

Submission Report**eRadHealth Menu**

Introduction

Electronic Product Radiation Safety Reporting Form

This software application is intended to automate the hard copy product reporting forms in the effort of the Center for Devices and Radiological Health (CDRH) to become capable of accepting electronic submissions from industry and to improve our review process. This FDA Electronic Submission (eSub) software is the next version of the application developed to allow us to accept all Radiological Health reports and other submissions electronically and improve the ability of CDRH to accomplish its mandated product and industry evaluations in a timely and efficient manner.

All electronic reports and correspondence can either be transferred to CD and mailed to the address below, or can be sent via the FDA Electronic Submissions Gateway to CDRH. If you follow instructions to set up an account with the FDA Gateway, it currently may take several weeks, but when you submit through it you will receive your acknowledgement email message with Accession Number within minutes! Or, in the interest of faster turn-around for a one-time urgent report or if you submit few reports, you may simply fill out this template creating the submission and then at 'Packaging' follow the instructions to transfer the files to a CD to mail in. This method of submitting your report will be acknowledged by an email with the Accession Number within several days.

Information about the FDA Electronic Submissions Gateway can be found at www.fda.gov/ForIndustry/ElectronicSubmissionsGateway/default.htm. Please contact the Gateway Helpdesk with your questions about that system.

Electronic submissions on CD should be mailed directly to the Document Control Center at:

**U.S. Food and Drug Administration
Center for Devices and Radiological Health
Attn: eSubmitter Team
Document Mail Center - WO66-0609
10903 New Hampshire Avenue
Silver Spring, MD 20993-0002**

Submissions received in the mail on CD will be processed within a few days of receipt.

Note about eSubmitter software:

Instructions provided in this software briefly summarize the requirements of the regulations under the Federal Food, Drug and Cosmetic Act (FFDCA), Chapter V, Subchapter C - Electronic Product

Radiation Control, that applies to manufacturers of electronic products that emit radiation. The software provides questions relevant to requirements in the performance standards and may include explanations or clarification about the performance, labeling, and informational requirements of the standard. It does not replace the regulations, however, and if there is any conflict between the software and the regulations, the regulations must prevail. Throughout this application, pertinent sections of Title 21, Code of Federal Regulations, Chapter I, Subchapter J, are cited in parentheses. Please consult them before making design or procedural decisions.

Regulatory requirements for radiological products can be found at <http://www.fda.gov/Radiation-EmittingProducts/default.htm> and for medical devices are located at www.fda.gov/M/medicalDevices/default.htm. If you have specific questions about the regulations, please contact us at: DSMICA@fda.hhs.gov.

If you have specific questions regarding this software, please contact the eSub team by email at: eSubmitter@fda.hhs.gov.

Thank you for using our electronic product reporting software. Please communicate your comments and suggestions to the eSub team as often as you like.

Thank you for your continued support of the FDA Electronic Submission Program (eSub).

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control number for this information collection is 0910-0025 (expires January 31, 2017).

Role

What is your role?

!* Manufacturer

Information:

The following screen provides several options for you to accurately define what type of eSubmission you intend to create for FDA. Below are explanations of your options. Please feel free to review this screen, advance to the next screen and view the picklists, but if you're confused, come back to read this screen again to be certain you are selecting the correct report or correspondence type you want to create.

Submission Information

Step 1

Use the radio buttons to identify the type of submission you are preparing. (Supplements should be prepared using the same document type as the original submission.)

What Type of Submission is this? (Supplements should be submitted selecting the same document type as the original report.)

!* Radiation Safety Report (Product) Report (21 CFR 1002.10)
 Annual Report (21 CFR 1002.13)
 Laser Light Show Documents (all relevant documents) (21 CFR 1040.11(c))
 Correspondence

	<input type="checkbox"/> Variance Request (General, not Laser Light Show) (21 CFR 1010.4) <input type="checkbox"/> Laser Original Equipment/Component Manufacturer Registration (21 CFR 1040.10(a)(3)(ii)) <input type="checkbox"/> Abbreviated Report (21 CFR 1002.12)
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Step 2	After answering the Submission Type question above, one of the questions below may become active and required (see the blue dot to the right of the question). If there is an active question, select the appropriate product area or document type from the question's pick list.
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What Type of Product is this Radiation Safety Report about? _____
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What Type of Product is this Annual Report about? _____
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What Laser Light Show Document are you filing? !*
Laser Light Show Variance Request (Includes Shows and/or Projectors) (21 CFR 1010.4)

What Type of Correspondence is this? _____

What Type of Product is this Variance Request about? _____

Manufacturer Data

Manufacturer Responsible for Product Compliance

Note:	<p><i>This is the firm that takes responsibility for certification that the product meets the performance standard. This firm develops and maintains the quality control and testing program that is the basis for the certification of this product. Additionally, this firm usually is the owner of the product design and manufacturing process design.</i></p> <p><i>Be sure to enter address information for each tab below:</i></p>
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Select the Manufacturer's address from the Establishment Address book:	*
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<i>Establishment Information:</i>

Establishment Name	
--------------------	--

Division Name	
---------------	--

Home Page	
-----------	--

<i>Physical Location:</i>

Address	
---------	--

Telephone Number	
------------------	--

Fax Number	
------------	--

<i>Mailing Location:</i>

Address	
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Telephone Number	
------------------	--

Fax Number	
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Responsible Individual

Note:	<p><i>The responsible individual is the highest level and most responsible individual affiliated with this establishment.</i></p>
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Select the Responsible Individual from the Contact Address book:	*
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<i>Contact Information:</i>

Contact Name	
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Occupation Title	
------------------	--

Email Address	
---------------	--

<i>Establishment Information:</i>

Establishment Name	
--------------------	--

Division Name	
---------------	--

<i>Physical Location:</i>

Address	
---------	--

Telephone Number	
------------------	--

Fax Number	
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Mailing Location:

Address	
Telephone Number	
Fax Number	

Manufacturer's Reporting Official

Note:	<i>This is the person at the manufacturing facility that is knowledgeable and responsible for addressing all aspects of the testing and quality control procedures for certification as reported to FDA in the product report. Documentation of changes in testing and quality control procedures submitted to FDA must be signed by this individual.</i>
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Select the Reporting Official from Contact Address book: *

Contact Information:

Contact Name	
Occupation Title	
Email Address	

Establishment Information:

Establishment Name	
Division Name	

Physical Location:

Address	
Telephone Number	
Fax Number	

Mailing Location:

Address	
Telephone Number	
Fax Number	

Report Submitter

Note:	<i>The submitter may be a consulting individual or firm providing assistance in report preparation and maintenance. Documents or submissions such as this one that are prepared by the submitter must have an accompanying authorization letter from the manufacturer's reporting official for authenticity.</i>
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Select the Submitter from the Contact Address book: *

Contact Information:

Contact Name	
Occupation Title	
Email Address	

Establishment Information:

Establishment Name	
Division Name	

<i>Physical Location:</i>	
Address	
Telephone Number	
Fax Number	
<i>Mailing Location:</i>	
Address	
Telephone Number	
Fax Number	
<i>Comments:</i>	
Internal Reference Number:	

Parent Establishment

Is there a parent establishment?	*
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Select the Parent Establishment and Contact from the Contact Address book:	
<i>Contact Information:</i>	
Contact Name	
Occupation Title	
Email Address	
<i>Establishment Information:</i>	
Establishment Name	
Division Name	
<i>Physical Location:</i>	
Address	
Telephone Number	
Fax Number	
<i>Mailing Location:</i>	
Address	
Telephone Number	
Fax Number	

Manufacturer Designated United States Agent

<i>Note:</i>	<i>Manufacturers exporting to the U.S. must designate a U.S. agent, see 21 CFR 1005.25.</i>
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Is there a United States agent that has been designated by the manufacturer?	*
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Importer

Additional Manufacturing Locations

Product Data

Product and Model Identification

Attention - Information about this section

In this section you'll be asked to identify several required or optional things which will help FDA/CDRH staff to prioritize their reviews. You'll be asked to consider the following aspects:

- (1) Identify your product's radiation type and the CDRH Product Code.
- (2) Enter an Accession number if this will be a report supplement. If you are preparing a supplement, you'll see that after entering a valid 7-digit Accession number many questions will no longer be required (they will either be disabled or will be optional, meaning they will no longer have the blue dot).
- (3) You will also have several questions that are of high significance for FDA/CDRH - why you might be submitting this report or correspondence. Please read these questions carefully, referring to the 21 CFR regulations on the website www.FDA.gov if you are unsure if the question is relevant to your firm's situation.
- (4) If you find that you have more information that you want the FDA/CDRH to read but it doesn't seem to fit under other questions, we have a final "**Additional Information**" question in this section which invites you to add comments and/or attach a file that provides further information from your firm about this submission. This is the place to add that extra information.

Product Type Reported

What is the product code? *

To select the three letter product code,

- Click the plus sign. You will see a product code filter dialog box.
- Select the appropriate category name from the pick list. You will be provided a list of product codes from which to choose.
- Select the best match to your product.
- The remaining fields will be filled in for you when you select your product code.

Category	
Product Code	
Performance Standard	

If Other, provide a category name for this specific product.

Report Information

Is this the first time you've submitted a report on the particular type of product selected in the Product Type Reported section? *	
Since this is not the first time you've reported on this type of product, then is this a report supplement to a previously reported model family?	
Provide the Accession Number of the original report for which this is a supplement: (Note: Do not enter any Device Premarket Application or Notification document number here, such as PMAs, 510(k)s, IDEs, etc. See Accession number description below.)	

Are you requesting a new variance, a renewal, extension or amendment to a previous variance? *	
If you have a Docket Number that was issued by FDA's Division of Dockets Management, please provide it here.	

Special Considerations

Noncompliances or Defects

Does this document or any of its attachments contain:	
A notification of noncompliance or defect? *	
You may provide an explanation and/or attach a document here:	
Details	

Responses to Noncompliances or Defects

Does this document or any of its attachments contain any of these responses concerning noncompliances or defects?	
A refutation of noncompliances or defects identified to your firm? *	
A request for an exemption from notification to purchasers (see 21 CFR 1003.21 and 1003.30)? *	
Corrective action plans you intend to implement to correct noncompliances or defects discovered in past or current production? *	
<i>Note:</i>	<i>If you are submitting a Corrective Action Plan (CAP) following 21 CFR 1004 and information on design changes for future production, the design change information must be submitted in a Radiation Safety (Product) Report or supplemental report. Both the proposed CAP and the design changes may be submitted in one document if you prepare a product report and choose to include the CAP in it as a file attachment. Alternatively, you may create a separate eSubmission for the CAP using the "Correspondence" type template and selecting "Follow-up correspondence to FDA."</i>
A description of any design changes that correct noncompliances for future production? *	
<i>Note:</i>	

If you are submitting information on product design changes for future production due to a discovery of noncompliances or defects in current production, you must use the Radiation Safety (Product) Report template to create the report . Correspondence templates may be used to submit other information such as a proposed corrective action plan pertaining to a noncompliance or defect.

You may add an explanation and/or attach a document here:

Details

Exemption Requests

Does this document or any of its attachments contain:

Exemption of a product for government use from a standard (21 CFR 1010.5)?	*
Exemption for products for government use from reporting and recordkeeping (21 CFR 1002.51)?	*
Special exemption of products from reporting and/or recordkeeping (21 CFR 1002.50)?	*
Request for approval of alternate labeling?	*
Application for alternate test procedures (21 CFR 1010.13)?	*

You may provide an explanation and/or attach any relevant documents here:

Variance Requests

Information: *Please note: in addition to responding to these questions below, a separate General Variance Request or Laser Light Show Variance Request form must be completed and submitted to CDRH, with a hard copy sent to FDA's Division of Dockets Management as instructed below for any variance request. The information requested on this screen does not constitute the full structured content of the variance request. The 2 types of Variance forms can be created in eSubmitter by selecting the appropriate Variance submission type under the eRad Health Menu section of this application.*

Message: *Click the plus sign to list the requirements from which you are requesting a variance.*

This submission includes an application for a variance from certain requirements.

Item No Information Provided.

Provide an explanation and attach supporting files, if necessary. Click on the plus sign below to attach files.

Details

Stop: *For all Variance requests, two submissions must be made to the FDA.*

The electronic version should be submitted following the Packaging Files for Submission instructions located under Output in the Menu bar, and explained in subsection 4.3 of the User Manual. If sending a CD & submittal letter, please mail to:

*U.S. Food and Drug Administration
Center for Devices and Radiological Health
Attn: eSubmitter Team
Document Mail Center - WO66-0609
10903 New Hampshire Avenue
Silver Spring, MD 20993-0002*

Additionally, a paper version (hard-copy) of the signed Variance request document should be submitted to:

*Food and Drug Administration
Division of Dockets Management (HFA-305)
5630 Fishers Lane, Room 1061
Rockville, MD 20857*

Responses to Communications from FDA

Does this document or any of its attachments contain:

A response to an FDA inspection?	*	
What was the date of the inspection?		
A response to a Warning letter or a Notification of Noncompliance or Defect from the FDA?	*	
What was the date of the Warning Letter or other notification letter?		
A response to a report review inquiry from the CDRH (the inquiry may have been in the form of a letter, email, or phone call)?	*	
What was the date of the inquiry?		
A response to any other communication from FDA?	*	
What was the date of the communication?		
Provide an explanation:		

Additional Information

Here's your opportunity to add anything else to this submission that you want to tell the FDA!

Is there any other relevant information or additional comments that would help expedite the review of this submission? Click the plus sign below to attach any supporting files.

Details

Private Labeling

Is the product sold by other companies under different brand names?

*

Medical Devices

Provide the premarket 510(k), IDE, HDE, PDP, or PMA filing numbers related to this medical product, if one of these numbers has been assigned by FDA yet.

If it has not been submitted yet, or if your device is exempt from premarket clearance or approval, please provide an explanation. The device regulations can be found in 21 CFR 807 - device manufacturer registration and device listing.

Variance Application Form

1.0 Introduction

*Department of Health and Human Services
Food and Drug Administration*

Laser Light Show Variance Application Form 3147

Information: No laser light show, projection system, or device may vary from compliance with 21 CFR 1040.11(c) in design or use without the approval with this application in accordance with 21 CFR 1010.4

Note: **Instructions:**

For all Variance requests, two submissions must be made to the FDA.

The electronic version should be submitted following the Packaging Files for Submission instructions located under Output in the Menu bar, and explained in subsection 4.3 of the User Manual. If sending a CD & submittal letter, please mail to:

*Center for Devices and Radiological Health
Attn: eSubmitter Team
Document Mail Center - WO66-G609
10903 New Hampshire Ave
Silver Spring, MD 20993-0002*

Additionally, a paper version (hard-copy) of the signed Variance request document should be submitted to:

*Food and Drug Administration
Division of Dockets Management (HFA-305)
5630 Fishers Lane, Room 1061
Rockville, MD 20857*

The applicant requests the variance to be in effect for a period of how many years from the date of issue? *

Note: *In general, the Agency will approve a variance for only two years. If other is selected as the time period, attach a justification as part of the application.*

Attach file and supply details.

Details

2.0 Product Description and Use

List the name(s) for the laser light show(s) and/or model number(s) for the laser light show projector(s). *

Item	Show Name	Projector	Brand Name

Select the product for which a variance is requested. (Select all that apply) *

Item No Information Provided.

If "other" please describe further:

--

Check if projectors are intended for sale, lease, or loan to other laser light show producers.

[]

Select the place where the product is intended to be used. (Select all that apply.) *

Item	No Information Provided.
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If "other" please describe further:

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Select the number of locations where the product is intended to be used. (Select all that apply.) *

Item	No Information Provided.
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If "other" please describe further:

--

Product is intended to be used at any one location for: *

--

Select how long the Tour is intended run. (Select all that apply).

Item	No Information Provided.
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If "other" please describe further:

--

Select the laser effects that the product utilizes. (Select all that apply.) *

Item	No Information Provided.
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If "other" please describe further:

--

3.0 Laser Radiation Levels

4.0 Reason for Requesting Variance

Compliance with the limits of 21 CFR 1040.11(c) would restrict the intended use of the product because compliance would limit the output power to the extent that the desired effects would not be sufficiently visible.

[]

Other or additional explanation (specify):

--

Manner in which it is Proposed to Deviate from the Requirements of the Applicable Standard:

It is proposed to deviate from the provisions of 21CFR 1040.11(c) in that the accessible emission level would exceed the accessible emission limits specified in 21 CFR 1040.11(c).

[]

It is proposed to deviate from the provisions of 21 CFR 10.40.11(c) as follows:

--

Advantages to be Derived from such Deviation:	
Laser light shows and displays are accepted popular media in entertainment and the arts. Use of power levels in excess of the limits imposed by 21 CFR 1040.11(c) is necessary to achieve the required effects in these media.	[]
Other or additional advantages (describe and explain):	

5.0 Explain the Alternate Means of Radiation Protection to be Provided

Note:	<i>Check as many boxes as apply. In the "Remarks" section 6.0, justify any boxes not checked. State any other means of radiation protection that will be used in section 6.0, "Remarks."</i>	
a.	All laser products, systems, shows, and projectors will be certified to comply with 21 CFR 1040.10 and the conditions of this variance and will be reported as required by 21 CFR 1002.10 AND 1002.11 using the reporting guides provided for such purpose. These actions will be accomplished prior to any introduction into commerce.	[]
b.	Effects not specifically indicated in this variance application will not be performed. No other effects will be added until an amendment to the variance has been obtained and the required reports or supplements, as applicable, have been submitted.	[]
c.	Scanning, projection, or reflection of laser and collateral radiation (light show radiation) into audience or other accessible uncontrolled areas will not be permitted except for diffuse reflections produced by the atmosphere, added atmospheric scattering media, and target screens.	[]
d.	Laser radiation levels in excess of the limits of Class I will not be permitted at any point less than 3.0 meters above any surface upon which persons other than operators, performers, or employees are permitted to stand or 2.5 meters below or in lateral separation from any place where such persons are permitted to be. Operators, performers, and employees will not be required or allowed to view radiation above the limits of Class I or be exposed to radiation above the limits specified in 21CFR 1040.11(c).	[]
e.	Any product which relies on scanning to meet access, exposure, or product class limits will incorporate a scanning safeguard system which directly senses scanner motion and which will react fast enough to preclude exceeding the applicable limit.	[]
f.	All laser light shows shall be under the direct and personal control of trained, competent operator(s). The operator(s) will do the following:	[]
	<ul style="list-style-type: none"> • Message: <i>Be an employee of the variance holder who will be responsible for the training and the conduct of the operator.</i> 	
	<ul style="list-style-type: none"> • Message: <i>Be located where all beam paths can be directly observed at all times.</i> 	
	<ul style="list-style-type: none"> • Message: <i>Immediately terminate the emission of light show radiation in the event of any unsafe condition; or for outdoor shows, upon request by any air traffic control officials.</i> 	
g.	The maximum laser projector output power will not exceed the level required to obtain the intended effects.	[]
h.	The projection system (i.e., the projector and all other components used to produce the lighting effects) will be securely mounted or immobilized to prevent unintended movement or misalignment. Beam masking will be provided as an inherent part of the system design to prevent overfilling of screens, beam stops, targets, etc.	[]
i.		[]

	Laser projectors will not be delivered to any other party under an agreement of sale, lease, or loan unless and until the recipient demonstrates that they have a variance in effect at the time of delivery that permits them to produce laser light shows incorporating such projector(s).	
j.	In addition to the requirements of 21 CFR 1040.10(h), the manufacturer of laser projectors/systems will provide to parties who purchase, lease, or borrow the equipment, adequate users' instructions for safe installation and operation which explain the responsibility of the recipient as an independent light show manufacturer to submit the required reports and apply for and obtain a variance from CDRH prior to introduction into commerce of any laser light shows.	[]
k.	The requirements of 21 CFR 1002.30(a)(1) and (2) will be accomplished through the use of written procedures for setup, alignment, testing, and performance of each show. These procedures will be in sufficient detail to ensure compliance with 21 CFR 1040.10, the conditions of this variance, and the control of access to radiation areas using the procedures described in the ANSIZ136.1 standard for the safe use of lasers (American National Standards Institute, 1430 Broadway, New York, NY 10018) or any other equivalent user consensus standard and, where applicable, state or local requirements. Laser radiation areas which can contain radiation levels above the limits specified in 21 CFR 1040.11(c) will be clearly identified by the posting of warning signs and/or restricting access through physical means (such as pressure switches, photo cells, barriers, guards, etc.). These requirements apply to temporary areas (such as during set up and alignment procedures) and to final or permanent areas. The variance holder will retain the records of these procedures and the results of all tests as required by 21 CFR 1002.31. A copy of the variance application, the approval letter, current procedures, and records relating to each particular show will be with the operator or other responsible individual and will be made available for inspection by FDA and other responsible authorities.	[]
i.	Advance written notification will be made as early as possible to appropriate federal, state, and local authorities providing show itinerary with dates and locations clearly and completely identified, and a basic description of the proposed effects including a statement of the maximum power output intended. Such notifications will be made, but not necessarily be limited, to the following:	[]
	<ul style="list-style-type: none"> • Message: <i>The Center for Devices and Radiological Health, Document Mail Center - WO66-G609, 10903 New Hampshire Avenue, Silver Spring, MD 20993, providing the initial and closing dates for fixed installations and the itinerary for mobile shows. In addition, unless all aspects of each show have been reported and accession numbers clearly referenced, each notice will include detailed descriptions of each show and a listing of all effects to be performed in sufficient detail to confirm compliance with the regulations and this variance.</i> 	
	<ul style="list-style-type: none"> • Message: <i>The Federal Aviation Administration (FAA) for any projections into open airspace at any time (i.e., including set up, alignment, rehearsals, performances, etc.). If the FAA objects to any laser effects, the objections will be resolved and any conditions requested by FAA will be adhered to. If these conditions cannot be met, the objectionable effects will be deleted from the show.</i> 	
	<ul style="list-style-type: none"> • Message: <i>State and local radiation control offices/agencies for all shows to be performed within their jurisdictions. All requirements of state and local law will be satisfied and any objections raised by local authorities will be resolved or the effects deleted. (A list of federal and state offices is available from the Center for Devices and Radiological Health upon request.)</i> 	

6.0 Remarks

Please include any necessary additional remarks:

7.0 Certification

The manufacturer certifies the following: *

All of the above information and statements are true, complete, and correct to the best of my knowledge. The manufacturer acknowledges that the variance application may be denied or the variance may be revoked if this application is found to be false, misleading or incorrect in any material way. The manufacturer has submitted and will submit all reports required by 21 CFR 1002.10 and 1002.11 on laser equipment and show(s). The manufacturer further understands that they may be required by regulation or by the Director, Center for Devices and Radiological Health, to supply such other information as may be necessary to evaluate and act on this application.

Copy from the contact address book. *

Contact Information:

Contact Name	
Occupation Title	
Email Address	

Establishment Information:

Establishment Name	
Division Name	
FDA Establishment Identifier (FEI)	
Central File Number (CFN)	
Registration Number	
Owner/Operator Number	
D&B D-U-N-S Number	

Physical Location:

Address	
Telephone Number	
Fax Number	

Mailing Location:

Address	
Telephone Number	
Fax Number	

8.0 Packaging Instructions

<p>Stop:</p>	<p><i>For all Variance requests, two submissions must be made to the FDA.</i></p> <p><i>The electronic version should be submitted following the Packaging Files for Submission instructions located under Output in the Menu bar, and explained in subsection 4.3 of the User Manual. If sending a CD & submittal letter, please mail to:</i></p> <p><i>Center for Devices and Radiological Health Attn: eSubmitter Team Document Mail Center - WO66-G609 10903 New Hampshire Ave Silver Spring, MD 20993-0002</i></p>
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	<p><i>Additionally, a paper version (hard-copy) of the signed Variance request document should be submitted to:</i></p> <p><i>Food and Drug Administration Division of Dockets Management (HFA-305) 5630 Fishers Lane, Room 1061 Rockville, MD 20852</i></p>
<i>Note:</i>	<p><i>In a few weeks you should receive a Docket number from Dockets Management and a Variance number from CDRH. Both of these numbers may be saved with this report in the following procedure:</i></p> <ol style="list-style-type: none"><i>1. Reopen this report</i><i>2. Click on the File Menu and select Properties</i><i>3. In the Comments field, you may enter these identifying numbers and any other pertinent information for future reference.</i>
<i>Stop:</i>	<p><i>You have reached the end of this report. Please verify that all PDFs that are to be included in this submission are correctly attached to a specific file attachment question. Otherwise, they will not be packaged with your report. Check to make sure you have no missing data (select Missing Data Report from the Output menu). Once you have confirmed that there is no missing data and all your files are attached, click on the Package Submission icon on the tool bar.</i></p>