup>1</sup>	1 <sup>2</sup>	\$5,400
<b>Total (rounded)</b>			<b>\$0</b>			<b>\$5,400</b>

<sup>1</sup> Comments received from Whirlpool Corporation during industry consultation suggested that annual O&M cost can vary from 24 to 80 hours at a technician's pay grade. To calculate annual O&M costs, an average of 52 hours was assumed and multiplied by the technical labor rate of \$103.97.

<sup>2</sup> We have assumed that only one affected source uses an emission control device to comply with the NESHAP. Note: Totals have been rounded to 3 significant digits. Figures may not add exactly due to rounding.

The total capital/startup costs for this ICR are \$0. This is the total of column D in the above table.

The total operation and maintenance (O&M) costs for this ICR are \$5,400. This is the total of column G.

The average annual cost for capital/startup and operation and maintenance costs to industry over the next three years of the ICR is estimated to be \$5,400. These are the recordkeeping costs.

### 6(c) Estimating Agency Burden and Cost

The only costs to the Agency are those costs associated with analysis of the reported information. EPA's overall compliance and enforcement program includes such activities as the examination of records maintained by the respondents, periodic inspection of sources of emissions, and the publication and distribution of collected information.

The average annual Agency cost during the three years of the ICR is estimated to be \$12,900.

This cost is based on the average hourly labor rate as follows:

Managerial	\$64.80 (GS-13, Step 5, \$40.50 + 60%)
Technical	\$48.08 (GS-12, Step 1, \$30.05 + 60%)
Clerical	\$26.02 (GS-6, Step 3, \$16.26 + 60%)

These rates are from the Office of Personnel Management (OPM), 2017 General Schedule, which excludes locality rates of pay. The rates have been increased by 60 percent to account for the benefit packages available to Federal government employees. Details upon which this estimate is based appear below in Table 2: Average Annual EPA Burden and Cost – NESHAP for the Surface Coating of Large Household and Commercial Appliances (40 CFR Part 63, Subpart NNNN) (Renewal).

#### 6(d) Estimating the Respondent Universe and Total Burden and Costs

Based on our research for this ICR, on average over the next three years, approximately 10 existing respondents will be subject to these standards. It is estimated that 0 respondents per year will become subject to these same standards. The overall average number of respondents, as shown in the table below, is 10 per year.

The number of respondents is calculated using the following table that addresses the three years covered by this ICR:

Number of Respondents					
	Respondents That Submit Reports		Respondents That Do Not Submit Any Reports		
Year	(A) Number of New Respondents <sup>1</sup>	(B) Number of Existing Respondents	(C) Number of Existing Respondents that keep records but do not submit reports	(D) Number of Existing Respondents That Are Also New Respondents	(E) Number of Respondents (E=A+B+C-D)
1	0	10	0	0	10
2	0	10	0	0	10
3	0	10	0	0	10
Average	0	10	0	0	10

<sup>1</sup> New respondents include sources with constructed, reconstructed and modified affected facilities.

Column D is subtracted to avoid double-counting respondents. As shown above, the average Number of Respondents over the three-year period of this ICR is 10.

The total number of annual responses per year is calculated using the following table:

<b>Total Annual Responses</b>				
(A) Information Collection Activity	(B) Number of Respondents	(C) Number of Responses	(D) Number of Existing Respondents That Keep Records But Do Not Submit Reports	(E) Total Annual Responses $E=(B \times C)+D$
Semiannual report	10	2	0	20
Excess emissions report	5	1	0	5
Startup, shutdown, malfunction report	5	1	0	5
<b>Total</b>				<b>30</b>

Note: We assume 50% of respondents will have to submit an excess emission report and 50% of respondents will have to submit a SSM report.

The number of Total Annual Responses is 30.

The total annual labor costs are \$424,000. Details regarding these estimates may be found below in Table 1: Annual Respondent Burden and Cost – NESHAP for the Surface Coating of Large Household and Commercial Appliances (40 CFR Part 63, Subpart NNNN) (Renewal).

### **6(e) Bottom Line Burden Hours and Cost Tables**

The detailed bottom line burden hours and cost calculations for the respondents and the Agency are shown below in Tables 1 and 2, respectively, and summarized below.

#### **(i) Respondent Tally**

The total annual labor hours are 3,870 hours. Details regarding these estimates may be found below in Table 1: Annual Respondent Burden and Cost – NESHAP for the Surface Coating of Large Household and Commercial Appliances (40 CFR Part 63, Subpart NNNN) (Renewal).

We assume that burdens for managerial tasks take 5% of the time required for technical tasks because the typical tasks for managers are to review and approve reports. Clerical burdens are assumed to take 10% of the time required for technical tasks because the typical duties of clerical staff are to proofread the reports, make copies and maintain records.

Furthermore, the annual public reporting and recordkeeping burden for this collection of information is estimated to average 129 hours per response.

The total annual capital/startup and O&M costs to the regulated entity are \$5,400. The cost calculations are detailed in Section 6(b)(iii), Capital/Startup vs. Operation and Maintenance (O&M) Costs.

### **(ii) The Agency Tally**

The average annual Agency burden and cost over next three years is estimated to be 276 labor hours at a cost of \$12,900; see below in Table 2: Average Annual EPA Burden and Cost – NESHAP for the Surface Coating of Large Household and Commercial Appliances (40 CFR Part 63, Subpart NNNN) (Renewal).

We assume that burdens for managerial tasks take 5% of the time required for technical tasks because the typical tasks for managers are to review and approve reports. Clerical burdens are assumed to take 10% of the time required for technical tasks because the typical duties of clerical staff are to proofread the reports, make copies, and maintain records.

### **6(f) Reasons for Change in Burden**

There is an adjustment decrease in the total estimated burden as currently identified in the OMB Inventory of Approved Burdens. This increase is not due to any program changes. The change in the burden and cost estimates occurred due to a decrease in the total number of facilities subject to the NESHAP. The estimate is based on EPA's recent reevaluation of the source category inventory associated with the recently proposed amendments to 40 CFR part 63, subpart NNNN (83 FR 46262, September 12, 2018). Per EPA's reevaluation, the number of respondents in the source category has decreased from the estimates in the 2002 final rule because the final rule included assumptions regarding several facilities that were not major sources of HAP. Additionally, there have been changes within the large appliance surface coating industry that result in fewer facilities being subject to the NESHAP. For example, many facilities that used liquid coatings have switched to powder coatings, or have switched to plastic parts and stainless steel instead of painted steel parts, or are using precoated metal coils instead of coating finished parts. As a result, there is a much smaller number of major sources. In addition to the burden decrease from the decreased number of respondents, there is also a burden decrease in the operating and maintenance costs due to the determination that only one affected source uses an emission control device to comply with the NESHAP. These changes result in an overall decrease in the labor hours and O&M costs, and number of responses.

### **6(g) Burden Statement**

The annual public reporting and recordkeeping burden for this collection of information is estimated to average 129 hours per response. 'Burden' means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose or provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing

information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information, unless it displays a valid OMB Control Number. The OMB Control Numbers for EPA regulations are listed at 40 CFR Part 9 and 48 CFR Chapter 15.

To comment on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including the use of automated collection techniques, EPA has established a public docket for this ICR under Docket ID Number EPA-HQ-OECA-2014-0076. An electronic version of the public docket is available at <http://www.regulations.gov/>, which may be used to obtain a copy of the draft collection of information, submit or view public comments, access the index listing of the contents of the docket, and to access those documents in the public docket that are available electronically. When in the system, select "search," then key in the docket ID number identified in this document. The documents are also available for public viewing at the Enforcement and Compliance Docket and Information Center in the EPA Docket Center (EPA/DC), WJC West, Room 3334, 1301 Constitution Ave., NW, Washington, DC. The EPA Docket Center Public Reading Room is open from 8:30 a.m. to 4:30 p.m., Monday through Friday, excluding legal holidays. The telephone number for the Reading Room is (202) 566-1744, and the telephone number for the docket center is (202) 566-1752. Also, you can send comments to the Office of Information and Regulatory Affairs, Office of Management and Budget, 725 17th Street, NW, Washington, DC 20503, Attention: Desk Officer for EPA. Please include the EPA Docket ID Number EPA-HQ-OECA-2014-0076 and OMB Control Number 2060-0457 in any correspondence.

### **Part B of the Supporting Statement**

This part is not applicable because no statistical methods were used in collecting this information.

**Table 1: Annual Respondent Burden and Cost – NESHAP for the Surface Coating of Large Household and Commercial Appliances (40 CFR Part 63, Subpart NNNN) (Renewal)**

Burden Item	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)
	Person-Hours per occurrence	Number of occurrences per year	Person-Hours per respondent per year (C=A*B)	Respondents per year <sup>a</sup>	Technical person-hours per year (E=C*D)	Management person-hours per year (F=E*0.05)	Clerical person-hours per year (G=E*0.1)	Annual costs (\$) <sup>b</sup>
1. Applications	N/A							
2. Survey and Studies	N/A							
3. Reporting requirements								
A. Familiarization with regulatory requirements <sup>c</sup>	4	1	4	10	40	2	4	\$5,037.14
B. Plan activities <sup>d</sup>	8	1	8	10	80	4	8	\$10,074.29
C. Training <sup>d</sup>	8	1	8	10	80	4	8	\$10,074.29
D. Gather information, monitor, and inspect <sup>e</sup>	12	12	144	10	1440	72	144	\$181,337.18
E. Process/compile and review <sup>f</sup>	8	12	96	10	960	48	96	\$120,891.46
F. Complete excess emission report <sup>g</sup>	8	1	8	5	40	2	4	\$5,037.14
G. Complete startup, shutdown, malfunction report <sup>g</sup>	8	1	8	5	40	2	4	\$5,037.14
H. Complete semiannual report <sup>h</sup>	8	2	16	10	160	8	16	\$20,148.58
<b>Subtotal for Reporting Requirements</b>						<b>3,266</b>		<b>\$357,637</b>
4. Recordkeeping requirements								
A. Familiarization with regulatory requirements	See 3A							
B. Plan activities	See 3B							
C. Implement activities	See 3B							
D. Develop record system								
Record/disclose <sup>i</sup>	1	52	52	10	520	26	52	\$65,482.87
Store/file	0.25	2	0.5	10	5	0.25	0.5	\$629.64

E. Time to enter information								
F. Audits	N/A							
<b>Subtotal for Recordkeeping Requirements</b>					<b>604</b>		<b>\$66,113</b>	
<b>TOTAL LABOR BURDEN AND COSTS (rounded)<sup>j</sup></b>					<b>3,870</b>		<b>\$424,000</b>	
<b>TOTAL CAPITAL AND O&amp;M COST (rounded):<sup>j</sup></b>							<b>\$5,400</b>	
<b>GRAND TOTAL (rounded):<sup>j</sup></b>							<b>\$429,000</b>	

<sup>a</sup> We have assumed that the average number of respondents that will be subject to the rule will be 10. There will be no additional new sources that will become subject to the rule year period of this ICR.

<sup>b</sup> This ICR uses the following labor rates: \$149.35 per hour for Executive, Administrative, and Managerial labor; \$112.98 per hour for Technical labor, and \$54.81 per hour for C. These rates are from the United States Department of Labor, Bureau of Labor Statistics, June 2017, "Table 2. Civilian Workers, by occupational and industry group." The rates are 1, "Total Compensation." The rates have been increased by 110% to account for the benefit packages available to those employed by private industry.

<sup>c</sup> We have assumed that it will take 4 hours for existing respondents to refamiliarize themselves with rule requirements.

<sup>d</sup> We have assumed that it will take eight hours for each respondent to plan activities and eight hours for training.

<sup>e</sup> We have assumed that each respondent will take twelve hours twelve times per year to complete task.

<sup>f</sup> We have assumed that each respondent will take eight hours twelve times per year to complete task.

<sup>g</sup> We have assumed that 50% of respondents will have to submit an excess emission report and 50% of respondents will have to submit an SSM report.

<sup>h</sup> We have assumed that each respondent will take eight hours twice per year to complete reports.

<sup>i</sup> We have assumed that each respondent will take one hour two times per week to record and disclose information.

<sup>j</sup> Totals have been rounded to three significant digits. Figures may not add exactly due to rounding.



**Table 2: Average Annual EPA Burden and Cost – NESHAP for the Surface Coating of Large Household and Commercial Appliances (40 CFR Part 63, Subpart NNNN) (Renewal)**

Activity	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)
	Person-hours per activity	Number of occurrences per year	Person hours per respondent per year (C = A*B)	Respondents per year <sup>a</sup>	Technical person-hours per year (E=C*D)	Management person-hours per year (F=E*0.05)	Clerical person-hours per year (G=E*0.1)	Annual Costs (\$/yr) <sup>b</sup>
Required activities								
Initial performance test <sup>c</sup>	24	1	24	0	0	0	0	\$0
Repeat performance test <sup>d</sup>	24	0.2	4.8	0	0	0	0	\$0
Report review								
a) Initial notification <sup>e</sup>	4	1	4	0	0	0	0	\$0
b) Notification of performance test <sup>e</sup>	8	1	8	0	0	0	0	\$0
c) Notification of compliance status <sup>e</sup>	8	1	8	0	0	0	0	\$0
d) Semiannual reports <sup>f</sup>	12	2	24	10	240	12	24	\$12,941.18
<b>TOTAL ANNUAL BURDEN AND COST (rounded) <sup>g</sup></b>						<b>276</b>		<b>\$12,900</b>

**Note:** Totals have been rounded to 3 significant digits. Figures may not add exactly due to rounding.

<sup>a</sup> We have assumed that the average number of respondents that will be subject to the rule will be 10. There will be no additional sources that will become subject to the rule over this ICR

<sup>b</sup> This cost is based on the following labor rates: Managerial rate of \$64.80 (GS-13, Step 5, \$40.50 + 60%), Technical rate of \$48.08 (GS-12, Step 1, \$30.05 + 60%), and Clerical rate of \$16.26 (GS-05, Step 3, \$16.26 + 60%). These rates are from the Office of Personnel Management (OPM), 2017 General Schedule, which excludes locality rates of pay. The rates have been increased to account for the benefit packages available to government employees.

<sup>c</sup> We have assumed that it will take 24 hours to complete the task for each respondent.

<sup>d</sup> We have assumed that tests will fail 20% of the time and that it will take 24 hours to complete the task.

<sup>e</sup> Based on Agency experience, we assume that it will take four hours to review the notification of the test and the test plan for each respondent.

<sup>f</sup> We have assumed that it will take 12 hours to complete the task for each respondent.

<sup>g</sup> Totals have been rounded to three significant digits. Figures may not add exactly due to rounding.