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SECTION IV - NOSE, THROAT, LARYNX OR PHARYNX CONDITIONS

4. DOES THE VETERAN HAVE ANY OF THE FOLLOWING NOSE, THROAT, LARYNX OR PHARYNX CONDITIONS?

- YES NO (If "No," proceed to Section V) (If "Yes," check all that apply):
- Sinusitis (If checked, complete Part A below)
 - Rhinitis (If checked, complete Part B below)
 - Larynx or pharynx condition (If checked, complete Part C below)
 - Deviated nasal septum (traumatic) (If checked, complete Part D below)
 - Tumors or neoplasms (If checked, complete Part E below)
 - Other pertinent physical findings or scars due to nose, throat, larynx or pharynx conditions (If checked, complete Part F below)

PART A - SINUSITIS

A1. INDICATE THE SINUSES/TYPE OF SINUSITIS CURRENTLY AFFECTED BY THE VETERAN'S CHRONIC SINUSITIS (Check all that apply):

- NONE MAXILLARY FRONTAL ETHMOID SPHENOID PANSINUSITIS

A2. DOES THE VETERAN CURRENTLY HAVE ANY FINDINGS, SIGNS OR SYMPTOMS ATTRIBUTABLE TO CHRONIC SINUSITIS?

- YES NO
(If "Yes," check all that apply)
- Chronic sinusitis detected only by imaging studies (See Section V, Diagnostic Testing)
 - Episodes of sinusitis
 - Near constant sinusitis (If checked, describe frequency): _____
 - Headaches
 - Pain and tenderness of affected sinus
 - Purulent discharge or crusting
 - Other (describe): _____

FOR ALL CHECKED CONDITIONS, DESCRIBE:

A3. HAS THE VETERAN HAD **NON-INCAPACITATING** EPISODES OF SINUSITIS CHARACTERIZED BY HEADACHES, PAIN AND PURULENT DISCHARGE OR CRUSTING IN THE PAST 12 MONTHS?

- YES NO
(If "Yes," provide the total number of non-incapacitating episodes over the past 12 months):
- 1 2 3 4 5 6 7 7 or more

A4. HAS THE VETERAN HAD **INCAPACITATING** EPISODES OF SINUSITIS REQUIRING PROLONGED (4 to 6 weeks) OF ANTIBIOTICS TREATMENT IN THE PAST 12 MONTHS?

NOTE - For VA purposes, an incapacitating episode of sinusitis means one that requires bed rest and treatment prescribed by a physician.

- YES NO
(If "Yes," provide the total number of incapacitating episodes of sinusitis requiring prolonged (4 to 6 weeks) of antibiotic treatment over the past 12 months):
- 1 2 3 or more

A5. HAS THE VETERAN HAD SINUS SURGERY?

- YES NO
(If "Yes," specify type of surgery):
- Radical (open sinus surgery) Endoscopic Other (describe): _____
- (Type of procedure, sinuses operated on and side(s)): _____
- (Date(s) of surgery (if repeated sinus surgery, provide all dates of surgery)): _____

A6. IF VETERAN HAS HAD RADICAL SINUS SURGERY, DID CHRONIC OSTEOMYELITIS FOLLOW THE SURGERY?

- YES NO (If "Yes," complete VA Form 21-0960M-11, Osteomyelitis Disability Benefits Questionnaire)

PART B - RHINITIS

B1. IS THERE GREATER THAN 50% OBSTRUCTION OF THE NASAL PASSAGE ON BOTH SIDES DUE TO RHINITIS?

- YES NO

B2. IS THERE COMPLETE OBSTRUCTION ON ONE SIDE DUE TO RHINITIS?

- YES NO

B3. IS THERE PERMANENT HYPERTROPHY OF THE NASAL TURBINATES?

- YES NO

B4. ARE THERE NASAL POLYPS?

- YES NO

[] - [] - []

SECTION IV - NOSE, THROAT, LARYNX OR PHARYNX CONDITIONS (Continued)

PART B - RHINITIS (Continued)

B5. DOES THE VETERAN HAVE ANY OF THE FOLLOWING GRANULOMATOUS CONDITIONS?

- YES NO (If "Yes," check all that apply)
- Granulomatous rhinitis Rhinoscleroma Wegener's granulomatosis Lethal midline granuloma
- Other granulomatous infection (Describe): _____

PART C - LARYNX AND PHARYNX CONDITIONS

C1. DOES THE VETERAN HAVE CHRONIC LARYNGITIS?

- YES NO
- (If "Yes," does the veteran have any of the following symptoms due to chronic laryngitis?)
- YES NO (If "Yes," check all that apply)
- Hoarseness (If checked, describe frequency): _____
- Inflammation of vocal cords or mucous membrane
- Thickening or nodules of vocal chords
- Submucous infiltration of vocal chords
- Vocal chord polyps
- Other (describe): _____

C2. HAS THE VETERAN HAD A LARYNGECTOMY?

- YES NO (If "Yes," specify)
- Total laryngectomy
- Partial laryngectomy
- (If checked, does the veteran have any residuals of the partial laryngectomy?)
- YES NO
- (If "Yes," describe): _____

C3. DOES THE VETERAN HAVE LARYNGEAL STENOSIS, INCLUDING RESIDUALS OF LARYNGEAL TRAUMA (unilateral or bilateral)?

- YES NO (If "Yes," assess for upper airway obstruction with pulmonary function testing to include Flow-Volume Loop, and provide results in Section V, Diagnostic Testing)

C4. DOES THE VETERAN HAVE COMPLETE ORGANIC APHONIA?

- YES NO (If "Yes," check all that apply)
- Constant inability to speak above a whisper
- Constant inability to communicate by speech
- Other (describe): _____

C5. DOES THE VETERAN HAVE INCOMPLETE ORGANIC APHONIA?

- YES NO (If "Yes," check all that apply)
- Hoarseness (If checked, describe frequency): _____
- Inflammation of vocal cords or mucous membrane
- Thickening or nodules of vocal chords
- Submucous infiltration of vocal chords
- Vocal chord polyps
- Other (describe): _____

C6. HAS THE VETERAN HAD A PERMANENT TRACHEOSTOMY?

- YES NO (If "Yes," describe reason for tracheostomy and potential for decannulation):

C7. HAS THE VETERAN HAD AN INJURY TO THE PHARYNX?

- YES NO (If "Yes," check all findings, signs and symptoms that apply):
- Stricture or obstruction of the pharynx or nasopharynx
- Absence of the soft palate secondary to trauma
- Absence of the soft palate secondary to chemical burn
- Absence of the soft palate secondary to granulomatous disease
- Paralysis of the soft palate with swallowing difficulty (nasal regurgitation) and speech impairment
- Other (describe): _____

C8. DOES THE VETERAN HAVE VOCAL CHORD PARALYSIS OR ANY OTHER PHARYNGEAL OR LARYNGEAL CONDITIONS?

- YES NO (If "Yes," describe):

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SECTION IV - NOSE, THROAT, LARYNX OR PHARYNX CONDITIONS (Continued)

PART D - DEVIATED NASAL SEPTUM (TRAUMATIC)

D1. IS THERE AT LEAST 50% OBSTRUCTION OF THE NASAL PASSAGE ON BOTH SIDES DUE TO TRAUMATIC SEPTAL DEVIATION?

YES NO

D2. IS THERE COMPLETE OBSTRUCTION ON ONE SIDE DUE TO TRAUMATIC SEPTAL DEVIATION?

YES NO

PART E - TUMORS AND NEOPLASMS

E1. DOES THE VETERAN HAVE A BENIGN OR MALIGNANT NEOPLASM OR METASTASES RELATED TO ANY OF THE DIAGNOSES IN SECTION I, DIAGNOSIS?

YES NO (If "Yes," complete Items 7B through 7E)

E2. IS THE NEOPLASM:

BENIGN MALIGNANT

E3. HAS THE VETERAN COMPLETED TREATMENT OR IS THE VETERAN CURRENTLY UNDERGOING TREATMENT FOR A BENIGN OR MALIGNANT NEOPLASM OR METASTASES?

YES NO

(If "Yes," indicate type of treatment the veteran is currently undergoing or has completed (check all that apply)):

Treatment completed; currently in watchful waiting status

Surgery (If checked, describe): _____ (Date(s) of surgery): _____

Radiation therapy
(Date of most recent treatment): _____ (Date of completion of treatment or anticipated date of completion): _____

Antineoplastic chemotherapy
(Date of most recent treatment): _____ (Date of completion of treatment or anticipated date of completion): _____

Other therapeutic procedure (If checked, describe procedure): _____
(Date of most recent procedure): _____

Other therapeutic treatment (If checked, describe treatment): _____
(Date of completion of treatment or anticipated date of completion): _____

E4. DOES THE VETERAN CURRENTLY HAVE ANY RESIDUAL CONDITIONS OR COMPLICATIONS DUE TO THE NEOPLASM (including metastases) OR ITS TREATMENT, OTHER THAN THOSE ALREADY DOCUMENTED IN THE REPORT ABOVE?

YES NO (If "Yes," list residual conditions and complications (brief summary)):

E5. IF THERE ARE ADDITIONAL BENIGN OR MALIGNANT NEOPLASMS OR METASTASES RELATED TO ANY OF THE DIAGNOSES IN SECTION I, DIAGNOSIS, DESCRIBE USING THE ABOVE FORMAT:

PART F - OTHER PERTINENT PHYSICAL FINDINGS, SCARS, COMPLICATIONS, CONDITIONS, SIGNS AND/OR SYMPTOMS

F1. DOES THE VETERAN HAVE ANY SCARS (surgical or otherwise) related RELATED TO ANY CONDITIONS OR TO THE TREATMENT OF ANY CONDITIONS LISTED IN THE DIAGNOSIS SECTION?

YES NO

IF "YES," ARE ANY OF THESE SCARS PAINFUL AND/OR UNSTABLE; HAVE A TOTAL AREA EQUAL TO OR GREATER THAN 39 SQUARE CM (6 square inches); OR ARE LOCATED ON THE HEAD, FACE, OR NECK?

YES NO

IF "YES," ALSO COMPLETE VA FORM 21-0960F-1, SCARS/DISFIGUREMENT DISABILITY BENEFITS QUESTIONNAIRE (DBQ).

IF "NO," PROVIDE LOCATION AND MEASUREMENTS OF SCAR IN CENTIMETERS.

LOCATION: _____ MEASUREMENTS: Length _____ cm X width _____ cm.

NOTE: An "unstable scar" is one where, for any reason, there is frequent loss of covering of the skin over the scar. If there are multiple scars, enter additional locations and measurements in the "Remarks" section. It is not necessary to also complete a Scars/Disfigurement DBQ.

F2. DOES THE VETERAN HAVE ANY OTHER PERTINENT PHYSICAL FINDINGS, COMPLICATIONS, CONDITIONS, SIGNS AND/OR SYMPTOMS RELATED TO ANY CONDITIONS LISTED IN SECTION I, DIAGNOSIS?

YES NO (If "Yes," describe (brief summary)):

SECTION V - DIAGNOSTIC TESTING

NOTE - If testing has been performed and reflects the veteran's current condition, repeat testing is not required. Specific diagnostic testing is not required for many conditions, but if performed, record in this section.

5A. HAVE IMAGING STUDIES OF THE SINUSES OR OTHER AREAS BEEN PERFORMED?

YES NO

(If "Yes," check all that apply)

Magnetic resonance imaging (MRI) Date: _____ Results: _____

Computed tomography (CT) Date: _____ Results: _____

X-rays (describe): _____ Date: _____ Results: _____

Other (describe): _____ Date: _____ Results: _____

5B. HAS ENDOSCOPY BEEN PERFORMED?

YES NO

(If "Yes," check all that apply):

Nasal endoscopy Date: _____ Results: _____

Laryngeal endoscopy Date: _____ Results: _____

Bronchoscopy Date: _____ Results: _____

Other endoscopy Date: _____ Results: _____

5C. HAS THE VETERAN HAD A BIOPSY OF THE LARYNX OR PHARYNX?

YES NO

(If "Yes," complete the following):

Site of biopsy: _____ Date: _____

Results: Benign Pre-malignant Malignant

Describe results: _____

5D. HAS THE VETERAN HAD PULMONARY FUNCTION TESTING TO ASSESS FOR UPPER AIRWAY OBSTRUCTION DUE TO LARYNGEAL STENOSIS?

YES NO

(If "Yes," indicate results)

FEV-1 of 71 to 80% predicted

FEV-1 of 56 to 70% predicted

FEV-1 of 40 to 55% predicted

FEV-1 less than 40% predicted

(Is the Flow-Volume Loop compatible with upper airway obstruction?)

YES NO

5E. ARE THERE ANY OTHER SIGNIFICANT DIAGNOSTIC TEST FINDINGS AND/OR RESULTS?

YES NO *(If "Yes," provide type of test or procedure, date and results (brief summary)):*

SECTION VI - FUNCTIONAL IMPACT

6. DOES THE VETERAN'S SINUS, NOSE, THROAT, LARYNX OR PHARYNX CONDITION IMPACT HIS OR HER ABILITY TO WORK?

YES NO (If "Yes," describe impact of each of the veteran's sinus, nose, throat, larynx or pharynx conditions, providing one or more examples):

SECTION VII - REMARKS

7. REMARKS (If any)

SECTION VIII - PHYSICIAN'S CERTIFICATION AND SIGNATURE

CERTIFICATION - To the best of my knowledge, the information contained herein is accurate, complete and current.

| | | | |
|-----------------------------------|---|------------------------------|-----------------|
| 8A. PHYSICIAN'S SIGNATURE | | 8B. PHYSICIAN'S PRINTED NAME | 8C. DATE SIGNED |
| 8D. PHYSICIAN'S PHONE/FAX NUMBERS | 8E. NATIONAL PROVIDER IDENTIFIER (NPI) NUMBER | 8F. PHYSICIAN'S ADDRESS | |

NOTE - VA may request additional medical information, including additional examinations, if necessary to complete VA's review of the veteran's application.

IMPORTANT - Physician please fax the completed form to: _____
(VA Regional Office FAX No.)

NOTE - A list of VA Regional Office FAX Numbers can be found at www.benefits.va.gov/disabilityexams or obtained by calling 1-800-827-1000.

PRIVACY ACT NOTICE: VA will not disclose information collected on this form to any source other than what has been authorized under the Privacy Act of 1974 or Title 38, Code of Federal Regulations 1.576 for routine uses (i.e., civil or criminal law enforcement, congressional communications, epidemiological or research studies, the collection of money owed to the United States, litigation in which the United States is a party or has an interest, the administration of VA programs and delivery of VA benefits, verification of identity and status, and personnel administration) as identified in the VA system of records, 58/VA21/22/28, Compensation, Pension, Education and Vocational Rehabilitation and Employment Records - VA, published in the Federal Register. Your obligation to respond is voluntary. VA uses your SSN to identify your claim file. Providing your SSN will help ensure that your records are properly associated with your claim file. Giving us your SSN account information is voluntary. Refusal to provide your SSN by itself will not result in the denial of benefits. VA will not deny an individual benefits for refusing to provide his or her SSN unless the disclosure of the SSN is required by a Federal Statute of law in effect prior to January 1, 1975, and still in effect. The requested information is considered relevant and necessary to determine maximum benefits under the law. The responses you submit are considered confidential (38 U.S.C. 5701). Information submitted is subject to verification through computer matching programs with other agencies.

RESPONDENT BURDEN: We need this information to determine entitlement to benefits (38 U.S.C. 501). Title 38, United States Code, allows us to ask for this information. We estimate that you will need an average of 15 minutes to review the instructions, find the information, and complete the form. VA cannot conduct or sponsor a collection of information unless a valid OMB control number is displayed. You are not required to respond to a collection of information if this number is not displayed. Valid OMB control numbers can be located on the OMB Internet Page at www.reginfo.gov/public/do/PRAMain. If desired, you can call 1-800-827-1000 to get information on where to send comments or suggestions about this form.